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The Number of Conjugacy Classes in a Finite Nilpotent Group.

ANTONIO VERA LOPEZ (*)

SUMMARY - In this paper, we obtain the number of conjugacy classes $r(G)$ of a finite nilpotent group G as a function of the orders of the center of G and of any maximal abelian subgroup of G . Also, we prove that, if G is a p -group of order p^m and a_i is the number of conjugacy classes of G of size p^i , then $a_i \equiv 0 \pmod{p-1}$ for each i and

$$\sum_{1 \leq 2k-1 \leq m-2} a_{2k-1} \equiv 0 \pmod{p^2-1}.$$

Finally, we get two lower bounds for $r(G)$ and we consider several examples which improves the $\log_2|G|$ bound, the P. Hall's bound and one Sherman's lower bound.

In the following, G will denote a finite nilpotent group. Since the number of conjugacy classes in a direct product is the product of the number of conjugacy classes in each factor, we can suppose that G is a p -group, in the study of the number of conjugacy classes $r(G)$.

We use the standard notation: $[x, y] = x^{-1}y^{-1}xy$, $x^y = y^{-1}xy$, $\text{Cl}_G(x) = \{x^g : g \in G\}$, $G' = \langle [x, y] : x, y \in G \rangle$ and $Z(G) = \{x \in G : g^x = x \ \forall g \in G\}$.

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Throughout this paper, we will suppose that:

$$|G| = p^m = p^{2n+e} \text{ with } e = 0 \text{ or } 1 \text{ and } |Z(G)| = p^b.$$

1. The number $r(G)$.

LEMMA. Let N and M be two subgroups of G such that $Z(G) \leq N \triangleleft M \leq G$ and $M/N = \langle \bar{x} \rangle \simeq C_p$. Consider the isomorphism $h: N \mapsto N$ $y \mapsto y^x$ and suppose that h leaves exactly s conjugacy classes of N unchanged: $\text{Cl}_N(y_1), \dots, \text{Cl}_N(y_s)$. Then there is an integer $k' \geq 0$, such that $s = p^b + k' \cdot (p - 1)$.

PROOF. We define $T = \{y \in N: \text{Cl}_N(y)^x = \text{Cl}_N(y)\} = \text{Cl}_N(y_1) \dot{\cup} \dots \dot{\cup} \text{Cl}_N(y_s)$. Arguing as in [9] pp. 83, there exists a natural number k such that k has exactly order $p - 1$ module any divisor ($\neq 1$) of $|N|$. Now we consider the permutation

$$f: T \rightarrow T \text{ defined by } y \mapsto y^k$$

Clearly, we have $Z(G) \subseteq T$ and $f(T - Z(G)) = T - Z(G)$, because $\text{g.c.d.}(k, |Z(G)|) = 1$, hence $T - Z(G)$ is a union of some orbits of f . Moreover, the length of each orbit $\neq \{1\}$ of f is $p - 1$, because $o(k) = p - 1$ module $o(y)$ for each $y \in T - \{1\}$, hence $|T - Z(G)| \equiv 0 \pmod{p - 1}$. Finally, $|\text{Cl}_N(y_i)| \equiv 1 \pmod{p - 1}$ for each i , implies

$$|Z(G)| + |T - Z(G)| = |T| = \sum_{i=1}^s |\text{Cl}_N(y_i)| \equiv s \pmod{p - 1},$$

and therefore, there is an integer $k' \geq 0$ such that $s = p^b + k' \cdot (p - 1)$.

THEOREM 1. Let A be a maximal abelian subgroup of G of order p^a . Then there is an integer $k \geq 0$ such that

$$r(G) = (p^{2a}/p^m) + (p^b(p + 1)(p^{m-a} - 1)/p^{m-a}) + k \cdot (p^2 - 1)(p - 1)/p^{m-a}.$$

PROOF. Clearly we have $Z(G) \leq A$ and we can consider a composition series of G : $1 = N_m < \dots < N_u < \dots < N_v < \dots < N_1 < N_0 = G$ with $N_u = Z(G)$ and $N_v = A$. Let g_{i-1} be an element of $N_{i-1} - N_i$.

