



ANNALES

DE

L'INSTITUT FOURIER

Gilles CARRON

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Tome 60, n° 7 (2010), p. 2307-2331.

http://aif.cedram.org/item?id=AIF_2010__60_7_2307_0

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RIGIDITY AND L^2 COHOMOLOGY OF HYPERBOLIC MANIFOLDS

by Gilles CARRON

ABSTRACT. — When $X = \Gamma \backslash \mathbb{H}^n$ is a real hyperbolic manifold, it is already known that if the critical exponent is small enough then some cohomology spaces and some spaces of L^2 harmonic forms vanish. In this paper, we show rigidity results in the borderline case of these vanishing results.

RÉSUMÉ. — La petitesse de l'exposant critique du groupe fondamental d'une variété hyperbolique implique des résultats d'annulation pour certains espaces de cohomologie et de formes harmoniques L^2 . Nous obtenons ici des résultats de rigidité reliés à ces théorèmes d'annulations. Ceci est une généralisation de résultats déjà connus dans le cas convexe co-compact.

1. Introduction

When Γ is a discrete torsion free subgroup of $\mathrm{SO}(n, 1)$, its critical exponent is defined by

$$\delta(\Gamma) := \inf \left\{ s > 0, \sum_{\gamma \in \Gamma} e^{-sd(z, \gamma(z))} < +\infty \right\}.$$

It is easy to see that this definition doesn't depend on the choice of $z \in \mathbb{H}^n$ and that $\delta(\Gamma) \leq n - 1$. The critical exponent measures the growth of Γ -orbits:

$$\delta(\Gamma) = \limsup_{R \rightarrow +\infty} \frac{\log \mathrm{Card}(B(z, R) \cap \Gamma.z)}{R}.$$

An important and beautiful result of D. Sullivan [23] (see also [19] in dimension 2) is a formula between the critical exponent and the bottom of the spectrum of the Laplacian (on functions) on the manifold $\Gamma \backslash \mathbb{H}^n$:

Keywords: L^2 harmonic form, hyperbolic manifold, critical exponent.

Math. classification: 58J50, 22E40.

$$\begin{aligned} \text{If } \delta(\Gamma) \leq (n-1)/2 \text{ then } \lambda_0(\Gamma \backslash \mathbb{H}^n) &= (n-1)^2/4. \\ \text{If } \delta(\Gamma) \geq (n-1)/2 \text{ then } \lambda_0(\Gamma \backslash \mathbb{H}^n) &= \delta(n-1-\delta). \end{aligned}$$

When Γ is geometrically finite, the critical exponent is linked with the Hausdorff dimension of the limit set $\Lambda(\Gamma) = \overline{\Gamma \cdot z} \cap \partial_\infty \mathbb{H}^n$ (where the closure is taken in the geodesic compactification of the hyperbolic space $\overline{\mathbb{H}^n} = \mathbb{H}^n \cup \partial_\infty \mathbb{H}^n$) or with the entropy of the geodesic flow ([22],[19],[6]).

When Γ is convex-cocompact and *abstractly* isomorphic to a cocompact discrete torsion free subgroup of $SO(n-p, 1)$, then

$$\delta(\Gamma) \geq n-1-p$$

with equality if and only if Γ stabilizes cocompactly a totally geodesic $(n-p)$ -hyperbolic subspace $\mathbb{H}^{n-p} \subset \mathbb{H}^n$ ([9],[7],[27],[3]). Other rigidity results in terms of the critical exponent have been recently obtained for amalgamated products ([21],[4],[5]).

Using different techniques, H. Izeki, H. Izeki and S. Natayani ([13],[14]) and X. Wang [25] obtained rigidity results based on the De Rham cohomology with compact support ⁽¹⁾:

THEOREM 1.1. — *Let $X = \Gamma \backslash \mathbb{H}^n$ be a convex-cocompact hyperbolic manifold, assume that for some $p < n/2$:*

$$H_c^p(X) \neq \{0\}$$

then

$$\delta(\Gamma) \geq n-1-p$$

with equality if and only if Γ stabilizes cocompactly and positively a totally geodesic $(n-p)$ -hyperbolic subspace $\mathbb{H}^{n-p} \subset \mathbb{H}^n$.

We say that Γ stabilizes cocompactly and positively a totally geodesic $(n-p)$ -hyperbolic subspace $\mathbb{H}^{n-p} \subset \mathbb{H}^n$ when Γ stabilizes cocompactly a totally geodesic $(n-p)$ -hyperbolic subspace $\mathbb{H}^{n-p} \subset \mathbb{H}^n$ and when Γ acts trivially on the orientation normal bundle of $\mathbb{H}^{n-p} \subset \mathbb{H}^n$.

In fact, R. Mazzeo has shown that the cohomology with compact support of a convex cocompact hyperbolic n -manifold is isomorphic to the space of harmonic L^2 forms in degree $p < n/2$ [17]: If $X = \Gamma \backslash \mathbb{H}^n$ is convex cocompact and if $p < n/2$ then

$$H_c^p(X) \simeq \mathcal{H}^p(X) := \{\alpha \in L^2(\Lambda^p T^* X), d\alpha = d^* \alpha = 0\}.$$

In [12], with E. Pedon, we obtained the following result:

⁽¹⁾ or cohomology in complementary degree using Poincaré’s duality.

THEOREM 1.2. — *Let $X = \Gamma \backslash \mathbb{H}^n$ be a hyperbolic manifold, assume that for $p < n/2$:*

$$\delta(\Gamma) < n - 1 - p,$$

then

$$\mathcal{H}^p(X) = \{0\}.$$

Moreover the bottom of the spectrum of the Hodge-De Rham Laplacian on p forms is bounded from below by:

$$\lambda_0(dd^* + d^*d, \Gamma \backslash \mathbb{H}^n) \geq \begin{cases} (\delta(\Gamma) - p)(n - 1 - p - \delta(\Gamma)) & \text{if } (n - 1)/2 \leq \delta(\Gamma) \\ \frac{(n-1-p)^2}{4} & \text{if } \delta(\Gamma) \leq (n - 1)/2. \end{cases}$$

Together with Mazzeo’s interpretation of the space of L^2 harmonics forms, this result implies a part of the theorem 1.1: convex cocompact hyperbolic manifolds with non trivial cohomology with compact support in some degree $p < n/2$ have a critical exponent strictly larger than $n - 1 - p$. In this paper, we study rigidity result without the convex cocompact hypothesis. The case of degree $p = 1$ is covered by the following very general result of P. Li and J. Wang [16] (see also [24] for earlier results):

THEOREM 1.3. — *If $(M^{n>2}, g)$ is a complete Riemannian manifold with $\text{Ricci}_g \geq -(n - 1)g$ and $\lambda_0(M^n, g) \geq (n - 2)$, then either*

- i) M has only one end with infinite volume or
- ii) (M^n, g) is isometric to the warped product

$$(\mathbb{R} \times N, (dt)^2 + \cosh^2(t)h)$$

with (N, h) compact and $\text{Ricci}_h \geq -(n - 2)h$.

With the proposition (5.1) and (5.2) of [12], this beautiful result has the following consequence for hyperbolic manifold:

THEOREM 1.4. — *Let $X = \Gamma \backslash \mathbb{H}^n$ be a hyperbolic manifold with dimension n greater to 2 and whose injectivity radius is positive. If*

$$\delta(\Gamma) \leq n - 2$$

then either

- i) $H_c^1(X, \mathbb{Z}) = \{0\}$ or
- ii) $H_c^1(X, \mathbb{Z}) \neq \{0\}$, $\delta(\Gamma) = n - 2$ and Γ stabilizes cocompactly a totally geodesic hypersurface $\mathbb{H}^{n-1} \subset \mathbb{H}^n$.

