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**MONADS AND COCOMPLETENESS OF CATEGORIES**

by *Manuela SOBRAL* \*

**RÉSUMÉ:** Soit  $\mathcal{E}$  une catégorie complète et bien-potentiée. La théorie des monades est utilisée pour démontrer que, pour chaque  $\mathcal{E}$ -objet  $A$ , l'enveloppe réflexive  $\mathcal{A}$  de  $\{A\}$  dans  $\mathcal{E}$  est une catégorie cocomplète, lorsque  $A$  vérifie une condition d'injectivité. Ensuite, nous démontrons que ce résultat est une conséquence d'un théorème qui établit une relation entre  $\mathcal{A}$  et des monades induites dans  $\mathcal{E}$  et  $\text{Set}^{\mathbb{I}}$  par l'adjonction associée au foncteur comparaison  $\Phi : \mathcal{E}^{\text{op}} \rightarrow \text{Set}^{\mathbb{I}}$ ,  $\tau$  est la monade induite dans  $\text{Set}$  par l'adjonction  $\langle A^-, \text{Hom}(-, A) \rangle : \text{Set} \rightarrow \mathcal{E}^{\text{op}}$ .

**1. INTRODUCTION.**

Let  $\mathcal{E}$  be a complete and well-powered category. Then, the reflective hull  $\text{REF}(A)$  of  $\{A\}$  in  $\mathcal{E}$  is its limit-closure and  $A$  is a strong cogenerator in  $\text{REF}(A)$ , for each single  $\mathcal{E}$ -object  $A$  (see [9] 6.1 where credit is given to Ringel [7]).

It is well-known that  $\mathcal{E}$  has (epi, strong mono) - factorizations and so that extremal monomorphisms are strong. If, furthermore,  $\mathcal{E}$  is co-well-powered then it has (epi, strong mono) - factorization of sources and this implies the existence of certain colimits in  $\mathcal{E}$  ([5] 1.1) and, consequently, in its reflective full subcategories.

Throughout this paper  $\mathcal{E}$  will denote a complete and well-powered category. We are going to prove that if a  $\mathcal{E}$ -object  $A$  is  $D$ -injective,  $D$  being a class of morphisms we shall define shortly, then  $\text{REF}(A)$  is a cocomplete category. For that we

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show that  $\text{REF}(A)$  is dually equivalent to a monadic category over a complete category.

Our general reference for monad theory is [1].

From now on, we consider a  $\mathcal{E}$ -object  $A$  and denote by  $\mathbb{T}$ ,  $\Phi : \mathcal{E}^{\text{op}} \rightarrow \text{Set}$ , and  $\mathfrak{A}$ , the monad induced in  $\text{Set}$  by the adjunction  $A \dashv \text{Hom}(-, A) : \mathcal{E}^{\text{op}} \rightarrow \text{Set}$ , the comparison functor and  $\text{REF}(A)$ , respectively. We will often take  $F = A$  and  $H = \text{Hom}(-, A)$ .

## 2. THE $\mathfrak{A}$ -CLOSURE OPERATOR.

Let  $\mathcal{M}$  be the full subcategory of  $\mathcal{E}^2$  (where  $2 = \{0 \rightarrow 1\}$ ) with objects the external monomorphisms. The  $\mathfrak{A}$ -closure operator ([2], [3]), originally defined in [8] for  $\mathcal{E} = \text{Top}$ , is the functor

$$[ ]_{\mathfrak{A}} : \mathcal{M} \rightarrow \mathcal{M}$$

which assigns to each extremal monomorphism  $m$  the generalized pullback of

$$\{e = \text{eq}(f, g) \mid f.m = g.m \text{ and } \text{cod}f = \text{cod}g \in \mathfrak{A}\}.$$

We consider the extension of  $[ ]_{\mathfrak{A}}$  to  $\mathcal{E}^2$ , also denoted by  $[ ]_{\mathfrak{A}}$ , or simply  $[ ]$ , assigning to  $f = m.e$  in  $\mathcal{E}$  the  $\mathfrak{A}$ -closure  $[m]_{\mathfrak{A}}$  of  $m$ ,  $m.e$  being the (epi, extremal mono)-factorization of  $f$ .

