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ON THE SUPERCUSPIDAL REPRESENTATIONS
OF $\text{GL}_N$, $N$ THE PRODUCT OF TWO PRIMES

BY PHILIP KUTZKO AND DAVID MANDERSCHEID

The purpose of this paper is the following result.

THEOREM 0. — Let $F$ be a nonarchimedean local field, let $N$ be an integer which is
the product of two primes and let $G = \text{GL}_N(F)$. Then every irreducible supercuspidal
representation of $G$ may be constructed by induction from some maximal open compact-
modulo-center subgroup of $G$.

Since the significance of—and progress made on—this problem for general $N$ has
been the subject of substantial discussion (see, e.g., [K4]), we will not dwell on these
matters. However, it should be noted that our result is the first case to be settled since
the cases $N$ prime [Ca] and $N$ not divisible by the residual characteristic of $F$ [H], [Mo];
moreover, it is not unreasonable to expect that the general case will follow from a
suitable generalization of our method.

Our paper is organized as follows. Section one is devoted to an exposition of the
results of [B] and [HM1] on fundamental strata and those of [K3] on the consequences
of the results of [B] and [HM1] for supercuspidal representations. These results enable
us, for the rest of the paper, to assume that we are dealing with an irreducible supercuspi-
dal representation of $G$ which contains a proper alfalfa stratum; that is (see
Definition 1.12), a representation of the form $\psi_\alpha$ on a subgroup $U^n(\mathcal{A})$, $n \geq 1$, where $\mathcal{A}$
is a hereditary order in $A_F(V)$, the ring of endomorphisms of a $F$-vector space $V$ of
dimension $N$ (identify $G$ with $(A_F(V))^\times$); $U^n(\mathcal{A}) = 1 + \mathcal{P}^n$ ($\mathcal{P}$ the radical of $\mathcal{A}$); $\alpha$ is an
element of $\mathcal{P}^{-n} - \mathcal{P}^{-n+1}$ such that, among other things, $E = F[\alpha]$ is a subfield of $A_F(V)$
and $1 < [E:F] < N$ (whence “proper”); and $\psi_\alpha$ is defined by $\psi_\alpha(x) = \psi(tr(\alpha(x-1)))$ where
$\psi$ is a character of $F^+$ of conductor $P_F$. [One should note that, technically, it is the
triple $(\mathcal{A}, n, \psi_\alpha)$ rather than the representation $\psi_\alpha$ to which the term “stratum” properly
applies.]

In section two we recall and extend the definition and some properties of the map $S_{\alpha}$
first defined in [KM2]. Using $S_{\alpha}$, we are then able to efficiently parametrize the complex

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dual of the group $U'(\mathcal{A}_E)/U^{r+1}(\mathcal{A}_E)$, $r \geq 1$ by elements of the abelian group $\mathcal{P}_E^{-r}/\mathcal{P}_E^{1-r}$; where $\mathcal{A}_E = \mathcal{A} \cap A_E(V)$ is a hereditary order in $A_E(V)$, the ring of $E$-endomorphisms of $V$ [recall $A_E(V)$ contains $E$], with radical $\mathcal{P}_E = \mathcal{P} \cap A_E(V)$. All of this leads us to define certain extensions of $\psi_E$ and then to select data which we refer to as relative alfalfa strata. We then make an appropriate definition of "fundamental" and "level" in this context and then state two of our major results: Theorem 2.20 and Theorem 2.21. These theorems imply, among other things, that an irreducible admissible representation which contains a proper alfalfa stratum also contains a fundamental relative alfalfa stratum. The proofs of these theorems involve the generalization and refinement of results in [B] and [HM1] and occupy the whole of section three.

In section four, by suitably generalizing the methods of [K3], we prove first that any irreducible supercuspidal representation $\pi$ of $G$ which contains a proper alfalfa stratum also contains either a relative alfalfa stratum which is, in a sense made precise there, itself "alfalfa" or a fundamental relative alfalfa stratum of relative level zero. Our second result is then that if $N$ is the product of two primes and the first circumstance occurs then Theorem 0 holds for $\pi$. Finally, in section five we deal with the latter circumstance and thus complete our proof of Theorem 0.

We would like to take this opportunity to thank L. Morris for bringing to our attention an error in the original argument. We would also like to thank University House at the University of Iowa where many of the ideas contained in this paper first took seed. Finally, we thank the Mathematical Sciences Research Institute at Berkeley and the State University of New York at Albany; at both institutions, the second author revised portions of the manuscript.

1. Fundamental strata and alfalfa strata

1.1. Hereditary orders. — Let $F$ be a nonarchimedean local field of residual characteristic $p$. Let $\mathcal{O} = \mathcal{O}_F$ be the ring of integers in $F$ and let $\overline{\omega} = \overline{\omega}_F$ be a generator of the maximal ideal $P = P_F$ in $\mathcal{O}$. Let $k = k_F$ be the residue class field $\mathcal{O}/P$ and let $q = q_F$ be the cardinality of $k$. Finally, let $v(x) = v_F(x)$ denote the order of $x$ in $F$.

Let $V$ be a vector space over $F$ of dimension $N$. Recall that an $\mathcal{O}_F$-lattice (or just a lattice) in $V$ is a free, rank $N$, $\mathcal{O}_F$-submodule of $V$.

Let $A = A_F(V) = \text{End}_F(V)$. We shall be concerned with hereditary orders in $A$. Recall that an order in $A$ is a subring $\mathcal{A}$ of $A$ which is also a lattice in $A$ and that an order $\mathcal{A}$ in $A$ is hereditary if any $\mathcal{A}$-lattice (i.e. $\mathcal{A}$-module which is also an $\mathcal{O}$-lattice) in any finitely generated $A$-module is $\mathcal{A}$-projective. We now collect some facts concerning hereditary orders. For further details and a more general treatment, see [BF].

The standard method for constructing hereditary orders in $A$ is via lattice chains as follows. A lattice chain in $V$ is a sequence $L = \{L_i\}_{i \in \mathbb{Z}}$ of $\mathcal{O}$-lattices in $V$ such that:

(i) $L_i \supsetneq L_{i+1}$ for all integers $i$.

(ii) There exists $e \geq 1$ such that $L_{i+e} = PL_i$ for all integers $i$. 
The uniquely determined integer \( e = e(L) \) is called the *period* of the chain \( L \). If \( L \) is a lattice chain in \( V \), then denote by \( \mathcal{A} = \mathcal{A}_F(L) \) the subring of \( A \) consisting of elements \( x \) for which \( xL_i \subseteq L_i \) for all integers \( i \). Then, \( \mathcal{A} \) is a hereditary order in \( A \) and all hereditary orders in \( A \) arise in this manner. Further, if we define the obvious equivalence on the set of lattice chains in \( V \), namely, that two chains are equivalent if one results from the other by a translation of index, then two lattice chains in \( V \) give rise to the same hereditary order in \( A \) if and only if they are equivalent. Thus one can define the *period* \( e = e(\mathcal{A}) \) of a hereditary order \( \mathcal{A} \) to be the period of a lattice chain giving rise to \( \mathcal{A} \).

If \( \mathcal{A} = \mathcal{A}_F(L) \) is an order in \( A \), then we let \( \mathcal{P} = \mathcal{P}_F(L) \) denote the (Jacobson) radical of \( \mathcal{A} \). If \( \mathcal{A} \) is a hereditary order in \( A \), then \( \mathcal{P} \) is a invertible fractional ideal in \( \mathcal{A} \). The powers \( \mathcal{P}^n \), \( n \in \mathbb{Z} \) of \( \mathcal{P} \) are therefore also invertible where we define \( \mathcal{P}^{-n} = (\mathcal{P}^{-1})^n \) is \( n \) is positive. Furthermore, \( \mathcal{P}^n \) is the set of all elements \( x \) in \( A \) such \( xL_i \subseteq L_{i+n} \) for all integers \( i \). In particular, \( \mathcal{P}^n L_i = L_{i+n} \). It follows that we have a canonical map from \( \mathcal{A}/\mathcal{P} \) onto \( \text{End}_k(L_i/L_{i+1}) \) for each integer \( i \). These maps give rise to a canonical isomorphism of \( k \)-algebras from \( \mathcal{A}/\mathcal{P} \) onto \( \prod_{i=1}^n \text{End}_k(L_{i-1}/L_i) \) where \( e = e(\mathcal{A}) \). If we let \( n_i = \dim_k(L_{i-1}/L_i) \), then \( n_i + e = n_i \) for all \( i \), \( n_i \geq 1 \) and \( \sum_{i=1}^e n_i = N \). Moreover, the map \( \mathcal{A} \to (n_1, \ldots, n_e) \) gives a bijection between the \( A^x \)-conjugacy classes of hereditary orders in \( A \) and the set of ordered partitions of \( N \). Finally, for \( x \) a nonzero element of \( A \), let \( v(x) = v_{\mathcal{A}}(x) \) denote the largest integer \( m \) such that \( x \) is contained in \( \mathcal{P}^m \) and set \( v(0) = \infty \). Then \( v(x+y) \geq \min(v(x), v(y)) \) for \( x \) and \( y \) in \( A \) and \( v(xy) \geq v(x) + v(y) \) for \( x \) and \( y \) in \( A \) with equality if \( x \) or \( y \) is in the normalizer in \( A^x \) of \( \mathcal{A}^x \).

**1.2. Duality.** — With notation as in the previous section, let \( \text{tr} = \text{tr}_{A/F} \) denote the usual trace map from \( A \) to \( F \). Let \( \langle \ , \rangle = \langle \ , \rangle_{A/F} \) denote the bilinear form on \( A_F \) defined by \( \langle x, y \rangle = \text{tr}(xy) \). Now \( \langle \ , \rangle \) is nondegenerate and so may be used to identify \( A_F \) with its dual \( \text{Hom}_F(A, F) \) this identification assigning an element \( b \) in \( A_F \) to the functional \( \tilde{b} \) given by \( \tilde{b}(y) = \langle b, y \rangle \). As a matter of convenience, we modify the usual notion of complementary set by defining the complement \( T^* \) of a subset \( T \) of \( A \) to be the set of \( b \) in \( A \) for which \( \tilde{b}(T) \) is contained in \( \mathcal{P} \) (rather than \( \emptyset \)). This notion of complementary sets enjoys the usual properties and, as is well known (see, e.g., [B], Remark following Corollary 1.13), we have

**Proposition 1.1.** — If \( \mathcal{A} \) is a hereditary order in \( A \) with radical \( \mathcal{P} \), then \( (\mathcal{P}^n)^* = \mathcal{P}^{1-n} \) for all integers \( n \).

Now we pass to topological duals. To this end, we first fix a (continuous) character \( \psi \) of \( F^+ \) of conductor \( P_F \). Then the map \( \psi_b : A \to C \) defined by \( \psi_b(x) = \psi(\tilde{b}(x)) \) is in \( A^\wedge \), the Pontryagin dual of \( A \) considered as an abelian group. Then as is well known (see, e.g., [W1], Chap. II, Thm. 3) we have

**Proposition 1.2.** — The map \( \psi : A \to A^\wedge \) defined by \( b \to \psi_b \) is an isomorphism onto \( A^\wedge \).
We will often view the $\psi_b$ as above as characters of various subgroups of $A$ and quotients of subgroups $B/C$ if $b$ is in $C^*$. With this in mind we have the following result (see, e.g., [B], 1.12).

**Proposition 1.3.** — Let $\mathcal{M}$ and $\mathcal{N}$ be $G$-lattices in $A$ such that $\mathcal{M}$ contains $\mathcal{N}$. Then the map $b \mapsto \psi_b$ induces an isomorphism from $\mathcal{N}^* / \mathcal{M}^*$ to $(\mathcal{M} / \mathcal{N})^*$. In particular, if $m < n$ and $\mathcal{A}$ is a hereditary order in $A$, then the map $b \mapsto \psi_b$ induces an isomorphism of $\mathcal{P}^{1-n} / \mathcal{P}^{1-m}$ onto $(\mathcal{P}^m / \mathcal{P}^n)^*$.

We now turn to the multiplicative aspects of duality for a hereditary order $\mathcal{A}$ in $A$. We first consider the multiplicative structures attached to $\mathcal{A}$. Let $G = G_F = A^e_F$ and $U(\mathcal{A}) = U^0(\mathcal{A}) = A^x$. The group $U(\mathcal{A})$ has a filtration of compact open normal subgroups $U^n(\mathcal{A}) = 1 + \mathcal{P}^n$ where $n$ is a positive integer.

By restricting to a smaller class of hereditary orders we can say more about multiplicative structure. A hereditary order $\mathcal{A}$ in $A$ is called principal if $\mathcal{P}$ is principal as a left (or, equivalently, right) ideal in $\mathcal{A}$. If $(n_1, \ldots, n_e)$ is the ordered partition of $N$ associated to $\mathcal{A}$, then $\mathcal{A}$ is principal if and only if all the $n_i$ are equal. If $\mathcal{A}$ is a principal order in $A$, then the normalizer, $K(\mathcal{A})$ say, of $\mathcal{A}^x$ in $A^x$ is a maximal open compact-modulo-center subgroup of $G$ and all such subgroups can be obtained in this manner (see, e.g., [BF], (1.3.2) (iv) and (1.3.4)).

We now turn to multiplicative duality. If $\mathcal{M}$ is an $\mathcal{A}$-lattice in $A$ which is contained in the radical $\mathcal{P}$ of some hereditary order $\mathcal{A}$ in $A$ and has the further property that $\mathcal{M} \supseteq \mathcal{M}^2$, we set $M(\mathcal{M}) = 1 + \mathcal{M}$. Then $M(\mathcal{M})$ is a subgroup of $A^x$. In particular, if $\mathcal{M} = \mathcal{P}^n$ with $n \geq 1$, then $M(\mathcal{M})$ is just $U^n(\mathcal{A})$ as defined above.

Now if $\mathcal{M}$ and $\mathcal{N}$ are $G$-lattices in $A$ such that $M(\mathcal{M})$ and $M(\mathcal{N})$ are defined and $\mathcal{M}$ contains $\mathcal{N}$ while $\mathcal{N}$ contains $\mathcal{M}^2$, then $M(\mathcal{N})$ is a normal subgroup of $M(\mathcal{M})$ with abelian quotient. Moreover, the map $x \mapsto 1 + x$ induces an isomorphism from $\mathcal{M} / \mathcal{N}$ to $M(\mathcal{M}) / M(\mathcal{N})$. In particular, if $\mathcal{A}$ is a hereditary order in $A$ and $m$ and $n$ are integers such that $m < n$ and $2m \geq n$, then $\mathcal{P}^m / \mathcal{P}^n$ and $U^n(\mathcal{A}) / U^m(\mathcal{A})$ are isomorphic via the map induced by $x \mapsto 1 + x$. Also, if $(n_1, \ldots, n_e)$ is the ordered partition of $N$ associated to $\mathcal{A}$ then $U(A) / U^1(\mathcal{A})$ is isomorphic to $\prod_{i=1}^e GL_{n_i}(k)$. Thus, if we define a map $\psi_b^* : A^x \to \mathbb{C}^x$ by $\psi_b^*(y) = \psi_b(y - 1)$, Proposition 1.3 and the above remarks yield (see, e.g., [B], 1.15).

**Proposition 1.4.** — Let $\mathcal{A}$ be a hereditary order in $A$ with associated ordered partition $(n_1, \ldots, n_e)$ of $N$ and let $\mathcal{M}$ and $\mathcal{N}$ be as above.

(i) The map $b \mapsto \psi_b^*$ gives rise to an isomorphism from $\mathcal{N}^* / \mathcal{M}^*$ to $(M(\mathcal{M}) / M(\mathcal{N}))^*$. In particular, if $m$ and $n$ are integers such that $m < n$ and $2m \geq n$ this map gives rise to an isomorphism between $\mathcal{P}^{1-n} / \mathcal{P}^{1-m}$ and $(U^n(\mathcal{A}) / U^m(\mathcal{A}))^*$.

(ii) $(U(\mathcal{A}) / U^1(\mathcal{A}))^*$ is isomorphic to $\prod_{i=1}^e (GL_{n_i}(k))^*$. 

In what follows it will be convenient to write $\psi_b = \psi_b^*$. It will always be clear from context whether $\psi_b$ is considered additively or multiplicatively.
In light of Proposition 1.4, an irreducible representation \( \eta \) of \( U^m(\mathcal{O})/U^m(\mathcal{O}) \), \( m \) and \( n \) as above can be parametrized by a coset \( b(\eta) + \mathcal{O}^{1-m} \) in \( \mathcal{O}^{1-n} \). We will write \( b(\eta) \) or just \( b \) in place of this coset when no confusion can occur. We will employ similar notations for parametrization of representations of \( \mathcal{M}(\mathcal{N})/M(\mathcal{N}) \) where \( \mathcal{M} \) and \( \mathcal{N} \) are as above. Finally, if \( \eta \) is an irreducible representation of \( U(\mathcal{O})/U^1(\mathcal{O}) \), then it can be parametrized by an \( e \)-tuple \( (\sigma_1, \ldots, \sigma_e) \) where \( \sigma_i \) is a representation of \( GL_n(k) \).

To close this subsection we recall how the representations \( \psi_b \) behave under conjugation. In general, if \( (\sigma, W) \) is a representation of a subgroup \( H \) of a group \( G \) and \( g \) is an element of \( G \), we define the conjugate representation \( (\sigma^g, W) \) of \( W=gHg^{-1} \) on \( W \) by \( \sigma^g(ghg^{-1}) = \sigma(h) \). We say that \( g \) intertwines \( \sigma \) if \( \{ I(\sigma, \sigma^g) \} \), the set of intertwining operators from \( \sigma \) to \( \sigma^g \), is nonempty. For an element \( x \) of \( A=A_\mathcal{V}(\mathcal{V}) \) and \( g \) an element of \( A \), set \( x^g = gxg^{-1} \). Then one can easily verify that if \( \mathcal{M} \) and \( \mathcal{N} \) are as above and \( \psi_b \) is a character of \( M(\mathcal{M})/M(\mathcal{N}) \), then for \( g \) an element of \( G=A \), \( (\psi_b)^g = \psi_{\sigma^g} \) as a character of \( M(g\mathcal{M}g^{-1})/M(g\mathcal{N}g^{-1}) \).

1.3. FUNDAMENTAL STRATA. — In this section we recall some results of Bushnell, Howe, Moy and the first author. These results serve as a starting point for our proof of Theorem 0. We retain the notation of the previous subsections. We first define the notion of a stratum \([\mathcal{A}, n, \eta]\) [or, equivalently, \( K\)-type \([HM1]\), § 1].

**Definition 1.5.** — A stratum (or \( G \)-stratum) is a triple \( (\mathcal{A}, n, \eta) \) consisting of a hereditary order \( \mathcal{A} \) in \( A \), an integer \( n \geq 0 \) and an irreducible representation \( \eta \) of \( U^n(\mathcal{A})/U^{n+1}(\mathcal{A}) \); we define the level \( l((\mathcal{A}, n, \eta)) \) of the stratum \( (\mathcal{A}, n, \eta) \) to be \( n/e(\mathcal{A}) \).

**Definition 1.6** ([B], 3.2, see also [HM1], § 1). — A stratum \( (\mathcal{A}, n, \eta) \) is called fundamental if either:

(i) \( n=0 \) and \( \eta = (\sigma_1, \ldots, \sigma_e) \) with each \( \sigma_i \) cuspidal (in the sense of finite Chevalley groups).

(ii) \( n \geq 1 \) and the coset \( b(\eta) \) contains no nilpotent element.

In discussing a stratum \( (\mathcal{A}, n, \eta) \) we will often view \( \eta \) as a representation of \( U^n(\mathcal{A}) \) which is trivial on \( U^{n+1}(\mathcal{A}) \); we say that an admissible representation \( \pi \) of \( G \) contains the stratum \( (\mathcal{A}, n, \eta) \) if it contains \( \eta \) upon restriction to \( U^n(\mathcal{A}) \). We define the level \( l(\pi) \) of \( \pi \) to be the minimum of the levels of the strata contained in \( \pi \). Then we have the following basic result.

**Theorem 1.7** ([B], Theorem 2' or [HM], Proof of Theorem 1.1). — Let \( \pi \) be an irreducible admissible representation of \( G \).

(i) If \( l(\pi)=0 \) and \( (\mathcal{A}, n, \pi) \) is a stratum having maximal \( e(\mathcal{A}) \) among the strata of level 0 contained in \( \pi \), then \( (\mathcal{A}, n, \eta) \) is fundamental.

(ii) If \( l(\pi)<0 \) and \( (\mathcal{A}, n, \eta) \) is a stratum contained in \( \pi \) of minimal level, then \( (\mathcal{A}, n, \eta) \), is fundamental.

Note that Theorem 1.7 implies that an irreducible admissible representation of \( G \) contains a fundamental stratum. There are some necessary conditions for two fundamental strata to occur in a given irreducible representation of \( G \). We state these conditions as
PROPOSITION 1.8 ([HM], 6.1, 6.2). — Suppose that $(\mathcal{A}, n, \eta)$ and $(\mathcal{A}', n', \eta')$ are fundamental strata contained in an irreducible admissible representation $\pi$ of $G$. Then:

(i) $l((\mathcal{A}, n, \eta)) = l(\mathcal{A}', n', \eta')$.
(ii) If $n = n' = 0$, then $U(\mathcal{A})/U(\mathcal{A}') \cong U(\mathcal{A}')/U(\mathcal{A}')$ and $\eta \cong \eta'$.
(iii) If $n, n' > 0$ then there exist $g$ in $G$ such that

\[ (g(b(\eta) + \mathcal{F}^{1-n}(\mathcal{A}))g^{-1}) \cap (b(\eta') + \mathcal{F}^{1-n'}(\mathcal{A}')) \]

is nonempty.

1.4. ALFALFA STRATA. — If $\pi$ is supercuspidal, then we may say more about the fundamental strata contained in $\pi$. To this end, let $E$ be a finite dimensional extension field of $F$ and let $V$ be a finite dimensional vector space over $E$. Then we can also view $V$ as an $F$-vector space so that $A_F(V)$ makes sense. Then, since $E$ is contained in $A_E$, which is contained in $A$, left and right multiplication give $A_F$ the structure of both an $(A_E, A_E)$-bimodule and an $(E, E)$-bimodule.

PROPOSITION 1.9. — Let $L$ be an $G$-lattice chain in $V$; set $\mathcal{A}_F = \mathcal{A}_F(L)$ and similarly define $\mathcal{P}_F$ and $\mathcal{P}_F$. Then:

(i) $L$ is an $G$-lattice chain of period $e(F)(L)$ where $e(E/F)$ is the ramification degree of $E/F$.
(ii) $\mathcal{P}_F \cap A_E = \mathcal{P}_F$ for all integers $k$.
(iii) If $L$ is uniform as an $G$-chain and $z$ generates $\mathcal{P}_E$, then $L$ is uniform as an $G$-chain and $z$ also generates $\mathcal{P}_F$.

Proof. — This is straightforward.

By the second part of the above proposition, if $x$ is in $A_E$, then $\nu_{\mathcal{A}_E}(x) = \nu_{\mathcal{A}_F}(x)$.

Thus, the notation $\nu_{\mathcal{A}}(x)$ is unambiguous and we will use this notation in what follows. It also follows from the above proposition that for all integers $j$, $\mathcal{P}_E$ has both the structure of an $(A_E, A_E)$-bimodule and an $(G, G)$-bimodule and that for all integers $j$, $\mathcal{P}_F/\mathcal{P}_F^{j+1}$ has both the structure of an $(A_E/\mathcal{P}_E, A_E/\mathcal{P}_E)$-bimodule and a $(k_E, k_E)$-bimodule. Finally, note that $E^*$ is in the normalizer of $G$ since $L$ is an $G$-lattice chain. Thus, for $x$ in $E^*$ and $y$ in $A_F$, $\nu_F(xy) = \nu_{\mathcal{A}_F}(x) + \nu_{\mathcal{A}_F}(y)$.

Example 1.10. — As we noted in [KM2], the following example is fundamental. Let $V = E$ as an $E$-vector space and let $L = \{L_i\}_{i \in Z}$ is the unique, up to equivalence, $G$-lattice chain in $E$. $\mathcal{A}_F(L)$ is a principal order in $A_F(E)$, $e(\mathcal{A}_F(L)) = e(E/F)$, $\mathcal{P}_F = \mathcal{P}_F$ and $\mathcal{P}_F \cap E = \mathcal{P}_F$. Since $L$ is unique up to equivalence, in an abuse of notation, we will write $\mathcal{A}_F(E)$, $\mathcal{P}_F(E)$ and $\mathcal{P}_F(E)$ for $\mathcal{A}_F(L)$, $\mathcal{P}_F(L)$ and $\mathcal{P}_F(L)$ respectively.

Before introducing alfalfa strata we need to look briefly at finitely dimensional extensions of $F$. If $\alpha$ is algebraic over $F$, let $f_{\alpha} = f_{\alpha, F}$ denote the minimal polynomial of $\alpha$ over $F$. If $E$ is a finite dimensional extension of $F$, let $e(E/F)$ and $f(E/F)$ denote the ramification degree and inertia degree, respectively, of $E/F$. Also let $N_{E/F}: E \to F$ and $Tr_{E/F}: E \to F$ denote the norm map and trace map, respectively, from $E$ to $F$. 