Recently M. Kapovich has studied the link between the critical exponent and the cohomological dimension of a hyperbolic manifold $X = \Gamma \backslash \mathbb{H}^n$ relative to the ϵ -ends whose rank is larger or equal to 2 [15]. Let ϵ be a

positive number smaller than the Margulis constant and denote $X_{<\epsilon}$ the ϵ -thin part of X , that is the set of point of X where the injectivity radius is smaller than ϵ . Let $M_{\leq\epsilon} \subset X_{<\epsilon}$ be the union of the closure of the unbounded connected component of $X_{<\epsilon}$ whose fundamental group has rank larger than or equal to 2. M. Kapovich proves the following result:

THEOREM 1.5. — *Assume that*

$$\delta(\Gamma) < n - p - 1$$

and let R be a commutative ring with unit and \mathbb{V} be a $R\Gamma$ -module then

$$H^{n-p}(X, M_{\leq\epsilon}, \mathbb{V}) = \{0\}.$$

Moreover, assumed that Γ is geometrically finite and that there is a $R\Gamma$ -module \mathbb{V} such that

$$\delta(\Gamma) = n - p - 1 \text{ and } H^{n-p}(X, M_{\leq\epsilon}, \mathbb{V}) \neq \{0\},$$

then Γ stabilizes a totally geodesic $(n-p)$ -hyperbolic subspace $\mathbb{H}^{n-p} \subset \mathbb{H}^n$ with $\text{vol}(\Gamma \backslash \mathbb{H}^{n-p}) < \infty$.

We'll prove a similar rigidity result for the De Rham cohomology in the space of L^2 harmonic form. When $X = \Gamma \backslash \mathbb{H}^n$ is a oriented hyperbolic manifold with dimension $n > 3$, we let $E \subset X_{<\epsilon}$ be the union of all the unbounded connected components of the ϵ -thin part. For $p < (n-1)/2$, we will build a natural linear map

$$H_c^p(X \setminus E) \rightarrow \mathcal{H}^p(X).$$

And we will proved the

THEOREM 1.6. — *If $p < (n-1)/2$ and $\delta(\Gamma) = n - p - 1$ and if the range of the map $H_c^p(X \setminus E) \rightarrow \mathcal{H}^p(X)$ is not zero then Γ stabilizes positively a totally geodesic $(n-p)$ -hyperbolic subspace $\mathbb{H}^{n-p} \subset \mathbb{H}^n$ with $\text{vol}(\Gamma \backslash \mathbb{H}^{n-p}) < \infty$.*

We recall that when $\delta(\Gamma) < n - p - 1$ and $p < n/2$, then $\mathcal{H}^p(X) = \{0\}$. The above restriction on the range of the degree $p < (n-1)/2$ comes from the fact that when $p \geq (n-1)/2$, we are not able to build a map $H_c^p(X \setminus E) \rightarrow \mathcal{H}^p(X)$, however we'll give a similar result based on the cohomology with compact support (see theorem 1.7).

When Γ is geometrically finite, then a quick look at the topological interpretation of the space of L^2 harmonic forms obtained by R. Mazzeo and R. Phillips [18] shows that we have in this case: $\mathcal{H}^p(X) \simeq H_c^p(X \setminus E)$ (see § 3.4). However, in general, we are not been able to prove any good relationship between Kapovich's result and our results.

We now describe the proof of our result. Our proof owns a lot to X. Wang’s proof of the theorem 1.1 but with several new technical points.

The first point is to analyse the case of equality in the refined Kato inequality. When $p < n/2$ and when ξ is a harmonic L^2 p -form on the hyperbolic manifold $X = \Gamma \backslash \mathbb{H}^n$, then the refined Kato’s inequality ([10][11]) implies that the function

$$\phi := |\xi|^{\frac{n-1-p}{n-p}}$$

satisfies

$$(1.1) \quad \Delta\phi \leq p(n - 1 - p)\phi.$$

Our first result describes the equality case in this inequality; it is an extension of a result of X. Wang who described the equality case when ξ is squared integrable and Γ is convex cocompact.

Then we describe how we can define a map

$$\mathcal{H}^q(X) \rightarrow H^q(X, E)$$

or

$$H_c^p(X \setminus E) \rightarrow \mathcal{H}^p(X)$$

when $p < (n - 1)/2$ and $q > (n + 1)/2$. Note that E being an open set, $X \setminus E$ is a closed subset of X and hence forms with compact support in $X \setminus E$ have a support that can touch ∂E .

The second crucial point is to prove that when the range of the map

$$H_c^p(X \setminus E) \rightarrow \mathcal{H}^p(X)$$

is not zero and $\delta(\Gamma) = n - 1 - p$ then there is a L^2 harmonic p -form ξ such that $\phi := |\xi|^{\frac{n-1-p}{n-p}} \in L^2$.

Then according to D. Sullivan’s result, the bottom of the spectrum of the Laplacian on X is $\delta(\Gamma)(n - 1 - \delta(\Gamma)) = p(n - 1 - p)$, it is easy to deduce that in fact ϕ is a eigenfunction of the Laplace operator. Then we use our description of the equality in (1.1).

In the degree $p = (n \pm 1)/2$, then our methods does not apply because we are not able to build a map $H_c^p(X \setminus E) \rightarrow \mathcal{H}^p(X)$. However, there is always a map $H_c^p(X) \rightarrow \mathcal{H}^p(X)$ and our proof will also show the following result:

THEOREM 1.7. — *Let $X = \Gamma \backslash \mathbb{H}^n$ be a hyperbolic manifold with $n > 3$. Assume that for a $p < n/2$:*

$$\delta(\Gamma) \leq n - 1 - p ,$$

then the image of the cohomology with compact support in the absolute cohomology is zero in degree p :

$$\mathrm{Im}(H_c^p(X) \rightarrow H^p(X)) = \{0\}.$$

Moreover either

- i) The range of map $H_c^p(X) \rightarrow H^p(X)$ is zero,
- ii) or the map $H_c^p(X) \rightarrow H^p(X)$ is an isomorphism, $\delta(\Gamma) = n-1-p$ and Γ stabilizes cocompactly and positively a totally geodesic $(n-p)$ -hyperbolic subspace $\mathbb{H}^{n-p} \subset \mathbb{H}^n$.

Remark 1.8. — The case of hyperbolic manifolds of dimension 3 is already covered by P. Li and J. Wang’s result 1.3.

Acknowledgements. This text is an attempt to answer some of the questions that have been asked after my talk at the conference “Spectral Theory and Geometry” in honour of my teachers, advisor: P. Bérard and S. Gallot. Hence it is a pleasure to thank G. Besson, L. Bessières, Z. Djadli for having organized this very nice conference. I also take the opportunity to thank P. Bérard and S. Gallot for all the beautiful mathematics that they taught me. I thank V. Minerbe and the referee for their useful comments and advices. I was partially supported by the project ANR project GeomEinstein 06-BLAN-0154. Eventually, I want to dedicate my paper to H. Pesce.