Let  $\varepsilon_X : X \rightarrow A^{\text{Hom}(X, A)}$  be the unique  $\mathcal{E}$ -morphism such that  $p_f \cdot \varepsilon_X = f$  for all  $f \in \text{Hom}(X, A)$ ,  $(p_f)$  being the canonical projections. Then  $\varepsilon^{\text{op}}$  is the counit of the adjunction  $F = A \dashv H = \text{Hom}(-, A) : \mathcal{E}^{\text{op}} \rightarrow \text{Set}$ .

The following is essentially Lemma 1 in [6], whose proof we include for convenience of the reader.

**2.1 Lemma.** *For each  $\mathcal{E}$ -object  $X$ ,  $[\varepsilon_X]_{\mathfrak{A}} = e_X$  where  $e_X = \text{eq}(F H \varepsilon_X, \varepsilon_{F H X})$ .*

**Proof.** The functors  $[ ]_{(A)}$  and  $[ ]_{\mathfrak{A}}$  coincide ([4] 2.1) and so it is enough to prove that  $[\varepsilon_X]_{(A)} = e_X$  for every  $X \in \mathcal{E}$ . We have that  $p_h \cdot \varepsilon_{F H X} = h$  and  $p_h \cdot F H \varepsilon_X = p_h \cdot \varepsilon_X$  for

all  $\mathcal{E}$ -morphisms  $h : A^{\text{Hom}(X,A)} \rightarrow A$ . For  $\mathcal{E}$ -morphisms  $f, g : A^{\text{Hom}(X,A)} \rightarrow A$ , if  $f \cdot \varepsilon_X = g \cdot \varepsilon_X$  then  $f \cdot e_X = g \cdot e_X$ .  
Indeed

$$\begin{aligned} f \cdot e_X &= p_f \cdot \varepsilon_{FHX} \cdot e_X = p_f \cdot FH\varepsilon_X \cdot e_X = p_{f \cdot \varepsilon_X} \cdot e_X \\ &= p_{g \cdot \varepsilon_X} \cdot e_X = p_g \cdot FH\varepsilon_X \cdot e_X = p_g \cdot \varepsilon_{FHX} \cdot e_X = g \cdot e_X. \end{aligned}$$

Since the converse is trivial, then  $f \cdot \varepsilon_X = g \cdot \varepsilon_X$  if and only if  $f \cdot e_X = g \cdot e_X$ .

Furthermore,  $\varepsilon_{FHX} \cdot \varepsilon_X = FH\varepsilon_X \cdot \varepsilon_X$ . Hence

$$p_h \cdot \varepsilon_{FHX} \cdot [\varepsilon_X]_{(A)} = p_h \cdot FH\varepsilon_X \cdot [\varepsilon_X]_{(A)}$$

for all  $h \in \text{Hom}(A^{\text{Hom}(X,A)}, A)$ , and so

$$\varepsilon_{FHX} \cdot [\varepsilon_X]_{(A)} = FH\varepsilon_X \cdot [\varepsilon_X]_{(A)}.$$

It is now straightforward to prove that  $[\varepsilon_X]_{(A)} = e_X$ . □

Let  $D = \{[\varepsilon_X]_{\mathcal{A}} \mid X \in \mathcal{E}\}$ ,  $\alpha$  be the unit and  $\beta^{\text{op}}$  the co-unit of the adjunction  $M \dashv \Phi : \mathcal{E}^{\text{op}} \rightarrow \text{Set}^{\mathbb{T}}$ .