...
Throughout the remainder of this paper we will use standard terminology and results from the theory of local fields. For unexplained terminology and results, see [S] or [W1].

**Definition 1.11** ([KM2], 1.6). — If \( E \) is a finite dimensional extension of \( F \) then an element \( \alpha \) in \( E \) is \( E/F \)-minimal (or just minimal) if the following conditions hold:

(i) \( E = F[\alpha] \).

(ii) \( (v_F(\alpha), e(E/F)) = 1 \).

(iii) \( \mathcal{O}_F[N_{E/F}(\alpha)]^{\mathbb{Z}_{(E/F)}} = \mathcal{O}_{E^{nr}} \) where \( E^{nr}/F \) is the maximal unramified extension intermediate to \( E/F \).

**Definition 1.12** ([K3], 3.1). — A stratum \((\mathcal{A}, n, \eta)\) is an alfalfa stratum (pure in the language of [HM2]) if \( n \geq 1 \) and there exists an element \( \alpha \) in \( b(\eta) + \mathcal{O}^{1-n} \) which satisfies the following properties:

(i) \( E = F[\alpha] \) is a subfield of \( \mathbb{A} \).

(ii) \( v_{\mathcal{A}}(\alpha) = -n \).

(iii) \( \alpha \) is \( E/F \)-minimal.

(iv) If \( \mathcal{A} = \mathcal{A}_F(L) \), then \( L \) is an \( \mathcal{O}_E \)-lattice chain.

We note that this definition is slightly more general than that of [K3]; in that definition \( \mathcal{A} \) was restricted to be principal. The reader may check that alfalfa strata are fundamental. If \((\mathcal{A}, n, \eta)\) is an alfalfa stratum as above, we will often write \((\mathcal{A}, n, \alpha)\) for \((\mathcal{A}, n, \eta)\).

**Theorem 1.13** ([K3], 3.2 or [HM2], 5.4). — If \( \pi \) is a supercuspidal representation of \( G \), then either

(i) \( \pi \) contains a fundamental stratum \((\mathcal{A}, 0, \eta)\) with \( e(\mathcal{A}) = 1 \) or

(ii) \( \pi \) contains an alfalfa stratum.

**Proposition 1.14.** — (i) If \((\mathcal{A}, n, \alpha)\) is an alfalfa stratum, then

\[
\lambda((\mathcal{A}, n, \alpha)) = -v_E(\alpha)/e(E/F) \quad \text{where} \quad E = F[\alpha].
\]

(ii) If \((\mathcal{A}, n, \alpha)\) and \((\mathcal{A}', n', \alpha')\) are alfalfa strata contained in the same irreducible admissible representation of \( G \), then \( \lambda((\mathcal{A}, n, \alpha)) = \lambda((\mathcal{A}', n', \alpha')) \). Further, \( [E : F] = [E' : F] \), \( e(E/F) = e(E'/F) \) and \( v_E(\alpha) = v_{E'}(\alpha') \) where \( E = F[\alpha] \) and \( E' = F[\alpha'] \).

**Proof.** — (i) This follows from Definition 1.2 (ii) since \( v_{\mathcal{A}}(\alpha) = v_E(\alpha)e(\mathcal{A}_E) \) and \( e(\mathcal{A}_E)e(E/F) \).

(ii) That \( \lambda((\mathcal{A}, n, \alpha)) = \lambda((\mathcal{A}', n', \alpha')) \) is a special case of Proposition 1.8 (i). Then it follows from the first part of this proposition that \( v_E(\alpha)/e(E/F) = v_{E'}(\alpha')/e(E'/F) \). But then, since \( (v_E(\alpha), e(E/F)) = (v_{E'}(\alpha'), e(E'/F)) = 1 \), it follows that \( v_E(\alpha) = v_{E'}(\alpha') \) and \( e(E/F) = e(E'/F) \). Let \( k = v_E(\alpha) \) and \( e = e(E/F) \).

Now, by Proposition 1.8 (iii), there exists \( T \) in \( \mathcal{O}^{1-n}(\mathcal{A}) \), \( T' \) in \( \mathcal{O}^{1-n}(\mathcal{A}') \) and \( g \) in \( G \) such that

\[
g(\alpha + T)g^{-1} = \alpha' + T'.
\]
In particular, \( \alpha + T \) and \( \alpha' + T' \) have the same characteristic polynomials. Likewise \( \beta = (\alpha + T)/\omega_T \) and \( \beta' = (\alpha' + T')/\omega_T \) have the same characteristic polynomial. Moreover, this characteristic polynomial of these normalized elements has integral coefficients since \( \beta \) is in \( \mathcal{A} \). Then, since

\[ \beta \equiv \alpha'/\omega_T \mod \mathcal{P}(\mathcal{A}), \]

the characteristic polynomials of \( \beta \) and \( \gamma = \alpha'/\omega_T \) are the same modulo \( \mathcal{P}_F \). Similarly, the characteristic polynomials of \( \beta' \) and \( \gamma' = (\alpha')'/\omega_T \) are the same modulo \( \mathcal{P}_F \). Thus the characteristic polynomials of \( \gamma \) and \( \gamma' \) have integral coefficients and are the same modulo \( \mathcal{P}_F \). But also, since \( \alpha \) and \( \alpha' \) are minimal, the residue classes of \( \gamma \) and \( \gamma' \) in \( k_F \) and \( k_{F'} \) respectively are primitive elements [KM2], Proof of Proposition 1.5. It then follows that \( f(E/F) = f(E'/F) \) so that \( [E:F] = [E':F] \) as desired.

**Remark 1.15.** — (i) As is well known, \( E \) and \( E' \) in the above proposition need not be isomorphic even if \( \mathcal{A}' = \mathcal{A} \) and \( n' = n \) (see, e.g., [K 1] in the case \( N = 2 \)).

(ii) We define the degree of a alfalfa stratum \( (\mathcal{A}, n, \alpha) \) to be \( [F[\alpha]:F] \). By (ii) of the above proposition, this makes sense. We say that an alfalfa stratum is *proper* if its degree is strictly between 1 and \( N \).

(iii) Let \( \pi \) be an irreducible supercuspidal representation of \( G \). Then it is well known (see, e.g., [Ca], 4.2) that if \( \pi \) contains a fundamental stratum \( (\mathcal{A}, 0, \eta) \) with \( e(\mathcal{A}) = 1 \) then \( \pi \) is induced (as in Theorem 0 of the introduction). Thus, in proving that \( \pi \) is induced, we may assume \( l(\pi) > 0 \) and thus that \( \pi \) contains an alfalfa stratum. Suppose that \( \pi \) contains the alfalfa stratum \( (\mathcal{A}, n, \alpha) \). If \( [F[\alpha]:F] = N \) then it is a result of Carayol [Ca], 4.2 that \( \pi \) is induced. If \( F[\alpha] = F \) so that \( \alpha \) is in \( F \) then, as is well known (see, e.g., [Ca]), there exists a character \( \theta \) of \( F^* \) such that \( \theta \cdot \det_{A/F} \) restricts to \( \psi_\alpha \) on \( U^*(\mathcal{A}) \). Then \( l(\pi \otimes (\theta^{-1} \cdot \det_{A/F})) < l(\pi) \). Thus, since \( \pi \) is induced if and only if \( \pi \otimes (\theta^{-1} \cdot \det_{A/F}) \) is induced, we have

**Proposition 1.16.** — *It suffices to prove Theorem 0 for irreducible supercuspidal representations which contain a proper alfalfa stratum.*

### 2. Relative alfalfa strata

The purpose of this section is to define certain representations which refine alfalfa strata; that is to say, representations which contain alfalfa strata upon restriction to the appropriate subgroup. We also state an analog of Theorem 1.7 which will be proved in the third section of this paper and is a key result in our proof of Theorem 0. The map \( S_\alpha \) which was defined in [KM 2] is crucial for this section and thus we begin with

**2.1. The map \( S_\alpha \).** — Let \( V \) be a finite dimensional vector space over \( E \) where \( E/F \) is a finite dimensional extension. Set \( R = \dim_E V \) and \( S = [E:F] \). As before, \( A = A_F = A_F(V) \) and \( A_E = A_E(V) \).

Given a polynomial \( f(X) \) in \( F[X] \), we define in the usual manner the map \( B \to f(B) \) from \( A \) to itself. Since \( f: A \to A \) is polynomial in its coordinates, the (formal) total
derivative of $f$ at an element $B$ of $A$ makes sense. Let $D_B f$ denote this derivative. One can verify by direct calculation that

\[(D_B (X^j))(y) = \sum_{j=0}^{i-1} B^j y^B^{-1-j}.\]

**Definition 2.1 [KM 2].** For $\alpha E/F$-minimal, define maps $A_\alpha = A_\alpha$, $v$, $F : A \to A$ and $S_\alpha = S_\alpha$, $v$, $F : A \to A$ by $A_\alpha(y) = \alpha y \alpha^{-1} - y$ and $S_\alpha(y) = ((D_\alpha f)_\alpha(y)) \alpha^{1-s}$.

**Definition 2.2 ([KM 2], 2.13).** If $L$ is an $E$-lattice chain in $V$, then by an $E$-basis for $L$ we mean a set of elements $v_i, i = 1, \ldots, R$ in $V$ such that for each integer $k$ there exists integers $n(i, k) i = 1, \ldots, R$ such that

\[L_k = \bigoplus_{i=1}^R P_E^{(i, k)} v_i\]

It is well known (see, e.g., [BF], 1.2.8) that any $E$-lattice chain in $V$ has an $E$-basis.

**Definition 2.3.** Suppose that $L$ is an $E$-lattice chain in $V$ with notation as in Section 1 and that $l = \{v_i\}_{i=1}^R$ is an $E$-basis for $L$. Let $W$ be the $F$-span of $l$ in $V$. Then $V = EW = E \otimes F W$. In what follows we identify $V$ with $E \otimes_F W$. Set $V_j = E \otimes_F F v_j$. Let $A_{ij}$ be the set of $T$ in $A_E(V)$ satisfying $T(V_j) \subseteq V_i$ and $T(V_k) = 0$ unless $k = j$. Similarly, define $(A_E)_{ij}$ in $A_E(V)$ and note that $(A_E)_{ij} = A_E \cap A_{ij}$. Then for any integer $k$, let $P_{ij}^k = (P_k \cap A_{ij}) + P_{k+1}^k$ and

\[(P_{ij}^k)_{ij} = (P_k^E \cap (A_E)_{ij}) + P_{k+1}^E = (P_k^E \cap A_{ij}) + P_{k+1}^E.\]

We say that $P_{ij}^k$ is the $(i, j)$-coordinate of $P_k$ relative to $l$. In what follows we will often omit mention of $E$ and $L$. We will also say that $(P_{ij}^k)_{ij}$ is the $(i, j)$-coordinate of $P_k$ relative to $l$ and likewise will often omit mention of $l$.

**Remark 2.4.** (i) When we speak of a sum of coordinates in $P_k$ or $P_k^E$, we will always be referring to coordinates with respect to a fixed basis. We will also, as a matter of convenience, refer to $P_{k+1}^E$ as a sum (the trivial sum) of coordinates in $P_k$. (ii) In [KM 2], 1.16, we defined coordinates in $P_k$ for a principal order $l$ attached to an $E$-chain. This definition coincides with the one above for coordinates in $P_k$ if and only if $e_E(L) = R$. If $M$ is a coordinate in $P_k$ in the old sense, then $M$ is a sum of coordinates in the new sense.

(iii) If $M$ is a sum of coordinates in $P_k$ for some $k$, then we set $M_E = M \cap A_E$. Note that if $M = \sum_{i=1}^r P_{ij}^k$, then $M_E = \sum_{i=1}^r (P_{ij}^k)_{ij}$. 

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(iv) If $M = \sum_{l=1}^{r} \mathcal{P}_{ij}^k$ is a sum of coordinates in $\mathcal{P}_k$, then one verifies by a straightforward calculation that

$$M^* = \sum_{(m,n) \neq (j,l)} \mathcal{P}_{m,n}^{1-k}$$

and thus $M^*$ is a sum of coordinates in $\mathcal{P}_1^{1-k}$. Similarly, the complement of $M_\mathcal{E}$ with respect to the bilinear form defined by $\text{tr}_{A_\mathcal{E}}$ is $(M^*\mathcal{E})_\mathcal{E}$.

(v) $\mathcal{P}_{ij}$ and $(\mathcal{P}_E)_ij$ are $(\mathcal{O}_E, \mathcal{O}_E)$-submodules of $A$ and $A_E$ respectively.

(vi) $\mathcal{P}_i^k / \mathcal{P}_i^{k+1} \cong \bigoplus_{i,j=1}^R \mathcal{P}_{i,j}^k / \mathcal{P}_{i,j}^{k+1}$ as $(k_E, k_E)$-bimodules.

(vii) Suppose $\mathcal{M}$ and $\mathcal{N}$ are sums of coordinates in $\mathcal{P}_k$ and $\mathcal{P}_l$ respectively which satisfy the hypotheses of Proposition 1.4 (i). Then one can check that a character $\psi_k$ of $M(\mathcal{M}) / M(\mathcal{N})$ has the property that $b$ may be chosen in $F$ is and only if there exists a character $\rho$ of $\mathcal{F}^*$ such that $\rho \circ \text{det}_{A/F}$ restricts to $\psi_k$ on $M(\mathcal{M})$. If such a $\rho$ exists we say that $\psi_k$ factors through $\text{det}_{A/F}$.

(viii) Coordinates are used in many of the results which follow. Often, quite general cases of these results can be proved without the choice of basis inherent to coordinates (see, e.g., [KM 2]).

DEFINITION 2.5 ([KM 2], 1.12). — Let $T$ be an abelian group and let $f$ and $g$ be elements of $\text{End} T$. Then we say that $(f, g)$ is a pair for $T$ if $\ker f = \text{Im} g$ and $\ker g = \text{Im} f$. We say that $(f, g)$ is an exact pair for $T$ is these inclusions are equalities.

The following result is then fundamental.

THEOREM 2.6. — With notation as above, $S_\mathcal{E}$ and $A_\mathcal{E}$ enjoy the following properties:

(i) $A_\mathcal{E}$ and $S_\mathcal{E}$ are $(A_\mathcal{E}, A_\mathcal{E})$-bimodule endomorphisms of $A$.

(ii) $(S_\mathcal{E}, A_\mathcal{E})$ is an exact pair for $A$.

(iii) If $\mathcal{M}$ is a sum of coordinates in $\mathcal{P}_k$, then $A_\mathcal{E}(\mathcal{M}) \subseteq \mathcal{M}$ and $S_\mathcal{E}(\mathcal{M}) \subseteq \mathcal{M}$. In particular, $A_\mathcal{E}(\mathcal{P}_k) \subseteq \mathcal{P}_k$ and $S_\mathcal{E}(\mathcal{P}_k) \subseteq \mathcal{P}_k$ for all integers $k$.

(iv) Suppose that $\mathcal{M}$ is a sum of coordinates in $\mathcal{P}_k$ and that $\mathcal{N}$ is a sum of coordinates in $\mathcal{P}_l$ such that $\mathcal{M}$ contains $\mathcal{N}$. Then $(S_\mathcal{E}, A_\mathcal{E})$ is an exact pair for $\mathcal{M} / \mathcal{N}$. In particular, if $k \leq l$, $(S_\mathcal{E}, A_\mathcal{E})$ is an exact pair for $\mathcal{P}_k / \mathcal{P}_l$.

(v) If $\mathcal{M}$ is a sum of coordinates in $\mathcal{P}_k$, then $(S_\mathcal{E}, A_\mathcal{E})$ is an exact pair for $\mathcal{M}$. In particular, $(S_\mathcal{E}, A_\mathcal{E})$ is an exact pair for $\mathcal{P}_k$.

Proof. — (i) This is [KM 2], 1.10 (i).

(ii) This is [KM 2], 1.21 (i).

(iii) It suffices to show that $A_\mathcal{E}(\mathcal{P}_{ij}^k) \subseteq \mathcal{P}_{ij}^k$ and $S_\mathcal{E}(\mathcal{P}_{ij}^k) \subseteq \mathcal{P}_{ij}^k$ for all $k$ and for $1 \leq i, j \leq R$. This is equivalent to showing that $A_\mathcal{E}(A_{ij} \cap \mathcal{P}_k) \subseteq A_{ij} \cap \mathcal{P}_k$ and $S_\mathcal{E}(A_{ij} \cap \mathcal{P}_k) \subseteq A_{ij} \cap \mathcal{P}_k$ for all $k$ and for $1 \leq i, j \leq R$. It follows from (2.0.1), the definition of $A_\mathcal{E}$ and $S_\mathcal{E}$ and the definition of $A_{ij}$, that, since $\alpha$ is in $E$, we have.
that $A_{ij}(A_{ij}) \subseteq A_{ij}$ and $S_{ii}(A_{ij}) \subseteq A_{ij}$. Thus it suffices to show that $A_{ii}^{(P)} \subseteq P^k$ and $S_{ii}^{(P)} \subseteq P^k$. Since $\alpha$ is in $E$, $v_{\alpha}((x^k \alpha)^{-1}) = v_{\alpha}(x)$ for all $x$ in $A$ so that $A_{ii}^{(P)} \subseteq P^k$. Now consider $S_{ii}$. We proceed in a manner similar to that of our proof of the corresponding statement for principal orders [KM 2], 1.11.

Write $f_s(x) = \sum_{k=0}^{s} a_k x^k$. Then, since $a_k$ is the $(S-k)$-symmetric polynomial in the roots of $f_s$,

$$v_{\alpha}(a_k) \geq (S-k) v_{\alpha}(\alpha).$$

Thus, if $y$ is in $A$, it follows from (2.0.1) that

$$v_{\alpha}(a_k(D_x X^k)(y)) \geq (S-1) v_{\alpha}(\alpha) + v_{\alpha}(y)$$

and the result follows.

(iv) and (v) Suppose that we were to show that $(S_{ii}, \tilde{A}_i)$ is an exact pair for $P^k_{ij}/P^{k+1}$ for all $k$ and $1 \leq i, j \leq R$. Then (iv) would follow in the case $l = k$ from Remark 2.4. Then (iv) and (v) would follow in general form from standard filtration arguments (see, e.g., [S], V, § 1, Lemma 2). Thus it suffices to show that $(S_{ii}, \tilde{A}_i)$ is an exact pair for $P^k_{ij}/P^{k+1}$.

By the second isomorphism theorem,

$$P^k_{ij}/P^{k+1} = \frac{(A_{ij} \cap P^k + P^{k+1})}{P^{k+1}} = \frac{A_{ij} \cap P^k (A_{ij} \cap P^k)}{A_{ij} \cap P^k} \cap P^{k+1}$$

By the argument for (iii), $S_{ii}$ and $\tilde{A}_i$ respect this isomorphism so that is suffices to show $(S_{ii}, \tilde{A}_i)$ is an exact pair $A_{ij} \cap P^k / A_{ij} \cap P^{k+1}$. We may assume $A_{ij} \cap P^k \neq A_{ij} \cap P^{k+1}$ the other case being trivial.

Recalling that $V = \bigoplus_{l=1}^{R} V_l = \bigoplus_{l=1}^{R} E \otimes_{E} F v_i$, define $\psi : V_i \rightarrow E$ by $\psi_i(y \otimes v_i) = y$. Then the map $\psi : A_{ij} \rightarrow A_F(E)$ defined by $\psi(x) = \psi_i \circ x \circ (\psi_j)^{-1}$ is an $(E, E)$-bimodule isomorphism onto $A_F(E)$. Using that $\mathcal{B} = \{v_i\}$ is an $E_\tilde{\psi}$-basis for $L$, one can then check that there exists $n = n(k)$ such that $\psi(A_{ij} \cap P^k) = \mathcal{P}^k_F(E)$ and $\psi(A_{ij} \cap P^{k+1}) = \mathcal{P}^{k+1}_F(E)$. Let $\tilde{\psi}$ denote the induced $(\epsilon_{k_i}, k_i)$-bimodule isomorphism of $A_{ij} \cap P^k / A_{ij} \cap P^{k+1}$ onto $\mathcal{P}^k_F(E)/\mathcal{P}^{k+1}_F(E)$. Then, since $\tilde{\psi} \circ S_{ii} \circ \tilde{\psi} = S_{ii} \circ \tilde{\psi}$ and similarly for $\tilde{A}_i \circ \tilde{\psi}$, the result follows from the exactness of $(S_{ii}, \tilde{A}_i, \tilde{A}_i)$ for $\mathcal{P}^k_F(E)/\mathcal{P}^{k+1}_F(E)$ [KM 2], 1.14.

As was witnessed by the above proof, arguments which involve $S_{ii}$ can often be reduced to the one-dimensional case $V = E$. Along this line, we have the following lemma which will be used in Section 2.2.
**Lemma 2.7.** — With notation as above, let $W$ be an $F$ vector space such that $V = E \otimes_F W$. Then the following diagram commutes

$$
\begin{array}{ccc}
A_F(E) \otimes A_F(W) & \xrightarrow{\varphi} & A_F(V) \\
\downarrow s_v & & \downarrow s_w \\
A_F(E) \otimes A_F(W) & \xrightarrow{\varphi} & A_F(V)
\end{array}
$$

where $\varphi$ is the natural $(E, E)$-bimodule isomorphism of $A_F(E) \otimes A_F(W)$ onto $A_F(V)$.

**Proof.** — This is straightforward since the $(E, E)$-bimodule structure on $A_F(E) \otimes A_F(W)$ is given by left and right multiplication on the first coordinate.

2.2. **Alfalfa Duality.** — Let $E/F$ be an extension of fields and let $L$ be an $E$-lattice chain in $V$ where $V$ is a finite dimensional vector space over $E$. Let $\mathcal{A} = \mathcal{A}_F(L)$ and suppose that $\mathcal{M}$ and $\mathcal{N}$ are sums of coordinates in $E^k$ and $E^l$ for some integers $k$ and $l$ with respect to some fixed $E$-basis $\mathcal{B}$ for $L$. Suppose further that $M(\mathcal{M})$ and $M(\mathcal{N})$ are defined, $\mathcal{M}$ contains $\mathcal{N}$ and $\mathcal{N}$ contains $\mathcal{M}^2$ so that we are in the setting of Proposition 1.4. Later in this paper we will often restrict a character $\psi_k$ of $M(\mathcal{M})/M(\mathcal{N})$ to $M(\mathcal{M}_E)/M(\mathcal{N}_E)$. Thus, in this subsection we will develop a parametrization (Proposition 2.14) of the dual of $M(\mathcal{M}_E)/M(\mathcal{N}_E)$ which differs from that of Section 1.2 but facilitates the study of these restrictions. At the heart of this parametrization is

**Lemma 2.8.** — With notation as above, if $\alpha$ is $E/F$-minimal, then there exists a unique $F$-linear functional $\hat{\alpha} = \hat{\delta}_{\mathcal{N}}$ on $A_E$ such that the following diagram is commutative.

$$
\begin{array}{ccc}
A_F & \xrightarrow{\alpha} & A_E \\
\downarrow s \quad \quad \quad \downarrow \alpha \\
F & \xrightarrow{\text{tr}_{A_E/F}} & A_E
\end{array}
$$

Further, this map has the following properties:

(i) $\hat{\alpha}(\mathcal{A}) = \mathcal{A}_F$ and $\hat{\alpha}(\mathcal{P}) = \mathcal{P}_F$.

(ii) $\hat{\delta}_{VF}(x) = \hat{\delta}_{VF}(\text{tr}_{A_E/F}(x))$ for all $x$ in $A_E$.

(iii) If $E/F$ is separable, then

$$
\hat{\alpha}(x) = \text{tr}_{A_E/F}(x \alpha S^{-1} f_x^*(\alpha))
$$

for $x$ in $A_E$ where $S = [E:F]$ and $f_x^*$ is the (formal) derivative $f_x$.

**Remark 2.9.** — Before proving Lemma 2.8, it is of interest to note one of its consequences in the case $V = E$. In this case, $A_E = E$ so that $\hat{\alpha}$ will be an $F$-linear functional on $E$ which has the properties that $\hat{\alpha}(\mathcal{A}) = \mathcal{A}_F$ and $\hat{\alpha}(\mathcal{P}) = \mathcal{P}_F$. Thus, $\hat{\alpha}$ enjoys arithmetic properties similar to those enjoyed by $\text{Tr}_{E/F}$ in the case $E/F$ tamely ramified.

**Proof of Lemma 2.8.** — Suppose that $x$ is an element of $A_E$. Then, since $x$ is in $\ker A_\alpha$ and $(S_\alpha, A_\alpha)$ is an exact pair for $A_F$, $x$ is also in $\text{Im} S_\alpha$. Thus, set
\[ \hat{\alpha}(x) = \text{tr}_{AF/F}(x') \] where \( x' \) is some preimage of \( x \) in \( A_F \) under \( S_x \). One can then check that, since \( (S_x, A_x) \) is an exact pair for \( A_F \), \( \hat{\alpha} \) is well-defined. One can also check that, by construction, \( \hat{\alpha} \) is unique and \( F \)-linear and further that the indicated diagram commutes.

