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## Decomposition of primes in number fields defined by trinomials.

par P. LLORENTE, E. NART AND N. VILA

**Abstract** — *In this paper we deal with the problem of finding the prime-ideal decomposition of a prime integer in a number field  $K$  defined by an irreducible trinomial of the type  $X^{p^m} + AX + B \in \mathbb{Z}[X]$ , in terms of  $A$  and  $B$ . We also compute effectively the discriminant of  $K$ .*

### 1. Introduction

Let  $K$  be the number field defined by an irreducible trinomial of the type :

$$X^{p^m} + AX + B, \quad A, B \in \mathbb{Z}, \quad p \text{ prime}, \quad m \geq 1.$$

In this paper we study the prime-ideal decomposition of the rational primes in  $K$ . Our results extend those of Vélez in [6], where he deals with the decomposition of  $p$  in the case  $A = 0$ . However, the methods are different, ours being based on Newton's polygon techniques. The results are essentially complete except for a few special cases which can be handled by an specific treatment (see section 2.3). This is done explicitly for  $p^n = 4$  or 5, so that there are no exceptions at all for quartic and quintic trinomials.

Let us remark that the main aim of the paper is to give a complete answer in the case  $p|A, p \nmid B$  (Theorems 3 and 4). The results concerning the other cases are easily obtained applying the ideas of [2], where we dealt with the computation of the discriminant of  $K$ , whereas the case  $p|A, p \nmid B$  was not even considered. We give also the  $p$ -valuation of the discriminant of  $K$  in all cases including those not covered by [2].

## 2. Results

Let  $K = \mathbb{Q}(\theta)$ , where  $\theta$  is a root of an irreducible polynomial of the type :

$$f(X) = X^n + AX + B,$$

where  $n, A, B \in \mathbb{Z}, n > 3$ . For the case  $n = 3$  see [1]. Let us denote by  $d$  and

$$D = (-1)^{\frac{n(n-1)}{2}}(n^n B^{n-1} + (-1)^{n-1}(n-1)^{n-1} A^n),$$

the respective discriminants of  $K$  and  $\theta$ . For simplicity we shall write in the sequel  $N$  for the ideal norm  $N_{K/\mathbb{Q}}$ .

For any prime  $q \in \mathbb{Z}$  and integer  $u \in \mathbb{Z}$  (or  $q$ -adic integer  $u \in \mathbb{Z}_q$ ) we shall denote by  $v_q(u)$  the greatest exponent  $s$  such that  $q^s | u$  and we shall write  $u_q := u/q^{v_q(u)}$ .

It is well-known that we can assume that the conditions :

$$v_q(A) \geq n - 1, \quad v_q(B) \geq n,$$

are not satisfied simultaneously for any prime integer  $q$ . We shall make this assumption throughout the paper.

Let  $F(X) \in \mathbb{Z}[X]$  be a polynomial,  $q \in \mathbb{Z}$  a prime integer and let

$$F(X) \equiv \Phi_1(X)^{e_1} \cdot \dots \cdot \Phi_s(X)^{e_s} \pmod{q},$$

be the decomposition of  $F(X)$  as a product of irreducible factors (mod  $q$ ). An integer ideal  $\mathfrak{a}$  of any number field  $L$  will be called " $q$  analogous to the polynomial  $F(X)$ " if the decomposition of  $\mathfrak{a}$  into a product of prime ideals of  $L$  is of the type :

$$\mathfrak{a} = \mathfrak{q}_1^{e_1} \cdot \dots \cdot \mathfrak{q}_s^{e_s}, \quad N_{L/\mathbb{Q}}(\mathfrak{q}_i) = q^{deg(\Phi_i(X))} \text{ for all } i.$$

### 2.1. Decomposition of the primes $q$ not dividing $n$ .

**THEOREM 1.** *Let  $q \in \mathbb{Z}$  be a prime number such that  $q \nmid n$ . Let us denote  $a = (n - 1, v_q(A))$  and  $b = (n, v_q(B))$ . The decomposition of  $q$  into a product of prime ideals of  $K$  is as follows :*

*If  $v_q(B) > v_q(A)$  and  $q \nmid a$ ,*

$$(2.1.1) \quad q = \mathfrak{q} \mathfrak{a}^{(n-1)/a}, \quad N(\mathfrak{q}) = q, \quad \mathfrak{a} \text{ } q\text{-analogous to } X^a - A_q.$$

If  $v_q(B) \leq v_q(A)$  and  $v_q(A) > 0$ ,

$$(2.1.2) \quad q = \mathfrak{a}^{n/b}, \quad \mathfrak{a} \text{ } q\text{-analogous to } X^b - B_q.$$

If  $q \nmid AB$  and  $q \mid D$ , the decomposition of  $f(X)$  into a product of irreducible factors (mod  $q$ ) is of the type :

$$(2.1.3) \quad f(X) \equiv (x - u)^2 \cdot \Phi_1(X) \cdot \dots \cdot \Phi_s(X) \pmod{q},$$

and we have

$$(2.1.4) \quad q = \mathfrak{q}_1 \cdot \dots \cdot \mathfrak{q}_s \cdot \mathfrak{a}, \quad N(\mathfrak{q}_i) = q^{\deg(\Phi_i(X))} \text{ for all } i, \quad N(\mathfrak{a}) = q^2,$$

where

$$\mathfrak{a} = \begin{cases} \mathfrak{q} \cdot \mathfrak{q}', \quad N(\mathfrak{q}) = N(\mathfrak{q}') = q, \text{ if } v_q(D) \text{ even and } \left(\frac{Dq}{q}\right) = (-1)^{n-s} \\ \mathfrak{q}, \quad N(\mathfrak{q}) = q^2, \text{ if } v_q(D) \text{ even and } \left(\frac{Dq}{q}\right) = (-1)^{n-s+1} \\ \mathfrak{q}^2, \quad N(\mathfrak{q}) = q, \text{ if } v_q(D) \text{ odd.} \end{cases}$$