We know (cf. [15]) that

$$(1) \quad r(G) = \left(\sum_{i=1}^m s_i (p^2 - 1) / p^i \right) + 1/p^m$$

where s_i is the number of conjugacy classes of N_i unchanged by the automorphism $h_i: N_i \rightarrow N_i, z \mapsto g_{i-1}^{-1} z g_{i-1}, i = 1, \dots, m$.

Since A is an abelian group, we have:

$$s_m = 1, s_{m-1} = p, \dots, s_{v+1} = p^{a-1} \text{ (and } s_v = |C_{N_v}(g_{v-1})|).$$

Moreover $Z(G) \leq A \leq N_l$ for each $l \leq v$, so, by lemma, there are number integers $k_l \geq 0$ such that $s_l = p^b + k_l \cdot (p - 1)$ for each $l \leq v$.

Consequently, we have

$$\begin{aligned} r(G) &= (1/p^m) + \left(\sum_{i=1}^m s_i (p^2 - 1) / p^i \right) = \\ &= (p^2 - 1)(1 + p^2 + \dots + p^{2(a-1)})p^{-m} + p^{-m} + \\ &\quad + (p^2 - 1)p^b(p^{-1}p^{-(m-a)} - p^{-1})(p^{-1} - 1)^{-1} + \\ &\quad + (p^2 - 1)(p - 1)(k_1 p^{-1} + k_2 p^{-2} + \dots + k_{m-a} p^{-(m-a)}) = \\ &= p^{2a-m} + p^b(p + 1)(p^{m-a} - 1)p^{-(m-a)} + k \cdot (p^2 - 1)(p - 1)p^{-(m-a)} \end{aligned}$$

for some integer $k \geq 0$.

REMARK. The relation (1) implies the congruence:

$$|G| \equiv r(G) \pmod{(p^2 - 1)(p - 1)} \text{ (cf. [15])}$$

Moreover

$$(2) \quad p^m = p^{2n+e} \equiv p^e + n(p^2 - 1) \pmod{(p^2 - 1)(p - 1)}$$

and arguing as in ([6] V.15.2) or ([9] pp. 79) one obtain the following result of P. Hall: Let G be a group of order $p^{2n+e}, e = 0$ or 1 , then for some non-negative integer k , we have $r(G) = p^e + (p^2 - 1)(n + k(p - 1))$.

EXAMPLES.

1) Let G be a non-abelian p -group of order p^m and suppose that, there exists A an abelian subgroup of G such that $|G/A| = p$. Then, we have $r(G) = p^{m-2} + p^{b-1}(p^2 - 1)$, with $p^b = |Z(G)|$. For example

a) If there is $\langle g \rangle \leq G$ such that $G/\langle g \rangle \simeq C_p$, then G is isomorphic to one of the following groups: D_{2m} , Q_{2m} , SD_{2m} , or M_{p^m} , and we have $r(D_{2m}) = r(Q_{2m}) = r(SD_{2m}) = 2^{m-2} + 3$, and $r(M_{p^m}) = p^{m-2} + p^{m-3}(p-1)(p+1)$.

b) If $|G| = p^m$ with $m = 3$ or 4 , then, there exists $A \leq G$ such that $|G/A| = p$ and A is an abelian subgroup of G .

If $(m, a) = (3, 2)$, then $b = 1$ and $r(G) = p + p^2 - 1$.

If $(m, a) = (4, 3)$, then $b = 1$ or 2 and $r(G) = 2p^2 - 1$ or $p^3 + p(p^2 - 1)$.

2) Let G be a p -group of order p^m and suppose that A is a maximal abelian subgroup of G of order p^{m-2} . Then from Theorem 1, we have $r(G) = p^{m-4} + p^{b-2}(p+1)(p^2-1) + kp^{-2}(p^2-1)(p-1)$, for some integer $k \geq 0$. Moreover, if $|Z(G)| \geq p^2$, then p^2 divides k and $r(G) = p^{m-4} + p^{b-2}(p+1)(p^2-1) + k_1(p^2-1)(p-1)$, with $k_1 \geq 0$.