(i) Since \( (S_x, A_x) \) is an exact pair for \( \mathcal{A}_F \),
\[
\hat{\alpha}(\mathcal{A}_F) = \hat{\alpha}(\ker A_x(\mathcal{A}_F)) = \hat{\alpha}(S_x(\mathcal{A}_F)) = \text{tr}_{AF/F}(\mathcal{A}_F) = \mathcal{O}_F.
\]

The corresponding statement for \( \mathcal{P}_E \) is proved similarly.

(ii) Choose an \( F \)-vector space \( W \) such that \( V \cong E \otimes F W \) and then identify \( V \) with \( E \otimes F W \). Let \( \varphi \) denote the natural \((E, E)\)-bimodule isomorphism of \( A_F(E) \otimes A_F(W) \) onto \( A_F(V) \). Note that the restriction of \( \varphi \) to \( E \otimes A_F(W) \) gives an \((E, E)\)-bimodule endomorphism of \( E \otimes A_F(W) \) onto \( A_E(V) \). Now let \( \beta \) be an element of \( E \), \( x \) an element of \( A_F(W) \) and \( \beta' \) a preimage of \( \beta \) in \( A_F(E) \) under \( S_x, E \). Then by Lemma 2.7, \( \varphi(\beta' \otimes x) \) is a preimage of \( \beta \otimes x \) under \( S_x, V \). Thus,
\[
\hat{\alpha}_{V/F}(\varphi(\beta \otimes x)) = \text{tr}_{AF/(V)/F}(\varphi(\beta' \otimes x)) = \text{tr}_{AF/(V)/F}(\varphi(\beta' \otimes x)) = \delta_{E/F}(\beta \text{tr}_{AF/(W)/F}(x)) = \delta_{E/F}((\text{tr}_{AF/(W)/F}(\varphi(\beta' \otimes x)))
\]
so that (ii) then follows by linearity.

(iii) In [KM2], 1.23 we showed that if \( E/F \) is separable, then \( S_x(x) = (f'_x(x)/x^{5-1})x \) for \( x \) in \( A_E \) whence the result.

We now parallel the constructions of Paragraph 1.2 as follows. Let \( \langle \cdot, \cdot \rangle_A \) denote the \( F \)-bilinear form on \( A_E(V) \) defined by \( \langle x, y \rangle_A = \hat{\alpha}(xy) \). Then, since \( \langle \cdot, \cdot \rangle_A \) is a nondegenerate pairing, it follows from Lemma 2.8(ii) that to show that \( \langle \cdot, \cdot \rangle_A \) is nondegenerate it suffices to show that \( \delta_{E/F} \) is nontrivial. But this follows from Lemma 2.8(i). Thus \( \langle \cdot, \cdot \rangle_A \) may be used to identify \( A_E \) with its dual \( \text{Hom}_E(A_E, F) \) this identification assigning to an element \( b \) in \( A_E \) the functional \( \hat{b}_a \) defined by \( \hat{b}_a(x) = \hat{\alpha}(bx) \).

**Remark 2.10.** - We note that in case \( E = F \) \( \alpha \) may be taken to be 1. Then \( S_1 \) is the identity map and \( A_1 \) is trivial. The unique \( F \)-linear functional \( \hat{1} \) is just then \( \text{tr}_{AF/F} \) so that \( \hat{b}_1(x) = \text{tr}_{AF/F}(bx) = \langle b, x \rangle = \hat{b}(x) \).

We define the complement, \( (T)^*_a \), of a subset \( T \) of \( A_E \) with respect to \( \alpha \) to be the set of \( b \) in \( A_E \) for which \( \hat{b}_a(T) \) is contained in \( P_F \). We have the following analog of Proposition 1.1 and Remark 2.4(v).
PROPOSITION 2.11. — With notation as above, if \( M \) is a sum of coordinates in \( \mathcal{D}^k \), \( (\mathcal{M}_E)_a^* = (\mathcal{M}_E^*)^a \). In particular, \((\mathcal{D}^k)_a^* = \mathcal{D}^{k-1}\).

Proof. — It follows from Remark 2.4 (iv) and Lemma 2.8 that \((\mathcal{M}_E)_a^* \cong (\mathcal{M}_E^*)_a^*\). Suppose, on the other hand, that \( x \in (\mathcal{M}_E)_a^* \) but not in \((\mathcal{M}_E)^a \). Then \( x \) is not in the complement of \( \mathcal{M}_E \) with respect to \( \text{tr}_{\mathcal{M}_E/\mathcal{E}} \) by Remark 2.4 (iv). That is to say, \( \text{tr}_{\mathcal{M}_E/\mathcal{E}}(x, M_E) \) is not contained in \( \mathcal{P}_E \). But then, since \( \text{tr}_{\mathcal{M}_E/\mathcal{E}}(x, M_E) \) is a fractional ideal, \( \text{tr}_{\mathcal{M}_E/\mathcal{E}}(x, M_E) \cong \mathcal{O}_E \). Thus, by Lemma 2.8 (ii),

\[
\hat{\alpha}(x, M_E) = \hat{\alpha}_E/F(\text{tr}_{\mathcal{M}_E/\mathcal{E}}(x, M_E)) \cong \mathcal{O}_E = \mathcal{O}_F - \text{a contradiction.}
\]

Now, as in Paragraph 1.2, we pass to topological duals. To this end, recall that \( \psi \) was fixed in Paragraph 1.2 to be a character of \( F^* \) of conductor \( P_F \). Then the map \( \psi_{a,b} : A_F \to C^\times \) defined by \( \psi_{a,b}(x) = \psi(\hat{t}_a(x)) \) is in \( \hat{A}_E^\times \).

PROPOSITION 2.12. — (i) Let \( \Psi_a : A_F \to \hat{A}_E^\times \) be the map defined by \( b \to \psi_{a,b} \) and let \( \text{res} = \text{res}_{\mathcal{V}, \mathcal{E}} : \hat{A}_E^\times \to \hat{A}_E^\times \) be the map defined by restriction. Then the following diagram is commutative where \( \Psi : A_F \to \hat{A}_E^\times \) is defined by \( b \to \psi_b \) as in Paragraph 1.2.

\[
\begin{array}{ccc}
A_F & \xrightarrow{\Psi} & \hat{A}_E^\times \\
\downarrow{\psi} & & \downarrow{\text{res}} \\
A_F^\times \end{array}
\]

(ii) \( \Psi_a \) is an isomorphism onto \( \hat{A}_E^\times \).

Proof. — (i) Since \( S_a \) is a right \( A_F \)-module map and \( \text{tr}_{\mathcal{M}_E/\mathcal{E}}(x) = \hat{\alpha}(S_a(x)) \) for all \( x \in A_F \) by Lemma 2.8,

\[
\psi_{a, S_a(b)}(y) = \psi(\hat{\alpha}(S_a(b)y)) = \psi(\hat{\alpha}(S_a(by))) = \psi(\text{tr}_{\mathcal{M}_E/\mathcal{E}}(by)) = \psi_b(y)
\]

for all \( b \in A_F \) and \( y \in A_E \).

(ii) The map \( \Psi \) is a homomorphism of the F-vector space \( A_E \) into the F-vector space \( \hat{A}_E \). Then, since \( A_E \) and \( \hat{A}_E \) have the same (finite) dimension as F-vector spaces it suffices to show that \( \Psi_a \) is injective. But this follows from Proposition 2.11.

PROPOSITION 2.13. — Let \( \mathcal{M} \) be a sum of coordinates in \( \mathcal{D}^k \), \( \mathcal{N} \) a sum of coordinates in \( \mathcal{D}^l \) such that \( \mathcal{M} \) contains \( \mathcal{N} \), and let \( \Psi \) and \( \Psi_a \) be as in the previous proposition.

(i) \( \Psi \) and \( \Psi_a \) induce isomorphisms \( \Psi \) and \( \Psi_a \) from \( \mathcal{N}^* / \mathcal{N} \) onto \( (\mathcal{M}^*)^\times / (\mathcal{M}_a^*)^\times \) and from \( (\mathcal{N}_E^*)^\times / (\mathcal{M}_E)^\times \) onto \( (\mathcal{M}_E^*)^\times / (\mathcal{M}_E^*)^\times \).

(ii) The \( (\mathcal{A}_E, \mathcal{E}) \) endomorphism \( S_a \) of \( \mathcal{N}^* / \mathcal{N} \) induced by \( S_a \) maps onto \( (\mathcal{N}_E^*)^\times / (\mathcal{M}_E)^\times \). Furthermore, the following diagram commutes where \( \text{res} \) is the map induced
by restriction from $\mathcal{M}$ to $\mathcal{M}_E$.

$$\mathcal{M}^*/\mathcal{M}^* \xrightarrow{\Psi} (\mathcal{M}/\mathcal{N})^\wedge$$

Proof. — (i) That $\Psi$ is an isomorphism is a special case of Proposition 1.3. Since $\Psi_\alpha$ is an isomorphism from $A_E$ to $A_E^\wedge$ it follows from the definition of the complement with respect to $\alpha$ that $\Psi_\alpha$ is an isomorphism.

(ii) By Remark 2.4 (iv), $\mathcal{N}^*$ and $\mathcal{M}^*$ are sums of coordinates. Then, by Theorem 2.6 (v), $S_\alpha(\mathcal{N}^*)=(\mathcal{N}^*)_{E}$ and $S_\alpha(\mathcal{M}^*)=(\mathcal{M}^*)_{E}$. But then the first statement follows from Proposition 2.11 and the second statement from Proposition 2.12.

We now turn to the multiplicative aspects of duality. With notation as above, for each $b$ in $A_E$ we define a map $\psi_{b,\alpha}: A^\times \to C^\times$ by $\psi_{b,\alpha}(y)=\psi_{b}(y-1)$. In what follows it will often be convenient to write $\psi_{b,\alpha}=\psi_{b,\alpha}(\cdot)$. It will always be clear from context whether $\psi_{b,\alpha}$ is considered additively or multiplicatively. Then we have shown that the following proposition holds.

PROPOSITION 2.14. — Let $L$ be an $\mathcal{O}_E$-lattice chain with associated ordered partition $(r_1, \ldots, r_e)$ as an $\mathcal{O}_E$-lattice chain and associated hereditary order $\mathcal{A}$ as an $\mathcal{O}_E$-lattice chain. Then the following hold:

(i) $(U(\mathcal{A}))/U^1(\mathcal{A}))^\wedge$ is canonically isomorphic to $\prod_{i=1}^{e} (GL_{r_i}(k_E))^\wedge$.

(ii) If $M$ is a sum of coordinates in $\mathcal{P}$ and $N$ is a sum of coordinates in $\mathcal{P}'$ such that $M(M)$ and $M(N)$ are defined and such that $M$ contains $N$ and $N'$ contains $M'$, then the maps $b \to \psi_{b}$ and $b \to \psi_{b,\alpha}$ induce isomorphisms from $\mathcal{N}/\mathcal{M}^*$ onto $(M(M)/M(N))^\wedge$ and from $(M(N)/M(M))^\wedge$ onto $(M(M)/M(N))^\wedge$. Moreover, for $b$ in $\mathcal{N}$, the restriction of $\psi_{b}$ from $M(M)$ to $M(M)$ is $\psi_{b,\alpha}(\cdot)_{\mathcal{A}}$.

(iii) In particular, if $m$ and $n$ are integers such that $m<n$ and $2m \geq n$, the the map $b \to \psi_{b,\alpha}$ induces an isomorphism from $\mathcal{P}_{E}^{1-n}/\mathcal{P}_{E}^{1-n}$ onto $(U^m(\mathcal{A}))/U^m(\mathcal{A}))^\wedge$. Moreover, for $b$ in $\mathcal{P}_{E}^{1-n}$, the restriction of $\psi_{b}$ from $U^m(\mathcal{A})$ to $U^m(\mathcal{A})$ is $\psi_{b,\alpha}(\cdot)$.

We close this subsection by proving two properties of $\psi_{b,\alpha}$ analogous to properties enjoyed by $\psi_{b}$. In particular, we have

PROPOSITION 2.15. — With $\mathcal{M}$ and $\mathcal{N}$ as in the previous proposition, the following hold:

(i) If $\psi_{b,\alpha}$ is an element of $(M(M)/M(N))^\wedge$ and $g$ is an element of $G_E$, then

$$(\psi_{b,\alpha})^g \equiv \psi_{\alpha,\alpha}$$

as a representation of $M(g,M_E g^{-1})|M(g,M_N g^{-1})$.

(ii) An element $\psi_{b,\alpha}$ of $(M(M)/M(N))^\wedge$ has the property that $b$ can be chosen in $E$ if and only if it factors through $\det_{\mathcal{A}_E}$.
Proof. — (i) If \( x \) is in \( M(gJf^g^{-1}) \), then

\[
(\psi_{a,b})^g(x) = \psi_{a,b}(g^{-1}xg) = \psi(\widehat{\alpha}_{V/F}(b(g^{-1}xg-1))) = \psi(\widehat{\alpha}_{E/F}(\text{tr}_{A_E/E} b(g^{-1}xg-1))) = \psi(\widehat{\alpha}_{V/F}(b^g(x-1))) = \psi_{a,b}(x).
\]

(ii) For \( x \) in \( M(A_E) \) and \( b \) in \((A'^*)_E\),

\[
\psi_{a,b}(x) = \psi(\widehat{\alpha}_{V/F}(b(x-1))) = \psi(\widehat{\alpha}_{E/F}(\text{tr}_{A_E/E} b(x-1))).
\]

Now \( \psi^*\widehat{\alpha}_{E/F} \) is an additive character of \( E \) with conductor \( P_E \) so that (ii) now follows from Remark 2.4 (vii) with \( E \) in place of \( F \).

In what follows, if \( \rho \) is a character of \( F^* \), we will abbreviate \( \rho \cdot \det_{A/F} \) by \( \rho \). It will be clear from context whether \( \rho \) is considered as a character of \( F^* \) or as a character of \( A^* \). We will also use the analogous abbreviation for characters of \( E^* \) where \( E/F \) is a finite dimensional extension.

2.3. Relative alfalfa strata. — Let \((\mathcal{A}, n, \alpha)\) be a proper alfalfa stratum and set \( E = F[\alpha] \). In this subsection we will want to consider certain representations of subgroups \( H \) of \( G \) of the form \( U^r(\mathcal{A}_E) \cup U^m(\mathcal{A}_F) \) where either \( n+1 < m < [(n+2)/2] \) and \( r = m-1 \) or \( m = [(n+2)/2] \) and \( 0 \leq r \leq m-1 \). (We note that \( m \) is determined by \( r \); in fact \( m = \max(r+1, [(n+2)/2]) \).) In describing these representations, we note first that the group \( H \) stabilizes the representation \( \psi_{a} \) on \( U^m(\mathcal{A}_F) \) and that, further, the restriction of \( \psi_{a} \) to \( U^m(\mathcal{A}_E) \cap U^r(\mathcal{A}_E) = U^m(\mathcal{A}_E) \) factors through \( \det_{A_E/E} \). It follows that we may construct a representation \( \rho \) of \( U^r(\mathcal{A}_E) \cup U^m(\mathcal{A}_F) \) from the following data [in addition to the data \((\mathcal{A}, n, \alpha)\):

(i) a character \( \theta \) of \( E^* \) for which \( \theta \) and \( \psi_{a} \) agree upon restriction to \( U^m(\mathcal{A}_E) \) and

(ii) a \( G_E \)-stratum \((\mathcal{A}_E, r, \eta)\); this construction being effected by setting \( \rho(h_1, h_2) = (\eta \otimes \theta)(h_1) \psi_{a}(h_2), h_1 \) in \( U^r(\mathcal{A}_E) \), \( h_2 \) in \( U^m(\mathcal{A}_F) \). These considerations lead us to

**Definition 2.16.** — With notation as above, we call the seven-tuple \( \Omega = (\mathcal{A}, n, \alpha, r, \eta, \theta) \) a relative alfalfa stratum and we let \( (\eta \otimes \theta) \cdot \psi_{a} \) denote the associated representation of \( U^r(\mathcal{A}_E) \cup U^m(\mathcal{A}_F) \). We define the level \( l(\Omega) \) of \( \Omega \) and the relative level \( l_r(\Omega) \) of \( \Omega \) to be \( n/e(\mathcal{A}) \) and \( r/e(\mathcal{A}_E) \) respectively. We say that an admissible representation of \( G \) contains \( \Omega \) if it contains \( (\eta \otimes \theta) \cdot \psi_{a} \) upon restriction to \( U^r(\mathcal{A}_E) \cup U^m(\mathcal{A}_F) \).

**Remark 2.17.** — With notation as above, we note that an admissible representation of \( G \) which contains \( \psi_{a} \) upon restriction to \( U^m(\mathcal{A}_E) \) will, since \( U^{m-1}(\mathcal{A}_E) \) stabilizes \( \psi_{a} \), contain a relative alfalfa stratum \((\mathcal{A}, m, n, \alpha, r, \eta, \theta)\) with \( r = m-1 \). It follows that an admissible representation of \( G \) which contains a proper alfalfa stratum will contain a
relative alfalfa stratum. If \( \pi \) is an irreducible admissible representation of \( G \) which contains a proper alfalfa stratum, then we define the relative level \( l_\pi(\pi) \) of \( \pi \) to be the minimum of the relative levels of relative alfalfa strata contained in \( \pi \).

We now turn to the problem of relativizing the notions of "fundamental" and "alfalfa" to the situation at hand. We note that the restriction to principal orders in Definition 2.19 below has been adopted merely as a matter of convenience in the two prime case.

**Definition 2.18.** — We say that a relative alfalfa stratum \( (\mathcal{A}, m, n, \alpha, r, \eta, \theta) \) is fundamental if either \( r = 0 \) or \( r > 0 \) and the following conditions are satisfied:

1. \( \theta \otimes \eta \) does not factor through \( \det_{\mathcal{A}_E/E}(E = F[\alpha]) \).
2. Let \( \eta = \psi_{a,b} \). Then \( b + \mathcal{P}_E^{1-r} \) does not contain a nilpotent element.

**Definition 2.19.** — We say that a relative alfalfa stratum \( (\mathcal{A}, m, n, \alpha, r, \eta, \theta) \) is a second order alfalfa stratum if \( r > 0 \) and the following conditions are satisfied:

1. \( \eta \otimes \theta \) does not factor through \( \det_{\mathcal{A}_E/E}(E = F[\alpha]) \).
2. Let \( \eta = \psi_{a,b} \). Then there exists an element \( \alpha' \) of the coset \( b + \mathcal{P}_E^{1-r} \) such that:
   
   - \( i \) \( E' = E[\alpha'] \) is a subfield of \( A \).
   - \( ii \) \( v_\mathcal{A}(\alpha') = -r \).
   - \( iii \) \( \alpha' \) is \( E'/E \)-minimal.
   - \( iv \) Let \( L \) be an \( \mathcal{O}_{E'} \)-lattice chain such that \( \mathcal{A} = \mathcal{A}(L) \). Then \( L \) is in fact a uniform \( \mathcal{O}_{E'} \)-lattice chain.

One can check that a second order alfalfa stratum is fundamental. We define the degree of a second order alfalfa stratum \( (\mathcal{A}, m, n, \alpha, r, \eta, \theta) \) to be \( [E':F] \) where \( E' \) is as above. By an argument similar to that for Proposition 1.14, this notion is well-defined. By Proposition 2.15, the degree of \( (\mathcal{A}, m, n, \alpha, r, \eta, \theta) \) is strictly greater than that of \( (\mathcal{A}', n, \alpha) \).

In the next section we will prove the following two theorems.

**Theorem 2.20.** — Let \( \pi \) be an admissible representation of \( G \) which contains a relative alfalfa stratum \( (\mathcal{A}, m, n, \alpha, r, \eta, \theta) \) and suppose that \( c \mid \gcd(r, e(\mathcal{A}_E)) \) \( (E = F[\alpha]) \). Then \( \pi \) also contains a relative alfalfa stratum \( (\mathcal{A}', m', n', \alpha', r', \eta', \theta) \) with \( r' = r/c \) and \( e(\mathcal{A}') = e(\mathcal{A}_E)/c \).

**Theorem 2.21.** — Let \( \pi \) be an irreducible admissible representation of \( G \) which contains a proper alfalfa stratum. Then a relative alfalfa strata of minimal relative level in \( \pi \) is fundamental.

These theorems have the following corollary which will serve as the starting point for the fourth section of this paper.

**Corollary 2.22.** — An irreducible admissible representation which contains a proper alfalfa stratum also contains a fundamental relative alfalfa stratum \( (\mathcal{A}, m, n, \alpha, r, \eta, \theta) \) of minimal relative level which has the property that \( (r, e(\mathcal{A}_E)) = 1 \) \( (E = F[\alpha]) \).
3. Fundamental relative alfalfa strata

In this section we will prove Theorem 2.20 and Theorem 2.21. The proofs of each of these two theorems will be broken into three parts, these parts forming the subsections of this section.

3.1. RELATIVELY LARGE RELATIVE LEVEL. — In this subsection we consider the case \( m > (n+2)/2 \) (see § 2.3). Before stating the first proposition of the subsection, it will be useful to first make some definitions and then prove a lemma.

Let \( L \) and \( L' \) be \( \mathcal{O}_r \)-lattice chains in \( V \). Then, as in [HM1], we define that \( L' \) is a thinning of \( L \) if for each integer \( i \) there exists an integer \( j(i) \) such that \( L_i = L_{j(i)} \). We say that \( L' \) is a refinement of \( L \) if \( L' \) is a thinning of \( L \). We say that an \( \mathcal{O}_r \)-lattice chain is a common thinning of \( L \) and \( L' \) if it thins both \( L \) and \( L' \) and define common refinement similarly. If \( L \) is an \( \mathcal{O}_r \)-lattice chain and \( c \) is a positive integer dividing \( e(L) \) then we single out the thinning \( U \) of \( L \) defined by \( L_i = L_{ic} \) and call \( L' \) the uniform thinning of \( L \) by a factor of \( c \) while we say that \( L \) is a uniform refinement of \( L' \) by a factor of \( c \).

**Lemma 3.1.** — Let \( L \) be an \( \mathcal{O}_r \)-lattice chain, let \( c \) be a positive integer which divides \( e(L) \) and let \( U \) be the uniform thinning of \( L \) by a factor of \( c \); set \( \mathcal{P} = \mathcal{P}(L) \) and \( \mathcal{P}' = \mathcal{P}(L') \). Then for all integers \( l \) the following hold:

1. \((\mathcal{P}^l)^c \supseteq \mathcal{P}^l\).
2. \( \mathcal{P}^{l+c} \supseteq (\mathcal{P}'^{l+c})^{c+2} \). In particular, for \( l' \) an integer, \( \mathcal{P}^{l'+1} \supseteq (\mathcal{P}')^{l'+1} \).

**Proof.** — (i) If \( x \) is an element of \( \mathcal{P}^l \), then \( xL^l \subseteq L^l \). Thus it suffices to show that, for \( 0 \leq k < c \), \( xL_i \subseteq L_{i+c} \).

(ii) Suppose that \( x \) is an element of \( (\mathcal{P}')^m \) with \( m' = [(l+2)/c] + 2 \). Then \( xL_i \subseteq L_{i+c} \). Thus it suffices to show that \( c(j+m) \geq i+l \) but this can be checked directly.

**Proposition 3.2.** — Let \( \pi \) be an admissible representation of \( G \) which contains a relative alfalfa stratum \( (\mathcal{A}, m, n, \alpha, r, \eta, 0) \), let \( E = \mathbb{F}[\alpha] \) and suppose that \( c\mid\gcd(r, e(\mathcal{A})) \). Suppose further that \( m > [(n+2)/2] \); set \( n' = n/c \), \( r' = r/c \), \( e' = e(\mathcal{A}_c) \) and \( m' = r' + 1 \). Then \( \pi \) also contains a relative alfalfa stratum \( (\mathcal{A}', m', n', \alpha, r', \eta', 0) \) with \( e(\mathcal{A}'_c) = e' \).

**Proof.** — Suppose \( \mathcal{A} = \mathcal{A}(L) \). Let \( L' \) be the uniform thinning of \( L \) by a factor of \( c \); set \( \mathcal{A}' = \mathcal{A}(L') \) and set \( \mathcal{P} = \mathcal{P}(L) \). By the previous lemma \( \mathcal{P}^{l+1} \supseteq (\mathcal{P}')^{l+1} \). Thus \( \pi \) contains \( \psi_a \) upon restriction to \( \mathcal{P}^{l+1} \) and now the lemma follows from Remark 2.17.

In the remainder of this subsection we prove

**Proposition 3.3.** — Let \( \pi \) be an irreducible admissible representation of \( G \) which contains a proper alfalfa stratum and suppose that \( (\mathcal{A}, m, n, \alpha, r, \eta, 0) \) is a relative alfalfa stratum contained in \( \pi \) of minimal relative level. Assume further that \( m > [(n+2)/2] \). Then \( (\mathcal{A}, m, n, \alpha, r, \eta, 0) \) is fundamental.