If  $q \nmid ABD$ ,  $q$  is  $q$ -analogous to  $f(X)$ . (2.1.5)

$$v_q(d) = \begin{cases} n - 1 - a + \inf\{(n - 1)v_q(B) - nv_q(A), (n - 1)v_q(n - 1)\}, \\ \quad \text{if } v_q(B) > v_q(A) \text{ and } q \nmid a, \\ n - b, \quad \text{if } v_q(B) \leq v_q(A) \text{ and } v_q(A) > 0, \\ 0, \quad \text{if } q \nmid AB \text{ and } v_q(D) \text{ even,} \\ 1, \quad \text{if } q \nmid AB \text{ and } v_q(D) \text{ odd.} \end{cases}$$

## 2.2. Decomposition of the primes $p$ dividing $n$

**THEOREM 2.** If  $p \nmid A$ , then  $p$  is  $p$ -analogous to  $f(X)$  and  $v_p(d) = 0$ .

If  $v_p(B) > v_p(A) > 0$ , then

$$p = \mathfrak{a}^{(n-1)/a} \mathfrak{p}, \quad \mathfrak{a} \text{ } p\text{-analogous to } X^a + A_p, \quad N(\mathfrak{p}) = p$$

and  $v_p(d) = n - a - 1$ , where we have denoted  $a = (n - 1, v_p(A))$ .

If  $0 < v_p(B) \leq v_p(A)$  and  $p \nmid v_p(B)$ ,

$$p = \mathfrak{p}^n, \quad N(\mathfrak{p}) = p \text{ and } v_p(d) = n - 1 + \inf\{nv_p(A) - (n - 1)v_p(B), nm\}.$$

From now on we assume that  $n = p^m > 3$  for some prime  $p \in \mathbb{Z}$  and integer  $m \geq 1$ .

**THEOREM 3.** Suppose that  $p > 2$ ,  $p|A$  and  $p \nmid B$ . Let us denote :

$$r_0 = v_p(f(-B)), r_1 = v_p(f'(-B)), r = \inf\{m+1, r_1, r_0\}, s_0 = v_p(D) - mn ; \\ e = p^{m-r+1}, e_k = p^{m-k}(p-1), 1 \leq k < m, e_m = p-2 ; J = (n-e)/(p-1), \\ I = \frac{1}{2}(v_p(D) - v_p(d)).$$

Then we have :

$$(2.2.1) \quad p = \begin{cases} p_1^{e_1} \cdots p_{r-1}^{e_{r-1}} \cdot a, & N(p_k) = p \text{ for all } k, \text{ if } r \leq m, \\ p_1^{e_1} \cdots p_{m-1}^{e_{m-1}} \cdot b, & N(p_k) = p \text{ for all } k, \text{ if } r = m+1, \end{cases}$$

where

$$a = \begin{cases} p^e, & N(p) = p, \text{ if } r_0 \leq r_1, \\ p^{e-1} \cdot p', & N(p) = N(p') = p, \text{ if } r_0 > r_1. \end{cases} \quad (2.2.2)$$

$$(2.2.3)$$

If  $p = 3$  and  $s_0 \leq m+2$ ,

$$b = \begin{cases} p^3, & N(p) = 3, \text{ if } s_0 = m+1 \\ p, & N(p) = 27, \\ & \text{if } s_0 = m+2 \text{ and } D_3 \equiv (-1)^{m-1} \pmod{3} \\ p \cdot p', & N(p) = 3, N(p') = 9, \\ & \text{if } s_0 = m+2 \text{ and } D_3 \equiv (-1)^m \pmod{3}. \end{cases} \quad (2.2.4)$$

$$(2.2.5)$$

$$(2.2.5)$$

If  $p > 3$  or  $p = 3$  and  $s_0 > m+2$ ,

$$b = \begin{cases} p_m^{e_m} \cdot p^2, & N(p_m) = N(p) = p, \text{ if } v_p(D) \text{ odd} \\ p_m^{e_m} \cdot p, & N(p_m) = N(p) = p^2, \text{ if } v_p(D) \text{ even} \\ & \text{and } \left( \frac{(-1)^{\frac{n(n-1)}{2}} 2D_p}{p} \right) = -1 \\ p_m^{e_m} \cdot p \cdot p', & N(p_m) = N(p) = N(p') = p, \text{ otherwise} \end{cases} \quad (2.2.6)$$

Moreover  $I = J$  in cases (2.2.2) and (2.2.4),  $I = J + 1$  in case (2.2.3) and  $I = J + [(s_0 - m)/2] + 1$  in the rest of the cases.