2. Two lower bounds for $r(G)$.

P. Hall (cf. [6] V.15.2) proves that $r(G) = p^e + n(p^2 - 1)$ if $|G| = p^{2n+e}$ with $e = 0$ or 1 .

In general, if G is a finite group, Erdős and Turan (cf. [2]) proved $r(G) > \log_2 \log_2 |G|$. In [1] Bertram improves the $\log_2 \log_2 |G|$ bound, proving that $r(G) > (\log |G|)^c$ for «most» groups G , where c is any constant less than $\log 2$. In 1978 Sherman (cf. [13]) proves that if G is a finite nilpotent group of nilpotency class t , then $r(G) \geq t \cdot |G|^{1/t} - t + 1$ and note that $r(G) \geq \log_2 |G|$.

In the following, we obtain two new lower bounds for $r(G)$ when G is a p -group of order p^m and we give some examples where one verifies that these bounds improve the know lower bounds.

COROLLARY 1. Let G be a group of order p^m and center of order p^b . If A is a maximal abelian subgroup of G of order p^a , then

$$r(G) \geq f(a, b) = (p^{2a}/p^m) + p^b(p+1) \left((p^{m-a} - 1)/p^{m-a} \right).$$

Moreover $f(a, b)$ is an increasing function of each variable a and b .

PROOF. This follows directly from Theorem 1.

REMARK. If A is a maximal abelian normal subgroup of G of order p^a , then A is a maximal abelian subgroup, and $2m \leq a(a + 1)$ forces $a \geq (-1 + (1 + 8m)^{1/2})/2$. Moreover, $1 \leq b < a < m$ if G is a non-abelian group (cf. [14] pp. 94).

For every prime number p , we define

$$d_i(p) = \min \{r(G) : |G| = p^i\}.$$

We have:

THEOREM 2. Let G be a group of order p^m . Then

$$r(G) \geq d_i(p) + (m - i) \cdot (p - 1) \text{ for each } i \leq m.$$

PROOF. We consider $C_p \simeq H_1 \leq Z(G)$. Then

$$r(G) \geq r(G/H_1) + |H_1| - 1 = r(G/H_1) + p - 1.$$

Set $G_1 = G/H_1$ and consider $C_p \simeq H_2/H_1 \leq Z(G_1)$. Then $G/H_2 \simeq G_1/(H_2/H_1)$ and $r(G_1) \geq r(G/H_2) + |H_2/H_1| - 1 = r(G/H_2) + p - 1$, hence $r(G) \geq r(G/H_2) + 2 \cdot (p - 1)$. Repeating this reasoning, we obtain

$$r(G) \geq r(G/H_{m-i}) + (m - i) \cdot (p - 1)$$

with $|G/H_{m-i}| = p^{m-(m-i)} = p^i$. Thus, $r(G) \geq d_i(p) + (m - i)(p - 1)$ for each $i \leq m$.

EXAMPLE 1. If $|G| = p^4$, then $r(G) \in \{p^4, 2p^2 - 1, p^3 + p^2 - p\}$, hence $d_4(p) = 2p^2 - 1$. Consequently, if G is a group of order p^m with $m \geq 6$, then $r(G) \geq 2p^2 - 1 + (m - 4)(p - 1)$. Thus, we have $r(G) = p^e + (p^2 - 1)(n + k(p - 1))$ with k an integer such that

$$k \geq (2p^2 - 1 + (m - 4)(p - 1) - p^e - n(p^2 - 1)) / ((p^2 - 1)(p - 1)).$$

EXAMPLE 2. If $p = 2$, we have $d_1(2) = 2, d_2(2) = 4, d_3(2) = 5, d_4(2) = 7, d_5(2) = 11, d_6(2) = 13, d_7(2) = 14$ (cf. [4] and [12]). Suppose G of order 2^8 . Then P. Hall's bound is $r(G) \geq 1 + 3 \cdot 4 = 13$. On the other hand, Theorem 2 yields $r(G) \geq d_6(2) + (8 - 6) \cdot 1 = 13 + 2 = 15$,

hence $r(G) = 13 + 3k \geq 15$, implies $r(G) \geq 16$. Finally the Sherman's bound yields $r(G) > 7 \cdot 2^{8/7} - 7 + 1 > \log_2 2^8 = 8$.

