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**THE CONVEXITY OF THE SUBSET SPACE  
 OF A METRIC SPACE**

by

V. W. Bryant

Let  $(X, d)$  be a metric space and let  $d^*$  be the Hausdorff metric on the set  $X^*$  consisting of the non-empty closed bounded subsets of  $X$ . In this paper we consider what conditions on  $(X, d)$  will ensure that  $(X^*, d^*)$  is metrically convex.

DEFINITION. (i)  $(X, d)$  is (metrically) *convex* if for any two distinct points  $x, y \in X$  there exists  $z \in X$ , distinct from them both, with

$$d(x, y) = d(x, z) + d(z, y).$$

(ii)  $(X, d)$  is a (metric) *segment space* if for any  $x, y \in X$  there exists an isometry  $f: [0, d(x, y)] \rightarrow (X, d)$  with  $f(0) = x$  and  $f(d(x, y)) = y$ .

It is clear that every segment space is convex, and it has been shown that the two concepts coincide in a complete space (see, for example, [1; p. 41]). Now, if  $A \subseteq X$  and  $0 \leq \delta$ , then the set  $A_\delta$  is defined by

$$A_\delta = \{x \in X : \exists a \in A \text{ with } d(x, a) \leq \delta\}.$$

We note that in any metric space  $\bar{A} = \bigcap_{n=1}^{\infty} A_{1/n}$ , and that in any segment space  $A_{\gamma+\delta} = (A_\gamma)_\delta$  for  $0 \leq \gamma, \delta$ . The Hausdorff metric  $d^*$  is defined on  $X^*$  by

$$d^*(A, B) = \inf\{0 \leq \delta : B \subseteq A_\delta \text{ and } A \subseteq B_\delta\} \quad (A, B \in X^*).$$

It is known that if  $(X, d)$  is compact (resp. complete), then  $(X^*, d^*)$  is compact (resp. complete), proofs of these results being found in [3; p. 38] and [2; p. 29 IV].

We are now ready to investigate the convexity of  $(X^*, d^*)$ . Since  $d^*({x}, {y}) = d(x, y)$  for  $x, y \in X$ , it is clear that the convexity of  $(X^*, d^*)$  implies the convexity of  $(X, d)$ . The theorem below shows when the converse implication holds.

THEOREM. *If  $(X, d)$  is a compact convex metric space, then so too is  $(X^*, d^*)$ .*

PROOF. In view of the above remarks we need only show that  $(X^*, d^*)$  is convex. Let  $A, B \in X^*$  with  $d^*(A, B) = \delta > 0$ . Then by the compactness of  $(X, d)$  (and hence of  $A, B$ )  $\overline{A_\delta} = A_\delta$  and  $\overline{B_\delta} = B_\delta$ . Since  $(X, d)$  is a segment space it follows that

$$A \subseteq \bigcap_{n=1}^{\infty} B_{\delta+1/n} = \bigcap_{n=1}^{\infty} (B_\delta)_{1/n} = \overline{B_\delta} = B_\delta$$

and similarly  $B \subseteq A_\delta$ . Let  $C = A_{\delta/2} \cap B_{\delta/2}$ . Then we show that  $C \neq \phi$  (whence  $C \in X^*$ ) and that  $d^*(A, C) = d^*(C, B) = \frac{1}{2}d^*(A, B)$ . If  $a \in A \subseteq B_\delta$ , then  $d(a, b) \leq \delta$  for some  $b \in B$  and there exists  $c \in C$  with  $d(a, c) = d(c, b) = \frac{1}{2}d(a, b) \leq \frac{1}{2}\delta$ . Thus  $c \in A_{\delta/2} \cap B_{\delta/2} = C$  and  $a \in C_{\delta/2}$ . This shows that  $C \neq \phi$  and  $A \subseteq C_{\delta/2}$ , and similarly  $B \subseteq C_{\delta/2}$ . Thus  $C \in X^*$ ,  $d^*(A, C) \leq \delta/2$  and  $d^*(C, B) \leq \delta/2$ . But

$$\delta = d^*(A, B) \leq d^*(A, C) + d^*(C, B) \leq \frac{1}{2}\delta + \frac{1}{2}\delta = \delta$$

and so  $d^*(A, C) = d^*(C, B) = \frac{1}{2}d^*(A, B)$  as required. Hence  $(X^*, d^*)$  is a convex space and the theorem is proved.