A key ingredient of our proof of Proposition 3.3 and the other propositions which will yield Theorem 2.21 will be

**Theorem 3.4** ([B], Theorem 1, [HM1], Theorem 4.1). — If \( \mathcal{A} \) is a hereditary order in \( A \), with radical \( \mathcal{P} \), and period \( e \) and the coset \( x + \mathcal{P}^{j+1} \) in \( \mathcal{P}^j \) contains a nilpotent element,
then there exists a hereditary order \( \mathcal{A}' \) in \( A \), with radical \( \mathcal{P}' \), and an integer \( f' \) such that
\[
x + \mathcal{P}'^{j+1} \subseteq (\mathcal{P}')^{f'} \quad \text{and} \quad f'/e' > j/e
\]
where \( e' = e(\mathcal{A}) \).

In proving Theorem 2.21, we will actually use Proposition 3.5 below. As is shown below, Proposition 3.5 is a corollary to the proof of Theorem 1 in [B]. It also can be deduced from the proof of Theorem 4.1 in [HM1].

**Proposition 3.5.** — With notation and hypotheses as in Theorem 3.4, the hereditary order \( \mathcal{A}' \) may be chosen with the following additional property: There exist lattice chains \( L \) and \( L' \) having both a common refinement and a common thinning such that \( \mathcal{A} = \mathcal{A}(L) \) and \( \mathcal{A}' = \mathcal{A}(L') \).

**Proof.** — As in [B], for any lattice \( M \) in \( V \), write \( IM \) for the lattice generated by \( (x + \mathcal{P}'^{j+1})M \). Thus \( IM = xM + \mathcal{P}'^{j+1}M \). Set \( I^0M = M \) and inductively define \( I^nM = I(I^{n-1}M) \) for \( n \geq 2 \). Suppose that \( \mathcal{A} = \mathcal{A}(L) \). We claim that the set of distinct lattices in the set
\[
(3.5.1) \quad \{ I^nL_i | k = 0, \ldots, \infty, i \in \mathbb{Z} \}
\]
forms a lattice chain. Since this set is closed under the operations \( M \rightarrow PM \) and \( M \rightarrow P^{-1}M \), it suffices to show that it is linearly ordered. To this end, it suffices to show that for nonnegative integers \( b \) and \( c \) and integers \( k \) and \( l \) that either \( I^bL_k \subseteq I^cL_l \) or \( I^bL_k \supseteq I^cL_l \). We argue as in the proof of [B], 2.8. By symmetry, we may assume \( b \leq c \) and we proceed by induction on \( b \). By [B], 2.3, there exists an integer \( m \) such that \( L_{m+1} \subseteq I^bL_i \subseteq L_m \) whence the case \( b = 0 \). Now the case of general \( b \) follows from either \( I^{b-1}L_k \subseteq I^{b-1}L_i \) on \( I^{b-1}L_k \supseteq I^{b-1}L_i \).

Let \( L'' \) be the chain determined by (3.5.1). Note that \( L'' \) is a refinement of \( L \). In his proof of Theorem 3.3, Bushnell shows that there exists positive integers \( a \) and \( i_0 \) such that the hereditary order \( \mathcal{A}' \) can be taken to be that associated to the thinning \( L' \) of \( L'' \) determined by the set
\[
(3.5.2) \quad \{ I^jL_i | j = 0, 1, \ldots, a-1, i \equiv i_0 \mod e(\mathcal{A}) \}.
\]
Since \( L \) and \( L' \) contain \( L_{i_0} \), the proposition follows.

In addition to Proposition 3.5, the other key ingredient in our proof of Proposition 3.3 is the following technical lemma.

**Lemma 3.6.** — Suppose that \( (\mathcal{A}, n, \alpha) \) is an alfalfa stratum, that \( m \) is an integer satisfying \( n \geq m \geq [n(n+2)/2] + 1 \) and that \( \mathcal{M} \) is a sum of coordinates in \( \mathcal{P}^{m-1} \) with respect to some \( \mathcal{O}_F \)-basis \( E = F[\alpha] \) for \( L \) where \( \mathcal{A} = \mathcal{A}(L) \). Suppose further that \( \theta \) is a character of \( E^* \) which agrees with \( \psi_\alpha \) upon restriction to \( U^m(\mathcal{A}_E) \). Suppose finally that \( \pi \) is an admissible representation of \( G \) which contains the representation \( \theta \cdot \psi_\alpha \) upon restriction to \( M(\mathcal{M}_E)U^m(\mathcal{A}_E) \). Then \( \pi \) contains a representation \( \psi_\alpha' \) upon restriction to \( M(\mathcal{M}_E) \) where \( \alpha' \) is an element of the coset \( \alpha + \mathcal{P}'^{1-n} \) such that \( \psi_\alpha \) and \( \psi_\alpha' \) agree upon restriction to
U^m(\mathcal{A}_F) and the following properties are satisfied:

(i) \( E' = F[\alpha'] \) is a subfield of \( A \).
(ii) \( \nu_{\mathcal{A}}(\alpha') = -n \).
(iii) \( \alpha' \) is \( E'/F \)-minimal.
(iv) \( L \) is an \( \mathcal{O}_E \)-lattice chain.

\textbf{Proof.} — Before beginning the proof we note that (ii) is redundant since it is implied by the requirement that \( \psi_\alpha \) and \( \psi_{\alpha'} \) agree on \( U^m(\mathcal{A}_F) \). We only include it for emphasis. To begin the proof, let \( \nu \) be a nonzero vector in the space of \( \pi \) which transforms according to \( \theta \cdot \psi_\alpha \) under the action of \( M(\mathcal{M}_F) U^m(\mathcal{A}_F) \). Since \( U^m(\mathcal{A}_F) \) contains \( M(\mathcal{M}_F) \) and \( m - 1 \geq \lceil (n + 2)/2 \rceil \), the \( M(\mathcal{M}_F) \)-span of \( \nu \) decomposes as a sum of characters which extend \( \theta \cdot \psi_\alpha \). Thus, changing \( \nu \) if necessary, we may assume that, under the action of \( M(\mathcal{M}_F) \), \( \nu \) transforms according to \( \psi_\alpha \) where \( \psi_\alpha \) is a character of \( M(\mathcal{M}_F) \) extending \( \theta \cdot \psi_\alpha \). Then, by Proposition 2.14 (ii), \( \psi_\alpha S_{\alpha}(\beta) = \psi_\alpha \) agrees on \( M(\mathcal{M}_F) \). Thus, by Proposition 2.15 (ii), \( S_{\alpha}(\beta) + \mathcal{M}_E \) contains an element of \( E^* \).

Now it follows from the definition of \( S_{\alpha} \) and (2.0.1) that \( S_{\alpha}(\alpha) \) is in \( E \). Thus there exists an element \( \gamma \) of \( E \) which is also in \( S_{\alpha}(\beta - \alpha) + \mathcal{M}_E \).

We now claim that there exists \( \alpha' \) such that \( \psi_\alpha \) and \( \psi_{\alpha'} \) agree on \( U^m(\mathcal{A}_F) \), (i) through (iv) are satisfied and \( S_{\alpha}(\beta - \alpha') \) is in \( \mathcal{M}_E \). To this end, let \( \mathcal{B} \) be an \( \mathcal{O}_E \)-basis for \( L \). Then, as in Definition 2.3, we may identify \( V \) with \( E \otimes_F W \) where \( W \) is the \( F \)-span of \( \mathcal{B} \) in \( V \). Then, as in Lemma 2.7, there exists an \( (E, E) \)-bi-module isomorphism, \( \varphi \) say, from \( A_F(\mathcal{E}) \otimes \text{End}_F(W) \) onto \( A_F(V) \).

Now, if \( \gamma \) is in \( \mathcal{M}_E \), our claim follows from letting \( \alpha' = \alpha \). Thus suppose \( \gamma \) is not in \( \mathcal{M}_E \). Since \( \psi_\alpha \) and \( \psi_{\alpha} \) agree on \( U^m(\mathcal{A}_F) \), \( \beta - \alpha \) is in \( \mathcal{M}_E^1 \) and thus \( \nu_{\mathcal{A}}(\gamma) = 1 - m \). By Theorem 2.6, there exists \( \beta \) in \( A_F(E) \) such that \( S_{\alpha}(\beta) = \gamma \) and \( \nu_{\mathcal{A}}(\beta) = \nu_{\mathcal{A}}(\gamma) \). Let \( \alpha' = \alpha + \varphi(\beta \otimes 1) \). Then

\[
S_{\alpha', \nu}(\alpha') = S_{\alpha, \nu}(\alpha) + S_{\alpha, \nu}(\varphi(\beta \otimes 1))
\]

\[
= S_{\alpha, \nu}(\alpha) + \varphi(S_{\alpha, E}(\beta \otimes 1))
\]

\[
= S_{\alpha, \nu}(\alpha) + \varphi(\gamma \otimes 1)
\]

\[
= S_{\alpha, \nu}(\alpha) + \gamma.
\]

Thus, writing \( S_{\alpha} = S_{\alpha, \nu} \) once again, we have that \( S_{\alpha}(\beta - \alpha') \) is in \( \mathcal{M}_E^1 \). Then, since \( \mathcal{B} \) is an \( \mathcal{O}_E \)-basis for \( L \) and \( \nu_{\mathcal{A}}(\beta) = \nu_{\mathcal{A}}(\gamma) \), one can check that \( \nu_{\mathcal{A}}(\varphi(\beta \otimes 1)) = 1 - m \) so that \( \nu_{\mathcal{A}}(\alpha') = -n \) and \( \alpha - \alpha' \) is in \( \mathcal{B}^{1-m} \). Thus, to complete the proof of the claim, it suffices to show that (i), (iii) and (iv) hold.

Since \( \varphi \) is an algebra homomorphism and since \( \alpha' = \alpha + \varphi(\beta \otimes 1) = \varphi((\alpha + \beta) \otimes 1) \), to show that \( E' = F[\alpha'] \) is a subfield of \( A_F(V) \) and that \( \alpha' \) is \( E'/F \)-minimal, it suffices to show that \( E_1 = F[\alpha_1] \), where \( \alpha_1 = \alpha + \beta \), is a subfield of \( A_F(E) \) with \( \alpha_1 \in E_1/F \)-minimal. This however, is a result of Carayol [Ca], 3.2 since \( \nu_{\mathcal{A}}(\beta) > \nu_{\mathcal{A}}(\alpha) \) and \( \alpha \) is \( E/F \)-minimal. It then also follows from a result of Carayol [Ca], 3.4 that \( \mathcal{O}_{E_1} \) is contained in \( \mathcal{A}_F(E) \) and that \( P_{E_1} \) is contained in \( \mathcal{P}(E) \). Then, since \( \mathcal{B} \) is an \( \mathcal{O}_E \)-basis for \( L \), it follows that \( \mathcal{O}_{E'} \) is contained in \( \mathcal{A}_F(E) \) and \( P_{E'} \) is contained in \( \mathcal{P}(E) \). Thus, each
lattice \( L_i \) in \( L \) is an \( \mathcal{O}_E \)-lattice and \( P_E \cdot L_i \subseteq L_{i+e} \) for all \( i \) where \( e \) is the period of \( L \) as an \( \mathcal{O}_E \)-chain. Suppose \( P_E \cdot L_i \) is properly contained in \( L_{i+e} \) for some \( i \). Then \( (P_E)^{E/(E/F)} \cdot L_i \) is properly contained in \( L_{i+e}^{E/(E/F)} \). But \( (P_E)^{E/(E/F)} = P_F \) so that then \( P_F \cdot L_i \) is properly contained in \( L_{i+e}^{E/(E/F)} \) a contradiction. Thus \( P_E \cdot L_i = L_{i+e} \) for all \( i \) so that \( L \) is an \( \mathcal{O}_E \)-lattice chain as desired and the claim follows.

Since \( S_a(\alpha' - b) \) is in \( \mathcal{M}^* \) and \( \alpha' - b \) is in \( \mathcal{P}^{1-m} \), there exists, by Theorem 2.6, an \( h \) in \( \mathcal{P}^{1-m} \) such that \( A_a(h) - (b - \alpha') \) is in \( \mathcal{M}^* \). Now, to prove the lemma, it suffices to prove that, \( v_1 = \pi((1 + h \alpha^{-1})) \) \( \psi \) transforms according to \( \psi_{a'} \) under the action of \( M(\mathcal{M}_E) \). To this end, let \( \alpha + T = b \). Then,

\[
(1 + h \alpha^{-1}) = (1 + h \alpha^{-1}) \equiv (1 + h \alpha^{-1}) \mod \mathcal{M}^*
\]

by definition of \( h \). Thus, since \( 1 + h \alpha^{-1} \) stabilizes \( \mathcal{M} \), \( v_1 \) transforms according to \( \psi_{a'} \) as desired.

With Proposition 3.5 and Lemma 3.6 now in place we close this subsection with the

**Proof of Proposition 3.3.** — With notation as in the statement of the proposition, assume that \( (\mathcal{A}, m, n, \alpha, r, \eta, 0) \) is not fundamental. If \( \eta \otimes \theta \) factors through the determinant, then Lemma 3.6 (with \( \mathcal{M} = \mathcal{P}^{m-1} \) and \( \eta \otimes \theta \) in place of \( \theta \)) and Remark 2.17 imply that \( \pi \) contains a relative alfalfa stratum \((\mathcal{A}, m-1, n, \alpha', r-1, \eta', \theta')\). This however contradicts the assumption that \((\mathcal{A}, m, n, \alpha, r, \eta, 0)\) is of minimal level. Thus we may assume that \( \eta = \psi_{a,b} \) where \( b + \mathcal{P}_E^{2-m} \) contains a nilpotent element. Then, by Proposition 3.5, there exists an \( \mathcal{O}_E \)-lattice chain \( L' \) and an integer \( m' \) such that the following hold \((\mathcal{A}' = \mathcal{A}(L')' \) and \( \mathcal{P}' = \mathcal{P}(L')\):

\[
\begin{align*}
\text{(3.3.1)} & \quad b + \mathcal{P}_E^{2-m} \subseteq (\mathcal{P}_E)^{1-m'}.
\text{(3.3.2)} & \quad (m-1)/e(\mathcal{A}_E) > (m'-1)/e(\mathcal{A'}_E).
\text{(3.3.3)} & \quad L \text{ and } L' \text{ have a common refinement.}
\end{align*}
\]

We claim that \( \mathcal{M} = \mathcal{P}^{m} + (\mathcal{P})^{m'} \) is a sum of coordinates in \( \mathcal{P}^{m-1} \) with respect to an \( \mathcal{O}_E \)-basis for a common refinement of \( L \) and \( L' \). To this end, (3.3.1) implies that \( \mathcal{P}_E^{2-m} \subseteq (\mathcal{P}_E)^{1-m'} \) so that \( \mathcal{P}_E^{2-m} \subseteq (\mathcal{P}_E)^{1-m'} \). Thus

\[
\begin{align*}
\text{(3.3.4)} & \quad \mathcal{P}^{m-1} = (\mathcal{P}_E^{2-m})^* \\
& \quad \subseteq ((\mathcal{P})^{1-m'})^* \\
& \quad = (\mathcal{P})^{m'}
\end{align*}
\]

so that \( \mathcal{P}^{m-1} \supseteq \mathcal{M} \supseteq \mathcal{P}^{m} \). Then, since an \( \mathcal{O}_E \)-basis for a common refinement of \( L \) and \( L' \) is also an \( \mathcal{O}_E \)-basis for both \( L \) and \( L' \), the claim follows.

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By (3.3.1), for \(1 + h\) in \(U^m(\mathcal{A}_E')\) we have that

\[
(3.3.5) \quad \psi_{\alpha, b}(1 + h) = \psi(\hat{\alpha}(bh)) = 1.
\]

Thus \(\pi\) contains the representation \(\theta \cdot \psi_{\alpha}\) upon restriction to \(M(\mathcal{A}_E) U^m(\mathcal{A}_E').\) Now, by Lemma 3.6, there exists \(\alpha'\) as in the lemma such that \(\pi\) restricted to \(M(\mathcal{A}_E)\) contains \(\psi_{\alpha'}\). Hence \(\pi\) restricted to \(U^m(\mathcal{A}_E')\) contains \(\psi_{\alpha'}\). Now, with \(n' = -v_{\mathcal{A}_E}(\alpha')\), \((\mathcal{A}', n', \alpha')\) is an alfalfa stratum. Moreover, with \(E^\prime = F[\alpha']\), \(e = e(\mathcal{A}_E')\) and \(e' = e(\mathcal{A}_E')\), Proposition 1.14 and (3.3.2) imply that

\[
n' = -v_{\mathcal{A}_E}(\alpha')e' = -v_{\mathcal{A}_E}(\alpha)e' = ne'/e > (m - 1)e'/e > m' - 1
\]

But now, by Remark 2.17, \((\mathcal{A}, m, n, \alpha, r, \eta, \theta)\) is not of minimal level—a contradiction.

### 3.2. RELATIVELY SMALL RELATIVE LEVEL: THE EVEN CASE

In this subsection and the next we prove Theorem 2.20 and Theorem 2.21 in the case \(m = (n + 2)/2\). We divide these proofs into two subcases with the distinction between these subcases being effected by the following definition.

**Definition 3.7.** We say that an alfalfa stratum \((\mathcal{A}, n, \alpha)\) or a relative alfalfa stratum \((\mathcal{A}, m, n, \alpha, r, \eta, \theta)\) is even if \(\nu_E(\alpha)\) is even \((E = F[\alpha])\). We say that such a stratum is odd if \(\nu_E(\alpha)\) is odd. Note that if \(\pi\) is an irreducible admissible representation of G which contains an alfalfa stratum, then, by Proposition 1.14 all the alfalfa strata and all the relative alfalfa strata (if \(\pi\) contains a proper alfalfa stratum) contained in \(\pi\) have the same parity. Note also that if an alfalfa stratum \((\mathcal{A}, n, \alpha)\) is even, then \(n\) is even so that \([n + 2]/2 = (n + 2)/2\).

**Proposition 3.8.** Let \(\pi\) be an admissible representation of G which contains an even relative alfalfa stratum \((\mathcal{A}, m, n, \alpha, r, \eta, \theta)\): set \(E = F[\alpha]\), \(e = e(\mathcal{A}_E)\) and suppose that \(c \mid \gcd(r, e)\). Assume further that \(m = (n + 2)/2\); set \(n' = n/c\), \(r' = r/c\), \(e' = e/c\) and \(m' = (n' + 2)/2\). Then \(\pi\) also contains a relative alfalfa stratum \((\mathcal{A}', m', n', \alpha, r', \theta)\) with \(e(\mathcal{A}_E') = e'\).

**Proof.** Suppose that \(\mathcal{A} = \mathcal{A}(L)\) and let \(L'\) be the uniform thinning of \(L\) by a factor of \(c\). Set \(k = -\nu_E(\alpha), \mathcal{A}' = \mathcal{A}(L'), \mathcal{P}' = \mathcal{P}(L')\) and note that \(e(\mathcal{A}_E') = e'\).

By Lemma 3.1, we have that

\[
(3.8.1) \quad \mathcal{P}^{r + 1} \supseteq (\mathcal{P})(r')^{r' + 1}.
\]

Also,

\[
r' = r/c
\]

\[
(3.8.2) \quad \leq (m - 1)/c
\]
In addition, since \( L' \) is a thinning of \( L \),

\[
\mathcal{P}^m = \mathcal{P}^\omega e'/2 + 1
\]

(3.8.3)

\[= \mathcal{P}^{\omega/2} \mathcal{P} \]

\[\cong \mathcal{P}^{\omega/2} \mathcal{P}' \]

\[= (\mathcal{P}')^m.
\]

By (3.8.1) and (3.8.3), \( \pi \) contains \( \theta \cdot \psi_a \) upon restriction to \( \mathcal{U}^{r+1} \mathcal{A}_E' \mathcal{U}^m \mathcal{A}_E' \). Now Lemma 3.8 follows from Remark 2.17 if \( r' = m' - 1 \) and from the following lemma if \( r' < m' - 1 \).

Lemma 3.9. — If \( \pi \) is an admissible representation of \( G \) which contains a relative alfalfa stratum \( (\mathcal{A}, m, n, \alpha, r + 1, \text{Id}, \theta) \) with \( m = [(n + 2)/2] \) and \( r \geq 0 \) where \( \text{Id} \) denotes the identity character, then \( \pi \) also contains a relative alfalfa stratum \( (\mathcal{A}, m, n, \alpha, r, \eta, \theta) \) for some \( \eta \).

Proof. — This is straightforward (see Remark 2.17).

Our goal for the remainder of this section is

Proposition 3.10. — Let \( \pi \) be an irreducible supercuspidal representation of \( G \) which contains an even proper alfalfa stratum and let \( (\mathcal{A}, m, n, \alpha, r, \eta, \theta) \) be a relative alfalfa stratum contained in \( \pi \) of minimal relative level. Assume further that \( m = (n + 2)/2 \). Then \( (\mathcal{A}, m, n, \alpha, r, \theta) \) is fundamental.

Proof. — If \( r = 0 \), then \( (\mathcal{A}, m, n, \alpha, r, \eta, \theta) \) is fundamental by definition. Thus suppose \( r > 0 \), set \( E = F[\alpha] \), \( \mathcal{A} = \mathcal{A}(L) \) and write \( \eta = \psi_a \). If \( \eta \otimes \theta \) factors through the determinant, then it follows from Lemma 3.9 that \( (\mathcal{A}, m, n, \alpha, r, \eta, \theta) \) is not of minimal relative level—a contradiction. Thus, if \( (\mathcal{A}, m, n, \alpha, r, \eta, \theta) \) is not fundamental, we may assume \( b + \mathcal{P}^{1-r} \) contains a nilpotent element. Then, by Proposition 3.5, there exists an \( \mathcal{O}_E \)-lattice chain \( L' \) and an integer \( r' \) such that the following hold:

(3.10.1) \[ b + \mathcal{P}^{r+1} \subseteq \mathcal{P}(L')^{-r}. \]

(3.10.2) \[ r'/e_E(L') < r/e_E(L). \]

(3.10.3) \( L \) and \( L' \) have a common thinning and a common refinement.

Before proceeding further with the proof of Proposition 3.10, we state the following technical lemma which is much in the spirit of Lemma 3.6. We will use this lemma frequently in what follows.

Lemma 3.11. — Let \( (\mathcal{A}, n, \alpha) \) be an alfalfa stratum, let \( L \) be an \( \mathcal{O}_E \)-lattice chain \( (E = E[\alpha]) \), such that \( \mathcal{A} = \mathcal{A}(L) \) and let \( \mathcal{B} \) be an \( \mathcal{O}_E \)-basis for \( L \). Suppose that \( \mathcal{M} \) and \( \mathcal{N} \) are sums of coordinates in \( \mathcal{P}^m \) and \( \mathcal{P}^b \) respectively with respect to \( \mathcal{B} \) such that \( \alpha^{-1} \) is in \( \mathcal{M} \), \( M(\mathcal{M}) \) and \( M(\mathcal{N}) \) are defined, \( \mathcal{N} \subseteq \mathcal{M} \) and \( \ker \psi_a \subseteq \mathcal{N}^2 \). Let \( \theta \) be a character of \( E^* \) which agrees with \( \psi_a \) upon restriction to \( M(\mathcal{M}_E) \). Let \( L' \) be an \( \mathcal{O}_E \)-lattice chain, set

\[= ke'/2\]

\[= m' - 1.\]
\( \mathcal{A}' = \mathcal{A}(L'), \mathcal{P}' = \mathcal{P}(L') \) and suppose that \( r' \) is a nonnegative integer such that \( (\mathcal{P}')^{r' + 1} \supseteq \mathcal{N} \) and \( U^{r' + 1}(\mathcal{A}') \) normalizes both \( M(\mathcal{M}) \) and \( M(\mathcal{N}) \). Suppose finally that the following conditions hold:

(i) \( \mathcal{M}^* \cap A_{ii} = \mathcal{N}^* \cap A_{ii} \) for all \( i \) where the \( A_{ii} \) are defined with respect to \( \mathcal{B} \).

(ii) \( \alpha^{-1}(\mathcal{P})^{r' + 1} \mathcal{M} \subseteq \mathcal{M} \).

Then an admissible representation \( \pi \) of \( G \) which contains the representation \( \theta \cdot \psi_\pi \) of \( \mathcal{U}^{r' + 1}(\mathcal{A}') \mathcal{M}(\mathcal{N}) \) upon restriction also contains \( \theta \cdot \psi_\pi \) upon restriction \( \mathcal{U}^{r' + 1}(\mathcal{A}') \mathcal{M}(\mathcal{N}) \).

Remark 3.12. — Before proving Lemma 3.11, we show in this remark that the lemma allows us to conclude the proof of Proposition 3.10. To see this, with notation as in the proof of Proposition 3.10, let \( L^v \) and \( L^w \) be \( \mathcal{O}_E \)-lattice chains which are, respectively, a common thinning and a common refinement of \( L \) and \( L' \). Set \( \mathcal{A}^v = \mathcal{A}(L^v) \), \( \mathcal{A}^w = \mathcal{A}(L^w) \), \( \mathcal{P}^v = \mathcal{P}(L^v) \), \( \mathcal{P}^w = \mathcal{P}(L^w) \), \( k = -v_E(\alpha) \), \( e = e(\mathcal{A}_E) \), \( e' = e(\mathcal{A}_E) \), \( n' = ke' \) and \( m' = (n' + 2)/2 \); similarly define \( e^v \cdot e^w \cdot n^v \cdot n^w \cdot m^v \) and \( m^w \).