2. The equality case in the refined Kato’s inequality on hyperbolic space

The classical Kato inequality says that if ξ is a smooth p -form on a Riemannian manifold (M^n, g) , then

$$|d|\xi||^2 \leq |\nabla\xi|^2.$$

When ξ is assumed to be moreover closed and coclosed:

$$d\xi = d^*\xi = 0,$$

then this Kato inequality can be refined:

$$(2.1) \quad \frac{n+1-p}{n-p} |d|\xi||^2 \leq |\nabla\xi|^2.$$

See [8], for a convincing explanation of the principle leading to this inequality, and [10], [11] for the computation of the refined Kato constant. Where

(M^n, g) is a hyperbolic manifold, direct computations show that if ξ is a closed and coclosed p -form then the function $\phi := |\xi|^{\frac{n-1-p}{n-p}}$ satisfies

$$\Delta\phi \leq p(n-1-p)\phi,$$

with equality if and only if we have equality in the refined Kato inequality (2.1). In this situation, X. Wang has described the equality case when ξ is square integrable and when (M, g) is convex cocompact [25]. Our first preliminary result is an extension of this result of X. Wang:

THEOREM 2.1. — *Let p, n be integers with $2p < n$ and $n > 3$. If ξ be a non zero closed and coclosed p -form on \mathbb{H}^n such that we have everywhere equality:*

$$|\nabla\xi|^2 = \frac{n+1-p}{n-p} |d|\xi||^2,$$

then either

- i) there is a real constant A , an isometry γ and a parallel $(p-1)$ -form ω on \mathbb{R}^{n-1} such that in the upper-half-space model of the hyperbolic space

$$\mathbb{H}^n \simeq \{(y, x) \in (0, +\infty) \times \mathbb{R}^{n-1}\}$$

endowed with the Riemannian metric $\frac{(dx)^2+(dy)^2}{y^2}$, we have

$$\gamma^*\xi = Ay^{n-1-p}dy \wedge \pi^*\omega,$$

where $\pi(y, x) = x$, or

- ii) there is a totally geodesic copy $\mathbb{H}^{n-p} \subset \mathbb{H}^n$ such that in Fermi coordinates around this \mathbb{H}^{n-p}

$$\mathbb{H}^n \setminus \mathbb{H}^{n-p} \simeq (0, +\infty)_t \times \mathbb{S}^{p-1} \times \mathbb{H}^{n-p}$$

we have

$$\xi = A \frac{(\sinh t)^{p-1}}{(\cosh t)^{n-p-1}} dt \wedge d\sigma;$$

recall that here t is the geodesic distance to $\mathbb{H}^{n-p} \subset \mathbb{H}^n$ and $d\sigma$ is the volume form of \mathbb{S}^{p-1} .

Our arguments will follow closely those of X. Wang, however in his situation only the case ii) appears.

2.1. Proof of the theorem 2.1

Let p, n be integers with $p < n/2$ and $n > 3$. We consider ξ a non trivial harmonic p -form on \mathbb{H}^n such that we have everywhere:

$$|\nabla \xi|^2 = \frac{n+1-p}{n-p} |d|\xi||^2.$$

Then $\phi := |\xi|^{\frac{n-1-p}{n-p}}$ satisfies

$$\Delta \phi = p(n-1-p)\phi.$$

Then the Harnack inequalities imply that ϕ is positive.

According to [11], there is locally a 1-form α such that

$$\alpha \wedge \xi = 0$$

and

$$\nabla \xi = \alpha \otimes \xi - \frac{1}{n+1-p} \sum_j \theta^j \otimes \theta^j \wedge \alpha^\sharp \lrcorner \xi$$

for a local orthonormal dual frame $(\theta^1, \dots, \theta^n)$.

Now we let $k := n - 1 - p$ so $\phi = |\xi|^{\frac{k}{k+1}}$. If X is a vector field, then

$$\begin{aligned} \nabla_X \phi &= \frac{k}{k+1} |\xi|^{-\frac{1}{k+1}-1} \langle \nabla_X \xi, \xi \rangle \\ &= \frac{k}{k+1} |\xi|^{-\frac{1}{k+1}-1} \left[\alpha(X) |\xi|^2 - \frac{1}{k+2} \langle \alpha^\sharp \lrcorner \xi, X \lrcorner \xi \rangle \right]. \end{aligned}$$

But we have $\alpha \wedge \xi = 0$ hence

$$\langle \alpha^\sharp \lrcorner \xi, X \lrcorner \xi \rangle = \alpha(X) |\xi|^2$$

and we obtain

$$(2.2) \quad \nabla \phi = \frac{k}{k+2} \phi \alpha.$$

Hence, $\nabla \phi$ vanishes only where α vanishes. We work on the open set

$$U := \{z \in \mathbb{H}^n, \nabla \phi(z) \neq 0\}.$$

On U , α is a smooth 1 form. On U , we can locally⁽²⁾ find an orthonormal dual frame $(\theta^1, \dots, \theta^n)$ such that

$$\alpha = (k+2)u \theta^1$$

with $u > 0$. Hence

$$(2.3) \quad \nabla \phi = ku\phi \theta^1.$$

⁽²⁾ i.e., around each point in U , there is a open set on which such an orthonormal dual frame exists.

As $\alpha \wedge \xi = 0$, note that θ^1 is globally defined on U , hence we can find a $(p - 1)$ -form ω such that

$$\xi = \theta^1 \wedge \omega.$$

And we have

$$\nabla \xi = u \left[(k + 1)\theta^1 \otimes \theta^1 \wedge \omega - \sum_{j=2}^n \theta^j \otimes \theta^j \wedge \omega \right].$$

Let (e_1, \dots, e_n) be the frame dual to $(\theta^1, \dots, \theta^n)$, then we obtain

$$\nabla_{e_1} \xi = (k + 1)u\theta^1 \wedge \omega = \nabla_{e_1} \theta^1 \wedge \omega + \theta^1 \wedge \nabla_{e_1} \omega$$

and for $j > 1$:

$$\nabla_{e_j} \xi = -u\theta^j \wedge \omega = \nabla_{e_j} \theta^1 \wedge \omega + \theta^1 \wedge \nabla_{e_j} \omega.$$

With the fact that $\langle \nabla_{e_j} e_1, e_1 \rangle = 0$ and $\langle \nabla_{e_j} \theta^1, \theta^1 \rangle = 0$, we get the following identities

$$(2.4) \quad \theta^1 \wedge [\nabla_{e_1} \omega - (k + 1)u\omega] = 0$$

$$(2.5) \quad \nabla_{e_1} \theta^1 \wedge \omega = 0$$

$$(2.6) \quad \theta^1 \wedge \nabla_{e_j} \omega = 0$$

$$(2.7) \quad (\nabla_{e_j} \theta^1 + u\theta^j) \wedge \omega = 0.$$

Let $c \in \phi(U)$ and let $\Sigma_c := U \cap \phi^{-1}\{c\}$, this is a smooth hypersurface and e_1 is an unit normal vector field to Σ_c . Then the equality (2.6) implies that the pull back of ω to Σ_c is parallel.

