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## Extending of continuous real functions <sup>1</sup>

by

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### 1. Introduction

Let  $X$  and  $E$  be topological spaces. Let  $Y$  be a superspace of  $X$ . We say that  $X$  is  $E$ -embedded in  $Y$  provided that every continuous function  $f : X \rightarrow E$  admits a continuous extension  $f^* : Y \rightarrow E$ . We shall be concerned with  $R$ -embedding, where  $R$  is the space of the reals. All spaces will be assumed to be Hausdorff completely regular. For some spaces  $X$ , the fact that  $X$  is  $R$ -embedded in  $Y$  can be decided by examining the extension of only one function  $f : X \rightarrow R$  (this property of  $X$  is formulated precisely in the next section). This paper contains some partial results concerning the characterization of such spaces.

We shall now formulate a few statements of purely technical character.

A function  $f : X \rightarrow R$  is said to be *absolutely extendable* provided that for every superspace  $Y$  of  $X$ ,  $f$  admits a continuous extension  $f^* : Y \rightarrow R$ . We say that  $f$  has *vanishing oscillation outside compact subsets of  $X$*  provided that for every  $\varepsilon > 0$  there exists a compact subset  $C$  of  $X$  such that

$$\omega(f, X \setminus C) = \sup \{|f(p) - f(q)| : p, q \in X \setminus C\} < \varepsilon.$$

**1.1 PROPOSITION.** *A function  $f : X \rightarrow R$  is absolutely extendable if and only if  $f$  can be continuously extended over every compactification of  $X$ .*

**1.2 PROPOSITION.** *A function  $f : X \rightarrow R$  has vanishing oscillation outside compact subsets of  $X$  if and only if  $f$  is the limit of a uniformly convergent sequence  $f_1, f_2, \dots$  of functions on  $X$  each of which is constant outside a compact subset of  $X$ .*

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PROOF. The sufficiency of the condition is obvious. To prove the necessity consider the functions  $\alpha_{sn} : R \rightarrow R$ ,  $s \in R$ ,  $n = 1, 2, \dots$ , defined by

$$\begin{aligned}\alpha_{sn}(t) &= s && \text{for } |t-s| \leq \frac{1}{n}; \\ \alpha_{sn}(t) &= t - \frac{1}{n} && \text{for } t \geq s + \frac{1}{n}; \\ \alpha_{sn}(t) &= t + \frac{1}{n} && \text{for } t \leq s - \frac{1}{n}.\end{aligned}$$

Each  $\alpha_{sn}$  is continuous and  $|\alpha_{sn}(t) - t| \leq 1/n$  for every  $t \in R$ .

Assume that  $f : X \rightarrow R$  has vanishing oscillation outside compact subsets of  $X$ . For every positive integer  $n$  find a compact set  $C_n \subset X$  such that  $\omega(f, X \setminus C_n) \leq 1/n$ . Let  $s$  be a value of  $f$  on  $X \setminus C_n$  (if  $X \setminus C_n$  is empty, then there is nothing to prove) and let  $f_n$  be the composition  $\alpha_{sn} \circ f$ .  $f_n$  is constant outside  $C_n$  and the sequence  $f_1, f_2, \dots$  is uniformly convergent to  $f$  on  $X$ .

**1.3 PROPOSITION.** *A function  $f : X \rightarrow R$  is absolutely extendable if and only if  $f$  has vanishing oscillation outside compact subsets of  $X$ .*

PROOF. The necessity of the condition is obvious; the sufficiency follows from 1.1 and 1.2.

## 2. The property $(P_1)$ . $R$ -compact spaces

**2.1 DEFINITION.** We say that a space  $X$  has the property  $(P_1)$  provided that there exists a continuous function  $f : X \rightarrow R$  such that for every superspace  $Y$  of  $X$ ,  $X$  is  $R$ -embedded in  $Y$  if and only if the function  $f$  admits the continuous extension  $f^* : Y \rightarrow R$ .

A function  $f$  with the above property will be called (for a lack of a better term) a *proper function* on  $X$ .

