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## Postulation and Gonality for Projective Curves.

EDOARDO BALLICO (\*)

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We are interested in the interplay between intrinsic and projective properties of curves. In particular we are interested in the postulation of general  $k$ -gonal curves. A smooth curve  $Y \subset \mathbf{P}^N$  is said to be canonical if  $\mathcal{O}_Y(1) \cong K_Y$ . A curve  $Z \subset \mathbf{P}^N$  is said to have maximal rank if the restrictions maps  $r_{Z,N}(k): H^0(\mathbf{P}^N, \mathcal{O}_{\mathbf{P}^N}(k)) \rightarrow H^0(Z, \mathcal{O}_Z(k))$  have maximal rank for all integers  $k$ . In [4] it was proved that for every  $N > 3$ ,  $g > N$ , a general non-degenerate canonical curve with genus  $g$  in  $\mathbf{P}^N$  has maximal rank.

Here we prove the following results (over  $\mathbf{C}$ ).

**THEOREM 1.** For all integers  $N > 3$ ,  $g > N$ , a general trigonal (resp. bielliptic) non-degenerate canonical curve of genus  $g$  in  $\mathbf{P}^N$  has maximal rank.

**THEOREM 2.** For all integers  $N, d, g$ , with  $g > N > 3$ ,  $d > 2g$ , a general embedding of degree  $d$  in  $\mathbf{P}^N$  of a general hyperelliptic curve of genus  $g$  has maximal rank.

The proofs of theorem 1 and theorem 2 is modulo a smoothing result given in § 1, almost the same that the proofs in [4]; in particular in § 3 we omit the details which can be found in [4] or [3]. For § 1 we use the theory of admissible coverings ([7]) which is very useful to obtain results about general  $k$ -gonal curves by degeneration techniques. The inductive method of § 2, § 3, (the Horace's method) was introduced in [8].

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In § 4 we show that, by the theory of admissible covers, the results proved in [6] about the minimal free resolution of general canonical curves are true (with the same proof) for general  $k$ -gonal curves for suitable  $k$ .

## 0. Notations.

Let  $V$  be a variety (over  $\mathbf{C}$ ) and  $S$  a closed subscheme of  $V$ ;  $\mathfrak{I}_{S,V}$  is the ideal sheaf of  $S$  in  $V$  and  $N_{S,V}$  its normal sheaf (or normal bundle). Assume that we have fixed an embedding of  $V$  into  $\mathbf{P}^k$ , so that  $\mathcal{O}_V(t)$  and  $\mathcal{O}_S(t)$  are defined. Then  $r_{S,V}(t): H^0(V, \mathcal{O}_V(t)) \rightarrow H^0(S, \mathcal{O}_S(t))$  is the restriction map. If  $V = \mathbf{P}^k$ , we write often  $\mathfrak{I}_{V,k}, r_{S,k}(t), N_{S,k}$  instead of  $\mathfrak{I}_{S,V}, r_{S,V}(t), N_{S,V}$ .

A curve  $T \subset \mathbf{P}^N$  is called a bamboo of degree  $d$  if it is reduced, connected, with at most nodes as singularities,  $\deg(T) = d$ , its irreducible components are lines, and each line in  $T$  intersects at most two other irreducible components of  $T$ ; equivalently, we may order the lines  $L_1, \dots, L_a$  of  $T$  so that  $L_i \cap L_j \neq \emptyset$  iff and only if  $|i - j| < 2$ . A connected, reduced curve  $X \subset \mathbf{P}^N$ ,  $\deg(X) = 2d$ ,  $X$  with only ordinary nodes as singularities, is called a chain of  $d$  conics if its irreducible components  $C_1, \dots, C_a$  are conics,  $C_i \cap C_j \neq \emptyset$  if and only if  $|i - j| < 2$ ,  $\text{card}(C_i \cap C_{i+1}) = 2$  if  $0 < i < d$ . Sometimes we will allow the reducibility of some of the conics  $C_i$  in a chain of conics; if  $C_i$  is reducible, we assume that every line of  $C_i$  intersects the adjacent conics. A line (resp. a conic) of a bamboo (resp. chain of conics)  $T$  is called final if it intersects at most another irreducible component of  $T$ .