At $z \in \Sigma_c$, we decompose

$$(2.8) \quad T_z \Sigma_c = E_z \oplus E_z^\perp$$

where

$$E_z := \{v \in T_z \Sigma, v^\flat \wedge \omega = 0\}.$$

Let L be the shape operator of Σ_c at z

$$L : T_z \Sigma_c \rightarrow T_z \Sigma_c$$

$$Lv = -\nabla_v e_1;$$

we have

$$\nabla_{e_j} \theta^1 = - \sum_{i=2}^n \langle Le_j, e_i \rangle \theta^i.$$

The equation (2.7) implies that $L(E_z) \subset E_z$. Since L is a self adjoint operator we also have $L(E_z^\perp) \subset E_z^\perp$ and moreover still according to equation (2.7), we have

$$L(X) = uX, \forall X \in E_z^\perp.$$

Then ω being parallel, the decomposition (2.8) induced a parallel decomposition of the tangent bundle of Σ_c , in particular if $X \in E_z^\perp, Y \in E_z$ are unit vectors then the sectional curvature of Σ_c in the direction of $X \wedge Y$ is zero and the Gauss Egregium theorem implies that

$$-1 = (\langle LX, Y \rangle)^2 - \langle LX, X \rangle \langle LY, Y \rangle$$

hence we have

$$LY = \frac{1}{u}Y, \forall Y \in E_z.$$

We can now compute the Ricci curvature of Σ_c , it is given by the formula

$$\text{ricci}_{\Sigma_c} = (\text{rank } E_z - 1) \left(-1 + \frac{1}{u^2} \right) g_{E_z} + (\text{rank } E_z^\perp - 1) (-1 + u^2) g_{E_z^\perp}.$$

The hypothesis $n - 1 > 2$ and the trace of the Bianchi identity

$$\delta_{g_{\Sigma_c}} \text{ricci}_{\Sigma_c} = -\frac{1}{2} d\text{Scal}_{\Sigma_c}$$

implies that the function u is constant on each connected component of Σ_c . The formula (2.3) implies that the length of $\nabla\phi$ is also locally constant on Σ_c , this implies that

$$\forall j > 1, 0 = \langle \nabla_{e_j} \nabla\phi, e_1 \rangle = \langle e_j, \nabla_{e_1} \nabla\phi \rangle.$$

Again (2.3) implies that $\nabla_{e_1} \nabla\phi = ku\phi \nabla_{e_1} e_1 + e_1 \cdot (ku\phi) e_1$ hence for $j > 1$: $\langle e_j, \nabla_{e_1} e_1 \rangle = 0$, but we already have $0 = e_1 \cdot \langle e_1, e_1 \rangle = 2\langle e_1, \nabla_{e_1} e_1 \rangle = 0$.

So that $\nabla_{e_1} e_1 = 0$, and θ^1 is (locally and up to a sign) the differential of the distance to Σ_c and ϕ is a function of the sign distance to Σ_c .

First case: $u = 1$ at a point $z \in U$. Then for $c = \phi(z)$, the connected component of Σ_c which contains z is a totally umbilical flat hypersurface of \mathbb{H}^n . Up to an isometry, we can assume that this connected component of Σ_c is included in the horosphere:

$$\{y = 1\}$$

in the upper half-space model of the hyperbolic space.

The facts that ϕ depends only on the distance to Σ_c and that ϕ is a eigenfunction of the Laplace operator imply that there are constants A, B such that in a neighborhood of z , we have

$$\phi(y, x) = Ay^{n-1-p} + By^p.$$

In this case we have

$$|\nabla\phi| = |A(n - 1 - p)y^{n-1-p} + Bpy^p|.$$

But $p \leq (n - 1)/2$, hence

$$|\nabla\phi| \leq (n - 1 - p)\phi$$

with equality at a point if and only if $B = 0$. But at z , we have $u = 1$ hence (cf. 2.3) at z we have

$$|\nabla\phi| = (n - 1 - p)\phi.$$

So that $B = 0$ and $u = 1$ around z . The unique continuation property for eigenfunctions of the Laplace operator implies that we have everywhere:

$$\phi(y, x) = Ay^{n-1-p}.$$

Hence we have also: $U = \mathbb{H}^n$, $e_1 = y\partial_y$ and that ω is a parallel $(p - 1)$ -form on each horosphere $\{y = c\}$. Finally, the equation

$$\nabla_{e_1}\omega = (k + 1)\omega$$

implies that for a certain

$$\tilde{\omega} \in \Lambda^{p-1}(\mathbb{R}^{n-1})^*$$

we have

$$\xi = Ay^{n-1-p}dy \wedge \pi^*\tilde{\omega}$$

where $\pi(y, x) = x$.

Second case: $u = 1$ nowhere. The distributions induced by E_z and E_z^\perp are parallel hence integrable. Locally there is a splitting

$$\Sigma_c = \Sigma_c(E) \times \Sigma_c(E^\perp).$$

And each $\Sigma_c(E)$ has curvature $-1 + u^{-2}$ and each $\Sigma_c(E^\perp)$ has curvature $-1 + u^2$. We have $\omega = \Omega_E \wedge \tau$, where Ω_E is the volume form of $\Sigma_c(E)$ and τ is a parallel form on $\Sigma_c(E^\perp)$; however, the curvature of $\Sigma_c(E^\perp)$ is constant, not zero hence $\Sigma_c(E^\perp)$ has only non trivial parallel form in degree 0 or in degree $\dim \Sigma_c(E^\perp)$. This implies that $\deg \omega = \text{rank } E = p - 1$.

We fixed now $z_0 \in U$ and $c_0 = \phi(z_0)$. We consider a neighborhood \mathcal{O} of z_0 such that $\mathcal{O} \cap \Sigma_{c_0}$ is connected and isometric to $S \times T$ where S has curvature $-1 + u^{-2}$ and T has curvature $-1 + u^2$. This neighborhood can be chosen so that the exponential map

$$\begin{aligned} E : (-\delta, \delta) \times \Sigma_c \cap \mathcal{O} &\rightarrow \mathcal{O} \\ (t, z) &\mapsto E(t, z) = \exp_z(te_1) \end{aligned}$$

is a diffeomorphism. Because locally ϕ is a function of the sign distance to Σ_{c_0} , we have for a certain function f :

$$\phi \circ E(t, z) = f(t).$$

By (2.3), we have

$$(2.9) \quad \frac{f'}{f} = ku,$$

hence u is also a function of t . We also have

$$\Delta t = -\operatorname{tr} \nabla dt = \operatorname{tr} L = (n - p)u + (p - 1)\frac{1}{u}.$$

The equation $\Delta\phi = p(n - 1 - p)\phi = pk\phi$ and the fact that

$$\Delta\phi = f'(t)\Delta t - f''(t)$$

imply that

$$f'' - \left((n - p)u + (p - 1)\frac{1}{u} \right) f' + kpf = 0.$$

Recall (2.9), hence we obtain

$$\frac{f''}{f} = \frac{k + 1}{k} \left[\frac{f'}{f} \right]^2 - k.$$

If we let $g(t) := f(t)^{-1/k}$, then we obtain the equation

$$g'' - g = 0.$$

There are two constants A, B such that $g(t) = Ae^t + Be^{-t}$. We remark that $AB \neq 0$ because $u \neq 1$ hence we can find a constant C and a real τ such that

$$g(t) = \begin{cases} C \cosh(t + \tau) & \text{if } AB > 0 \\ C \sinh(t + \tau) & \text{if } AB < 0 \end{cases}$$

so that

$$u = \begin{cases} -\tanh(t + \tau) & \text{if } AB > 0 \\ -1/\tanh(t + \tau) & \text{if } AB < 0. \end{cases}$$

Moreover, because u is always positive we have $\tau < 0$. We endow $(-\infty, -\tau) \times S \times T$ with the hyperbolic metric

$$(dt)^2 + [f'(t)/f'(0)]^2 g_S + c_0^{-2} f(t)^2 g_T$$

so that the map E is a isometry from $(-\delta, \delta) \times S \times T$ onto \mathcal{O} , the natural extension of this map $E(t, z) = \exp_z(te_1)$ becomes an isometric immersion. The unique continuation property (applied to $\phi|_E$) implies again that on $(-\infty, -\tau) \times S \times T$,

$$\phi \circ E(t, z) = g(t)^{-k}.$$

As $\phi \circ E$ remains bounded as t tends to $-\tau$, we must have $AB > 0$. And the above hyperbolic metric on $(-\infty, -\tau) \times S \times T$ is

$$(dt)^2 + \left[\frac{\sinh(t + \tau)}{\sinh(\tau)} \right]^2 g_S + \left[\frac{\cosh(t + \tau)}{\cosh(\tau)} \right]^2 g_T.$$

The metric $\sinh^{-2}(\tau)g_S$ has constant curvature 1 and the metric $\cosh^{-2}(\tau)g_T$ has constant curvature -1 , and

$$(2.10) \quad E^* \xi = C \frac{(\sinh t)^{p-1}}{(\cosh t)^{n-p-1}} dt \wedge d\sigma;$$

$d\sigma$ being the volume form of $(S, \sinh^{-2}(\tau)g_S)$.