In this section we shall give a characterization of  $R$ -compact spaces having property  $(P_1)$ . A space is  $R$ -compact iff it is homeomorphic to a closed subspace of some topological power  $R^m$  of  $R$ . Intuitively speaking, an  $R$ -compact space is a space which is either compact or admits a large number of continuous unbounded functions (precisely:  $X$  is  $R$ -compact iff for every  $p_0 \in \beta X \setminus X$  there is a continuous function  $f : X \rightarrow R$  which is unbounded on every neighbourhood of  $p_0$ ). In the next section we shall state some partial results concerning the property  $(P_1)$  in arbitrary space.

A function  $f : X \rightarrow R$  is said to be *bounded only on compact*

subsets of  $X$  provided that for every subset  $A$  of  $X$ , if  $f$  is bounded on  $A$ , then  $\bar{A}$  ( $=$  the closure of  $A$  in  $X$ ) is compact.

**2.2 PROPOSITION.** *A space  $X$  admits a continuous function  $f : X \rightarrow R$  that is bounded only on compact subsets of  $X$  if and only if  $X$  is locally compact and Lindelöf.*

Proof is obvious <sup>2</sup>.

**2.3 PROPOSITION.** *Let  $f : X \rightarrow R$  be a continuous function which is bounded only on compact subsets of  $X$ . Let  $Y$  be a superspace of  $X$ .  $X$  is  $R$ -embedded in  $Y$  if and only if the function  $f$  admits a continuous extension  $f^* : Y \rightarrow R$ .*

**PROOF.** The necessity of the condition is obvious; we shall prove the sufficiency. Let  $f^*$  be the continuous extension of  $f$  with  $f^* : Y \rightarrow R$ . Let  $g$  be an arbitrary continuous function with  $g : X \rightarrow R$ . It is clear that if a continuous function  $\alpha : R \rightarrow R$  tends sufficiently fast to  $+\infty$  as  $|t| \rightarrow +\infty$ , then the function  $g(p)/\alpha(f(p))$  has vanishing oscillation outside compact subsets of  $X$ . (It suffices to take a continuous function  $\alpha : R \rightarrow R$  such that

$$\alpha(t) \geq n \cdot \sup \{|g(p)| : p \in C_{n+1}\} + 1$$

for  $|t| \geq n$ , where  $C_n = \{p \in X : |f(p)| \leq n\}$ .) By 1.3,  $g(p)/\alpha(f(p))$  is absolutely extendable. Let  $g^*$  be a continuous extension of  $g(p)/\alpha(f(p))$  with  $g^* : Y \rightarrow R$ . It is clear that  $g^*(p) \cdot \alpha(f^*(p))$  is a continuous extension of  $g$  over  $Y$ .

**2.4 COROLLARY.** *A locally compact Lindelöf subspace  $X$  of  $Y$  is  $R$ -embedded in  $Y$  if and only if there exists a continuous function  $g : Y \rightarrow R$  such that  $g|_X$  is bounded only on compact subsets of  $X$ .*

**2.5 THEOREM.** *Let  $X$  be an  $R$ -compact space.  $X$  has property  $(P_1)$  if and only if  $X$  is locally compact and Lindelöf. Furthermore, a continuous function  $f : X \rightarrow R$  is a proper function if and only if  $f$  is bounded only on compact subsets of  $X$ .*

**PROOF.** Assume that  $f : X \rightarrow R$  is a continuous function and assume that there is a set  $A$  such that  $f$  is bounded on  $A$  and  $\bar{A}^X$  is not compact. Then  $\bar{A}^{\beta X} \setminus X \neq \emptyset$ ; let  $p_0 \in \bar{A}^{\beta X} \setminus X$ .  $f$  can be

<sup>2</sup> Recall that for a locally compact space  $X$  the following conditions are equivalent.