**THEOREM 4.** Suppose that  $2|A$ ,  $2 \nmid B$  and let  $r_0, r_1, r, s_0, e, e_k$  ( $1 \leq k < m$ ),  $J$  and  $I$  be as in Theorem 3. Let  $u = [(s_0 - m + 1)/2]$ . Then we have

$$(2.2.7) \quad 2 = \begin{cases} p_1^{e_1} \cdots p_{r-2}^{e_{r-2}} \cdot a, & N(p_k) = 2 \text{ for all } k, \text{ if } r \leq m, \\ p_1^{e_1} \cdots p_{m-2}^{e_{m-2}} \cdot b, & N(p_k) = 2 \text{ for all } k, \text{ if } r = m+1, \end{cases}$$

where

$$a = \begin{cases} \mathfrak{p}^e, N(\mathfrak{p}) = 4, & \text{if } r_0 \leq r_1 & (2.2.8) \\ \mathfrak{p}_{m-1}^{e_{m-1}} \mathfrak{p}, N(\mathfrak{p}_{m-1}) = 2, N(\mathfrak{p}) = 4, & \text{if } r_1 = m \text{ and } r_0 = m + 1 & (2.2.9) \\ \mathfrak{p}_{r-1}^{e_{r-1}} \mathfrak{p}^{e-1} \mathfrak{p}', N(\mathfrak{p}_{m-1}) = N(\mathfrak{p}) = N(\mathfrak{p}') = 2, & \text{otherwise} & (2.2.9) \end{cases}$$

$$b = \begin{cases} \mathfrak{p}^2, N(\mathfrak{p}) = 2, & \text{if } v_2(D) - m \text{ even or} \\ & D_2 \equiv 1 + 2^n \pmod{4} & (2.2.10) \\ \mathfrak{p}, N(\mathfrak{p}) = 4, & \text{if } v_2(D) - m \text{ odd and} \\ & D_2 \equiv 3 + n^n + 2^{n^2} \pmod{8} & (2.2.11) \\ \mathfrak{p} \cdot \mathfrak{p}', N(\mathfrak{p}) = N(\mathfrak{p}') = 2, & \text{if } v_2(D) - m \text{ odd and} \\ & D_2 \equiv 7 + n^n + 2^{n^2} \pmod{8} & (2.2.11) \end{cases}$$

Moreover  $I = J$  in cases (2.2.8),  $I = J + 1$  in cases (2.2.9),  $I = J + u - 1$  in cases (2.2.10) and  $I = J + u$  in cases (2.2.11).

### 2.3. Quartic and quintic trinomials

In this section we complete the general theorems above in the cases  $n = 4$  and 5. Let  $n = p^m$ . Theorems 2, 3 and 4 give the decomposition of  $p$  in all cases except for the following :

$$(2.3.1) \quad p | v_p(B) \quad \text{and} \quad 0 < v_p(B) \leq v_p(A).$$

For the primes  $q \neq p$  the only case not covered by Theorem 1 is :

$$(2.3.2) \quad q | (n - 1, v_q(A)) \quad \text{and} \quad 0 < v_q(A) < v_q(B).$$

Equations satisfying (2.3.1) or (2.3.2) can be handled by an specific treatment but the results are too disperse to fit them into a reasonable theorem. For instance, for  $n = 4$ , (2.3.2) is not possible and (2.3.1) occurs only for  $p = 2$  and equations :

$$(2.3.3) \quad X^4 + 2^{2+e}AX + 2^2B, \quad 2 \nmid AB, \quad e \geq 0.$$

For  $n = 5$ , (2.3.1) is not possible and (2.3.2) occurs only for  $q = 2$  and equations :

$$(2.3.4) \quad X^5 + 2^2BX + 2^{3+e}C, \quad 2 \nmid BC, \quad e \geq 0.$$

**THEOREM 5.** *The decomposition of 2 in the number field defined by (2.3.3) or (2.3.4) is*

$$2 = \begin{cases} \mathfrak{a}, & \text{if } n = 4, \\ \mathfrak{r} \mathfrak{a}, \quad N(\mathfrak{r}) = 2, \quad \mathfrak{r} \nmid \mathfrak{a}, & \text{if } n = 5, \end{cases}$$

where  $\mathfrak{a}$  is an integer ideal having the following decomposition :

$$\mathfrak{a} = \mathfrak{p}^4, \quad \text{if } e = 0 \text{ or } 1.$$

For  $e \geq 2$  and  $B \equiv 1 \pmod{4}$  :

$$\mathfrak{a} = \begin{cases} \mathfrak{p}^4, & \text{if } e = 2, B \equiv 1 \pmod{8} \text{ or } e \geq 3, B \equiv 5 \pmod{8}, \\ \mathfrak{p}^2 \mathfrak{p}_1^2, & \text{if } e = 2, B \equiv 13 \pmod{16} \text{ or } e \geq 3, B \equiv 1 \pmod{16}, \\ \mathfrak{p}_2^2, & \text{if } e = 2, B \equiv 5 \pmod{16} \text{ or } e \geq 3, B \equiv 9 \pmod{16}. \end{cases} \quad (2.3.5)$$

Whereas for  $e \geq 2$  and  $B \equiv 3 \pmod{4}$  :

$$\mathfrak{a} = \begin{cases} \mathfrak{p}^2 \mathfrak{p}_1^2, & \text{if } B \equiv 7 \pmod{8}, \\ \mathfrak{p}_2^2, & \text{if } B \equiv 3 \pmod{8}. \end{cases}$$

In all cases  $N(\mathfrak{p}) = N(\mathfrak{p}_1) = 2$  and  $N(\mathfrak{p}_2) = 4$ . Moreover,  $v_2(d) = 4$  when  $e = 0$  and in the cases (2.3.5), (2.3.6) and  $v_2(d) = 6$  in the rest of the cases.