**2.2 Lemma.** *If  $A$  is  $D$ -injective then  $\beta_X$  is an  $A$ -epi for every  $\mathcal{E}$ -object  $X$ .*

**Proof.** We recall that  $M(Y, \theta)$  is the equalizer object of the  $\mathcal{A}$ -morphisms  $F\theta, \varepsilon_{FY} : A^Y \rightarrow A^{\text{Hom}(AY,A)}$  and so it is an  $\mathcal{A}$ -object, for every  $\mathbb{T}$ -algebra  $(Y, \theta)$ . For  $X \in \mathcal{E}$  we have the diagram

$$\begin{array}{ccc} & M\Phi X & \\ \beta_X \nearrow & & \searrow e_X \\ X & \xrightarrow{\varepsilon_X} & FHX \end{array} \quad \begin{array}{c} \xrightarrow{\varepsilon_{FHX}} \\ \xrightarrow{FH\varepsilon_X} \end{array} \quad FHFHX$$

where the triangle commutes. Let  $f$  and  $g$  be two parallel  $\mathcal{A}$ -morphisms such that  $f \cdot \beta_X = g \cdot \beta_X$ . Without loss of generality, since  $A$  is a cogenerator in  $\mathcal{A}$ , we assume that the codomain of  $f$  and  $g$  is  $A$ . The injectivity condition of

A with respect of  $[\varepsilon_X] = e_X$  implies that there exist morphisms  $f'$  and  $g'$  such that  $f' \cdot [\varepsilon_X] = f$  and  $g' \cdot [\varepsilon_X] = g$ . Hence  $f' \cdot \varepsilon_X = g' \cdot \varepsilon_X$ . By definition of  $\mathcal{A}$ -closure of  $\varepsilon_X$   $f' \cdot [\varepsilon_X] = g' \cdot [\varepsilon_X]$  and so  $f = g$ .  $\square$

### 3. COCOMPLETENESS OF $\mathcal{A}$ .

Let  $S = (S, \alpha, \delta)$  be a monad in  $\mathcal{K}$  and  $\text{Fix}(S, \alpha)$  be the full subcategory with objects all  $\mathcal{K}$ -objects  $X$  for which  $\alpha_X$  is an isomorphism.

The equivalence of the following assertions is known.

**3.1 Proposition.** *For a monad  $S = (S, \alpha, \delta)$  in  $\mathcal{K}$  the following are equivalent:*

- (i)  $\delta$  is an isomorphism.
- (ii)  $\alpha_S = S_\alpha$ .
- (iii)  $\alpha_S$  is an isomorphism.
- (iv)  $\mathcal{K}^S$  is concretely isomorphic to  $\text{Fix}(S, \alpha)$ .

A monad  $S$  is called **idempotent** if it satisfies one, and so all, of the conditions (i) - (iv) in 3.1.

**3.2 Theorem.** *If  $\mathcal{A}$  is injective with respect to  $\mathcal{D}$  then  $\mathcal{A}$  is a cocomplete category.*

**Proof:** We consider the monad  $S = (\Phi M, \alpha, \Phi \beta^{\text{op}} M)$  induced in  $\text{Set}^\top$  by the adjunction  $M \dashv \Phi : \mathcal{E}^{\text{op}} \rightarrow \text{Set}^\top$ . The restriction  $\Phi_1$  of  $\Phi$  to  $\mathcal{A}^{\text{op}}$  is part of an adjunction which induces the same monad in  $\text{Set}^\top$  as the former adjunction does. Indeed, it is enough to remark that  $M(Y, \Theta) \in \mathcal{A}$  for all  $\top$ -algebra  $(Y, \Theta)$  (see proof of 2.2).

If  $X \in \mathcal{A}$  there exists an  $\mathcal{A}$ -extremal monomorphism from  $X$  to some power of  $A$  and so  $\varepsilon_X$  is an extremal monomorphism in  $\mathcal{A}$ . Since  $\varepsilon_X = [\varepsilon_X] \cdot \beta_X$  and  $\beta_X$  is an epimorphism in  $\mathcal{A}$  (2.2), then it is an isomorphism. Hence  $\Phi \beta^{\text{op}} M$  is an isomorphism and so  $S$  is an idempotent monad. It is now clear that  $\Phi_1 : \mathcal{A}^{\text{op}} \rightarrow \text{Fix}(\Phi M, \alpha) \cong (\text{Set}^\top)^S$  is an equivalence. We have therefore concluded that  $\mathcal{A}$  is dually equivalent to a monadic category  $(\text{Set}^\top)^S$  over a complete category  $\text{Set}^\top$  and so that  $\mathcal{A}$  is cocomplete.  $\square$