But in Fermi coordinate $(0, +\infty) \times \mathbb{S}^{p-1} \times \mathbb{H}^{n-p}$ around a totally geodesic copy of $\mathbb{H}^{n-p} \subset \mathbb{H}^n$, the hyperbolic metric is

$$(ds)^2 + \sinh^2(s)g_{\mathbb{S}^{p-1}} + \cosh^2(s)g_{\mathbb{H}^{n-p}}.$$

If \mathcal{O} is small enough, we can find an isometry $\iota : (-\infty, -\tau) \times S \times T \rightarrow \mathbb{H}^n \setminus \mathbb{H}^{n-p}$. Eventually, the isometry $\iota \circ E^{-1}$ a priori defined on \mathcal{O} can be extended to an isometry γ of \mathbb{H}^n . Using this isometry and 2.10, we find the desired expression of ξ on \mathcal{O} , then the result follows by the unique continuation property.

3. Proof of the main theorem

3.1. Margulis’s decomposition

(See [2, Chapter D] or [20, §12.6]). Let $X = \Gamma \backslash \mathbb{H}^n$ be a complete hyperbolic manifold and let ϵ be a positive number smaller than the Margulis’s constant ϵ_n . The ϵ -thin part of X is the set $X_{<\epsilon}$, where the injectivity radius is smaller than ϵ ; we have $X_{<\epsilon} = V(\Gamma, \epsilon)/\Gamma$ where

$$V(\Gamma, \epsilon) := \{z \in \mathbb{H}^n, \exists \gamma \in \Gamma \setminus \{\text{id}\}, d(z, \gamma.z) < 2\epsilon\}.$$

Let E be the union of all unbounded connected components of $X_{<\epsilon}$:

$$E = \cup E_j$$

where $\{E_j\}_j$ is the set of the unbounded connected component of $X_{<\epsilon}$.

The topology of such an ϵ -end is well known. When E_j is an unbounded connected component of $X_{<\epsilon}$, there is a point $p_j \in \partial_\infty \mathbb{H}^n$ and a parabolic subgroup

$$\Gamma_j := \{\gamma \in \Gamma, \gamma.p_j = p_j\},$$

such that on the description of the hyperbolic space as the upper-half space model

$$((0, \infty) \times \mathbb{R}^{n-1}, y^{-2}((dy)^2 + (dx)^2))$$

where the point p_j is at ∞ , then Γ_j acts freely on \mathbb{R}^{n-1} and E_j is homeomorphic to $(1, \infty) \times (\Gamma_j \backslash \mathbb{R}^{n-1})$. Let F_j be the flat manifold $\Gamma_j \backslash \mathbb{R}^{n-1}$ and $S_j \subset F_j$ be a soul of F_j , then there is a maximal Γ_j -invariant r -plane $\tilde{S}_j \subset \mathbb{R}^{n-1}$ that is the pull-back of a soul by the natural projection $\mathbb{R}^{n-1} \rightarrow F_j := \Gamma_j \backslash \mathbb{R}^{n-1}$, i.e., $S_j = \Gamma_j \backslash \tilde{S}_j$.

Moreover, there are always positive constants y_j, r_j such that if \tilde{N}_j is the r_j -neighborhood of $\tilde{S}_j \subset \mathbb{R}^{n-1}$:

$$\tilde{N}_j := \{x \in \mathbb{R}^{n-1}, d(x, \tilde{S}_j) < r_j\}$$

and $N_j = \Gamma_j \backslash \tilde{N}_j$, then the inclusion $(y_j, \infty) \times N_j \subset E_j$ is a homotopy equivalence. We consider $\widehat{E}_j = [y_j, \infty) \times \overline{N}_j$ and $\widehat{E} = \cup_j \widehat{E}_j$. We let

$$\Sigma_j = \{y_j\} \times N_j \subset \partial \widehat{E}_j.$$

And let $\widehat{X} := (X \setminus \widehat{E}) \cup \cup_j \Sigma_j$, it is a manifold with boundary ⁽³⁾. We have

$$\partial \widehat{X} = \bigcup_j \Sigma_j.$$

We consider the cohomology

$$H_c^\bullet(\widehat{X})$$

of the complex of differential forms α which are smooth on \widehat{X} and with compact support, that is there is a R_0 such that support $\alpha \subset B(o, R_0)$ and support $\alpha \cap \partial \widehat{X}$ is a compact subset of $\partial \widehat{X} = \cup_j \Sigma_j$. In particular for all but a finite number of j we have support $\alpha \cap \Sigma_j = \emptyset$.

3.2. L^2 cohomology and harmonic forms

We first recall some classical facts on the space of L^2 harmonic forms on a complete Riemannian manifold (X, g) .

The first one is the Hodge-De Rham-Kodaira orthogonal decomposition:

$$L^2(\Lambda^p T^* X) = \mathcal{H}^p(X) \oplus \overline{dC_0^\infty(\Lambda^{p-1} T^* X)} \oplus \overline{d^* C_0^\infty(\Lambda^{p+1} T^* X)}$$

where the closure are understood for the L^2 topology.

⁽³⁾ That is \widehat{X} is locally modelled on \mathbb{R}^n or $\mathbb{R}_+ \times \mathbb{R}^{n-1}$.

The second one is the reduced L^2 cohomology interpretation of the space of L^2 harmonic forms. Let $Z_{L^2}^p(X)$ be the space of weakly closed L^2 p -forms:

$$Z_{L^2}^p(X) := \{ \alpha \in L^2(\Lambda^p T^* X), d\alpha = 0 \}.$$

By definition, we have

$$Z_{L^2}^p(X) = [d^* C_0^\infty(\Lambda^{p+1} T^* X)]^\perp = \mathcal{H}^p(X) \oplus \overline{dC_0^\infty(\Lambda^{p-1} T^* X)}.$$

Hence if we introduce the reduced L^2 -cohomology space:

$$\mathbb{H}_{L^2}^p(X) \simeq Z_{L^2}^p(X) / \overline{dC_0^\infty(\Lambda^{p-1} T^* X)}.$$

$$\mathcal{H}^p(X) \simeq Z_{L^2}^p(X) / \overline{dC_0^\infty(\Lambda^{p-1} T^* X)}.$$

We can now describe the natural map from cohomology with compact support to the space of L^2 harmonic forms

$$H_c^p(X) \rightarrow \mathcal{H}^p(X)$$

in two closely related ways. The first one is induced by the natural inclusions

$$Z_c^p(X) := \{ \alpha \in C_c^\infty(\Lambda^p T^* X), d\alpha = 0 \} \subset Z_{L^2}^p(X)$$

and $dC_0^\infty(\Lambda^{p-1} T^* X) \subset \overline{dC_0^\infty(\Lambda^{p-1} T^* X)}$

which induces a map

$$H_c^p(X) = \frac{Z_c^p(X)}{dC_c^\infty(\Lambda^{p-1} T^* X)} \rightarrow \mathcal{H}^p(X) \simeq \frac{Z_{L^2}^p(X)}{\overline{dC_0^\infty(\Lambda^{p-1} T^* X)}}.$$

The second one is induced by the orthogonal projector onto $\mathcal{H}^p(X)$ restricted to $Z_c^p(X)$. This map is zero on $dC_c^\infty(\Lambda^{p-1} T^* X)$ hence induces a map $H_c^p(X) \rightarrow \mathcal{H}^p(X)$.