- (a)  $X$  is Lindelöf;
- (b)  $X$  is  $\sigma$ -compact (i.e.,  $X$  is the union of countably many compact subsets);
- (c)  $X = \bigcup_n C_n$ , where  $C_n$  are compact and  $C_n \subset \text{Int } C_{n+1}$ ;
- (d) the ideal point  $\infty$  in the one-point compactification  $\iota X = X \cup \{\infty\}$  of  $X$  satisfies the first axiom of countability.

extended over  $X \cup \{p_0\}$ ; in fact, one can modify  $f$  outside a neighborhood of  $p_0$  so that it becomes bounded on the whole of  $X$ . But  $X$  is not  $R$ -embedded in  $X \cup \{p_0\}$ . Thus  $f$  is not a proper function on  $X$ . The rest of the theorem follows now from Propositions 2.2 and 2.3.

### 3. Proper functions for arbitrary spaces

From the results of the previous section it is easy to obtain a characterization of proper functions for arbitrary spaces. We have to recall a few known facts and definitions.

An *extension* of  $X$  is any superspace  $\varepsilon X$  of  $X$  such that  $X$  is dense in  $\varepsilon X$ . The *canonical map* of extension  $\varepsilon_1 X$  into an extension  $\varepsilon_2 X$  is a continuous function  $\varphi : \varepsilon_1 X \rightarrow \varepsilon_2 X$  which is the identity on  $X$ . The canonical map (if it exists) is unique. We write  $\varepsilon_1 X =_{\text{ext}} \varepsilon_2 X$  provided that there exists a canonical map of  $\varepsilon_1 X$  onto  $\varepsilon_2 X$  which is a homeomorphism. (For further information see [4], Chi I.)  $\beta X$  admits a canonical map onto any compactification of  $X$ .

The  *$Q$ -closure* of a subset  $P$  of a space  $X$  is the set of all points  $q \in X$  such that for every continuous function  $f : X \rightarrow R$ , if  $f(p) > 0$  for every  $p \in P$ , then  $f(q) > 0$ .  $P$  is said to be  *$Q$ -closed* in  $X$  provided that it is equal to its  $Q$ -closure in  $X$ . The  $Q$ -closure of any subset of an  $R$ -compact space is again  $R$ -compact. If  $c_1 X$  and  $c_2 X$  are compactifications of  $X$ ,  $A$  is a  $Q$ -closed subset of  $c_2 X$  containing  $X$ , and  $\varphi$  is a canonical map of  $c_1 X$  onto  $c_2 X$ , then  $\varphi$  maps the  $Q$ -closure of  $X$  in  $c_1 X$  into  $A$ .

$\beta_R X$  is an  $R$ -compact extension of  $X$  such that  $X$  is  $R$ -embedded in  $\beta_R X$ . ( $\beta_R X$  is also called the *Nachbin completion* of  $X$ .)  $\beta_R X$  coincides with the  $Q$ -closure of  $X$  in  $\beta X$ .  $X$  is  $R$ -compact iff  $X = \beta_R X$ ; equivalently,  $X$  is  $R$ -compact iff  $X$  is  $Q$ -closed in  $\beta X$ .

Every continuous function  $f : X \rightarrow R$  can be continuously extended over  $\beta X$  if we allow  $\pm \infty$  to be values of this extension. We shall denote this extension by  $f^\beta$  (note that  $f^\beta$  is a function into the two-point compactification of  $R$ ). It is easy to see that  $f$  is bounded only on compact subsets of  $X$  iff  $f^\beta(p) = \pm \infty$  for every  $p \in \beta X \setminus X$ .

**3.1 THEOREM.** *A continuous function  $f : X \rightarrow R$  is proper if and only if it satisfies the following two conditions:*

- (a)  $f^\beta$  is one-to-one on  $\beta_R X \setminus X$ ;
- (b)  $f^\beta(p) = \pm \infty$  for every  $p \in \beta X \setminus \beta_R X$ .