### 3. Proofs

The proofs of the Theorems of Section 2 are essentially based on an old technique developed by Ore concerning Newton's polygon of the trinomial  $f(X)$  (cf. [3] and [4]). For commodity of the reader we sum up the results we need of [3] and [4] in Theorem 6 below.

We recall first some definitions about Newton's polygon. Let  $F(X) = X^n + a_1 X^{n-1} + \dots + a_n \in \mathbb{Z}[X]$  and  $p \in \mathbb{Z}$  be a prime number. The lower convex envelope  $\Gamma$  of the set of points  $\{(i, v_p(a_i)), 0 \leq i \leq n\}$  ( $a_0 = 1$ ) in the euclidean 2-space determines the so-called "Newton's polygon of  $F(X)$  with respect to  $p$ ". Let  $S_1, \dots, S_t$  be the sides of the polygon and  $\ell_i, h_i$  the lenght of the projections of  $S_i$  to the  $X$ -axis and  $Y$ -axis respectively. Let  $\varepsilon_i = (\ell_i, h_i)$  and  $\ell_i = \varepsilon_i \cdot \lambda_i$  for all  $i$ . If  $S_i$  begins at the point  $(s, v_p(a_s))$  let  $s_j = s + j\lambda_i$  and :

$$b_j = \begin{cases} (a_{s_j})_p & \text{if the point } (s_j, v_p(a_{s_j})) \text{ belongs to } S_i, \\ 0 & \text{otherwise,} \end{cases}$$

for all  $0 \leq j \leq \varepsilon_i$ . The polynomial :

$$F_i(Y) = b_0 Y^{\varepsilon_i} + b_1 Y^{\varepsilon_i-1} + \dots + b_{\varepsilon_i},$$

is called the “associated polynomial of  $S_i$ ”. We define  $F(X)$  to be “ $S_i$ -regular” if  $p$  does not divide the discriminant of  $F_i(Y)$ .  $F(X)$  will be called “ $\Gamma$ -regular” if it is  $S_i$ -regular for all  $i$ .

**THEOREM 6.** (Ore [4], Theorems 6 and 8). *Let  $F(X) \in \mathbb{Z}[X]$  be a monic irreducible polynomial and let  $L = \mathbb{Q}(\alpha)$ ,  $\alpha$  a root of  $F(X)$ . Let  $p \in \mathbb{Z}$  be a prime ; with the above notations about Newton’s polygon  $\Gamma$  of  $F(X)$  with respecto to  $p$ , we have the following decomposition of  $p$  into a product of integer ideals of  $L$  :*

$$p = \mathfrak{a}_1^{\lambda_1} \cdot \dots \cdot \mathfrak{a}_t^{\lambda_t}.$$

For each  $i$ , the ideal  $\mathfrak{a}_i$  is  $p$ -analogous to  $F_i(Y)$  if  $F(X)$  is  $S_i$ -regular. Moreover, if  $F(X)$  is  $\Gamma$ -regular we have :

$$v_p(i(\alpha)) = \sum_{i=2}^t \ell_i \left( \sum_{j=1}^{i-1} h_j \right) + \frac{1}{2} \sum_{i=1}^t (\ell_i h_i - \ell_i - h_i + \varepsilon_i),$$

where  $i(\alpha)$  denotes the index of  $\alpha$ . This expression for  $v_p(i(\alpha))$  also coincides with the number of points with integer coordinates below the polygon except for the points on the  $X$ -axis and on the last ordinate.

For the proof of theorem 1 we need a well-known lemma (cf.[5]) :

**LEMMA 1.** *Let  $L$  be a number field of degree  $[L : \mathbb{Q}] = n$ . Let  $q$  be a prime integer unramified in  $L$  and let  $s$  be the number of prime ideals of  $L$  lying over  $q$ . Then, the discriminant  $d$  of  $L$  satisfies*

$$\left( \frac{d}{q} \right) = (-1)^{n-s}.$$

*Proof of theorem 1.* The assertions (2.1.1) and (2.1.2) are a straightforward application of Theorem 6. For (2.1.3) see the proof of [2 Theorem 2]. (2.1.4) is consequence of Lemma 1 and the fact that in this case  $v_q(d) = 1$  if  $q$  ramifies [2, Theorem 2]. (2.1.5) is obvious and the assertions concerning the computation of  $v_q(d)$  are contained in [2, Theorem 1].



Theorem 2 follows from Theorem 6 and [2, Theorem 1]. We shall deal with the proof of Theorems 3 and 4 altogether. The proof of Theorem 5 is similar to those of the general theorems.

*Proof of Theorem 3 and 4.* Since  $p|A$  and  $p \nmid B$ , we have  $f(X) \equiv (X + B)^n \pmod{p}$ . Let  $\Gamma$  be the Newton's polygon of the polynomial :

$$F(X) := f(X - B) = \sum_{i=0}^n A_i X^{n-i},$$

where  $A_0 = 1$ ,  $A_i = \binom{n}{i} (-B)^i$  for  $1 \leq i \leq n - 2$ ,  $A_{n-1} = f'(-B)$  and  $A_n = f(-B)$ .