### 4. MONADS INDUCED BY THE COMPARISON ADJUNCTION

The adjunction associated with the comparison functor  $\Phi : \mathcal{E}^{\text{op}} \rightarrow \text{Set}^\top$  induces a monad  $S = (\Phi M, \alpha, \Phi \beta^{\text{op}} M)$  and a co-

monad in  $\mathcal{E}^{op}$ , i.e. a monad  $S' = (M\phi, \beta, (M\alpha\phi)^{op})$  in  $\mathcal{E}$ . The monad  $S$  was the main tool for proving the cocompleteness of  $REF(A) = \mathcal{A}$ , whenever  $A$  is injective with respect to  $D$ . This is a consequence of the fact that the injectivity condition is equivalent to some close relations between  $\mathcal{A}$   $S$  and  $S'$ .

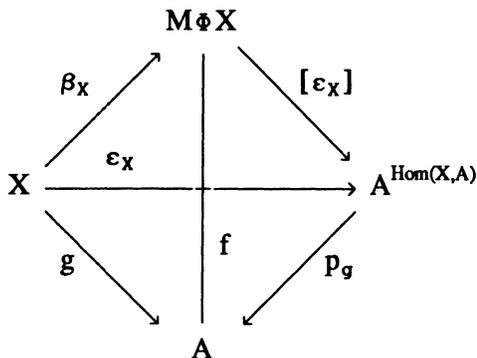
**4.1 Theorem.** *The following assertions are equivalent.*

- (i)  $A$  is  $D$ -injective.
- (ii) The comparison functor  $\phi$  induces an equivalence  $\phi_1 : \mathcal{A}^{op} \rightarrow (Set^T)^S$ .
- (iii)  $A$  and  $\mathcal{E}^{S'}$  are concretely isomorphic over  $\mathcal{E}$ .

**Proof:** (i)  $\Rightarrow$  (ii) See proof of 3.2.

(ii)  $\Rightarrow$  (iii) Since  $\phi_1$  is an equivalence,  $\beta_X$  is an isomorphism for every  $X \in \mathcal{A}$ . Then  $\beta_{M\phi}$  is an isomorphism. This tells us that  $S'$  is an idempotent monad (3.1 (iii)). The functor  $L : \mathcal{A} \rightarrow \mathcal{E}^{S'} \cong Fix(M\phi, \beta)$  defined on objects by  $L(X) = (X, \beta_X^{-1})$  is a concrete isomorphism, i.e.  $U^{S'}.L = E$  where  $E : \mathcal{A} \rightarrow \mathcal{E}$  is the embedding and  $U^{S'} : \mathcal{E}^{S'} \rightarrow \mathcal{E}$  is the forgetful functor from the Eilenberg-Moore category of algebras  $\mathcal{E}^{S'}$  to  $\mathcal{E}$ .

(iii)  $\Rightarrow$  (i) Let  $L : \mathcal{A} \rightarrow \mathcal{E}^{S'}$  be an isomorphism such that  $U^{S'}.L = E$ . Then  $\beta_X$  is the reflection of  $X$  in  $\mathcal{A}$ . Indeed,  $M\phi X$  is an  $A$ -object and  $\beta_X : X \rightarrow M\phi X \cong U^{S'} F^{S'} X$  where  $F^{S'}$  is the left adjoint to  $U^{S'}$ , is universal from  $X$  to  $E$ . Let  $f : M\phi X \rightarrow A$  be a  $\mathcal{E}$ -morphism. Then, by definition of  $\epsilon_X$ ,  $p_g \cdot \epsilon_X = g$  for  $g = f \cdot \epsilon_X$ .



Since  $\beta_X$  is the reflection of  $X$  in  $\mathcal{A}$ , hence  $p_G.[\varepsilon_X].\beta_X = f.\beta_X$  implies that  $p_G.[\varepsilon_X] = f$  and so that  $A$  is  $D$ -injective.  $\square$

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