PROOF. Only the sufficiency requires a proof. Assume that  $Y$  is a superspace of  $X$  such that  $f$  admits a continuous extension  $g : Y \rightarrow R$ . We can assume that  $Y$  is  $R$ -compact (if not, replace  $Y$  by  $\beta_R Y$ ). Let  $\tilde{X}$  be the  $Q$ -closure of  $X$  in  $Y$ ;  $\tilde{X}$  is  $R$ -compact, hence  $\tilde{X}$  is  $Q$ -closed in  $\beta\tilde{X}$ . Let  $g_0 = g|_{\tilde{X}}$  (= the restriction of  $g$  to  $\tilde{X}$ ); let  $\varphi$  be the canonical map of  $\beta X$  onto  $\beta\tilde{X}$ . The quality

$$(1) \quad f^\beta(p) = g_0^\beta(\varphi(p))$$

holds for every  $p \in X$ ; hence, by continuity, (1) holds for every  $p \in \beta X$ . Since  $g_0^\beta$  is finite on  $\tilde{X}$ , we infer from (1) and (b) that  $\varphi^{-1}[\tilde{X}] \subset \beta_R X$ . Since  $\tilde{X}$  is  $Q$ -closed in  $\beta\tilde{X}$ , the reverse inclusion also holds. Consequently,  $\beta_R X = \varphi^{-1}[\tilde{X}]$ . It follows that  $\varphi_0 = \varphi|_{\beta_R X}$  is a closed map (the restriction of a closed map to a full counter-image is again closed). From (1) and (a) we infer that  $\varphi_0$  is one-to-one. Thus  $\varphi_0$  is a homeomorphism; consequently,  $\beta_R X =_{\text{ext}} \tilde{X}$ . Hence  $X$  is  $R$ -embedded in  $\tilde{X}$ . But from (1) and (b) we infer that  $g_0^\beta(q) = \pm\infty$  for every  $q \in \beta\tilde{X} \setminus \tilde{X}$ ; hence  $g_0$  is bounded only on compact subsets of  $\tilde{X}$ . Since  $\tilde{X}$  is  $R$ -compact, we infer from Theorem 2.5 that  $g_0$  is a proper function for  $\tilde{X}$ . Consequently,  $\tilde{X}$  is  $R$ -embedded in  $Y$ . Thus  $X$  is  $R$ -embedded in  $Y$ . The theorem is shown.

We did not find any interesting characterization of arbitrary spaces with property  $(P_1)$ . The following partial results follow directly from Theorem 3.1.

**3.2 PROPOSITION.** *If  $X$  has  $(P_1)$ , then  $\beta_R X$  is locally compact and Lindelöf.*

Recall that a space  $X$  is called *extremal* (in the sense of Fréchet, see [1]) provided that every continuous function  $f : X \rightarrow R$  is bounded. Such spaces are also called *pseudocompact* or *quasicompact*.  $X$  is extremal iff  $\beta_R X =_{\text{ext}} \beta X$ .

**3.3. THEOREM.** *Let  $X$  be an extremal space. A continuous function  $f : X \rightarrow R$  is proper if and only if  $f^\beta$  is one-to-one on  $\beta X \setminus X$ .*

**3.4. THEOREM.** *Let  $X$  be an extremal locally compact space.  $X$  has  $(P_1)$  if and only if the Čech outgrowth of  $X$ ,  $\beta X \setminus X$ , is homeomorphic to a subspace of the closed interval  $I = [0, 1]$ .*

**3.5. THEOREM.** *Every space with a countable Čech outgrowth has  $(P_1)$ .*

PROOF. If  $\text{card}(\beta X \setminus X) < 2^{\aleph_0}$ , then  $X$  is extremal. On the other hand, for every countable subset of an arbitrary space there exists

a continuous real function on the space which is one-to-one on this subset (see [4], Theorem 1).

On the basis of Theorem 3.5 it is easy to give examples showing that an extremal space with property  $(P_1)$  need not to be locally compact and its Čech outgrowth need not to be homeomorphic to a subspace of  $I$ .

We say that a space  $X$  has property  $(P_1^*)$  provided that there exists continuous function  $f : X \rightarrow I$  ( $I$  is the closed interval  $[0, 1]$ ) such that for every superspace  $Y$  of  $X$ ,  $X$  is  $I$ -embedded in  $Y$  iff  $f$  admits a continuous extension  $g : Y \rightarrow I$ . A function  $f$  with this property will be called a  $*$ -proper function. It can be shown that a continuous function  $f : X \rightarrow I$  is  $*$ -proper iff  $f^\beta$  is one-to-one on  $\beta X \setminus X$ . It follows that a space  $X$  does not have property  $(P_1^*)$  unless  $X$  is extremal. But for such spaces properties  $(P_1)$  and  $(P_1^*)$  coincide.