3.3. L^2 cohomology and “cuspidal” cohomology

Let $X = \Gamma \backslash \mathbb{H}^n$ be a complete hyperbolic manifold. We’ll build a natural map from the cohomology space $H_c^p(\widehat{X})$ in the space of harmonic L^2 p -form $\mathbb{H}_{L^2}^p(X)$ for the degrees $p < (n - 1)/2$. The main point is to extend a closed p -form with compact support in \widehat{X} to a closed L^2 -form on X . Let p_j be the projection

$$p_j : [y_j, \infty) \times N_j \rightarrow \Sigma_j = \{y_j\} \times N_j$$

and let ι_j be the inclusion $\Sigma_j \subset \widehat{X}$.

Let α be a smooth closed p -form with compact support in \widehat{X} . We extend α to X by defining $\bar{\alpha} = \alpha$ on $\widehat{X} \subset X$ and

$$\bar{\alpha} = p_j^* (\iota_j^* \alpha) \text{ on } [y_j, \infty) \times N_j.$$

It is easy to verify that

$$\|\bar{\alpha}\|_{L^2([y_j, \infty) \times N_j)}^2 = \|\iota_j^* \alpha\|_{L^2(\Sigma_j)}^2 \int_{y_j}^\infty y^{-n+2p} dy$$

is finite if $p < (n - 1)/2$. Because α has compact support in \widehat{X} , there is only a finite number of j such that $\iota_j^* \alpha \neq 0$ hence

$$\bar{\alpha} \in L^2.$$

Remark that by definition, the Σ_j 's are open, hence $\iota_j^* \alpha$ has compact support in Σ_j . Moreover, it is easy to check that $\bar{\alpha}$ is weakly closed and that

$$\overline{d\alpha} = d\bar{\alpha}.$$

Hence we have a well-defined map:

$$(3.1) \quad H_c^p(\widehat{X}) \rightarrow \mathbb{H}_{L^2}^p(X) \simeq \mathcal{H}^p(X).$$

Remark 3.1. — When $c \in H_c^p(\widehat{X})$, we can always find a $\alpha \in c$ such that for each j , α has no normal component on a neighborhood of Σ_j : $\nabla d(\cdot, \Sigma_j) \lrcorner \alpha = 0$, α being moreover closed, this will imply that near Σ_j , α is independent of $r = d(\cdot, \Sigma_j)$ (i.e., invariant by the flow of the radial vector field $\nabla d(\cdot, \Sigma_j)$). In this case, the extension $\bar{\alpha}$ is smooth on X .

3.4. Remark on the geometrically finite case

When Γ is geometrically finite, R. Mazzeo and R. Phillips have computed the L^2 cohomology of $X = \Gamma \backslash \mathbb{H}^n$ in terms of the cohomology of a complex of differential forms which vanish on certain faces of a compactification of X [18].

Indeed if X is a geometrically finite hyperbolic manifold, then it can be compactified as a manifold \bar{X} with corner $\partial_r X \cap \partial_c X$ with boundary

$$\partial \bar{X} = \partial_r X \cup \partial_c X$$

where $\partial_r X$ is the regular boundary of X (the conformally compact boundary of X) and $\partial_c X = \cup_{t=1}^{n-1} \partial_c(t)$ is the cuspidal boundary of X , where $\partial_c(t)$ is the union of the cuspidal face with rank t . \widehat{X} is homeomorphic to $\bar{X} \setminus \partial_r X$. Let $F_p := \cup_{t < n-1-p} \partial_c(t)$. When $p < (n - 1)/2$, the result of R. Mazzeo and R. Phillips is that

$$\mathbb{H}_{L^2}^p(X) \simeq H^p(\bar{X}, \partial_r X \cup F_p).$$

We clearly have a map

$$H^p(\bar{X}, \partial_r X \cup F_p) \rightarrow H^p(\bar{X}, \partial_r X),$$

Moreover, for $k < (n - 1)/2$, the isomorphism provided by R. Mazzeo and R. Phillips is given by the composition of this natural map and of the map (3.1) (compare the remark (3.1) and the remark at page 519 in [18]). We consider the long exact sequence:

$$\dots \rightarrow H^{p-1}(F_p, \partial_r X) \rightarrow H^p(\bar{X}, \partial_r X \cup F_p) \rightarrow H^p(\bar{X}, \partial_r X) \rightarrow H^p(F_p, \partial_r X) \rightarrow \dots$$

Now if $t = n - 1$, then $H^k(\partial_c(n - 1), \partial_r X) = H^k(\partial_c(n - 1))$. And when $t < n - 1$, then

$$H^k(\partial_c(t), \partial_r X) \simeq H^{n-k}(\partial_c(t), \mathfrak{o})$$

where \mathfrak{o} is the orientation bundle. But the connected component of $\partial_c(t)$ are homotopic to compact flat manifold of dimension t , hence we have

$$n - k > t \Rightarrow H^k(\partial_c(t), \partial_r X) = \{0\}.$$

Hence the above long exact sequence implies that:

PROPOSITION 3.2. — *If Γ is a geometrically finite discrete torsion free subgroup of $SO(n, 1)$ and if $p < (n - 1)/2$ then*

$$\mathbb{H}_{L^2}^p(X) \simeq H^p(\bar{X}, \partial_r X \cup F_p) \simeq H^p(\bar{X}, \partial_r X).$$

Hence we obtain

COROLLARY 3.3. — *If Γ is a geometrically finite discrete torsion free subgroup of $SO(n, 1)$ then for $p < (n - 1)/2$ the map*

$$H_c^p(\widehat{X}) \rightarrow \mathbb{H}_{L^2}^p(X) \simeq \mathcal{H}^p(X)$$

is an isomorphism.

3.5. The main result

THEOREM 3.4. — *Let $X = \Gamma \backslash \mathbb{H}$ be a hyperbolic manifold and assume that $\delta(\Gamma) = n - 1 - p$ for some integer $p < (n - 1)/2$. If the range of map*

$$H_c^p(\widehat{X}) \rightarrow \mathcal{H}^p(X)$$

is not zero, then Γ stabilizes positively a totally geodesic $(n - p)$ -hyperbolic subspace $\mathbb{H}^{n-p} \subset \mathbb{H}^n$ with $\text{vol}(\Gamma \backslash \mathbb{H}^{n-p}) < \infty$.