Note that (3.10.2) implies that

\[
(3.12.1) \quad r' < re'/e
\]

\[
\leq (m - 1) e'/e
\]

\[= m' - 1.
\]

Note also that (3.10.1) implies that

\[
(3.12.2) \quad \mathcal{P}_E \supseteq (\mathcal{P}_E)^{r' + 1}
\]

and that \( \psi_{n,k} \) is trivial upon restriction to \( U^{r' + 1}(\mathcal{A}_E) \). Let \( \mathcal{B} \) be an \( \mathcal{O}_E \)-basis for \( L^v \). Let \( \mathcal{M} = \mathcal{P}_m \cap (\mathcal{P}_E)^{r' + 1} \) and let \( \mathcal{N} = (\mathcal{A}^v)^{m^v} \cap (\mathcal{P}_E)^{r' + 1} \). Now we claim that \( \mathcal{M} \) and \( \mathcal{N} \) are sums of coordinates \( (\mathcal{P}^v)^{m^v - 1} \) with respect to \( \mathcal{B} \). To see this, we first note that \( \mathcal{P}^v \supseteq \mathcal{P}_E \supseteq \mathcal{A}^v \). Thus, multiplying by \( \mathcal{P}_E^{l/2} \) we obtain that \( \mathcal{P}_E^{l/2} \mathcal{P}^v \subseteq \mathcal{P}_E^{l/2} \mathcal{P} \subseteq \mathcal{P}_E^{k/2} \mathcal{A}^v \) which we may rewrite as

\[
(3.12.3) \quad (\mathcal{P}^v)^{m^v} \subseteq (\mathcal{P}_E)^{m^v - 1}.
\]

Next, since \( (\mathcal{P}^v)^{m^v} \supseteq (\mathcal{P}_E)^{m^v} \), an argument similar to that for (3.12.3) yields that \( (\mathcal{P}_E)^{r' + 1} \supseteq (\mathcal{A}^v)^{m^v} \). Thus, since an \( \mathcal{O}_E \)-basis for \( L^w \) is also an \( \mathcal{O}_E \)-basis for \( L' \) and \( L^v \), it follows that \( \mathcal{M} \) is a sum of coordinates in \( (\mathcal{P}_E)^{m^v - 1} \). The argument for \( \mathcal{N} \) is similar.

Since \( \alpha^{-1} \) is in \( (\mathcal{A}^v)^{m^v} \) and \( (\mathcal{P}_E)^{r'} \) it follows from (3.12.1) that \( \alpha^{-1} \) is in \( \mathcal{M} \). An argument similar to (3.12.3) shows that \( \mathcal{N} \supseteq \mathcal{M} \). Since \( (\mathcal{A}^v)^{m^v} \supseteq \mathcal{N} \), it follows that \( M(\mathcal{N}) \) is defined and \( \ker \psi_{n,k} \supseteq M^{-1} \). Similarly \( M(\mathcal{M}) \) is defined. Note that \( (\mathcal{P}_E)^{r' + 1} \supseteq \mathcal{N} \). To show that \( U^{r' + 1}(\mathcal{A}_E) \) normalizes \( M(\mathcal{A}_E) \) and \( M(\mathcal{N}) \) it suffices to show that \( U^{r' + 1}(\mathcal{A}_E) \) is contained in \( \mathcal{A}^w \) and \( (\mathcal{A}^w)^{m^w} \). The first containment follows from (3.12.2) and the second from (3.12.2) and the fact that \( (\mathcal{A}^v)^{m^v} \supseteq \mathcal{U}^1(\mathcal{A}_E) \). Thus all the hypotheses of Lemma 3.11 are satisfied (with \( \mathcal{A}^v \) in place of \( \mathcal{A} \) with the possible exceptions of (i) and (ii). We now show that these hypotheses also hold.

To show that (i) holds, it suffices to show that

\[
(3.12.4) \quad \mathcal{P}_E^{1 - m} \cap \mathcal{A}_E = (\mathcal{A}^v)^{1 - m^v} \cap A_{ii} \quad \text{for all} \quad i.
\]
But since $P_1-m = P_{E^1/2}A$, $(P^1)^{1-m} = P_{E^1/2}A^1$ and $L^1$ is a refinement of $L$ this is clear. Now consider (ii). Here we have that

$$(3.12.5) \quad \alpha^{-1}(P^r + 1\ M^*) = \alpha^{-1}(P^r + 1\ (P^1 - m + (P^r)^{-1}) = (P^r)^{r^{-1}} P^{m-1} + (P^r)^{r+1}.$$ 

By (3.12.2),

$$(3.12.6) \quad (P^r)^{r^{-1}} P^{m-1} \subseteq P^{m}.$$ 

Since $k \geq 2$, we have that $m - 1 \geq e$ so that

$$(3.12.7) \quad (P^r)^{r^{-1}} P^{m-1} \subseteq (P^r)^{r+1} P^e \subseteq (P^r)^{r+2}.$$ 

Finally, one checks that $(P^r)^{r+1}$ is contained in $(P^r)^{m^r}$ and thus in $M$ so that (ii) follows from (3.12.5), (3.12.6) and (3.12.7).

Now, since $P^m \supseteq M$, $(P^r)^{r+1} \subseteq P^m$ and $\psi_{\alpha, \beta}$ is trivial on $U^{r+1}(A^r')$, $\pi$ contains $\theta \cdot \psi_{\alpha, \beta}$ upon restriction to $U^{r+1}(A^r') M(M)$. Thus, if Lemma 3.11 holds, the above argument implies that $\pi$ contains $\theta \cdot \psi_{\alpha, \beta}$ upon restriction to $U^{r+1}(A^r') M(M)$. Then, since

$$(P^r)^{m^r} = P_{E_{1/2}}^{1/2} A^1$$

$$(3.11.1) \quad \text{implies that } \pi \text{ contains the relative alfalfa stratum } (A^r', m', n', \alpha, r'+1, \text{Id}, \theta).$$

But now (3.10.2) and Lemma 3.9 yield a contradiction. Thus, to conclude the proof of Proposition 3.19, it suffices to prove Lemma 3.11.

**Proof of Lemma 3.11.** — With notation as in Lemma 3.11, let $v$ be a nonzero vector in the space of $\pi$ which transforms according to $\theta \cdot \psi_{\alpha, \beta}$ under the action of $U^{r+1}(A^r') M(M)$. Let $W$ denote the $U^{r+1}(A^r') M(M)$ span of $v$. We claim that $W$ decomposes as a sum of characters of the form $\theta \cdot \psi_{\alpha, \beta}$ where $\psi_{\alpha, \beta}$ extends $\psi_{\alpha, \beta}$. To this end, it suffices to show that ker$(\theta \cdot \psi_{\alpha, \beta})$ (with $\theta \cdot \psi_{\alpha, \beta}$ viewed as a representation of $U^{r+1}(A^r') M(M)$) is a normal subgroup of $U^{r+1}(A^r') M(M)$ with abelian quotient. Since $U^{r+1}(A^r')$ stabilizes $\psi_{\alpha, \beta}$ this is straightforward once we have the following:

(3.11.1) If $g$ is in $U^{r+1}(A^r')$ and $h$ is in $M(M)$, then $hgh^{-1}g^{-1}$ is in ker$(\theta \cdot \psi_{\alpha, \beta})$.

To prove (3.11.1), write $g = 1 + \beta$, $h = 1 + x$, $g^{-1} = 1 + \overline{\beta}$ and $h^{-1} = 1 + \overline{x}$. Then since $x + \overline{x} + x\overline{\beta} + \overline{x}\beta = 0$ we have that

$$(3.11.2) \quad hgh^{-1}g^{-1} = 1 + \beta x + x\beta + x\beta + \overline{x} + x\overline{\beta} + x\beta + x\beta + \overline{x}.$$ 

Now $tr(x^{-1}(P^r)^{r+1}M^*M^*) = tr(x^{-1}M^*(P^r)^{r+1}M^*)$ so that (ii) implies that

$$(3.11.3) \quad x^{-1}M^*(P^r)^{r+1} \subseteq M.$$
Then, since $\mathcal{N} \supseteq \mathcal{M}$ and ker $\psi_a \supseteq \mathcal{N}^*$, it follows that $\alpha \mathcal{N} \subseteq \mathcal{M}^*$ and thus that $(\mathcal{P})^{r+1} \mathcal{N}$ and $\mathcal{N} (\mathcal{P})^{r+1}$ are contained in $\mathcal{M}$. Then since (ii) implies that $(\mathcal{P})^{r+1} \mathcal{M} \subseteq \mathcal{M}$ it follows that $hgh^{-1} g^{-1}$ is in $\mathcal{M}$ and that

$$
(3.11.4)\quad 0 \cdot \psi_a (hgh^{-1} g^{-1}) = \psi_a (1 + \beta x + \beta \beta + x \beta + x \beta + \beta x \beta)
$$

Now $\psi_a (1 + \beta x + \beta \beta + \beta x \beta) = 1$ since $\alpha$ commutes with $\beta$. Thus (3.11.4) becomes

$$
(3.11.5)\quad 0 \cdot \psi_a (hgh^{-1} g^{-1}) = \psi_a (1 + x \beta + x \beta + \beta x \beta)
$$

But then since $x$ and $\beta$ are in $\alpha^{-1} \mathcal{M}^*$ it follows from (ii) that $0 \cdot \psi_a (hgh^{-1} g^{-1}) = 1$ as desired.

Changing $v$ if necessary, we may now assume that $v$ transforms according to $0 \cdot \psi_a$ under the action of $U^{r+1} (\mathcal{A}_E^0) M (\mathcal{N})$ where $\psi_a$ and $\psi_b$ agree upon restriction to $M (\mathcal{M})$. Then, as in the proof of Lemma 3.6, there exists an element $\gamma$ in $E$ which is also in $S_a (b-a) + \mathcal{N}_E^*$. Since $S_a (b-a)$ is in $\mathcal{M}_E^*$ and $\gamma$ is in $E$ and thus in $\oplus \mathcal{A}_i$, (i) implies that $S_a (b-a)$ is in $\mathcal{N}_E^*$.

Since $S_a (b-a)$ is in $\mathcal{N}_E^*$ and $b-a$ is in $\mathcal{M}^*$, there exists, by Theorem 2.6, an $x$ in $\mathcal{M}^*$ such that $A_a (x) = (b-a)$ is in $\mathcal{N}^*$. Let $y = 1 + x \alpha^{-1}$. Since $\alpha^{-1}$ is in $\mathcal{M}$ by assumption and $\mathcal{M}$ is a sum of coordinates, it follows that $\alpha^{-1} \mathcal{M}^*$ is contained in the radical of some hereditary order. Moreover one can check that $(\alpha^{-1} \mathcal{M}^*) (\alpha^{-1} \mathcal{M}^*) \subseteq \alpha^{-1} \mathcal{M}^*$ whence $M (\alpha^{-1} \mathcal{M}^*)$ is defined. Let $v_1 = \pi (y) v$. Then one checks that (ii) implies that

$$
(1 + x \alpha^{-1}) b (1 + x \alpha^{-1})^{-1} \equiv (1 + x \alpha^{-1}) b (1 - x \alpha^{-1}) \mod \mathcal{N}^*
$$

$$
(3.11.6)\quad \equiv (\alpha x + (b-a)) (1 - x \alpha^{-1}) \mod \mathcal{N}^*
$$

Thus, since $y$ stabilizes $\mathcal{N}$, $v_1$ transforms according to $\psi_a$ under the action of $M (\mathcal{M})$. Finally a computation similar to that used to prove (3.11.4) (we only used that $\mathcal{N} \subseteq \alpha^{-1} \mathcal{M}^*$) yields that $v_1$ transforms according to $0 \cdot \psi_a$ under the action of $U^{r+1} (\mathcal{A}_E^0) M (\mathcal{M})$ as desired.

3.3. Relative small relative level: the odd case. — We will begin this subsection by completing the proof of Theorem 2.20. We will close the subsection by completing the proof of Theorem 2.21. In light of the previous sections, the proof of Theorem 2.20 will be complete once we prove

**Proposition 3.13.** — Let $\pi$ be an admissible representation of $G$ which contains an odd relative alfalfa stratum $(\mathcal{A}, m, n, \alpha, r, \eta, \theta)$; set $e = e (\mathcal{A}_E) (E = F [\alpha])$ and suppose that $e | \gcd (r, e)$. Suppose further that $m = [(n+2)/2]$; set $n' = n/c$, $r' = r/e$, $e' = e/c$ and $m' = [(n'+2)/2]$. Then $\pi$ also contains a relative alfalfa stratum $(\mathcal{A}', m', n', \alpha, r', \eta', \theta)$ with $e (\mathcal{A}_E') = e'$. 

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Proof. - To begin, we assume one of the other cases being trivial. Suppose that \( \mathcal{A} = \mathcal{A}(L) \) and let \( L' \) denote the uniform thinning of \( L \) by a factor of \( c \); set \( \mathcal{A}' = \mathcal{A}(L') \), let \( \mathcal{P}' \) denote the radical of \( \mathcal{A}' \) and note that \( e(\mathcal{A}') = e' \). Set \( k = -v_\ell(\alpha) \). Note that \( \psi_\alpha \) is trivial of \( U'^{n+1}(\mathcal{A}_\ell) \) and that, by Lemma 3.1,
\[
(3.13.1) \quad \mathcal{P}'^{n+1} \cong (\mathcal{P})^{r'+1}.
\]
Note also that
\[
(3.13.2) \quad r' = re'/e
\]
\[
\leq [(m - 1)e'/e]
\]
\[
= [ke/2]e'/e
\]
\[
\leq [ke'/2]
\]
\[
= m' - 1.
\]
Now, if \( e' \) is even, then, by Lemma 3.1, we have that
\[
(3.13.3) \quad \mathcal{P}^m = \mathcal{P}_{\ell}^{(m - 1)/2}(\mathcal{P})^{e'/2}
\]
\[
\cong \mathcal{P}_{\ell}^{(m - 1)/2}(\mathcal{P})^{e'/2}
\]
\[
= (\mathcal{P})^m.
\]
Thus, \( \pi \) contains \( \theta \cdot \psi_\alpha \) upon restriction to \( U'^{n+1}(\mathcal{A}_\ell) U^m_{\ell}(\mathcal{A}') \) whence the proposition follows from (3.13.2) and either Remark 2.17 or Lemma 3.9.

Now consider the case \( e' \) odd. Here, by Lemma 3.1, we have that
\[
(3.13.4) \quad \mathcal{P}^m \cong (\mathcal{P})^{m''}
\]
with
\[
m'' = [(m - 2)/c] + 2
\]
\[
= (k - 1)e'/2 + [(e'/2) - 1]/c + 2
\]
\[
= (k - 1)e'/2 + [(e' - 1)c/2 + [(c/2) - 1]/c] + 2
\]
\[
= (k - 1)e'/2 + (e' - 1)/2 + [c/2] - 1/c + 2
\]
\[
= (k - 1)e'/2 + (e' - 1)/2 + 2
\]
\[
= m' + 1.
\]
Thus we have that
\[
(3.13.6) \quad \mathcal{P}^m \cong (\mathcal{P})^{m' + 1}.
\]
It follows from (3.13.6) that \( \mathcal{M} = \mathcal{P}^m \cap (\mathcal{P})^{m'} \) is a sum of coordinates in \( (\mathcal{P})^{m'} \) with respect to an \( \mathcal{O}_k \)-basis \( \mathcal{B} \) for \( L \). It also follows from the above calculations that \( \pi \) contains \( \theta \cdot \psi_\alpha \) upon restriction to \( U'^{n+1}(\mathcal{A}_\ell) \mathcal{M}(\mathcal{M}) \). Let \( \mathcal{N} = (\mathcal{P})^{m'} \). Then one can check that all the hypotheses of Lemma 3.11 are satisfied with the possible exceptions

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of the following:

\[(3.13.7) \quad \mathcal{M}^* \cap A_i = N^* \cap A_i \quad \text{for all } i.\]

\[(3.13.8) \quad x^{-1}(\mathcal{P})^{r+1} \subseteq \mathcal{M}^* \subseteq \mathcal{M}.\]

Thus, to complete the proof of the proposition, it suffices to prove (3.13.7) and (3.13.8).

We first consider (3.13.7). Since \((\mathcal{P})^{1-m'} \subseteq \mathcal{P}^{1-m}\) and \(\mathcal{M}^* \subseteq \mathcal{P}^{1-m} + (\mathcal{P})^{1-m'}\) while \(N^* = (\mathcal{P})^{1-m'}\) it suffices to show that \(e\) does not divide \((1-m)+l\) for \(l=0, 1, \ldots, (1-m')+c+(m-2)\). Now

\[(3.13.9) \quad 1-m = -[ke/2] = -(k-1)e/2-[e/2] \]

and

\[(3.13.10) \quad (1-m')c = -(ke'-1)c/2 = -(k-1)e/2-(e-c)/2.\]

Thus, \((1-m')+c+(m-2) = [e/2]-1-(e-c)/2\). Thus (3.13.7) holds if

\[(3.3.11) \quad [e/2]-1-(e-c)/2 < [e/2].\]

But this always holds.

To prove (3.13.8) we need to show that

\[(3.13.12) \quad x^{-1}(\mathcal{P})^{r+1} (\mathcal{P}^{1-m} + (\mathcal{P})^{1-m'}) \subseteq \mathcal{P}^m \cap (\mathcal{P})^{m'}.\]

Since \(x^{-1}\) is in both \((\mathcal{P})^{e'}\) and \(\mathcal{P}^m\) it follows from (3.13.6) and (3.13.1) that to prove (3.13.9) it suffices to prove that

\[(3.13.13) \quad x^{-1}(\mathcal{P})^{r+1} \mathcal{P}^{1-m} \subseteq (\mathcal{P})^{m'} \quad \text{and} \quad x^{-1}(\mathcal{P})^{r+1} (\mathcal{P})^{1-m'} \subseteq \mathcal{P}^m.\]

Thus it suffices to show that

\[(\mathcal{P})^{1-m} \subseteq (\mathcal{P})^{1-m'} \quad \text{and} \quad (\mathcal{P})^{m'+1} \subseteq \mathcal{P}^m\]

but these are just (3.13.6) and it dual.

Our proof of Theorem 2.20 is now complete. The next proposition completes the proof of Theorem 2.21.

**Proposition 3.14.** — Let \(\pi\) be an irreducible representation of \(G\) which contains an odd proper alfalfa stratum and suppose that \((\mathcal{A}, m, n, \alpha, r, \eta, \theta)\) is a relative alfalfa stratum contained in \(\pi\) of minimal relative level. Assume further that \(m = [(n+2)/2]\). Then \((\mathcal{A}', m, n, \alpha, r, \eta, \theta)\) is fundamental.

**Proof.** — If \(r = 0\), then \((\mathcal{A}, m, n, \alpha, r, \eta, \theta)\) is fundamental by definition. Thus suppose \(r > 0\), write \(\eta = \psi_{n,k}\) and set \(E = F[\alpha]\). If \(\eta \otimes \theta\) factors through the determinant, then it follows from Lemma 3.9 that \((\mathcal{A}, m, n, \alpha, r, \eta, \theta)\) is not of minimal relative
level—a contradiction. Thus, if \((\mathcal{A}, m, n, \alpha, r, \eta, \theta)\) is not fundamental, we may assume \(b + \mathcal{R}^{-r} \) contains a nilpotent element. Then, by Proposition 3.5, there exists an \(\mathcal{O}_E\)-lattice chain \(L'\) and an integer \(r'\) such that the following hold \([\mathcal{P}' = \mathcal{P}(L'), \mathcal{A}' = \mathcal{A}(L')]:\)

\[
(3.14.1) \quad b + \mathcal{R}^{-r+1} \subseteq (\mathcal{P}')^{-r'}.
\]

\[
(3.14.2) \quad r'/e' < r/e \quad \text{where} \quad e = e_E(L) \quad \text{and} \quad e' = e_E(L').
\]

\[
(3.14.3) \quad L \text{ and } L' \text{ have a common refinement and a common thinning as } \mathcal{O}_E\text{-lattice chains.}
\]

Now (3.14.1) implies that

\[
(3.14.4) \quad (\mathcal{P}')^{r+1} \subseteq \ker \psi_{a,b} \subseteq \mathcal{P}.
\]

Thus \(\pi\) contains the representation \(\theta \cdot \psi_a\) upon restriction to \(U^{r+1}(\mathcal{A}') U^m(\mathcal{A})\). Let \(k = -v_E(\alpha), n = ke'\) and \(m' = [(n + 2)/2]\). Note that \(\psi_a\) is trivial on \(U^{n+1}(\mathcal{A})\). Also, by an argument similar to (3.13.1), we have that

\[
(3.14.5) \quad r' \leq m' - 1
\]

Thus, as above, Proposition 3.14 will follow from

**Proposition 3.15.** With notation and hypotheses as above, \(\pi\) contains \(\theta \cdot \psi_a\) upon restriction to \(U^{r+1}(\mathcal{A}') U^m(\mathcal{A})\).

We prove this proposition in the following five lemmas.

**Lemma 3.16.** Proposition 3.15 holds if \(r' \geq e'\).

**Proof.** Let \(L'\) denote a period one common thinning of \(L \text{ and } L'\) as \(\mathcal{O}_E\)-chains; set \(\mathcal{A}' = \mathcal{A}(L'), \mathcal{P}' = \mathcal{P}(L'), n' = k\) and \(m' = (k+1)/2\). By an argument similar to that for (3.13.6), we have that

\[
(3.16.1) \quad \mathcal{P}^m \subseteq (\mathcal{P}')^m + 1 \quad \text{and} \quad (\mathcal{P}')^m \subseteq (\mathcal{P}')^{m' + 1}.
\]

Thus \(\mathcal{M} = \mathcal{P}^m \cap (\mathcal{P}')^m \cap (\mathcal{P}')^{r+1}\) is a sum of coordinates in \((\mathcal{P}')^m\) as is \(\mathcal{N} = (\mathcal{P}')^m \cap (\mathcal{P}')^{r+1}\). Since \(r' \geq e'\),

\[
(3.16.2) \quad (\mathcal{P}')^{r+1} \subseteq (\mathcal{P}')^{r+1} = \mathcal{P}' \subseteq \mathcal{A}' = \mathcal{P}'.
\]

Now one can check that Lemma 3.11 is applicable if the following hold:

\[
(3.16.3) \quad \mathcal{M}^* \cap \mathcal{A}_i = \mathcal{N}^* \cap \mathcal{A}_i \quad \text{for all } i.
\]

\[
(3.16.4) \quad \alpha^{-1}(\mathcal{P}')^{r+1} \mathcal{M}^* \subseteq \mathcal{M}.
\]

Since \(\mathcal{M}^* = \mathcal{P}^1 - m + (\mathcal{P}')^{1-m} + (\mathcal{P}')^{-r}\) and \(\mathcal{N}^* = (\mathcal{P}')^{1-m} + (\mathcal{P}')^{-r}\) to show that (3.16.3) holds it suffices to check that \((\mathcal{P}^1 - m + (\mathcal{P}')^{1-m}) \cap \mathcal{A}_i = (\mathcal{P}')^{1-m} \cap \mathcal{A}_i\). This
is checked as was (3.13.7). To show that (3.16.4) holds, we need to show that

\[(3.16.5) \quad \alpha^{-1}(\mathcal{P}^r)^{+1}(\mathcal{P}^{1-m} + (\mathcal{P}^w)^{1-m} + (\mathcal{P}^{-r})^{-r}) \subseteq \mathcal{P}^m \cap (\mathcal{P}^\nu)^m \cap (\mathcal{P}^r)^{+1}.\]

From (3.16.2) it follows that \(\alpha^{-1}(\mathcal{P}^r)^{+1} \mathcal{P}^{1-m} \subseteq \mathcal{P}^m\), \(\alpha^{-1}(\mathcal{P}^r)^{+1} (\mathcal{P}^w)^{1-m} \subseteq (\mathcal{P}^\nu)^m\), and \(\alpha^{-1}(\mathcal{P}^r)^{+1} (\mathcal{P}^{-r})^{-r} \subseteq (\mathcal{P}^r)^r\) By (3.16.1), we have that

\[(3.16.6) \quad \mathcal{P}^{1-m} \subseteq (\mathcal{P}^\nu)^{-m} \quad \text{and} \quad (\mathcal{P}^r)^{-m} \subseteq (\mathcal{P}^\nu)^{-m}.\]

Thus it follows from (3.16.2) that \(\alpha^{-1}(\mathcal{P}^r)^{+1} \mathcal{P}^{1-m} \subseteq (\mathcal{P}^\nu)^m\) and \(\alpha^{-1}(\mathcal{P}^r)^{+1} (\mathcal{P}^w)^{1-m} \subseteq (\mathcal{P}^\nu)^m\). Now \(\alpha^{-1}(\mathcal{P}^r)^{+1} (\mathcal{P}^{-r})^{-r} = \alpha^{-1}\mathcal{P}^{-r}\) and thus \(\alpha^{-1}(\mathcal{P}^r)^{+1} (\mathcal{P}^{-r})^{-r} \subseteq (\mathcal{P}^\nu)^m \cap \mathcal{P}^m\). Finally, \(\alpha^{-1}(\mathcal{P}^r)^{+1} (\mathcal{P}^{1-m} + (\mathcal{P}^w)^{1-m}) \subseteq (\mathcal{P}^r)^{+1}\) whence (3.16.4).