We conclude with two questions.

It follows from Theorem 3.4 that for a locally compact extremal space  $X$  property  $(P_1)$  depends only on the topological type of the Čech outgrowth of  $X$ . Is this true for arbitrary extremal spaces?

Does  $\beta X \setminus X$  being homeomorphic to a subspace of  $I$  imply that  $X$  has  $(P_1)$ ?

#### 4. Generalizations of properties $(P_1)$ and $(P_1^*)$

The purpose of this section is to state some questions concerning generalizations of properties  $(P_1)$  and  $(P_1^*)$  to higher cardinalities.

Let  $m$  be an arbitrary cardinal; we shall say that a space  $X$  has property  $(P_m)$  provided that there exists a class  $\mathfrak{F}$  of continuous real-valued function on  $X$  such that  $\text{card } \mathfrak{F} \leq m$  and for every superspace  $Y$  of  $X$ ,  $X$  is  $R$ -embedded in  $Y$  iff each function in  $\mathfrak{F}$  can be extended to a continuous real-valued function on  $Y$ . Such a class  $\mathfrak{F}$  will be called a proper class on  $X$ . Property  $(P_m^*)$  and  $*$ -proper classes are defined in an analogous way. It is clear that  $(P_m)$  implies  $(P_n)$  and  $(P_m^*)$  implies  $(P_n^*)$  for  $n > m$ ; furthermore, every space has property  $(P_m)$  (as well as  $(P_m^*)$ ) for a sufficiently large  $m$ . (Properties  $(P_0)$  and  $(P_0^*)$  are equivalent; each of them asserts that  $X$  is  $R$ -embedded in each of its superspaces; such spaces coincide with those having exactly one compactification.) It can be easily shown that  $\mathfrak{F}$  is a  $*$ -proper class on  $X$  iff the continuous extensions of functions in  $\mathfrak{F}$  over  $\beta X$  separate points of  $\beta X \setminus X$  (compare with Theorem 3.1 and the remarks at the end

of § 3). This, in turn, implies that  $X$  cannot have property  $(P_{\aleph_0}^*)$  unless  $X$  is extremal; consequently, for  $m \leq \aleph_0$ ,  $(P_m^*)$  implies  $(P_m)$ . Clearly, there are non-extremal spaces having property  $(P_{2^{\aleph_0}}^*)$ ; I do not know if it can be shown without the continuum hypothesis that  $2^{\aleph_0}$  is the first such cardinal. It can also be shown that a locally compact extremal space has  $(P_m)$  iff its Čech outgrowth is homeomorphic to a subspace of the Tihonov cube  $I^m$  (compare with 3.4). It follows that for locally compact extremal spaces properties  $(P_m)$  and  $(P_n)$  are not equivalent for any two distinct cardinals  $m$  and  $n$ .<sup>3</sup> On the other hand, for  $R$ -compact spaces, properties  $(P_1), (P_2), \dots, (P_n), \dots, n < \aleph_0$ , are equivalent; this can be demonstrated by showing that  $\mathfrak{F} = \{f_1, \dots, f_n\}$  is a proper class for  $X$  iff  $f = \max\{|f_1|, \dots, |f_n|\}$  is a proper function for  $X$ .

Property  $(P_m)$  for  $R$ -compact spaces is somewhat related to the concept of  $R$ -defect introduced in [3]. (An  $R$ -non-extendable class for  $X$  is a class  $\mathfrak{F}$  of continuous real-valued functions on  $X$  such that for every extension  $\varepsilon X$  of  $X$  with  $\varepsilon X \neq X$ , at least one of the functions in  $\mathfrak{F}$  does not admit a continuous real-valued extension over  $\varepsilon X$ . The  $R$ -defect of  $X$  [in symbols:  $\text{def}_R X$ ] is the smallest cardinal  $m$  such that  $X$  admits an  $R$ -non-extendable class of cardinality  $m$ . For further information see [3] and [5].) It can be easily shown that

4.1. *If an  $R$ -compact space has property  $(P_m)$ , then  $\text{def}_R X \leq m$ . In fact, a proper class on  $X$  is an  $R$ -non-extendable class for  $X$ .*

From 2.5 and from 5.9 in [5] we infer that for  $m = 1$  the above implication can be reversed.