EXAMPLE 3. Let G be a group of order p^3 such that $|G/Z(G)| = p^4$. Then the nilpotency class of G is $t \leq 4$, and the Sherman's bound yields $r(G) \geq 4 \cdot p^{8/4} - 4 + 1 = 4p^2 - 3$. On the other hand, if A is a maximal abelian subgroup of G of order p^2 , then $Z(G) < A$, hence $a \geq 5$ and the Corollary 1 implies

$$r(G) \geq p^2 + p^4(p + 1)(p^3 - 1)p^{-3} = p^5 + p^4 - p > 4p^2 - 3.$$

EXAMPLE 4. Let G be a group of order p^m such that $|G/Z(G)| = p^3$. If $g \in G$, then $Z(G) \langle g \rangle \leq C_G(g)$, hence $|Cl_G(g)| \leq p^2$. By Vaughan-lee's Theorem (cf. [7] pp. 341) it follows $|G'| \leq p^3$; our Corollary yields also the above result. In effect, we have $r(G) = p^{m-2} + (p^2 - 1)p^{-2} \cdot |G/G'| \geq p^{m-2} + p^{m-5}(p^2 - 1)$, hence $|G/G'| \geq p^{m-3}$.

PROPOSITION. If G is a p -group, then $r(G) > \log_2(|G|^{(p+1)/2})$.

PROOF. Set $|G| = p^m = p^{2n+e}$ with $e = 0$ or 1 . By the Remark we have

$$(3) \quad r(G) \geq p^e + (p^2 - 1)(m - e) \cdot 2^{-1}.$$

The desired inequality now follows from (3) if we argue by induction on m to prove that

$$p^e + (p^2 - 1)(m - e) \cdot 2^{-1} \geq 2^{-1} \cdot (p + 1)m \cdot \log_2 p.$$

Let G be a group of order p^m . We define

$$a_i = |\{Cl_G(g) : |Cl_G(g)| = p^i\}| \quad 1 \leq i \leq m - 2,$$

$$r_0 = \sum_{1 \leq 2k \leq m-2} a_{2k} \quad \text{and} \quad r_1 = \sum_{1 \leq 2k-1 \leq m-2} a_{2k-1}.$$

Finally we obtain:

PROPOSITION. Let G be a p -group, then G satisfies the following relations:

$$1) \quad r(G) = |Z(G)| + r_0 + r_1.$$

- 2) $|G| - |Z(G)| = r_0 + r_1 \cdot p + k'(p^2 - 1)(p - 1)$ for some number integer $k' \geq 0$.
- 3) $a_i \equiv 0 \pmod{p - 1}$ for every i .
- 4) $r_1 \equiv 0 \pmod{p^2 - 1}$.

PROOF. We have $|G| = |Z(G)| + \sum_{i=1}^{m-2} a_i p^i$ and $r(G) = |Z(G)| + r_0 + r_1$. On the other hand,

$$a_{2k} p^{2k} \equiv a_{2k} (1 + k(p^2 - 1)) \pmod{(p^2 - 1)(p - 1)}$$

and

$$a_{2k-1} p^{2k-1} = a_{2(k-1)+1} p^{2(k-1)+1} \equiv a_{2k-1} (p + (k-1)(p^2 - 1))$$

module $(p^2 - 1)(p - 1)$. Hence there is a number integer $k'' \geq 0$ such that

$$(4) \quad |G| = r(G) + \sum_{1 \leq k \leq (m/2)-1} (a_{2k} k(p^2 - 1) + a_{2k-1} (p - 1 + (k-1)(p^2 - 1))) + k''(p^2 - 1)(p - 1).$$

Arguing as in the Lemma, we deduce that $a_i \equiv 0 \pmod{p - 1}$ for each i (considering $T_i = \{g \in G : |\text{Cl}_G(g)| = p^i\}$), we have $|T_i| \equiv 0 \pmod{p - 1}$ and $|T_i| \equiv a_i \pmod{p - 1}$, and consequently we have $|G| = |Z(G)| + r_0 + r_1 p + k'(p^2 - 1)(p - 1)$ for some number integer $k' \geq 0$. Finally (4) yields $r_1 \equiv 0 \pmod{p^2 - 1}$.

EXAMPLES.

1) If G is a non-abelian group of order p^2 , then $(|Z(G)|, a_1) = (p, p^2 - 1)$.

2) Let G be a non-abelian group of order p^4 . Then $(|Z(G)|, a_1, a_2) = (p^2, p(p^2 - 1), 0)$ or $(p, p^2 - 1, p(p - 1))$.

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