It is easy to see that :

$$(3.2.1) \quad v_p(A_i) = v_p\left(\binom{n}{i}\right) = m - v_p(i), \quad 1 \leq i \leq n - 2.$$

Let us determine first which would be the partial shape of  $\Gamma$  if the two final points  $(n - 1, r_1), (n, r_0)$  where omitted. By (3.2.1) we find that in that case  $\Gamma$  would have  $m - 1$  sides  $S_1, \dots, S_{m-1}$  if  $p = 2$  and one more side  $S_m$  if  $p > 2$ , each side  $S_k$  ending at the point  $(e_k, k)$  (see figure 1). In fact,  $i = e_k$  is the greatest subindex with  $v_p(A_i) = k$  and the slope of  $S_k$  is  $1/e_k$  so that these slopes are strictly increasing. Now, when we consider the two final points of  $\Gamma$  we find that we can always assure that  $\Gamma$  contains the sides  $S_1, \dots, S_{m-1}$  if  $r > m$ , the sides  $S_1, \dots, S_{r-1}$  if  $r \leq m$  and  $p > 2$ , and the sides  $S_1, \dots, S_{r-2}$  if  $r \leq m$  and  $p = 2$ .

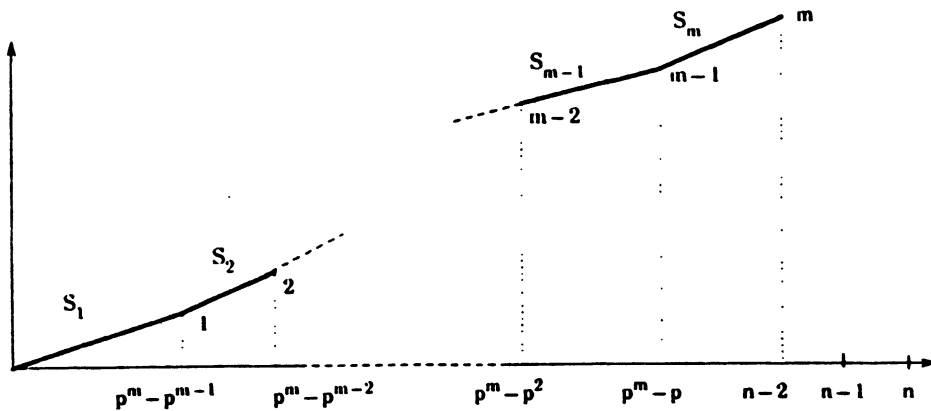


Figure 1

Let  $\Gamma'$  denote, in each case, the rest of the sides of  $\Gamma$ . By Theorem 6, the assertions (2.2.1) and (2.2.7) are proved. In order to find the further decomposition of the respective ideals  $\mathfrak{a}$  and  $\mathfrak{b}$  of Theorem 3 and 4 we shall study the shape and associated polynomials of  $\Gamma'$ . We must distinguish several cases. Before, note that for each  $1 \leq k \leq m$ , the number of points with integer coordinates below the sides  $S_1 \cup \dots \cup S_k$  except for the points on the  $X$ -axis and on the last ordinate is

$$I_k = p^{m-k} \left( \frac{p^k - 1}{p - 1} - k \right) \quad \text{for } 1 \leq k < m,$$

and

$$I_m = \frac{n - 1}{p - 1} - 2m + 1.$$

*Case  $r \leq m, r_0 \leq r_1$  :*  $\Gamma'$  has only one side with lengths of the projections to the axis :  $\ell = p^{m-r_0+1} = e$ ,  $h = 1$  if  $p > 2$  and  $\ell = 2e$ ,  $h = 2$  if  $p = 2$  (see fig. 2). Therefore  $\varepsilon := (\ell, h) = 1$  or  $2$  according to  $p > 2$  or  $p = 2$ . In the latter case the associated polynomial is congruent (mod 2) to  $Y^2 + Y + 1$ , which is irreducible. By Theorem 6, (2.2.2) and (2.2.8) are proved. Since  $F(X)$  is  $\Gamma$ -regular we have :

$$\begin{aligned} I &= I_{r-1} + e(r - 1) && \text{if } p > 2, \\ I &= I_{r-2} + e(2r - 3) && \text{if } p = 2, \end{aligned}$$

hence,  $I = J$  in both cases, as desired.