We will write  $\binom{a}{b}$  for the binomial coefficient; thus  $\binom{a}{b} := \frac{a!}{(a-b)!b!}$ . A triple of integers  $(d, g; N)$  with  $d \geq g + N$ ,  $N > 2$ ,  $g > 0$  has critical value  $k$  if  $k$  is the first integer  $t > 0$  such that  $td + 1 - g < \binom{N+t}{N}$ . We define integers  $r(k, g, N)$ ,  $q(k, g, N)$  by the following relations:

$$kr(k, g, N) + 1 - g - q(k, g, N) = \binom{N+k}{N}, \quad 0 \leq q(k, g, N) < k$$

A smooth curve  $T$  of degree  $d$  and genus  $g$  in  $\mathbf{P}^N$  with  $r(k-1, g, N) < d \leq r(k, g, N)$  and  $h^1(T, \mathcal{O}_T(1)) < 2 < k$ , has critical value  $k$  (i.e.  $(d, g; N)$  has critical value  $k$ );  $T$  has maximal rank if and only if  $r_{T,N}(k-1)$  is injective and  $r_{T,N}(k)$  is surjective (Castelnuovo-Mumford's Lemma).

We define integers  $c(k, N), e(k, N)$  by the following relations:

$$(2k - 1)c(k, N) + 2 + e(k, N) = ((N + k; N)), \quad 0 \leq e(k, N) \leq 2k - 2.$$

A chain  $F$  of  $c(k, N)$  conics in  $\mathbb{P}^N$  has critical value  $k$ ; it has maximal rank if and only if  $r_{F,N}(k - 1)$  is injective and  $h^0(\mathbb{P}^N, \mathcal{J}_{F,N}(k)) = e(k, N)$ . Define integers  $y(k, N), k > 0, N > 2$ , in the following way. Set  $y(1, N) := c(1, N)$  and assume defined  $y(k - 1, N)$ . Set  $y(k, N) = y(k - 1, N) + (c(k, N) - c(k - 1, N)) + e$  with  $e = -1$  if  $e(k, N) < e(k - 1, N)$ ,  $e = 0$  otherwise. Hence  $c(k, N) \geq y(k, N) > c(k, N) - k$ . Define integers  $x(k, N), j(k, N)$  by the following relations:

$$(2k - 1)x(k, N) + j(k, N) = ((N + k; N)), \quad 0 \leq j(k, N) \leq 2k - 2.$$

A canonical curve  $C$  of degree  $d$  in  $\mathbb{P}^N$  has critical value  $k > 1$  if and only if  $2x(k - 1, N) + 2 \leq d \leq 2x(k, N)$ . Set  $y'(k, N) := y(k, N) - [(N + 5)/3] - 1$ .

A finite subset  $S \subset \mathbb{P}^k$  is said to be in Linear general position if every subset  $W$  of  $S$  spans a linear space of dimension  $\min(k, \text{card}(W) - 1)$ .

A bielliptic curve is a smooth, connected, complete curve with a degree two morphism onto an elliptic curve.

Let  $Y = A \cup B \subset \mathbb{P}^3$ ,  $A$  chain of conics,  $B$  bamboo,  $A$  intersecting  $B$  at a unique point,  $P$ , and quasi-transversally,  $P$  belonging to a final line of  $B$  and a final conic of  $A$ . An irreducible component of  $Y$  is called free if it intersects only another irreducible component of  $Y$ .

1. A smooth, connected curve  $E \subset \mathbb{P}^n$  is called canonical if  $\mathcal{O}_E(1) \simeq K_E$ . Let  $C(g, n)$  be the closure in  $\text{Hilb}(\mathbb{P}^n)$  of the set of smooth canonical curves of genus  $g$  in  $\mathbb{P}^n$ , and  $C(g, n, k)$  (resp.  $C(g, n; \text{biel})$ ) the closure in  $\text{Hilb}(\mathbb{P}^n)$  of the set of smooth canonical curves of genus  $g$  in  $\mathbb{P}^n$ , which are  $k$ -gonal (resp. bielliptic) as abstract curves.

PROPOSITION 1.1. Fix a smooth canonical trigonal curve  $C \subset \mathbb{P}^n$ ,  $\text{deg}(C) = 2g - 2$ , 2 points  $A, B$  in the same fiber of a  $g_3^1$  on  $C$ , and a chain  $D$  of  $r$  conics in  $\mathbb{P}^n$ ,  $D$  intersecting  $C$  quasi-transversally and exactly at  $A$  and  $B$ ,  $A$  and  $B$  belonging to a final conic of  $D$ . Then  $C \cup D \in C(g + r, n, 3)$ .

PROOF. By definition  $C(g + r, n, 3)$  is closed in  $\text{Hilb}(\mathbb{P}^n)$ . Hence we may assume  $C, A, D$  general. We know that  $C \cup D \in C(g, n)$  ([5], [4], § 2).