We now give examples to show that neither the total-boundedness nor the completeness of a convex space  $(X, d)$  necessarily implies the convexity of  $(X^*, d^*)$ .

1. Let  $X$  be the subspace of 3-dimensional Euclidean Space given by  $X = \{(x, y, z) : x^2 + y^2 < 1, |z| \leq 1\} \cup \{(x, y, z) : x^2 + y^2 = 1, x \text{ rational}, z = -1\} \cup \{(x, y, z) : x^2 + y^2 = 1, x \text{ irrat}, z = 1\}$ .

Then  $(X, d)$  is a totally bounded segment space. However, by considering

$$A = \{(x, y, z) \in X : x^2 + y^2 = 1, z = -1\} \in X^*$$

$$B = \{(x, y, z) \in X : x^2 + y^2 = 1, z = 1\} \in X^*$$

we see that  $(X^*, d^*)$  is not convex.

2. Let  $X$  be the normed vector space of all real null sequences with metric  $d$  induced by the usual norm. Then  $(X, d)$  is a complete segment space and we show that  $(X^*, d^*)$  is not convex. For if

$$A = \{\{x_n\} \in X : x_n \neq 0 \text{ for an odd no. of } n, \text{ and } x_n = 1 + 1/n \text{ whenever } x_n \neq 0\} \in X^*$$

$$B = \{\{x_n\} \in X : x_n \neq 0 \text{ for an even no. of } n, \text{ and } x_n = 1 + 1/n \text{ whenever } x_n \neq 0\} \in X^*$$

then  $d^*(A, B) = 1$  and  $\overline{A_{\frac{1}{2}}} \cap \overline{B_{\frac{1}{2}}} = A_{\frac{1}{2}} \cap B_{\frac{1}{2}} = \phi$ . Thus there exists no  $C \in X^*$  with  $d^*(A, C) = d^*(C, B) = \frac{1}{2}d^*(A, B)$ . It follows that  $(X^*, d^*)$  is neither a segment space nor a convex space.

Finally we give an example to show that the existence of a segment from  $\{x\}$  to  $\{y\}$  in  $(X^*, d^*)$  does not imply the existence of a segment from  $x$  to  $y$  in  $(X, d)$ .

3. Let  $X$  be the subset of  $R^2$  given by  $X = \{(x, y) : |x| < 1, 0 \leq y \leq 1 \text{ and } (x \text{ is rational if and only if } y \text{ is})\} \cup \{(-1, 0), (1, 0)\}$ , and define a metric  $d$  on  $X$  by

$$d((x, y), (x', y')) = |y - y'| \cdot (1 - \max(|x|, |x'|)) + |x - x'|, \\ ((x, y), (x', y') \in X).$$

Then  $d^*(\{(-1, 0)\}, \{(1, 0)\}) = 2$  and the mapping  $f: [0, 2] \rightarrow (X^*, d^*)$  given by  $f(\lambda) = \{(x, y) \in X : x = \lambda - 1\}$  for  $\lambda \in [0, 2]$  is an isometry with  $f(0) = \{(-1, 0)\}$  and  $f(2) = \{(1, 0)\}$ . This is therefore a segment between  $\{(-1, 0)\}$  and  $\{(1, 0)\}$  in  $(X^*, d^*)$ . However, there exists no segment between  $(-1, 0)$  and  $(1, 0)$  in  $(X, d)$ .

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