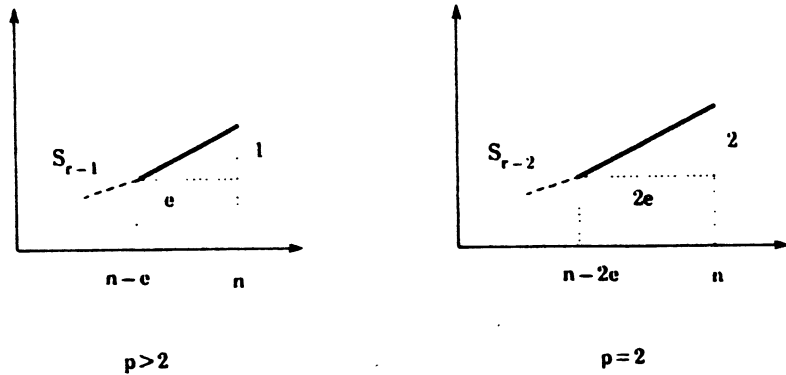


Figure 2

Case  $r \leq m, r_0 > r_1$  : If  $p > 2$ ,  $\Gamma'$  has two sides  $S, S'$  with projections to the axis  $\ell = e - 1, h = 1$  and  $\ell' = 1, h' = r_0 - r_1$  respectively (see fig. 3). If  $p = 2$ ,  $\Gamma'$  contains the side  $S_{r-1}$  and two more sides with the same dimensions of  $S$  and  $S'$  above, except for the case  $r_1 = m, r_0 = m + 1$  in which besides  $S_{m-1}$  there is only one side with projections to the axis  $\ell = h = 2$  and associated polynomial congruent (mod 2) to  $Y^2 + Y + 1$ , which is irreducible (see fig. 3). By Theorem 6, (2.2.3) and (2.2.9) are proved. Since  $F(X)$  is  $\Gamma$ -regular in any case, we have :

$$\begin{aligned}
 I &= I_{m-1} + 2m - 1 && \text{if } p = 2, r_1 = m \text{ and } r_0 = m + 1, \\
 I &= I_{r-1} + e(r - 1) + 1 && \text{otherwise ,}
 \end{aligned}$$

hence  $I = J + 1$  in both cases, as desired.

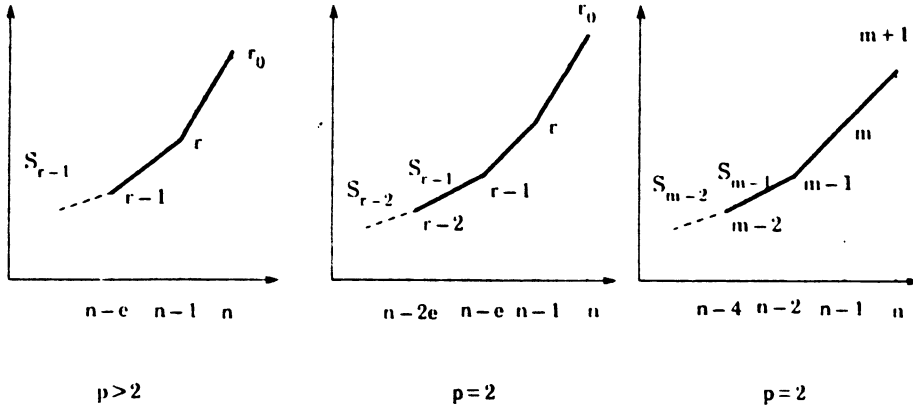


Figure 3

This ends the discussion of the case  $r \leq m$ .

Assume from now on that  $r = m + 1$ . If we study  $\Gamma'$  in this case as above, we are led to many  $p$ -irregular cases. For this reason, instead of the polynomial  $f(X - B)$  we seek an opportune substitute providing a much more regular situation.

Since  $r_1 = v_p(n(-B)^{n-1} + A) > m$ , we have :

$$v_p(A) = m \quad \text{and} \quad A_p \equiv -1 \pmod{p}.$$

Thus, from  $r_0 = v_p((-B)^{n-1} + A - 1) > m$ , we get :

$$(3.2.2.) \quad (-B)^{n-1} \equiv 1 + p^m \pmod{p^{m-1}}.$$

Let  $\beta = -nB/(n-1)A$ . Since  $v_p(\beta) = 0$ ,  $\beta$  is a  $p$ -adic integer and it is clear that Theorem 6 is also applicable to the polynomial :

$$G(X) := f(X + \beta) = \sum_{i=0}^{n-2} \binom{n}{i} \beta^i X^{n-i} + f'(\beta)X + f(\beta).$$

Computation leads to :

$$f(\beta) = (-1)^{\frac{n(n+1)}{2}} \frac{BD}{(n-1)^n A^n}, f'(\beta) = (-1)^{\frac{n(n+1)}{2}-1} \frac{D}{(n-1)^{n-1} A^{n-1}},$$

hence,  $s_0 := v_p(f(\beta)) = v_p(D) - nm$  and  $s_1 := v_p(f'(\beta)) = s_0 + m$ . It is easy to check that :

$$A_p^n \equiv (-1)^n \pmod{p^{m+1}} \text{ and } (n-1)^{n-1} \equiv (-1)^{n-1}(1+n) \pmod{p^{m+1}},$$

hence, by (3.2.2) :

$$\frac{(-1)^{\frac{n(n-1)}{2}} D}{n^n} = B^{n-1} + (-1)^{n-1} (n-1)^{n-1} A_p^n \equiv 0 \pmod{p^{m+1}},$$

so that  $s_0 = v_p(D/n^n) > m$ . Thus, Newton's polygon  $\Gamma_\beta$  of  $G(X)$  with respect to  $p$  can be also expressed as :

$$\Gamma_\beta = S_1 \cup \dots \cup S_{m-1} \cup \Gamma'_\beta,$$

and we need only to study  $\Gamma'_\beta$  in order to find the prime-ideal decomposition of the respective ideals  $\mathfrak{b}$  of Theorems 3 and 4. Again, we have to distinguish several cases :