3.6. Proof of the main result

3.6.1. Preliminary

We assume that $\Gamma \subset \text{SO}(n, 1)$ is a discrete torsion free subgroup and that $\delta(\Gamma) = n - 1 - p$ with p an integer such that $2p < (n - 1)$ and we assume moreover that we can find

- a non zero L^2 harmonic p -form ξ ,
- a closed p -form with compact support in \widehat{X} , α

such that ξ and $\bar{\alpha}$ define the same reduced L^2 -cohomology class in $\mathbb{H}_{L^2}^p(X)$. That is to say there is a sequence of smooth $(p - 1)$ -forms with compact support $(\beta_k)_k$ such that

$$\xi - \bar{\alpha} = L^2\text{-}\lim_{k \rightarrow \infty} d\beta_k.$$

According to [12, theorem B], the spectrum of the Hodge-De Rham Laplacian on the $(p - 1)$ -forms on X is bounded from below by

$$\sigma_p := n - 2p.$$

That is we have the spectral gap estimate:

(3.2)

$$\forall \varphi \in C_c^\infty(\Lambda^{p-1}T^*X), (n - 2p)\|\varphi\|_{L^2}^2 \leq \|d\varphi\|_{L^2}^2 + \|d^*\varphi\|_{L^2}^2 = \langle \varphi, \Delta\varphi \rangle.$$

According to the remark (3.1) we can always assume that $\bar{\alpha}$ is smooth. Hence according to [26, prop. 1.3], we can find a smooth $(p - 1)$ -form $\beta \in L^2(\Lambda^{p-1}T^*X)$ such that

$$\xi = \bar{\alpha} + d\beta, \text{ and } d^*\beta = 0.$$

Note in particular that this implies $\Delta\beta = (dd^* + d^*d)\beta = d^*\bar{\alpha}$, so $\Delta\beta$ vanishes outside the support of $\bar{\alpha}$.

3.6.2. Some estimates

We are going to prove that $\phi := |\xi|^{\frac{\delta(\Gamma)}{\delta(\Gamma)+1}}$ is square integrable. For this purpose we 'll use Agmon's type estimates as P. Li and J. Wang [16] (finite propagation speed argument can also be used) in order to estimate on the growth of β and $d\beta$. There is a finite set J and $R_0 > 0$ such that

$$\text{support } \bar{\alpha} \subset B(o, R_0) \cup \bigcup_{j \in J} p_j^{-1}(N_j).$$

Let ρ be the function distance in X to $B(o, R_0) \cup \bigcup_{j \in J} p_j^{-1}(N_j)$, we have

$$\text{support } \bar{\alpha} \subset \rho^{-1}\{0\}.$$

For $\tau > 0$, we define

$$\rho_\tau = \min(\tau, \rho).$$

Let $c \in \mathbb{R}$, then using the fact that $\beta \in L^2$, it is not hard to justify the integration by part formula:

$$\int_X |(d + d^*) (e^{\frac{c}{2}\rho_\tau} \beta)|^2 = \int_X \langle \Delta \beta, \beta \rangle e^{c\rho_\tau} + \frac{c^2}{4} \int_X |d\rho_\tau|^2 |\beta|^2 e^{c\rho_\tau},$$

remembering that ρ is zero on the support of $d^* \bar{\alpha}$, we get:

$$\int_X |(d + d^*) (e^{\frac{c}{2}\rho_\tau} \beta)|^2 \leq \int_X \langle d^* \bar{\alpha}, \beta \rangle + \frac{c^2}{4} \int_{\rho^{-1}([0, \tau])} |\beta|^2 e^{c\rho_\tau}.$$

Using the spectral gap estimate (3.2), we obtain

$$\sigma_p \int_X |\beta|^2 e^{c\rho_\tau} \leq \int_X |(d + d^*) (e^{\frac{c}{2}\rho_\tau} \beta)|^2,$$

and we easily deduce⁽⁴⁾

$$\left(\sigma_p - \frac{c^2}{4} \right) \int_{\rho^{-1}([0, \tau])} |\beta|^2 e^{c\rho_\tau} \leq \int_X \langle d^* \bar{\alpha}, \beta \rangle.$$

Letting, τ going to infinity, we obtain the

LEMMA 3.5. — *Let $\sigma_p = n - 2p$. Then there is a constant C such that for any $c < 2\sqrt{\sigma_p}$ then*

$$(3.3) \quad \left(\sigma_p - \frac{c^2}{4} \right) \int_X |\beta|^2(x) e^{c\rho(x)} d \text{vol}(x) \leq C.$$

The second estimate of the proof is the following:

LEMMA 3.6. — *There is a constant C such that for any $R > 0$:*

$$\int_{\rho^{-1}([0, R])} |\beta|^2 e^{2\sqrt{\sigma_p}\rho(x)} \leq CR.$$

Proof of the lemma 3.6. — As a matter of fact, according to the inequality (3.3), we have for all $c \in [0, 2\sqrt{\sigma_p}]$:

$$\begin{aligned} \int_{\rho^{-1}([0, R])} |\beta|^2 e^{2\sqrt{\sigma_p}\rho} d \text{vol} &\leq e^{(2\sqrt{\sigma_p}-c)R} \int_{\rho^{-1}([0, R])} |\beta|^2 e^{c\rho} d \text{vol} \\ &\leq C \left(\sigma_p - \frac{c^2}{4} \right)^{-1} e^{(2\sqrt{\sigma_p}-c)R}. \end{aligned}$$

Hence applying this inequality for $c = 2\sqrt{\sigma_p} - 1/R$ we get

$$\int_{\rho^{-1}([0, R])} |\beta|^2(x) e^{2\sigma_p\rho(x)} d \text{vol}(x) \leq C \frac{4R}{4\sqrt{\sigma_p} - 1/R} e^1.$$

⁽⁴⁾ Note that $\rho_\tau = 0$ on the support of $\bar{\alpha}$.

This lemma 3.6 implies the following control on the growth of $d\beta$: □

LEMMA 3.7. — *There is a constant C such that for all $R \geq 1$:*

$$\int_{\rho^{-1}([R, R+1])} |d\beta|^2 \leq C R e^{-2\sqrt{\sigma_p} R}.$$

Proof. — Let $R \geq 1$, we use a cut off function χ such that

$$\text{support } \chi \subset \rho^{-1}[R - 1, R + 2] \text{ and } \chi = 1 \text{ on } \rho^{-1}[R, R + 1] :$$

and $|d\chi| \leq 2$. We have

$$\int_{\rho^{-1}([R, R+1])} |d\beta|^2 \leq \int_X |(d + d^*)(\chi\beta)|^2.$$

Integrating by part, we get:

$$\int_X |(d + d^*)(\chi\beta)|^2 = \int_X \chi^2 \langle \beta, \Delta\beta \rangle + \int_X |d\chi|^2 |\beta|^2.$$

As $\Delta\beta$ is zero on the support of χ , we get

$$\int_{\rho^{-1}([R, R+1])} |d\beta|^2 \leq 4 \int_{\rho^{-1}([R-1, R+2])} |\beta|^2.$$

□

The last estimate is about the volume growth of the sub-level set of the function ρ :

LEMMA 3.8. — *There is a constant such that for all $R \geq 1$*

$$\text{vol}(\rho^{-1}([0, R])) \leq C e^{(n-1)R}.$$

Proof. — We have

$$\rho^{-1}([0, R]) \subset B(o, R_0 + R) \cup \bigcup_{j \in J} \mathcal{V}_j(R)$$

where $\mathcal{V}_j(R)$ is the R -neighborhood of $p_j^{-1}(N_j)$. We can always choose R_0 large enough so that for $j \in J$: $\Sigma_j \subset B(o, R_0)$. It is clear that the volume of $B(o, R_0 + R)$ satisfies such an estimate. Now the volume of $\mathcal{V}_j(R) \setminus B(R_0 + R)$ is always smaller than the $R + y_j^{-1}R_j$ neighborhood of $[y_j, \infty) \times S_j$ inside $[y_j, \infty) \times F_j$. Defined $r := d(\cdot, S_j)$ the distance to the soul $(0, \infty) \times S_j$. In Fermi coordinate around $(0, \infty) \times S_j$, the Riemannian metric of the manifold $(0, \infty) \times F_j$ is

$$\cosh^2(r) \frac{(dy)^2 + (dx)^2}{y^2} + (dr)^2 + \sinh^2(r)(d\sigma)^2.$$

Hence the volume of $\mathcal{V}_j(R) \setminus B(R_0 + R)$ is less than

$$C \int_{y_j}^\infty \frac{dy}{y^n} \int_0^{R+y_j^{-1}R_j} \sinh^{n-1-t_j}(r) \cosh^{t_j}(r) dr \leq C e^{(n-1)R},$$

where $t_j = \dim S_j$. □

3.6.3. Conclusion

LEMMA 3.9. — *If $\delta := \delta(\Gamma) = n - 1 - p$, then the function $\phi := |\xi|^{\frac{\delta}{\delta+1}}$ is L^2 .*