Now, by Lemma 3.11, \(\pi\) contains \(\theta \cdot \psi_s\) upon restriction to \(U^{r+1}(\mathcal{A}_E)M(\mathcal{A})\). By Lemma 3.1,

\[(3.16.7) \quad (\mathcal{P}^\nu)^m \subseteq (\mathcal{P}^{(k+1)r/2}).\]

Thus \(\pi\) contains \(\theta \cdot \psi_s\) upon restriction to \(U^{r+1}(\mathcal{A}_E)U^{m''}(\mathcal{A}_E)\) where \(m'' = (k+1)e'/2\). Then one checks that since \(r' \geq e'\), Lemma 3.11 implies the lemma.

If \(r' < e'\), the argument used in proving Lemma 3.16 does not work since Lemma 3.11 is not immediately applicable. A more intricate argument is necessary.

**Lemma 3.17.** — Proposition 3.15 holds if \(r' < e'\) and \(k > 1\).

**Proof.** — Let \(L = L^0\) and let \(L^0, L^1, \ldots, L^l\) be a sequence of \(\mathcal{O}_E\)-lattice chains such that \(L^l\) refines \(L^{-1}\), \(e_E(L^i) = e_E(L^0) + i\) and \(L\) is a common refinement of \(L\) and \(L'\) as \(\mathcal{O}_E\)-lattice chains. Let \(\mathcal{P}_{l,E} = \mathcal{P}_l\) denote the radical of \(\mathcal{A}_{l,E} = \mathcal{A}_l \cap \mathcal{A}_E\) and similarly define \(\mathcal{P}_{l,F}\). Set \(\mathcal{A}_1 = \mathcal{A}_1 \cap \mathcal{A}_E\) and similarly define \(\mathcal{A}_1\). Set \(k = -v_E(\mathcal{A})\), \(e_i = e_E(L^i)\), \(n_i = e_i\), and \(m_i = [(n_i + 1)/2]\). Note that since \(r > 1\) we have that \(\mathcal{A}_1 \supseteq \mathcal{P} \supseteq (\mathcal{P}^\nu)^{+1}\). Thus \(U^{r+1}(\mathcal{A}_E)\) stabilizes \(U^i(\mathcal{A}_I)\) for all \(i\) and \(s\). Our next step in the proof of Lemma 3.17 is

**Lemma 3.18.** — With notation and assumptions as above, \(\pi\) contains \(\theta \cdot \psi_s\) upon restriction to \(U^{r+1}(\mathcal{A}_E)U^{m_i}(\mathcal{A}_I)\) for \(0 \leq i \leq l\).

**Proof.** — We proceed by induction on \(i\). Since the lemma holds for \(i = 0\) by assumption, assume it holds for \(i\). To prove it holds for \(i + 1\) we consider two cases. First consider the case \(e_i\) odd. Then

\[(3.18.1) \quad \mathcal{P}^{m_i} = \mathcal{P}_E^{(k-1)/2} \mathcal{P}_F^{(e_i+1)} /2 = \mathcal{P}_E^{(k-1)/2} \mathcal{P}_F^{(e_i+1)} /2.\]

Now one verifies by direct calculation that since \(e_{i+1} = e_i + 1\) we have that

\[(3.18.2) \quad \mathcal{P}_E^{(i+1)} /2 \supseteq \mathcal{P}_F^{(i+1)} /2.\]
Thus (3.18.1) implies that

(3.18.3) \( \mathcal{P}_i^{m_i} \supseteq \mathcal{P}_{i+1}^{m_{i+1}} \)

so that the lemma holds for \( i+1 \) by restriction.

Now assume \( e_i \) is even. Then

\[
\mathcal{P}_i^{m_i} = \mathcal{P}_E^{(k-1)/2} \mathcal{P}_i^{e_i + 2/2}
\]

(3.18.4)

\[
= \mathcal{P}_E^{(k-1)/2} \mathcal{P}_i^{e_i + 1/2 + 1/2}
\]

\[
\subseteq \mathcal{P}_i^{m_{i+1}}.
\]

Now one verifies as in (3.18.2) that

(3.18.5) \( \mathcal{P}_{i+1}^{m_{i+1} + 1/2} \subseteq \mathcal{P}_i^{m_i} \).

Thus (3.18.4) implies that

(3.18.6) \( \mathcal{P}_i^{m_i} \subseteq \mathcal{P}_{i+1}^{m_{i+1}} \subseteq \mathcal{P}_i^{m_i-1} \).

Let \( \mathcal{M} = \mathcal{P}_i^{m_i} \) and \( \mathcal{N} = \mathcal{P}_{i+1}^{m_{i+1}} \). Note that \( \mathcal{M} \) and \( \mathcal{N} \) are sums of coordinates in \( \mathcal{P}_i^{m_i-1} \).

Note also that

(3.18.7) \[
\mathcal{M}^{-1} (\mathcal{P})^{r' + 1} (\mathcal{P}_i^{m_i})^* = (\mathcal{P})^{r' + 1} \mathcal{P}_i^{m_i-1}
\]

(3.18.8) \[
\subseteq \mathcal{P} \mathcal{P}_i^{m_i-1}
\]

Moreover, since \( r' < e' \) and \( k > 1 \) (this is our first use of this assumption) we have that

\[
(\mathcal{P})^{r' + 1} \supseteq (\mathcal{P})^{e'}
\]

(3.18.9) \[
\mathcal{M}^* \cap A_{ii} = \mathcal{N}^* \cap A_{ii} \quad \text{for all} \ i.
\]

Since \( \mathcal{M}^* = \mathcal{P}_i^{1-m_i} \) and \( \mathcal{N}^* = \mathcal{P}_{i+1}^{1-m_{i+1}} \), to prove (3.18.9) it suffices to show that \( e_i \) does not divide \( 1 - m_i \). This can be verified directly. Now the lemma follows from Lemma 3.11.

By Lemma 3.18, \( \pi \) contains \( 0 \cdot \psi_2 \) upon restriction to \( U^{r' + 1} (\mathcal{F}_E) U^{m_i} (\mathcal{F}_L) \). To proceed further with Lemma 3.17, let \( L^1, L^{i+1}, L^{i+2}, \ldots, L^k \) be a sequence of \( \mathcal{O}_E \)-lattice chains.
such that $L^i$ thins $L^{i-1}$ for $i > l$, $e_{l}(L^{i}) = e_{l}(L^{i-1}) - 1$ for $i > l$ and $L^1 = L'$. Define $\mathcal{A}_i$, $\mathcal{P}_i$, $n_i$, $e_i$ and $m_i$ as before. Since $i \geq l$, we have that $\mathcal{A}_i \supseteq \mathcal{A}_l \supseteq \mathcal{P}_l$ so that $U^{l+1}(\mathcal{A}_l)$ stabilizes $U^s(\mathcal{A}_l, \pi)$ for all $i$ and $s$. Now, to complete the proof of Lemma 3.17, it suffices to prove

**Lemma 3.19.** — With notation and assumptions as above, $\pi$ contains $\theta \cdot \psi_a$ upon restriction to $U^r(\mathcal{A}_l) U^m(\mathcal{A}_l)$ for $l \leq i \leq \lambda$.

**Proof.** — We proceed by induction. Since the lemma holds for $i = l$, assume it holds for $i$. To prove it holds for $i+1$ we consider two cases. First assume $e_i$ is odd. Then, since $L_{i+1}$ thins $L_i$ and $e_{i+1} = e_i - 1$

$$P_{i+1} = P_{E_{e_i+1}}^{g-1/2} P_{e_i+1}^{e_i+1/2}$$

(3.19.1)

so that the lemma follows for $i+1$ by restriction.

Now suppose $e_i$ is even. Then a computation similar to (3.19.1) yields that

$$P_{i+1} \supseteq P_{i+1}^{m_i+1}$$

(3.19.2)

Thus $\pi$ contains $\theta \cdot \psi_a$ upon restriction to $U^{r+1}(\mathcal{A}_l) M(\mathcal{M})$ where $\mathcal{M} = P_{i}^{m_i} \cap P_{i+1}^{m_i+1}$ is a sum of coordinates in $P_{i+1}^{m_i+1}$ (with respect to an $\mathcal{O}_k$-basis for $L^l$). Let $N = P_{i+1}^{m_i+1}$. Since $k > 1$ (this is only our second use of this hypothesis) and $r < e'$,

$$(P^{r})^{r+1} \supseteq (P^{r})^{e'}$$

$$\supseteq P_{E_{e_i+1}}^{g-1/2} P_{i+1}^{e_i+1/2}$$

(3.19.3)

$$\supseteq P_{E_{e_i+1}}^{g-1/2} \mathcal{A}_{i+1}$$

$$\supseteq P_{i+1}^{m_i+1}$$

$$= N.$$

Now one can check that the lemma will follow from Lemma 3.11 once we prove that the following hold:

$$\mathcal{M}^* \cap A_{jj} = N^* \cap A_{jj} \text{ for all } j.$$  
(3.19.4)

$$\alpha^{-1} (P^{r})^{r+1} \mathcal{M}^* \subseteq \mathcal{M}.$$  
(3.19.5)

We first consider (3.19.4). Since $P_{i+1}^{m_i+1} \supseteq P_{i+1}^{1-m_i+1} \cap P_{i+1}^{1-m_i+1} \supseteq P_{i+1}^{1-m_i+1}$, to prove (3.19.4) it suffices to show that $e_{i+1}$ does not divide $m_{i+1}$. But $m_{i+1} = (k-1) e_{i+1} / 2 + (e_{i+1} - 1) / 2$ so that (3.19.4) holds except possibly in the case $e_{i+1} = 1$. In this case it suffices to show that

$$(P_{i+1}^{1-m_i+1})_{jj} = (P_{i}^{1-m_i})_{jj} \text{ for all } j.$$  
(3.19.6)
But in this case $P_i^{1-m_i+1} = P_{E}^{-k+2} P_{i+1}$ and $P_i^{1-m_i} = P_{E}^{-k+2} P_i$ and (3.19.4) follows. To prove (3.19.5), it suffices to prove that

$$\alpha^{-1}(P_i^{1-m_i} + P_{i+1}^{1-m_{i+1}}) \subseteq P_{i+1}^m \cap P_{i+2}^m.$$  

Since $\alpha^{-1}$ is in both $P_{i+1}^m$ and $P_{i+2}^m$ and since $(P_i)^{r+1}$ is contained in $P_i$ and $P_{i+1}$ it suffices to check that

$$\alpha^{-1}(P_{i+1}^m) P_i^{1-m_i} \subseteq P_{i+2}^m$$  

and

$$\alpha^{-1}(P_{i+1}^m) P_{i+1}^{1-m_{i+1}} \subseteq P_{i+2}^m.$$  

The second of these follows from (3.19.1). To show that the first holds it suffices to show that $P_i^{1-m_i} \subseteq P_{i+1}^{1-m_{i+1}}$ which is in fact the dual of (3.19.2). This completes the proof of Lemma 3.19 and thus also the proof of Lemma 3.17.

Our proof of Proposition 3.15 and hence Theorem 2.21 will be complete once we prove

**Lemma 3.20.** With notation and hypotheses as above, Proposition 3.15 holds if $k=1$ and $r'<e$.

**Proof.** Define $L^0, \ldots, L^\lambda$ as in the proof of Lemma 3.17. Also define $\mathcal{A}_i$, $P_i$, $\mathcal{A}_{i,E}$, $\mathcal{P}_{i,E}$, $n_i$, $e_i$ and $m_i$ for $0 \leq i \leq \lambda$ as in that proof. Now, however, let

$$\mathcal{H}_i = P_i^m \cap (P_i^{r+1}).$$

Note that $U^r (A_{i,E})$ normalizes $M(\mathcal{H}_i)$ for $0 \leq i \leq \lambda$. Note also that $U^r (A_{i,E}) M(\mathcal{H}_0)$ is contained in $U^r (A_{i,E}) U^m (A_{i,E})$ so that $\pi$ contains $\theta \cdot \psi_\alpha$ upon restriction to $U^r (A_{i,E}) M(\mathcal{H}_0)$. We claim that for $0 \leq i \leq \lambda$, $\pi$ contains $\theta \cdot \psi_\alpha$ upon restriction to $U^r (A_{i,E}) M(\mathcal{H}_i)$. Since $\mathcal{H}_i = (P_i^{r+1})^m$, this claim implies the lemma. To prove the claim we proceed as in Lemma 3.18 and 3.19. The intersection with $(P_i^{r+1})^m$ is necessary since, in the case $k=1$, $(P_i^{r+1})^m$ does not necessarily contain $P_i^m$ [see (3.18.8) and (3.19.3)]. The only difficulty in arguing as in Lemma 3.18 and Lemma 3.19 is that $\mathcal{H}_i$ and $\mathcal{H}_i \cap P_i^{1-m_i}$ are not necessarily sums of coordinates so that Lemma 3.11 is not immediately applicable [verification of the other hypotheses of Lemma 3.11, in particular (ii), is tedious but straightforward]. However, one can check that although we do not have sums of coordinates the maps $S_\alpha$ and $A_\alpha$ do give exact pairs sufficient to generalize Lemma 3.11 to this context and then the lemma follows as did Lemma 3.17.

4. The supercuspidal case

This section has two goals. First we consider ways in which Theorem 2.21 may be strengthened in case the representation is supercuspidal. Our main result here is

**Theorem 4.1.** Let $\pi$ be an irreducible supercuspidal representation of $G$ with contains a proper alfalfa stratum. Suppose that $l_0(\pi)>0$. Then $\pi$ contains a second order alfalfa stratum.
Second we consider the implications of Theorem 4.1 in case $N$ is the product of two primes. Here our result is

**Theorem 4.2.** — Let $\pi$ be an irreducible supercuspidal representation of $G=\text{GL}_N(F)$ with $N$ the product of two (not necessarily distinct) primes. Suppose that $\pi$ contains a proper alfalfa stratum and that $l_0(\pi)>0$. Then Theorem 0 holds for $\pi$.

In order to prove Theorem 4.1, we first call some results from [K3]. We fix $E/F$, $V$ and so on as in Section 2.

**Definition 4.3** ([K3], 2.1). — Let $L$ be an $G$-lattice chain in $V$ and suppose $(V_1, V_2)$ is a pair of non-zero $E$-subspaces of $V$ for which $V=V_1 \oplus V_2$. Set $L_n=L_n \cap V_i$, $i=1,2$ and denote the sequence lattices $L_n$ by $L^i$. Then we say that $(V_1, V_2)$ is a splitting for $L$ over $E$ (or just a splitting) if the following conditions are satisfied:

1. $L^k=\bigcap_{i=1}^{+\infty} L_i$ for all $k$.
2. $L^1$ is a uniform $G$-lattice chain in $V^1$. (In particular, $L^1=\bigoplus_{i=1}^{+\infty} L^1_i$ for all $n$.)

Suppose that $(V_1, V_2)$ is a splitting for $L$ over $E$. Set $\nu=\nu_E=\text{Hom}_E(V_2, V_1)$ and $\nu_E=\text{Hom}_E(V^2, V^1)$. For an integer $n$, denote by $L_n=\nu L_n$, the $\nu$-lattice of elements $g$ in $\nu$ such that $g L^k \subseteq L^k$ for all $k$ and set $L^E_n=L^E_n \cap \nu$. Then we have the following lemma which is proved in a manner of analogous to the proof of Lemma 2.2 in [K3].

**Lemma 4.4.** — With notation as above, $L^F=\{L^F_n\}_{n \in \mathbb{Z}}$ is a uniform $G$-lattice chain in $\nu^F$ of period $\nu(L)$ and $L^E=\{L^E_n\}_{n \in \mathbb{Z}}$ is a uniform $G$-lattice chain in $\nu^E$ of period $\nu(L)$.

We now fix an $G$-lattice chain $L$ which has a splitting $(V_1, V_2)$ over $E$ and continue with notation as above. Let $b$ be an element $A_E$ and suppose that $b(V_i) \subseteq V_i$, $i=1,2$. Set $b^1=b|_{V^1}$ and denote by $\varphi=\varphi_b$ the $G$-endomorphism of $\nu$ given by $\varphi_b(x)=b^1 x-xb^2$. We say that $b^1$ (respectively $\varphi_b$) is nondegenerate of level $k$ for $L^1$ (respectively $L^E$) if $b^1 L^1_k=L^1_{k+1}$ (respectively $\varphi_b(L^E_k)=L^E_{k+1}$) for all $n$.

**Definition 4.5** ([K3], 2.3). — With notation as above, we say that $(V_1, V_2)$ splits $b$ over $E$ (or just splits $b$) if $b^1$ and $\varphi_b$ are nondegenerate of level $\nu(L)$ for $L^1$ and $L^E$ respectively. We say that $b$ is split over $E$ by $L$ if $L$ is an $G$-lattice chain which has a splitting over $E$ which splits $b$.

Recall that an irreducible admissible representation $\tau$ of a compact subgroup $K$ of $G$ is called $(G, K)$-principal (or just principal) [K2] if no supercuspidal representation of $G$ can contain $\tau$ upon restriction to $K$.

A key step in the proof of Theorem 4.1 will be the following proposition.

**Proposition 4.6.** — Let $(\mathcal{A}_e, m, n, \alpha, r, \eta, \theta)$ be a fundamental relative alfalfa stratum of positive relative level; set $E=F[x]$ and suppose that $c=\mathcal{A}_e(L)$. Suppose further that $(r, e(\mathcal{A}_e))=1$ and that $\eta=\nu_{\mathcal{A}_e}$, where $b$ is split over $E$ by $L$. Then the representation $(\eta \otimes \theta) \cdot \psi_b$ of $U^c(\mathcal{A}_e) U^m(\mathcal{A}_e)$ is principal.

**Proof.** — This proposition will follow from a suitable modification of the arguments and to prove Proposition 2.4 of [K3]. As in [K3], let $(V_1, V_2)$ be a splitting of $L$ over
E which splits b. For each nonnegative integer k, let \( \mathcal{L}^k \) be the set of g in A such that for all j the following hold:

(i) \( g L^j \subseteq L_{j+k+1} \)

(ii) \( g L^j \subseteq L_{j+k} + L^j_{j+k+1} \).

We define \( \mathcal{S}_k \) to be \( \mathcal{L}^k \cap A_n \). Set \( Q_k^e = M(\mathcal{S}_k) \) and let \( Q_e = Q_k^e \); similarly define \( Q_k^h \) and \( Q_h \). Note that \( Q_k^e \) is a sum of coordinates in \( \mathcal{L}^k \) with respect an appropriate \( \mathcal{S}_k \)-basis.

Our next step in proving Proposition 4.6 is the following lemma which can be proved by direct calculation as in [K 3], 2.7.

**Lemma 4.7.** — For k a nonnegative integer, let \( \mathcal{L}_k \) be the set of elements x in \( \mathcal{L}_k \) such that \( x V^k \subseteq V^1 \) and let \( \mathcal{L}_k^e \) be the set of x in \( \mathcal{L}_k \) such that \( x V^2 \subseteq V^2 \); similarly define \( \mathcal{S}_k^e \) and \( \mathcal{S}_k^h \). Set \( Q_k^e = M(\mathcal{S}_k), Q_k^h = M(\mathcal{S}_k^e), Q_h = M(\mathcal{S}_k) \) and \( Q_e = M(\mathcal{S}_k^h) \). Then the following hold:

(i) The map \( g \rightarrow (g-1)|_{V^2} \) is an isomorphism of abelian groups of \( Q_k^e \) into \( V_F \) with image \( \mathcal{L}_k, F \).

(ii) \( \mathcal{L}_k \cap \mathcal{L}_k^e = \{0\}, \mathcal{L}_k + \mathcal{L}_k^e = \mathcal{L}_k \) and \( \mathcal{L}_k^+ = \mathcal{L}_k + \mathcal{L}_k^{\perp} \).

(iii) \( Q_k^e \cap Q_k^h = \{1\}, Q_k^e = Q_k^h, Q_k^h = U^{k+1}(\mathcal{S}_e) \) and \( U^{k+1}(\mathcal{S}_e) = Q_k^h, Q_k^h = Q_k^e \).

(iv) The above statements hold with \( F \) replaced by \( E \).

**Remark 4.8.** — In our proof of Proposition 4.6 we now distinguish as a special case the situation \( r < 2m - n \). Since \( r \geq 2m - n - 1 \), this possibility can only occur when either \( m = n \) and \( r = m - 1 \) or \( n \) is even, \( m = (n+2)/2 \) and \( r = 1 \). We will exclude the case \( r < 2m - n \) at first and return to it later.

Thus we continue the proof of Proposition 4.6 with

**Lemma 4.9.** — Set \( J = U^r(\mathcal{S}_e) U^m(\mathcal{S}_e), H = Q_E Q_e^m \) and \( K = U^1(\mathcal{S}_e) U^{n-m+1}(\mathcal{S}_e) \). Suppose that \( r \geq 2m - n \). Then \( J \) is a normal subgroup of \( H \) and the stability subgroup in \( H \) of \( (\eta \otimes \theta) \cdot \psi_a \) as a representation of \( J \) is \( K \).

**Proof.** — We note first that \( Q_E \) normalizes \( Q_e^m \) so that \( H \) makes sense as a subgroup of \( G \). It is clear that \( J \) is a subgroup of \( H \) and that \( Q_E \) normalizes \( J \) and \( Q_e^m \) Now, since \( \mathcal{S}_e^m \mathcal{S}_e \subseteq \mathcal{S}_e^{m+r} \subseteq \mathcal{S}_e^m \) by assumption and \( Q_e^m \mathcal{S}_e \subseteq \mathcal{S}_e^{m-r} \), one can check that if \( g \) is an element of \( Q_e^m \), then \( g U^r(\mathcal{S}_e) g^{-1} \subseteq U^m(\mathcal{S}_e) \) so that \( J \) is normal in \( H \).

Now one can check, by an argument similar to that at the beginning of Lemma 3.11, that \( K \) stabilizes \( (\eta \otimes \theta) \cdot \psi_a \) since \( (n-m+1) + r \geq m \). Thus, by Lemma 4.7, to show that the stabilizer of \( (\eta \otimes \theta) \cdot \psi_a \) is \( K \) it suffices to show that if an element \( g \) of \( Q_E Q_e^m \) stabilizes \( (\eta \otimes \theta) \cdot \psi_a \), then the element is in \( K \). Write \( g = h_1 h_2 \) with \( h_1 \) in \( Q_E \) and \( h_2 \) in \( Q_e^m \-m \). Then, since \( Q_E \) stabilizes \( \psi_a \), \( h_2 \) must also stabilize \( \psi_a \). Write \( h_2 = 1 + x \). Then a computation similar to (3.6.1) implies that \( A_\psi(x) \) is in \( \mathcal{S}_e^{m+r} \) and thus that \( h_2 \) is in \( K \). Thus we may assume \( g \) is in \( Q_E \). Now the proposition follows as in [K 3], 2.6 (here one uses that \( \varphi_a \) is nondegenerate of level \(-r\)).

**Remark 4.10.** — Once we prove the following lemma, Proposition 4.6 will follow in the case \( r \geq 2m - n \) from Lemma 4.9 above and Proposition 1.9 of [K 2].
LEMMA 4.11. — With notation and assumptions as above write $1 = rs + e(z) t$ where $s$ and $t$ are integers and then define $z$ in $G$ to be the automorphism of $V$ which satisfies $zv^1 = s v^1 + e(z) v^1$ for $v^1$ in $V^1$ and $zv^2 = v^2$ for $v^2$ in $V^2$. Then the following conditions hold:

(i) $z$ intertwines $(\eta \otimes \theta) \cdot \psi_z$.

(ii) The double cosets $Z(G) H z^{-1} H$ are pairwise disjoint and $H z^m H \subseteq H z^{m+1} H$ for $m$ a nonnegative integer where $Z(G)$ is the center of $G$.

(iii) $J \cap z J z^{-1}$ is normal in $J$ with abelian quotient.

(iv) $z K z^{-1}$ is contained in $H$; furthermore $[\langle K, z K z^{-1} \rangle : K] = [J : J \cap z J z^{-1}]$ where $\langle K, z K z^{-1} \rangle$ is the subgroup of $G$ generated by $K$ and $z K z^{-1}$.

Proof. — (i), (ii) and (iii) follow from straightforward modifications of the arguments used to prove the analogous statements in Lemma 2.8 of [K 3]. We turn now to (iv).

Here again, just as in the proof of Lemma 2.8 (iv) of [K 3], one can check that $\langle K, z K z^{-1} \rangle = H$ since $b^1$ is nondegenerate of level $-r$. Now

$$[H : K] = [Q, Q^{n-m} : U^1 (\mathcal{A}_E) U^{n-m+1} (\mathcal{A}_F)]$$

$$= [Q, Q^{n-m} : U^1 (\mathcal{A}_E) U^{n-m+1} (\mathcal{A}_F) : U^1 (\mathcal{A}_E) U^{n-m+1} (\mathcal{A}_F)]$$

$$= [L_{-m}, E : L_{-m+1}, F]$$

$$= [L_{-m}, F : L_{-m+1}, F] [L_{-m}, E : L_{-m+1}, E]^{-1}$$

by Lemma 4.4 and Lemma 4.7.