*Case  $r = m + 1, p > 3$  or  $p = 3$  and  $s_0 > m + 2$  :*  $\Gamma'_\beta$  contains  $S_m$  and one more side of dimensions  $\ell = 2, h = s_0 - m$  (see fig. 4). For this latter side,  $\varepsilon = (\ell, h) = 1$  or  $2$  according to  $s_0 - m$  odd or even. In the latter case the associated polynomial is :

$$\begin{aligned} \frac{n-1}{2} \beta^{n-2} Y^2 + \frac{f(\beta)}{p^{s_0}} \\ \equiv \frac{B^{n-2}}{2} Y^2 + (-1)^{\frac{n(n+1)}{2}} BD_p \pmod{p}, \end{aligned}$$

and its discriminant is congruent to  $(-1)^{n(n-1)/2}2D_p$ . Since  $v_p(D) \equiv s_0 - m \pmod{2}$ , (2.2.6) is proved by Theorem 6. Moreover, since we are in a regular case we have :

$$I = I_m + 2m - 1 + \frac{s_0 - m + \varepsilon}{2} = J + \left[ \frac{s_0 - m}{2} \right] + 1,$$

as desired.

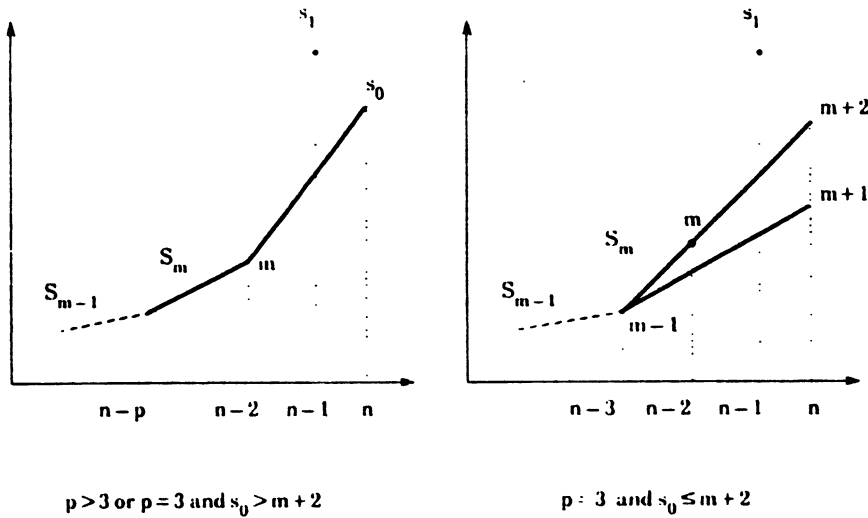


Figure 4

Case  $r = m + 1, p = 3$  and  $s_0 \leq m + 2$  :  $\Gamma'_\beta$  has only one side with  $\ell = 3$  and  $h = 2$  or  $3$  according to  $s_0 = m + 1$  or  $m + 2$  (see fig. 4). In the latter case  $\varepsilon = 3$  and the associated polynomial is

$$\begin{aligned} & \frac{(n-1)(n-2)}{2} \beta^{n-3} Y^3 + \frac{n-1}{2} \beta^{n-2} Y^2 + \frac{f(\beta)}{3^{s_0}} \\ & \equiv B^{n-3} Y^3 - B^{n-2} + (-1)^{m-1} B D_3 \pmod{3}. \end{aligned}$$

Since  $(-1)^{n(n+1)/2} = (-1)^{m-1}$  in this case, multiplying by  $B^2$  we get the polynomial  $\Phi(Y) = Y^3 - B Y^2 + (-1)^{m-1} B D_3$ , which is irreducible (mod 3) if  $D_3 \equiv (-1)^{m-1} \pmod{3}$  and factorizes :

$$\phi(Y) \equiv (Y + B)(Y^2 + B Y - 1) \pmod{3},$$

if  $D_3 \equiv (-1)^m \pmod{3}$ . By Theorem 6, (2.2.5) is proved. Since we are in a regular case we have :

$$\begin{aligned} I &= I_{m-1} + 3m - 2 = J \quad \text{if } s_0 = m + 1, \\ I &= I_{m-1} + 3m = J + 2 \quad \text{if } s_0 = m + 2. \end{aligned}$$

Case  $r = m + 1, p = 2$  :  $\Gamma'_\beta$  has only one side with  $\ell = 2$  and  $h = s_0 - m + 1$  (see fig.5), hence  $\varepsilon = 1$  or  $2$  according to  $s_0 - m + 1$  odd or even, or equivalently according to  $v_2(D) - m$  even or odd. In the latter case, the associated polynomial is congruent  $\pmod{2}$  to  $Y^2 + 1$ , hence, it is an irregular case. In the former case Theorem 6 proves (2.2.10) and :

$$I = I_{m-1} + 2m - 2 + \frac{s_0 - m}{2} = J + u - 1,$$

as desired.