Proof. — As a matter of fact: we have

$$\int_{\{\rho \leq 1\}} \phi^2 \leq (\text{vol}(\{\rho \leq 1\}))^{\frac{1}{\delta+1}} \left(\int_{\{\rho \leq 1\}} |\xi|^2 \right)^{\frac{\delta}{\delta+1}}.$$

Moreover for $k \in \mathbb{N} \setminus \{0\}$: we have

$$\begin{aligned} \int_{\{k \leq \rho \leq k+1\}} \phi^2 &\leq (\text{vol}(\{k \leq \rho \leq k+1\}))^{\frac{1}{\delta+1}} \left(\int_{\{k \leq \rho \leq k+1\}} |\xi|^2 \right)^{\frac{\delta}{\delta+1}} \\ &\leq C e^{k \frac{(n-1)}{\delta+1}} k^{\frac{\delta}{\delta+1}} \exp\left(-2 \frac{\sqrt{\sigma_p} k \delta}{\delta+1}\right) \\ &\leq C k^{\frac{\delta}{\delta+1}} \exp\left(k \frac{(n-1) - 2\sqrt{\sigma_p} \delta}{\delta+1}\right). \end{aligned}$$

But if $p < (n - 1)/2$ then

$$(n - 1) - 2\sqrt{\sigma_p} \delta = (n - 1) - 2\sqrt{n - 2p}(n - 1 - p) < 0,$$

hence the result. □

Remark 3.10. — The only place where the hypothesis $p < (n - 1)/2$ is used is about the construction of the map

$$H_c^p(\widehat{X}) \rightarrow \mathbb{H}_{L^2}^p(X).$$

However, there is always a natural map from the cohomology of X with compact support in the reduced L^2 cohomology. When $p < \frac{n}{2}$, our above arguments show that if $\xi \in \mathcal{H}^p(X) \setminus \{0\}$ has in its $\mathbb{H}_{L^2}^p(X)$ class a representative with compact support, i.e., ξ is the range of the map

$$H_c^p(X) \rightarrow \mathcal{H}^p(X)$$

then the function $\phi := |\xi|^{\frac{\delta}{\delta+1}}$ satisfies:

- i) if $p < (n - 1)/2$, then $\phi \in L^2$

ii) if $p = (n - 1)/2$, then there is a constant R such that for any $R \geq 1$:

$$\int_{B(o,R)} \phi^2 \leq CR^{\frac{2\delta+1}{\delta+1}}.$$

We can now finish the proof of the theorem: According to D. Sullivan’s result, the bottom of spectrum of the Laplacian on function on X is $p(n - 1 - p)$ hence we have the spectral gap estimate:

$$\forall f \in C_c^\infty(X) \quad p(n - 1 - p) \int_X f^2 \leq \int_X |df|^2.$$

We use a cutoff function χ_R such that

$$\text{supp}\chi_R \subset B(o, 2R), \quad \chi_R = 1 \text{ on } B(o, R) \text{ and } |d\chi_R| \leq \frac{2}{R}.$$

Then

$$\begin{aligned} p(n - 1 - p) \int_X |\chi_R \phi|^2 &\leq \int_X |d(\chi_R \phi)|^2 \\ &\leq \int_X \chi_R^2 \phi \Delta \phi + \int_X \phi^2 |d\chi_R|^2 \end{aligned}$$

hence:

$$\int_{B(o,R)} \phi (p(n - 1 - p)\phi - \Delta \phi) \leq \int_X \phi^2 |d\chi_R|^2 = O(R^{-2}).$$

ξ being closed and co-closed, the Bochner formula and the refined Kato inequality imply that

$$\Delta \phi \leq p(n - 1 - p)\phi,$$

hence letting $R \rightarrow \infty$, we obtain $p(n - 1 - p)\phi - \Delta \phi = 0$ everywhere and we have equality everywhere if the refined Kato inequality:

$$|\nabla \xi|^2 = \frac{n + 1 - p}{n - p} |d|\xi||^2.$$

We apply our theorem (2.1) to $\bar{\xi}$ the pull back of ξ on \mathbb{H}^n . We notice that Γ must stabilize the level set of $|\bar{\xi}|$. We have two cases:

- i) In the first case, we have a fundamental domain for the Γ of the type $\{(y, x) \in (0, +\infty) \times \mathbb{R}^{n-1}, x \in D\}$ where D is a fundamental domain for the action of Γ on $\mathbb{R}^{n-1} \simeq \{y = 1\}$. Then ξ can not be in L^2 .
- ii) In the second case, Γ must stabilize the level set

$$|\bar{\xi}| = \sup |\bar{\xi}|.$$

That is Γ stabilizes a totally geodesic copy of $\mathbb{H}^{n-p} \subset \mathbb{H}^n$ and ξ being L^2 , we have

$$\text{vol}(\Gamma \backslash \mathbb{H}^{n-p}) < \infty.$$

Eventually, because $\bar{\xi}$ is Γ -invariant, the formula given for $\bar{\xi}$ in the theorem (2.1) implies that Γ acts trivially on the orientation bundle of the normal bundle of $\mathbb{H}^{n-p} \subset \mathbb{H}^n$.

3.7. Final remarks

The above argument and the remark (3.10) show that we also obtain a rigidity result in the case $p = (n - 1)/2$ related the cohomology with compact support:

THEOREM 3.11. — *Let $X = \Gamma \backslash \mathbb{H}^n$ be a hyperbolic manifold and assume that $p < n/2$, then*

i) *If the critical exponent of Γ satisfies*

$$\delta(\Gamma) < n - 1 - p$$

then X carries no non trivial L^2 harmonic p -form.

ii) *If the critical exponent of Γ satisfies*

$$\delta(\Gamma) = n - 1 - p$$

and if

$$\text{Im}(H_c^p(X) \rightarrow \mathcal{H}^p(X)) \neq \{0\}$$

then Γ stabilizes cocompactly and positively a totally geodesic $(n - p)$ -hyperbolic subspace $\mathbb{H}^{n-p} \subset \mathbb{H}^n$.

Eventually, it is true that we always have a injective map [1]:

$$\text{Im}(H_c^p(X) \rightarrow H^p(X)) \rightarrow \mathcal{H}^p(X)$$

hence a corollary of the above rigidity result is:

COROLLARY 3.12. — *Let $X = \Gamma \backslash \mathbb{H}^n$ be a hyperbolic manifold and assume that $p < n/2$. If the critical exponent of Γ satisfies*

$$\delta(\Gamma) \leq n - 1 - p,$$

then

$$\text{Im}(H_c^p(X) \rightarrow H^p(X)) = \{0\}.$$

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Manuscrit reçu le 16 octobre 2009,
révisé le 18 juin 2010,
accepté le 15 octobre 2010.

Gilles CARRON
Université de Nantes
Laboratoire de mathématiques Jean Leray
2, rue de la Houssinière
BP 92208
44322 Nantes cedex 03 (France)
Gilles.Carron@math.univ-nantes.fr