One the other hand consider $[J : J \cap z J z^{-1}]$. By (ii),

$$[J : J \cap z J z^{-1}] = [P^m + P^m : (P^m + P^m) \cap z (P^m + P^m) z^{-1}]$$

$$= [P^m + P^m + z (P^m + P^m) z^{-1} : P^m + P^m]$$

Now one check that since $b^1$ is nondegenerate of level $-r$ for $L^1$, $z E^m z^{-1} \subseteq E^m$, $z E^m z^{-1} = E^m$, $z E^m z^{-1} \subseteq E^m$ and $z E^m z^{-1} = E^m$. Thus (4.11.2), Lemma 4.4 and Lemma 4.7 imply that

$$[J : J \cap z J z^{-1}] = [P^m + P^m + E^m + P^m : P^m + P^m]$$

$$= [E^m + E^m : (E^m + E^m) \cap (P^m + P^m)]$$

$$= [E^m + E^m : E^m + P^m]$$

$$= [L_{r-1}, E : L_{r-1}, F] [L_{r-1}, E : L_{r-1}, F] [L_{r-1}, E : L_{r-1}, F]^{-1}$$

$$= [L_{r-1}, E : L_{r-1}, F]$$

whence (iv).
We now indicate how to modify the above arguments in case \( r < 2m - n \); as was mentioned in Remark 4.8, in fact, \( r = 2m - n - 1 \).

**Lemma 4.12.** In the above case, an admissible representation of \( G \) which contains \( (\eta \otimes \theta) \cdot \psi_\eta \) upon restriction \( U'(\mathcal{A}_E)U^m(\mathcal{A}_E) \) also contains \( (\eta \otimes \theta) \cdot \psi_\eta \) upon restriction to \( U'(\mathcal{A}_E)Q_{s-1}(\mathcal{A}_E) \).

**Proof.** This lemma follows from Lemma 4.13 below. The proof of Lemma 4.13 is similar to the proof of Lemma 3.11 and thus we leave it to the reader.

**Lemma 4.13.** With notation and hypotheses as in Lemma 3.11, suppose that \( \eta \) is an irreducible representation of \( U'(\mathcal{A}_E)/U^{r+1}(\mathcal{A}_E) \). Suppose further that, in fact, \( U'(\mathcal{A}_E) \) normalizes \( M(\mathcal{A}) \) and \( M(\mathcal{H}) \) and that \( \alpha^{-1}(\mathcal{P}) \cdot \mathcal{M} \subseteq \mathcal{M} \). Then an admissible representation of \( \pi \) which contains the representation \( (\eta \otimes \theta) \cdot \psi_\eta \) upon restriction to \( U'(\mathcal{A}_E)M(\mathcal{A}) \) also contains it upon restriction to \( U'(\mathcal{A}_E)M(\mathcal{H}) \).

Now, for a nonnegative integer, let \( \mathcal{P}_E^k \) be the set of \( g \) in \( \mathcal{P}_E \) for which \( g L_j \subseteq L_{j+k} \) and \( \mathcal{P}_E^k = \mathcal{P}_E^k \cap \mathcal{A}_E \). For \( k \) positive, let \( \mathcal{Q}_E^k = M(\mathcal{Q}_E) \) and set \( \mathcal{Q}_E^0 = \mathcal{Q}_E \cap \mathcal{A}_E \). Then, Proposition 4.6 will follow in the case \( r = 2m - n - 1 \) and thus in general from

**Lemma 4.14.** The restriction of \( (\eta \otimes \theta) \cdot \psi_\eta \) to \( \mathcal{Q}_E^k Q_{s-1} \) is principal.

**Proof.** Take \( I = Q_E Q_{s-1}^E \) and \( J = Q_E Q_{s-1}^E \) and then argue as in Lemma 4.9 and Lemma 4.11.

**Proof of Theorem 4.1.** By Corollary 2.22, \( \pi \) contains a fundamental relative alfalfa stratum \( (\mathcal{A}, m, n, \alpha, r, \eta, \theta) \) of minimal relative level which also has the property that \( (r, e(\mathcal{A}_E)) = 1 \) where \( E = \mathbb{F} \). Write \( \eta = \psi_{\eta, b} \) and \( \mathcal{A} = \mathcal{A}(L) \). By Proposition 4.6, \( b \) is not split over \( E \). Then it follows as in the proof of Theorem 3.2 of [K2] that \( L \) is uniform and, moreover, there exists an integer \( c \geq 1 \) such that if \( \mathcal{A}' \) is the hereditary order with radical \( \mathcal{P} \) attached to a uniform \( \mathcal{O}_E \)-lattice chain \( L' \) which is a uniform refinement of \( L \) by a factor of \( c \), then \( \eta \) is trivial on \( U^{r+1}(\mathcal{A}_E) \) and the coset \( b + (\mathcal{P})^{-r} \) contains an element \( \alpha' \) such that Definition 2.19.2 is satisfied for \( \mathcal{A}' \) where \( r' = re \). Note that \( \mathcal{P} \supseteq (\mathcal{P} \cdot \alpha') \supseteq (\mathcal{P} \cdot \alpha')^{r+1} \supseteq (\mathcal{P} \cdot \alpha')^r \) and let \( n' \) be the restriction of \( \eta \) to \( U'(\mathcal{A}_E) \). Also let \( k' = -v_{\mathcal{E}}(\alpha), e = e(\mathcal{A}_E), e' = e(\mathcal{A}_E) = ec \) and \( n' = ke' \). Finally let \( m' = [(n + 2)/2] \) if \( m = [(n + 2)/2] \) and let \( m' = r' + 1 \) otherwise. Note that \( \pi \) contains \( (\eta' \otimes \theta) \cdot \psi_{\eta, b} \) upon restriction to \( U'(\mathcal{A}_E)U^m(\mathcal{A}_E) \). We claim that \( \pi \) also contains \( (\eta' \otimes \theta) \cdot \psi_{\eta, b} \) upon restriction to \( U'(\mathcal{A}_E)U^{m'}(\mathcal{A}_E) \). Since \( r' \cdot e = r/e \) and \( (\mathcal{A}, m, n, \alpha, r, \eta, \theta) \) is of minimal relative level in \( \pi \), the claim, Lemma 3.6, Remark 2.17 and Lemma 3.9 imply that \( \eta' \) does not factor through the determinant whence \( (\mathcal{A}', m', n', \alpha, r', \eta', \theta) \) is a second order alfalfa stratum. Hence, to prove Theorem 4.1, it suffices to prove the claim.

To the above end, we first consider the case \( m' < [(n + 2)/2] \). By Lemma 3.1,
Now one can check that Lemma 4.13 implies the claim. If \( m' = \lfloor (n' + 2)/2 \rfloor \) we consider three cases. First, suppose that \( k \) is even. Since \( A \supseteq P^t \supseteq P, P^t E \supseteq P^{k/2} \supseteq P^t E \supseteq P^t \). This may be rewritten as
\[
\mathcal{B}^{m-1} \supseteq (P^t)^m \supseteq \mathcal{B}^m.
\]
Using this one can check that Lemma 4.13 implies the claim in this case also.

Next suppose that \( k \) is odd and \( e \) is even. Then, by Lemma 3.1,
\[
\mathcal{B}^{m-1} = P^{(k-1)/2} \mathcal{B}^{e/2} \\
\supseteq P^{(k-1)/2} (P^t)^{e/2} \\
= (P^t)^{m'-1} \\
\supseteq (P^t)^{m'}.
\]
Moreover, also by Lemma 3.1,
\[
(P^t)^{m'} = P^{(k-1)/2} (P^t)^{(e+2)/2} \\
\supseteq P^{(k-1)/2} P^{(e+2)/2} \\
= \mathcal{B}^m
\]
Now one can check that Lemma 4.13 implies the claim in this case.

Finally, suppose \( k \) and \( e \) are odd, then
\[
\mathcal{B}^{m} = P^{(k-1)/2} \mathcal{B}^{(e+1)/2} \\
\supseteq P^{(k-1)/2} (P^t)^{(e+1)/2} \\
= (P^t)^{m''}
\]
where \( m'' = c(ek + 1)/2 \). Now, once again, Lemma 4.13 implies the claim and the proof is complete.

**Proposition 4.15.** — Let \( (\mathcal{A}, m, n, \alpha, r, w) \) be a second order alfalfa stratum of degree \( N \) and let \( \sigma \) be an irreducible constituent of \( \text{Ind}(K(\mathcal{A}_F), U' \mathcal{A}_F U^m(\mathcal{A}_F); (\eta \otimes \theta) \cdot \psi_s) \) where \( K(\mathcal{A}) \) is the normalizer of \( \mathcal{A}_F^\times \) in \( \mathcal{A}_F^\times \). Then \( \text{Ind}(G, K(\mathcal{A}_F); \sigma) \) is irreducible and supercuspidal.

**Proof.** — We first show that any element of \( G \) which intertwines \( (\eta \otimes \theta) \cdot \psi_s \) must lie in \( K(\mathcal{A}_F) \). If \( z \) in \( G \) intertwines \( (\eta \otimes \theta) \cdot \psi_s \) then it intertwines \( \psi_s \). Thus, by Theorem 2.4 of [KM2], \( z \) must lie in \( U^{a+1-n}(\mathcal{A}_F) G_E U^{a+1-n}(\mathcal{A}_F) \). But then as in the start of the proof of Lemma 3.11 one can check that \( U^{a+1-n}(\mathcal{A}_F) \) stabilizes \( (\eta \otimes \theta) \cdot \psi_s \) so that we may take \( z \) in \( G_E \). Now, since \( z \) commutes with \( \alpha \) it follows that \( z \) intertwines \( \eta \). Then, as in [Ca], the assumption on the degree implies that \( z \) is in \( K(\mathcal{A}_F) = K(\mathcal{A}_F) \cap A_E \) as desired. Proposition 4.15 now follows from

**Lemma 4.16.** — Let \( H \) and \( K \) be any open compact subgroups of \( G \) such that \( H \leq K \) and let \( \rho \) be an irreducible representation of \( H \). Then the map \( F \rightarrow \Phi_F \) from the Hecke
algebra \( \mathcal{H}(G, \rho) \) to the Hecke algebra \( \mathcal{H}(G, \text{Ind}(K, H; \rho)) \) given by
\[
(\Phi_{\rho}(g) f)(x) = \frac{1}{[K:H]} \sum_{y \in J} F(xgy^{-1}) f(y)
\]
where \( f \) is in the space of \( \rho \) and \( J \) is a set of coset representatives for \( K/H \) as a set is an algebra isomorphism. Moreover, \( \text{supp}(\Phi_{\rho}) = K \text{ supp}(F) K \) where \( \text{supp} \) denotes support.

Proof. — Straightforward.

5 Proof of Theorem 0: the zero relative level case

In this section we will prove

Proposition 5.1. — Suppose \( \pi \) is an irreducible supercuspidal representation of \( G = \text{GL}_N(F) \) where \( N \) is the product of two (not necessarily distinct) primes and that \( \pi \) contains a proper alfalfa stratum. Assume further that \( l_p(\pi) = 0 \). Then there exists an open compact-modulo-center subgroup \( K \) of \( G \) and an irreducible representation \( \rho \) of \( K \) such that \( \pi = \text{Ind}(G, K; \rho) \).

Remark 5.2. — In light of the results of the previous section, the proof of Theorem 0 will be complete once Proposition 5.1 is proved. In proving Proposition 5.1, we will proceed in a manner similar to how we previously proved [KM1], 4.9 a special case of the proposition.

Proof of Proposition 5.1. — Suppose that \( \pi \) contains the relative alfalfa stratum \((\mathcal{A}, m, n, \alpha, r, \eta, \theta)\). Let \( E = F[\alpha], [E:F] = S, R = N/S \) and \( k = -v_E(\alpha) \). Then by Corollary 2.22 we may assume \( e(\mathcal{A}_E) = 1 \). Then, since \( U(\mathcal{A}_E)/U^1(\mathcal{A}_E) \cong \text{GL}_R(k_E) \), we may view \( \eta \) as a representation of \( U(\mathcal{A}_E) \) or as a representation of \( \text{GL}_R(k_E) \) as appropriate.

For the first part of this section we assume that \( k \) is odd.

Lemma 5.3. — With notation as above, if \( \eta \) is cuspidal as a representation of \( \text{GL}_R(k_E) \), then Proposition 5.1 holds for \( \pi \).

Proof. — Let \( H = U(\mathcal{A}_E) U^m(\mathcal{A}_E) \) and set \( \sigma = (\eta \otimes \theta) \cdot \psi_\eta \) as a representation of \( H \). Then, by Lemma 4.16, it suffices to show that \( \text{supp}(\mathcal{H}(G, \sigma)) \) is contained in \( Z(G) U(\mathcal{A}_E) \). Suppose \( g \) is an element of \( \text{supp}(\mathcal{H}(G, \sigma)) \). Then \( g \) is also an element of \( \text{supp}(H, \psi_\eta) \) where \( \psi_\eta \) is viewed as a representation of \( U^m(\mathcal{A}_E) \). Thus, by [KM2], 2.4, \( g \) is in \( U^m(\mathcal{A}_E) G_E U^m(\mathcal{A}_E) \) since \( 2m = n + 1 \). Therefore we may assume \( g \) is in \( G_E \). But then \( g \) is in \( \mathcal{H}(G_E, \eta \otimes \theta) \) so that the lemma follows from well known results (see, e. g., [Ca]).

We may now assume that \( \pi \) contains \((\eta \otimes \theta) \cdot \psi_\eta \) with \( \eta \) noncuspidal. Before proceeding further, we establish some notation. Choosing an appropriate \( \mathcal{O}_E \)-basis \( \mathcal{B} = \{ v_i \}_{i=1}^R \) for \( L \), we may write \( V = \bigoplus E v_i \) and \( L_0 = \bigoplus \mathcal{O}_E v_i \). Then we may identify \( \mathcal{A}_E \) with
MR(E) and AF with \( R \times R \)-block matrices with each block being an element of \( A_p(E) \). To be precise, if \( B \) is in \( A_p(E) \), we write \( B = (b_{ij}) \) where \( b_{ij} \) is an element of \( A_F(E) \) for each \((i, j)\) and we view \( A_E \) as the subgroup of \( A_F \) consisting of \( B = (b_{ij}) \) for which \( b_{ij} \) is an element \( E \) for each \((i, j)\).

For an integer \( r \) such that \( 1 \leq r < R \), we define a period 2 \( \mathcal{O}_E \)-refinement \( L' \) of \( L \) by setting \( L'_0 = L_0 \),

\[
L'_i = (\bigoplus_{i=1}^{r} \mathcal{O}_E V_i) \oplus (\bigoplus_{i=r+1}^{R} P_E V_j)
\]

and extending in the usual manner to \( L'_i \). Similarly, we define a length \( R \mathcal{O}_E \)-refinement \( L^0 \) of \( L \) by setting \( L^0_0 = L_0 \) and

\[
L^0_i = (\bigoplus_{j=1}^{R-i} \mathcal{O}_E V_i) \oplus (\bigoplus_{j=R-i+1}^{R} P_E V_j)
\]

for \( 1 \leq i < R \). For \( 0 \leq r < R \) we set \( \mathcal{A}_{r; E} = \mathcal{A}_E(L') \) and \( \mathcal{A}_{r, E} = \mathcal{A}_{r; E} \cap A_E \). We retain \( \mathcal{A}_E \) and \( \mathcal{A}_F \) as before. For \( 0 \leq r < R \) we let \( P(r; k_E) \) be the parabolic subgroup of \( GL_R(k_E) \) corresponding to the flag of subspaces \( \{ L'_i/P_E L^0_i \} \) of \( L'_i/P_E L^0_i \). We write \( P(r; k_E) = M(r; k_E)N(r; k_E) \) for the associated Levi decomposition of \( P(r; k_E) \) with \( M(r; k_E) \) the reductive portion. We also let \( P(r; E) \) be the obvious parabolic subgroup of \( G_E \) with the property that \( P(r; E) \cap U(\mathcal{O}_E) = P(r; E) \) and we let \( P(r; F) \) be the obvious parabolic subgroup of \( G_F \) with the property that \( P(r; F) \cap G_E = P(r; E) \).

Since \( \eta \) is not cuspidal and since \( R \) is prime, it follows from [Sp] that either there exists an integer \( 0 < r < R \) and an irreducible representation \( \tau \) of \( P(r; k_E) \) trivial on \( N(r; k_E) \) such that \( \eta = \text{Ind}(\text{GL}_R(k_E), P(r; k_E)) \tau \) or there exists a character \( \tau \) of \( k_E^* \) such that \( \eta \) is contained in \( \text{Ind}(\text{GL}_R(k_E), P(0; k_E); \bigotimes_{i=1}^{R} \tau) \) where \( \bigotimes_{i=1}^{R} \tau \) is the representation of \( P(0; k_E) \) trivial on \( N(0; k_E) \) and defined componentwise on \( M(0; k_E) \cong \bigotimes_{i=1}^{R} k_E^* \).

**Lemma 5.4.** — With notation as above, if \( r > 0 \) then the representation \((\eta \otimes \theta) \psi_a \) of \( U(\mathcal{A}_F)U^m(\mathcal{A}_F) \) is principal.

**Proof.** — Let

\[
\chi = \begin{bmatrix} \omega_E I_r & 0 \\ 0 & I_{R-r} \end{bmatrix}
\]

in \( GL_R(E) \), \( H = U(\mathcal{A}_F)U^m(\mathcal{A}_F) \), \( J = U(\mathcal{A}_F) \) and \( K = U(\mathcal{A}_{r, E})U^m(\mathcal{A}_F) \). Also let \( \sigma = \tau \otimes \theta \) as a representation of \( U(\mathcal{A}_{r, E}) \). Then it suffices to check that the hypotheses of [K2], 1.7 hold. First note that \( J \) contains \( K \) and \( K^* \) with finite index and \( x \) interwines \( \sigma \cdot \psi_a \). Now let \( U(\mathcal{A}_{r, E}) = U_U \) be the Iwahori factorization of \( U(\mathcal{A}_{r, E}) \) with respect to \( P(r; E) \). To be precise, let \( U \) be the subgroup of \( U(\mathcal{A}_{r, E}) \) consisting of elements of the
where $A$ is $r \times r$ and $D$ is $(R-r) \times (R-r)$ and let $U$ be the subgroup of $U(\mathcal{A}_r, \mathbb{E})$ consisting of elements of the form

$$\begin{bmatrix} I_r & 0 \\ C & I_{R-r} \end{bmatrix}.$$ 

Then, for $j$ a positive integer,

$$Kx^{j-1}KxK = Kx^{j-1}U^m(\mathcal{A}_F) \cup U \times K$$

(B.4.1) where

$$= Kx^{j-1}U^m(\mathcal{A}_F) x K$$

$$= Kx^{j-1}U^m(\mathcal{A}_F) x K.$$ 

Similarly, using the Iwahori factorization of $U^m(\mathcal{A}_F)$ with respect to $P(r, F)$, one can check that $Kx^{j-1}U^m(\mathcal{A}_F) x K = Kx^{j}K$ whence $Kx^{j-1}KxK = Kx^{j}K$. One can also verify by direct computation that the double cosets $Z(G)KxK$ for $j=1, 2, \ldots$ are distinct.

We now claim that $(\ker \sigma \cdot \psi_a)(K \cap x^{-1}Kx) = K$. Since

$$\begin{bmatrix} \bar{\omega}_E^{-1}I_r & 0 \\ 0 & I_{R-r} \end{bmatrix}\begin{bmatrix} A & B \\ C & D \end{bmatrix}\begin{bmatrix} \bar{\omega}_E I_r & 0 \\ 0 & I_{R-r} \end{bmatrix} = \begin{bmatrix} \bar{\omega}_E^{-1}A & \bar{\omega}_E^{-1}B \\ C \bar{\omega}_E & D \end{bmatrix}$$

where $A$ is an $r \times r$ matrix with entries in $A_F(\mathbb{E})$, $D$ is an $(R-r) \times (R-r)$ matrix with entries in $A_F(\mathbb{E})$ and similarly for $B$ and $C$, to prove the claim it suffices to show that matrices of the form

$$\begin{bmatrix} I_r & B \\ 0 & I_{R-r} \end{bmatrix}$$

in $U(\mathcal{A}_r, \mathbb{E})$ and of the same form in $U^m(\mathcal{A}_F)$ are in $\ker(\sigma \cdot \psi_a)$. This, however, is clear. Thus, to show that [K2], 1.7 is applicable, it suffices to show that $\text{Ind}(J, K; \sigma \otimes \psi_a)$ is irreducible. By assumption, $\sigma' = \text{Ind}(H, K; \sigma \otimes \psi_a)$ is irreducible. Then one checks that, as a $U^m(\mathcal{A}_F)$-space, $\sigma'$ decomposes as a sum of copies of $\psi_a$. Then, since the stabilizer of $\psi_a$ in $U(\mathcal{A}_F)$ is $U(\mathcal{A}_F) U^m(\mathcal{A}_F)$ by [KM2], 2.4, it follows that $\text{Ind}(J, H; \sigma')$ and thus $\text{Ind}(J, K; \sigma \otimes \psi_a)$ are irreducible. The proof of Lemma 5.4 is now complete.

**Lemma 5.5.** — With notation as above, if $\tau$ is contained in

$$\text{Ind}(\text{GL}_R(k_E), P(0; k_E); \otimes \tau),$$

then...

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then the representation \((\otimes \otimes \theta) \cdot \psi_\sigma\) of \(U(\mathcal{A}_E) \cup^m (\mathcal{A}_F)\) is principal.

**Proof.** — Let \(\sigma = ((\otimes \otimes \theta) \cdot \psi_\sigma)\) as a one-dimensional representation of \(K = U(\mathcal{A}_{0,E}) \cup^m (\mathcal{A}_F)\). It suffices to show that \(\sigma\) is principal. If \(y\) in \(G\) intertwines \(\sigma\), define a map \(F_y : G \rightarrow \mathbb{C}\) by

\[
F_y(g) = \begin{cases} 
0 & \text{if } g \notin KyK \\
\sigma(k_1)\sigma(k_2) & \text{if } g = k_1yk_2, \quad k_i \in K.
\end{cases}
\]

Then the \(F_y\) are in \(\mathcal{H}(G, \sigma)\) and \(\{ F_y \}\) is a basis for the subspace of \((G, 0)\) consisting of functions supported on \(K_yK\). Note that if \(g\) is in \(G_E\), then \(g\) intertwines \(\sigma\). Let \(w_1\) and \(w_2\) be elements in \(\text{GL}_E(\mathbb{E})\) defined as follows

\[
w_1 = \begin{bmatrix}
0 & 0 & 0 & \cdots & 0 \\
1 & 0 & 0 & \cdots & 0 \\
0 & 1 & 0 & \cdots & 0 \\
\vdots & \vdots & \ddots & \ddots & \vdots \\
0 & \cdots & 0 & 1 & 0 \\
0 & \cdots & \cdots & \cdots & 0
\end{bmatrix}
\]

and

\[
w_2 = \begin{bmatrix}
0 & 1 & 0 & \cdots & 0 \\
0 & 1 & 0 & \cdots & 0 \\
\vdots & \vdots & \ddots & \ddots & \vdots \\
\cdots & \cdots & \cdots & 1 & 0 \\
\cdots & \cdots & \cdots & 0 & 1 \\
\omega_E & \cdots & \cdots & \cdots & 0
\end{bmatrix}
\]

Then, \(w_1, w_2\) and

\[
x = w_1w_2 = \begin{bmatrix}
\omega_E & 0 \\
1 & 0 \\
0 & 1
\end{bmatrix}
\]

intertwine \(\sigma\).

Now let \(M\) be a right \(\mathcal{H}(G, \sigma)\)-module which is finite dimensional as a vector space over \(\mathbb{C}\). We claim that \(F_{w_1}\) and \(F_{w_2}\) act as isomorphisms on \(M\). Suppose this claim were true. Then, since \(w_2\) normalizes \(U(\mathcal{A}_{0,E})\) and \(w_2\) normalizes \(U^m(\mathcal{A}_F)\) we would have that \(Kw_1Kw_2K = KxK\) and thus it would follow that \(F_x\) must act as an isomorphism on \(M\). Thus, letting \(\Phi_j\) be the \(j\)-fold convolution of \(F_x\) with itself, it would follow
that \( \Phi_j \) acts as an isomorphism on \( M \). Now it also follows from appropriate Iwahori factorizations that \( K x_{j-1} K x K = K x_j K \) and thus, by induction, \( \Phi_j \) is supported on \( K x_j K \). Then, since, as one can check, the double cosets \( Z(G) K x K \) are distinct the lemma would follow from [K2], 1.5. Thus it suffices to show that \( F_{w_1} \) and \( F_{w_2} \) act as isomorphisms.