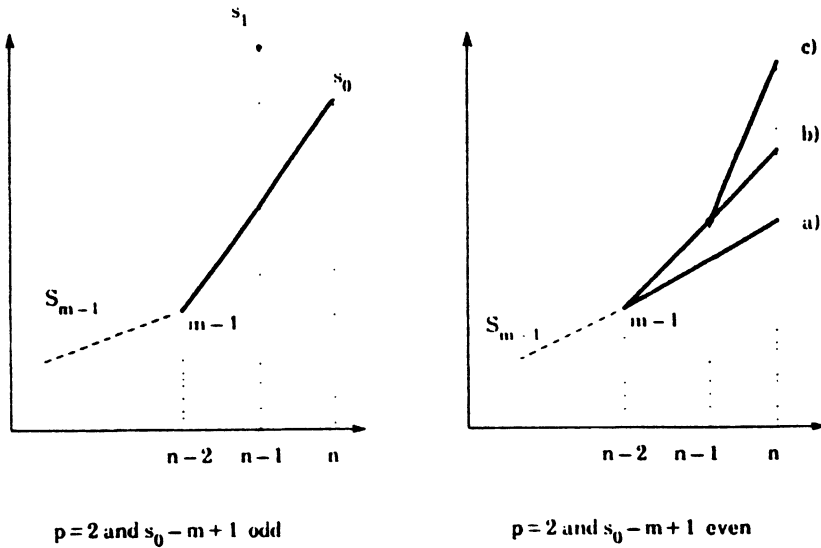


Figure 5

Finally, in order to deal with the case  $v_2(D) - m$  odd it is necessary to change again Newton's polygon. Let  $2u = s_0 - m + 1$  and  $\delta = (2^u - B)/(n - 1)A_2$ . Computation leads to :

$$(3.2.3) \quad (n - 1)^n A_2^n f(\delta) = \sum_{i=0}^{n-2} \binom{n}{i} 2^{(n-i)u} (-B)^i + (B - 2^{u+m}) D_0,$$

where  $D_0 = D/n^n = B^{n-1} - (n-1)^{n-1}A_2^n$ . Since  $v_2(D_0) = s_0 = 2u + m - 1 > m, u > 0$  and there are exactly two summands in (3.2.3) with  $v_2$  minimum and equal to  $2u + m - 1$ , hence,  $v_2(f(\delta)) \geq 2u + m$ . From the relation :

$$nf(X) - Xf'(X) = (n-1)AX + nB,$$

and being  $v_2((n-1)A\delta + nB) = u + m$ , we conclude that  $v_2(f'(\delta)) = u + m$ . Thus Newton's polygon  $\Gamma_\delta$  with respect to  $p$  of the polynomial  $f(X + \delta)$  is again expressible as :  $\Gamma_\delta = S_1 \cup \dots \cup S_{n-1} \cup \Gamma'_\delta$ . We have now three possibilities (see fig.5) :

- a)  $v_2(f(\delta)) = 2u + m$ .  $\Gamma'_\delta$  has only one side with  $\ell = 2, h = 2u + 1$  hence  $\varepsilon = (\ell, h) = 1$  and  $\mathfrak{a} = \mathfrak{p}^2, N(\mathfrak{p}) = 2$ . Moreover  $I = I_{m-1} + 2(m-1) + u = J + u - 1$ .
- b)  $v_2(f(\delta)) = 2u + m + 1$ .  $\Gamma'_\delta$  has only one side with associated polynomial congruent (mod 2) to  $Y^2 + Y + 1$ , which is irreducible, hence  $\mathfrak{a} = \mathfrak{p}, N(\mathfrak{p}) = 4$ . Moreover  $I = I_{m-1} + 2(m-1) + u + 1 = J + u$ .
- c)  $v_2(f(\delta)) > 2u + m + 1$ .  $\Gamma'_\delta$  has two sides and  $\mathfrak{a} = \mathfrak{p}, \mathfrak{p}'$ ,  $N(\mathfrak{p}) = N(\mathfrak{p}') = 2, I = J + u$  like in case b).

Taking congruence (mod  $2^{2u+m+2}$ ) of (3.2.3) we shall be able to decide in which case falls our polynomial. All summands of (3.2.3) vanish (mod  $2^{2u+m+2}$ ) except for the following :

$$\binom{n}{4} 2^{4u} (-B)^{n-4} + \binom{n}{3} 2^{3u} (-B)^{n-3} + \binom{n}{2} 2^{2u} (-B)^{n-2} + BD_0.$$

Dividing by  $2^{2u+m+1}$  and taking congruence (mod 8) we obtain :

$$(3.2.4) \quad 2^{2u+m+1} - 2^{2u-1} + 2^{u+1} + 2^m - 1 + BD_2 \pmod{8}$$

From (3.2.2) we get  $B \equiv -1 + 2^m \pmod{2^{m+1}}$ , hence (3.2.4) is equal to :

$$2^{2u+m-2} - 2^{2u-1} + 2^{u+1} - 1 - D_2 \pmod{8}$$

which is equal to  $-1 - D_2 \pmod{8}$  if  $u > 1$  and to  $2^m + 1 - D_2$  if  $u = 1$ . Therefore cases a) b) and c) are equivalent to the following respective conditions :

$$\begin{aligned} a) &\Leftrightarrow \begin{cases} D_2 \equiv 1 \pmod{4} & \text{if } u > 1 \\ D_2 \equiv -1 \pmod{4} & \text{if } u = 1 \end{cases} \\ b) &\Leftrightarrow \begin{cases} D_2 \equiv 3 \pmod{8} & \text{if } u > 1 \\ D_2 \equiv 5 + n \pmod{8} & \text{if } u = 1 \end{cases} \\ c) &\Leftrightarrow \begin{cases} D_2 \equiv -1 \pmod{8} & \text{if } u > 1 \\ D_2 \equiv 1 + n \pmod{8} & \text{if } u = 1 \end{cases} \end{aligned}$$

This ends the proof of (2.2.10) and (2.2.11).

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