4.2. *Let  $X$  be  $R$ -compact.  $X$  has  $(P_1)$  if and only if  $\text{def}_R X \leq 1$ .*

The converse of 4.1 fails for infinite  $m$ . We have the following.

4.3. *Let  $m$  be an infinite cardinal of the form  $m = 2^n$  and let  $X$  be a space with weight  $X \leq m$ .  $X$  has  $(P_m)$  if and only if  $\text{card } C(X, R) \leq m$ .*

PROOF. The "if" part is obvious. To prove the converse it suffices to show that for every class  $\mathfrak{F}$  of continuous real-valued functions on  $X$  with  $\text{card } \mathfrak{F} \leq m$  there is a superspace  $Y$  of  $X$  such that  $Y$  has only  $m$  continuous real-valued functions and each function in

<sup>3</sup> In [2] Glicksberg proves that if  $X \times Y$  is extremal, then  $\beta(X \times Y) =_{\text{ext}} \beta X \times \beta Y$ . On the other hand, if  $X$  is compact and  $Y$  is extremal, then  $X \times Y$  is also extremal. Consequently, for every compact space  $X$  we have  $\beta X^* \setminus X^* =_{\text{top}} X$ , where  $X^* = X \times S(\Omega)$  and  $S(\Omega)$  is the space of all ordinals  $< \Omega$ .

$\mathfrak{F}$  admits a continuous extension over  $Y$ . We can assume that  $\mathfrak{F}$  is an  $R$ -separating class for  $X$ . The parametric map  $h$  of  $X$  corresponding to  $F$  (see Theorem 2.1 in [5]) is a homeomorphism of  $X$  into  $R^m$ . It suffices to take as  $Y$  a superspace of  $X$  that is homeomorphic to  $R^m$  by an extension of the homeomorphism  $h$ .  $R^m$  has only  $m$  continuous real-valued functions; indeed,  $R^m$  has a dense subset of cardinality  $\aleph$ .

It follows from 4.2 that if  $m = 2^n$  is infinite, then the discrete space  $X_m$  of cardinality  $m$  does not have  $(P_m)$ . On the other hand,  $\text{def}_R X_m \leq m$  for "almost all" infinite cardinals; in particular, for all  $m = 2^n$ , where  $\aleph$  is Ulam non-measurable.

Consequently, the converse of 4.1 fails for all such cardinals. I do not know if 4.3 holds for infinite cardinals that are not of the form  $2^n$  as well as if 4.2 fails for such cardinals. It appears that the answer to this question depends upon the assumed rules of exponentiation of cardinals. In particular, I do not know if 4.2 holds for the cardinal  $\aleph_0$ . Let  $Q$  be the space of irrational numbers; we have  $\text{def}_R Q = \aleph_0$ ; does  $Q$  have  $(P_{\aleph_0})$ ? (Note that  $\text{def}_R P > \aleph_0$ , where  $P$  is the space of rational numbers; therefore  $P$  does not have  $(P_{\aleph_0})$ .) We have  $\text{def}_R R^{\aleph_0} = \aleph_0$ ; does  $R^{\aleph_0}$  have  $(P_{\aleph_0})$ ?

One can discuss the above problems in a more general context. The property analogous to  $(P_m)$  but referring to functions with values in a space  $E$  will be denoted by  $P_m(E)$ ; in the formulation of this property all spaces are assumed to be  $E$ -completely regular. F. Marin has pointed out to us that 4.1 holds true in this general context: if an  $E$ -compact space  $X$  has property  $P_m(E)$ , then  $\text{def}_E X \leq m$ . The study of property  $P_m(E)$  for  $E$ -extremal spaces is more difficult. (An  $E$ -extremal space is an  $E$ -completely regular space  $X$  with the property: for every continuous function  $f: X \rightarrow E$ ,  $f[X]$  is compact.)

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S. MRÓWKA

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