First consider \( F_{w_1} \). Let \( W^a \) denote the affine Weyl group of \( GL_\mathbb{R} (E) \). For \( i = 1, \ldots, R-1 \), let \( s_i \) be the element of \( W^a \) associated to the transposition \( (i, i+1) \) in the permutation group on \( R \) letters. Note that the \( s_i \) intertwine \( \sigma \). Now \( w_1 = s_1 s_2 \ldots s_{R-1} \) is an expression of minimal length for \( w_1 \) in \( W^a \), and thus \( U (\mathcal{A}_{0, E}) s_1 U (\mathcal{A}_{0, E}) s_2 \ldots s_{R-1} U (\mathcal{A}_{0, E}) = U (\mathcal{A}_{0, E}) w_1 U (\mathcal{A}_{0, E}) \). Therefore, since the \( s_i \) normalize \( U^a (\mathcal{A}_F) \),

\[
K s_1 K s_2 \ldots s_{R-1} K = K s_1 U (\mathcal{A}_{0, E}) U^a (\mathcal{A}_F) \ldots s_{R-1} K = K w_1 K. \tag{5.5.1}
\]

Thus, \( F_{s_1} * F_{s_2} * \ldots * F_{s_{R-1}} \) is supported on \( K w_1 K \). Therefore, to show that \( F_{w_1} \) acts as an isomorphism, it suffices to show that the \( F_{s_i} \) act as isomorphisms. To this end, note that

\[
K s_1 K s_1 K = K s_1 U (\mathcal{A}_{0, E}) s_1 K \subseteq K \cup K s_1 K.
\]

Thus,

\[
F_{s_1} * F_{s_1} = a_{1,i} F_1 + a_{2,i} F_{s_1} \tag{5.5.2}
\]

for some constants \( a_{1,i} \) and \( a_{2,i} \). Evaluating (5.5.2) at 1 we see that \( a_{1,i} \) is nonzero. Therefore, since \( F_1 \) is a multiple of the identity, it follows that \( F_{s_1} \) is invertible and thus acts as an isomorphism.

Now consider \( F_{w_2} \). We will write \( F_2 \) in place of \( F_{w_2} \). We will also write \( v \sim w \) for vectors \( v \) and \( w \) in some complex vector space if there exists a nonzero constant \( c \) such that \( v = cw \). Let \( \Psi_j \) be the \( j \)-fold convolution of \( F_2 \) with itself. Then we claim that \( F_{w_2} \sim \Psi_j \) for \( j = 1, \ldots, R \). We will prove this claim by induction on \( j \). The case \( j = 1 \) being trivial, we assume the claim holds for \( j - 1 \). Then

\[
\Psi_j = \Psi_{j-1} * F_2 \sim F_{w_2-1} * F_2. \tag{5.5.3}
\]
Now

\[ (5.5.4) \quad K w_2^{j-1} K w_2 K = K w_2^{j-1} U^m(\mathcal{A}_F) w_2 K \]

\[ = \bigcup_{a_{R1} \in \mathcal{A}_F^m(E)} K w_2^{j-1} \begin{bmatrix} 1 & 1 & \cdots & 0 \\ a_{R1} & a_{R2} & \cdots & a_{RR-1} & 1 \\ 1 & a_{12} & \cdots & a_{1j} & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 1 & \cdots & \cdots & 1 \end{bmatrix} w_2 K \]

\[ = \bigcup_{a_{1k} \in Q} K w_2^j \begin{bmatrix} 1 & a_{12} & \cdots & a_{1j} & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots \\ 0 & \cdots & \cdots & 1 \end{bmatrix} K \]

where \( Q \) is a set of coset representatives for \( \mathcal{P}_F^{m-1}(E)/(\mathcal{P}_F^m(E) + P_E^{m-1}) \). Let

\[ A = \begin{bmatrix} 1 & a_{12} & \cdots & a_{1j} & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots \\ \vdots & \vdots & \ddots & 1 \end{bmatrix} \]

where the \( a_{1k} \) are in \( Q \). Then one can compute that the \( R-j+1 \) row of the matrix \( \alpha^{w_2 \Lambda} \) is

\[ \begin{bmatrix} 0 & 0 & \cdots & \alpha [a_{12}, \alpha] & \cdots & [a_{1j}, \alpha] \end{bmatrix}. \]

Computing \((w_2^j A) U^m(\mathcal{A}_F)(w_2^j A)^{-1} \cap U^m(\mathcal{A}_F)\), it follows that if \( w_2^j A \) intertwines \( \psi_\alpha \) then \([a_{1k}, \alpha]\) is in \( \mathcal{P}_F^{j-m}(E) \) for all \( k \). But then, by [Ca], 3.5, it follows that \( a_{1k} \) is in \( P_E^{m-1} + \mathcal{P}_F^m(E) \). Thus, (5.5.3) and (5.5.4) imply that \( \psi_j \) is supported on \( K w_2^j K \).
Therefore, $\Psi_j = a_j F_w$ for some number $a_j$. Thus, to prove the claim, it suffices to show that $\Psi_j(w^2) \neq 0$.

\[(5.5.5)\]

\[\Psi_j(w^2) \sim \int_G F_w^{-1}(w^2 y^{-1}) F_w(y) \, dy\]

\[\sim \int_K F_w^{-1}(w^2 h^{-1} w_2^{-1}) \sigma(h) \, dh\]

\[\sim \int_K F_w^{-1}(w^2 h w_2^{-1}) \sigma(h^{-1}) \, dh\]

\[\sim \int_{U^m(\mathcal{A}_F)} F_w^{-1}(w^2 h w_2^{-1}) \sigma(h^{-1}) \, dh.\]

Now if $j = R$, then $w^2 = \omega_E$ normalizes $U^m(\mathcal{A}_F)$ and stabilizes $\sigma$ so that (5.5.5) implies $\Psi_j(w^2) \neq 0$. Thus suppose $j < R$. Then, considering $w_2 h w_2^{-1}$ and $w^2 h w_2^{-1}$, one checks that (5.5.5) implies that

\[(5.5.6)\]

\[\Psi_j(w^2) \sim \sum_{h \in H} F_w^{-1}(w^2 h w_2^{-1}) \sigma(h)\]

where $H$ is a set of coset representatives for $J/J \cap U^m(\mathcal{A}_F)$ where $J$ is the set of matrices in $GL_N(F)$ of the form

\[(5.5.7)\]

\[
\begin{bmatrix}
I_j & 0 \\
 a_{1j+1} & I_{R-j} \\
 \vdots & \\
 a_{1R} &
\end{bmatrix}
\]

where each $a_{ij}$ is in $\mathcal{P}_m^m(E)$. But then one checks that if $h$ in $H$ has the form given in (5.4.7), then $w_2 h w_2^{-1}$ is not in $K w_2^{-1} K$ unless $a_{1i}$ is in $\mathcal{P}_m^m(E) + \mathcal{P}_m^m(E)$ for all $i$. Thus elements of $H$ may be chosen of the form in (5.5.7) with $a_{1i}$ in $\mathcal{P}_m^m(E)$ for all $i$ and then (5.5.6) implies $\Psi_j(w^2) \neq 0$ whence the claim. As a consequence of the claim, $\Psi_R \sim F_w$.

But now since $\omega_E$ normalizes $K$ it follows that $F_w$ and thus $F_w^2$ act as isomorphisms.

In proving Proposition 5.1, we may now assume that $k = -v_E(\alpha)$ is even. In considering this case, we will use the theory of the Heisenberg group and the oscillator (Weil) representation. For further details, see, in general, [W2] and, for the particular constructions we use here, see [H2] (p odd), [G], [Wa] (all p) and [KM1] (N = 4).

With notation as above, for $i$ and $j$ nonnegative integers set $H^{i,j} = U^i(\mathcal{A}_E) U^j(\mathcal{A}_F)$. Recall that $n = k \epsilon_E(L) + 1 = k + 1$ and $m = k/2 + 1$. Then, if we set $\Gamma = H^{i,m-1}/\ker (\theta \cdot \psi_a)$ with $\theta \cdot \psi_a$ regarded as a representation of $H^{1,m}$, $\Gamma$ is a “Heisenberg group” with center $Z(\Gamma) = H^{1,m}/\ker (\theta \cdot \psi_a)$ and thus has a unique irreducible representation $\chi$ with central character $\theta \cdot \psi_a$.

There are other Heisenberg groups which will be of interest to us. Recall that for $0 \leq r < R$ we defined at the beginning of this section an $\mathcal{O}_E$-refinement $L'$ of $L$ of period 2 if $r > 0$ and period $R$ if $r = 0$; we also attached orders $\mathcal{A}_{r,E}$ and $\mathcal{A}_{r,F}$ to these.
refinements. Now for $i$ and $j$ nonnegative integers set $J_{i,j}^p = U^p(\mathcal{A}_{r,E}) U^p(\mathcal{A}_{r,F})$. Also set $e_i = e_E(L_i)$, $n_i = k e_i + 1$ and $m_i = k e_i / 2 + 1$. Then if we $\Gamma^r = J_{i,m}^r$, $\Gamma^r$ is a product of Heisenberg groups and has center $Z(\Gamma^r) = J_{i,m}^r / \ker(\theta \cdot \psi_a)$. We now describe these products. For $0 < r < R$, let

$$V_i^r = \bigoplus_{i=1}^R \left( E v_i \right) \quad \text{and} \quad V_i^r = \bigoplus_{i=r+1}^R \left( E v_i \right)$$

so that $V = V_i^r \oplus V_i^r$ and for $i = 1, \ldots, R$ let $V_i^r = E v_i$ so that $V = \bigoplus_{i=1}^R \left( E v_i \right)$. Let $A_{\pi,E}^r = A_E(V_i^r)$ and $A_{\pi,F}^r = A_F(V_i^r)$. Also let $L_r = \theta^r$ be the period one $\mathcal{O}_E$-lattice chain $V_i^r$ defined by $L \cap V_i^r$ and let $\mathcal{A}_{\pi,E}^r$ and $\mathcal{A}_{\pi,F}^r$ be the the associated maximal orders in $A_{\pi,E}^r$ and $A_{\pi,F}^r$ respectively. Then we may view $\theta$ also as a character of $A_{\pi,E}$ via the determinant and $\psi_a$ also as a character of $U^m(\mathcal{A}_{\pi,F}) U^{m+1}(\mathcal{A}_{\pi,E})$ where $m$ and $n$ are as before. In what follows we will either state the group that $\theta$ or $\psi_a$ is being considered a character of or it will be clear from context. Now, if we set $\Gamma_i^r = U^1(\mathcal{A}_{\pi,E}) U^m(\mathcal{A}_{\pi,F}) / \ker(\theta \cdot \psi_a)$ with $\theta \cdot \psi_a$ regarded as a character of $U^1(\mathcal{A}_{\pi,E}) U^m(\mathcal{A}_{\pi,F})$, then $\Gamma_i^r$ is a Heisenberg group with center $Z(\Gamma_i^r) = U^1(\mathcal{A}_{\pi,E}) U^m(\mathcal{A}_{\pi,F}) / \ker(\theta \cdot \psi_a)$ and thus has a unique irreducible representation $\chi_i^r$ with central character $\theta \cdot \psi_a$. Then one checks that $\Gamma_i^r \cong \bigotimes_{i=1}^r \Gamma_i$ and thus, if we let

$$\chi_r = \bigotimes_{i=1}^r \chi_i^r, \quad \chi_r$$

is the unique irreducible representation of $\Gamma_r$ with central character $\theta \cdot \psi_a$. We will also view $\chi_r$ as a representation of $J_{i,m}^r$. Since $H^1, m-1$ stabilizes $\theta \cdot \psi_a$ as a character of $H^1, m$ it follows from the uniqueness of $\chi_r$ that $\text{Ind}(H^1, m-1, H^1, m, \theta \cdot \psi_a)$ decomposes as a sum of copies of $\chi_r$. Similarly, $\text{Ind}(J_{i,m}^r, J_{i,m}^r, \theta \cdot \psi_a)$ decomposes as a sum of copies of $\chi_r$.

Now $H^0, m-1$ acts on $H^1, m-1$ by conjugation and this action stabilizes $\theta \cdot \psi_a$ as a representation of $H^1, m$. Thus, by uniqueness of $\chi_r$, there exists an extension $\Lambda$ of $\chi_r$ to $H^0, m-1$. Similarly, there exists an extension $\Lambda_r$ of $\chi_r$ to $J_{i,m}^r$. Moreover, these extensions may be chosen so that $\text{Ind}(U(\mathcal{A}_{r,E}) U^{m-1}(\mathcal{A}_{r,F}), J_{i,m}^r \Lambda_r)$ is the restriction of $\Lambda$ to $U(\mathcal{A}_{r,E}) U^{m-1}(\mathcal{A}_{r,F})$.

We now return to the proof of Proposition 5.1. We may assume that, upon restriction $H^1, m-1$, $\pi$ contains $\chi$. Then, since $\Lambda$ extends $\chi$,

$$\text{Ind}(H^0, m-1, H^1, m-1, \chi) \cong \bigoplus_{\tau \in (H^0, m-1 \backslash H^1, m-1)^*} (\dim \tau) \Lambda \otimes \tau.$$ 

Thus we may assume that upon restriction to $H^0, m-1$ $\pi$ contains some representation $\Lambda \otimes \tau$ where $\tau$ is a representation of $H^0, m-1$ that may be viewed as a representation of $\text{GL}_r(\mathcal{O}_E)$.

**Lemma 5.6.** — With notation as above, if $\pi$ contains $\Lambda \otimes \tau$ with $\tau$ cuspidal, then Proposition 5.1 holds for $\pi$.

**Proof.** — By Lemma 4.16, it suffices to check that $\text{supp}(\mathcal{H}(G, \Lambda \otimes \tau))$ is contained in $Z(G) U(\mathcal{A}_{r,F})$. Suppose $g$ is an element of $\text{supp}(\mathcal{H}(G, \Lambda \otimes \tau))$. Now $\Lambda \otimes \tau$ restricted to
U^m(\mathcal{A}_E) decomposes as a sum of copies of \psi_\pi. Thus, g must lie in \mathcal{H}(G, \psi_\pi). Thus, by [KM2], 2.4, g is an element of U^{m-1}(\mathcal{A}_E) G E U^{m-1}(\mathcal{A}_E). Therefore, we may assume g is in G_E. Now g also intertwines \Lambda \otimes \tau restricted to H^{0, m}. Since the action of U(\mathcal{A}_E) by conjugation on G is trivial upon restriction to Z(\Gamma) it follows form the construction of \Lambda that \Lambda \otimes \tau restricted to H^{0, m} decomposes as a sum of copies of (\theta \otimes \tau) \cdot \psi_\pi. Thus g is in \mathcal{H}(G_E, \tau) and the lemma follows.

In proving Proposition 5.1 we may now assume \pi contains \Lambda \otimes \tau with \tau noncuspidal. Now, by [Sp] as before, either there exists an integer 0 < r < R and an irreducible representation \sigma of P(r; k_E) trivial on N(r; k_E) such that 
\tau = \text{Ind} (GL_E(k_E), P(r; k_E); \sigma) or there exists a character \rho of k_E^* such that \tau is contained in Ind (GL_E(k_E), P(0, k_E); \sigma) where \sigma is the representation of P(0, k_E) trivial on N(0; k_E)

and defined componentwise on M(0; k_E) = k_E by \rho. Then, since

\text{Ind} (U(\mathcal{A}_{r, E}) U^{m-1}(\mathcal{A}_E), J^{0, m}_{r}; \Lambda_r)

is the restriction of \Lambda to U(\mathcal{A}_{r, E}) U^{m-1}(\mathcal{A}_E) by construction, it suffices to show that 
\Lambda_r \otimes \sigma is the principal where \sigma is now viewed as a representation of J^{0, m}_{r-1} trivial on J^{0, m}_{r-1}.

**Lemma 5.7.** — With notation as above, if r > 0, \Lambda_r \otimes \sigma is principal.

**Proof.** — Our proof of this lemma will be similar to our proof of Lemma 5.4. As in that proof, let

\[ x = \begin{bmatrix} \bar{\sigma}_E I_r & 0 \\ 0 & I_{r-1} \end{bmatrix} \]

in GL_E(E). Also, let K = J^{0, m-1}_{1}, J = H^{0, m-1} and H = U(\mathcal{A}_{r, E}) U^{m-1}(\mathcal{A}_E). Then by appropriate Iwahori factorizations, K x^j K = K x^j K for j = 1, 2, \ldots. Further one can check that the double cosets Z(G) K x^j K for j = 1, 2, \ldots are distinct and that J contains both K and K x with finite index. Now \Lambda_r = \Lambda_r^1 \otimes \Lambda_r^2 where \Lambda_r^1 and \Lambda_r^2 are extensions of \chi_r^1 and \chi_r^2. Then, since conjugation by \bar{\sigma}_E I_r preserves the central character of \Lambda_r^1, it follows that x intertwines \Lambda_r \otimes \sigma. Finally, one can check that

\[ (\ker (\Lambda_r \otimes \sigma))(K \cap x^{-1} K x) = K. \]

Thus, by [K2], 1.7, it suffices to show that \text{Ind} (J, K; \Lambda_r \otimes \sigma) is irreducible. But one can check that \text{Ind} (J, K; \Lambda_r \otimes \sigma) = \text{Ind} (J, H; \Phi_r \otimes \sigma) where \Phi_r is the restriction of \chi to H. Then, since \Phi_r is irreducible and extends to J while \text{Ind} (GL_E(k_E), P(r; k_E); \sigma) is irreducible the lemma follows.

Now, to prove Proposition 5.1, it suffices to prove

**Lemma 5.8.** — If \rho is a character of k_E^* and \sigma is the representation of J^{0, m-1}_0 obtained from the representation of P(0; k_E) which is trivial on N(0; k_E) and defined componentwise on M(0; k_E) by \rho, then \Lambda_0 \otimes \sigma is principal.
Proof. - We will use an argument similar to the one that was used in proving Lemma 5.5. As in that proof, let

$$w_1 = \begin{bmatrix}
0 & 0 & \ldots & & 1 \\
1 & 0 & 0 & & \\
& 1 & 0 & & \\
& & \ddots & \ddots & \\
& & & 1 & 0
\end{bmatrix},$$

and for $i=1, \ldots, R-1$ let $s_i$ be the element of the affine Weyl group $W^a$ of $GL_\mathbb{R}(E)$ associated to the transposition $(i, i+1)$ in the permutation group on $R$ letters. Finally, let $K = J^{0, m_0}$. Then one can check that $K x^{j-1} K x K = K x^j K$ for $j=1, 2, \ldots$ and also that the double cosets $Z(G) K x^j K$ for $j=1, 2, \ldots$ are distinct. Note also that $K w_1 K w_2 K = K x K$ since $w_2$ normalizes $K$.

Now $\Lambda_0 = \bigotimes_{i=1}^R \Lambda_i$ and since $\text{Ind}_{\mathcal{A}_0, E}^U (\mathcal{A}_0, \mu, J^{0, m_0}, \Lambda_0)$ is the restriction of $\chi$ to $U(\mathcal{A}_0, E) U^{m-1} (\mathcal{A}_0, J^{0, m_0}, \Lambda_0)$ it follows that for $i=1, \ldots, R-1$ $s_i$ intertwines $\Lambda_0$ (and thus $\Lambda_0 \otimes \sigma$). Thus $\Lambda_j \cong \Lambda_i$ for $1 < i, j < R$. It then follows, by an argument similar to that used in the previous lemma to show that the $x$ of that lemma intertwines, that the $x$ of this lemma intertwines $\Lambda_0 \otimes \sigma$ and that the associated intertwining operator, $T_x$ say, is unique (up to scalar). Then

$$F_{x}(g) = \begin{cases}
0 & \text{if } g \notin K x^j K \\
(\Lambda_0 \otimes \sigma)(k_1)(T_x)(\Lambda_0 \otimes \sigma)(k_2) & \text{if } g = k_1 x K k_2, k_2 \in K
\end{cases}$$

forms a basis for the subspace of $\mathcal{H}(G, \Lambda_0 \otimes \sigma)$ consisting of functions with support $K x K$. Similarly

$$F_{x^j}(g) = \begin{cases}
0 & \text{if } g \notin K x^j K \\
(\Lambda_0 \otimes \sigma)(k_1)(T_x)(\Lambda_0 \otimes \sigma)(k_2) & \text{if } g = k_1 x^j K, k_1 \in K
\end{cases}$$

for $j=2, \ldots$ is the unique (up to scalars) element of $\mathcal{H}(G, \Lambda_0 \otimes \sigma)$ with support $K x^j K$. Let $\Psi_j$ be the $j$-fold convolution product of $F_x$. We claim that $F_{x^j} \sim \Psi_j$. Since $F_{x^j}$ and $\Psi_j$ have the same support, to prove the claim, it suffices to show that $F_x$ acts as an isomorphism on any right $\mathcal{H}(G, \Lambda_0 \otimes \sigma)$-module $M$ which is finite dimensional as a vector space over $\mathbb{C}$. The lemma will then follow from [K2], 1.5.
Let $T_i$ be the unique (up to scalar) intertwining operator associated to $s_i$ and set

$$ F_i(g) = \begin{cases} 0 & \text{if } g \notin Ks_iK \\ (\Lambda_0 \otimes \sigma(k_i))T_i(\Lambda_0 \otimes \sigma(k_2)) & \text{if } g = k_1s_1k_2, \quad k_i \in K. \end{cases} $$

Then $\{F_i\}$ is a basis for the subspace of $\mathcal{H}(G, \Lambda_0 \otimes \sigma)$ consisting of functions supported on $Ks_iK$. Also let

$$ F_1(g) = \begin{cases} 0 & \text{if } g \notin K \\ (\Lambda_0 \otimes \sigma)(g), & g \in K. \end{cases} $$

Then $\{F_i\}$ is a basis for the subspace of $\mathcal{H}(G, \Lambda_0 \otimes \sigma)$ consisting of functions supported on $K$. Then one checks that $Ks_iKs_jK \subseteq K \cup Ks_iK$ and thus $F_i*F_i = a_iF_i + b_iF_i$ for some numbers $a_i$ and $b_i$. Evaluation at $I$ yields that $a_i \neq 0$ and thus that $F_i$ must act as an isomorphism on $M$. Then one checks that $w_1$ intertwines $\Lambda_0 \otimes \sigma$ with intertwining operator $T_0 = T_1T_2 \cdots T_{r-1}$ and that this operator is unique up to scalar. One further checks that $Ks_1Ks_2 \cdots Ks_{r-1}K = Kw_1K$. Therefore $F_i*F_i \cdots F_i \sim F_{w_1}$ and thus $F_{w_1}$ acts as an isomorphism on $M$ where

$$ F_{w_1}(g) = \begin{cases} 0 & \text{if } g \notin Kw_1K \\ (\Lambda_0 \otimes \sigma)(k)T_0(\Lambda_0 \otimes \sigma)(k_2) & \text{if } g = k_1w_1k_2, \quad k_i \in K. \end{cases} $$

Now consider $w_2$. One checks that $w_2$ intertwines $\Lambda_0 \otimes \sigma$ with associated intertwining operator $T' = T_{r-1} \cdots T_1T_x$. As before, $T'$ is unique up to scalar multiplication. Set

$$ F_{w_2}(g) = \begin{cases} 0 & \text{if } g \notin Kw_2K \\ (\Lambda_0 \otimes \sigma)(k)T'(\Lambda_0 \otimes \sigma)(k_2) & \text{if } g = k_1w_2k_2, \quad k_i \in K. \end{cases} $$

Then $F_{w_1}*F_{w_2} = aF_{w_2}$ for some number $a$. Thus, to complete the proof of Lemma 5.8, it suffices to show that $F_{w_2}$ acts as an isomorphism on $M$.

Note first that $w_2^j$ intertwines $\Lambda_0 \otimes \sigma$ and that the (unique up to a scalar) associated intertwining operator is $(T')^j$. Note also that $(T')^j$ is the unique (up to scalar intertwining operator associated to $\omega_e$. Define $F_{w_2}$ in the usual manner and let $\Psi_j$ be the $j$-fold convolution product of $F_{w_2}$. We claim that $\Psi_j \sim F_{w_2}^j$. The claim being trivial for $j = 1$ assume it holds for $j-1$. Then since $Kw_2^{j-1}$ $Kw_2K = Kw_2K$ it suffices to show that $F_{w_2^{j-1}}*F_{w_2}^j(w_2) \neq 0$. But

$$ F_{w_2^{j-1}}*F_{w_2}^j(w_2) = \int_G F_{w_2^{j-1}}(w_2y^{-1})F_{w_2}(y) \, dy $$

$$ = \int_K F_{w_2^{j-1}}(w_2y^{-1}w_2^{-1})T'(\Lambda_0 \otimes \sigma)(k) \, dk $$

$$ = \int_K F_{w_2^{j-1}}(w_2y^{-1}w_2^{-1})(\Lambda_0 \otimes \sigma)(w_2k^{-1})w_2^{-1}T' \, dk $$

$$ = \int_K (T')^j \, dk $$

$$ \neq 0. $$
Thus, $\Psi_{\eta} \sim F_{w_{\eta}}$. As in Lemma 5.5 this implies that $F_{w_2}$ acts as an isomorphism as desired.

REFERENCES


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