First assume  $r = 1$ . Taking a projection, we may assume  $n = g$ ,  $C \cup D$  spanning  $\mathbf{P}^n$ ,  $C$  spanning a hyperplane  $M$ , and  $h^1(C, N_{C,M}) = 0$ . We know that  $h^1(C \cup D, N_{C \cup D, n}) = 0$  ([4], proof of 2.1), hence  $C \cup D$  is a smooth point of  $\text{Hilb}(\mathbf{P}^n)$ . Since  $C \cup D$  is semi-stable, we have a morphism  $h$  from a neighborhood of  $C \cup D$  in  $\text{Hilb}(\mathbf{P}^n)$  to the moduli scheme  $\overline{M}_{g+1}$  of stable curves of genus  $g + 1$  such that  $h(C \cup D)$  is the curve  $C'$  obtained from  $C$  pinching together the points  $A, B$ . By the generality of  $C, A$ , we may assume  $\text{Aut}(C') = \{1\}$ , i.e.  $C'$  is a smooth point of  $\overline{M}_{g+1}$ . To obtain 1.1 for  $r = 1$ , it is sufficient to check that  $h$  is flat, hence open, at  $C \cup D$ . By the smoothness of  $\text{Hilb}(\mathbf{P}^n)$  and  $\overline{M}_{g+1}$  at the corresponding points, it is sufficient to check that the fiber  $h^{-1}(C')$  has the right dimension  $n^2 + 2n = \dim(\text{Aut}(\mathbf{P}^n))$  in a neighborhood of  $C \cup D$ . A priori near  $C \cup D$  contains either curves abstractly isomorphic to  $C'$  (i.e. irreducible canonical stable curves) or curves isomorphic to  $C \cup D$ . The first type of curves has dimension  $n^2 + 2n$ . Since  $\text{Pic}(C \cup D)$  has a 1-dimensional non-compact factor, we see easily that, up to projective transformations, there is exactly a one dimensional family of curves  $C'' \cup D'' \cong C \cup D$ . However for any 2 triples  $\{E_i\}, \{F_i\}$ ,  $i = 1, 2, 3$ , of distinct points of  $D''$ , there is  $m \in \text{Aut}(\mathbf{P}^n)$  with  $m(E_i) = F_i$  for every  $i$ . Hence the stabilizer of any  $C'' \cup D''$  in  $\text{Aut}(\mathbf{P}^n)$  is one-dimensional, concluding the proof of the case  $r = 1$ . By induction on  $r$ , if  $r > 1$  it is sufficient to prove the following claim stronger than the case  $r = 1$  just proven.

*Claim.* Assume  $r = 1$  and fix 2 general points  $E, F$  of  $D$ ; then there is a flat family  $X \rightarrow T$ ,  $T$  smooth irreducible affine curve,  $X \subset T \times \mathbf{P}^n$ , with  $X_0 = C \cup D$ ,  $X_t$  smooth, canonical and trigonal for  $t \in T$ ,  $t \neq 0$ , and a family  $m_t$  of 3-coverings,  $m_t: X_t \rightarrow \mathbf{P}^1$  such that  $m_0|_C$  is the given  $g_3^1$ ,  $m_0|_D$  sends  $A, B$  to one point of  $\mathbf{P}^1$  and  $E, F$  to another point of  $\mathbf{P}^1$ .

By the theory of admissible coverings ([7]) there is a morphism  $b: T \rightarrow \overline{M}_{g+1}$ , with  $b(0) = C'$ ,  $b(t)$  a smooth 3-gonal curve for  $t \neq 0$ . By the first part of the proof we may assume  $X_t = b(t)$ . By [7], proof of th. 5(a), we may assume the existence of a family  $m_t: X_t \rightarrow \mathbf{P}^1$ ,  $t \in T \setminus \{0\}$ , which, as  $t$  goes to 0, tends to an admissible covering  $m_0$  with  $m_0(A) = m_0(B)$ ,  $m_0(E) = m_0(F)$ . Taking a suitable fiber product, we obtain the claim. ■

**PROPOSITION 1.2.** Fix a canonical bielliptic curve  $C \subset \mathbf{P}^n$ ,  $\deg(C) = 2g - 2$ , 2 points  $A, B$  on  $C$  with the same image under the 2 to 1 map of  $C$  to an elliptic curve, and a chain  $D$  of  $r$  conics in  $\mathbf{P}^n$ ,  $D$  inter-

secting  $C$  quasi-transversally and exactly at  $A$  and  $B$ ,  $A$  and  $B$  belonging to a final conic of  $D$ . Then  $C \cup D \in \mathcal{C}(g + r, n; \text{biel})$ .

PROOF. The proof of 1.1 works with two minor twists. We use the notations introduced in the proof of 1.1. Since  $\text{Aut}(C)$  and  $\text{Aut}(C')$  is not trivial,  $\overline{M}_{g+1}$  is not smooth at  $C'$ . Instead of  $\overline{M}_{g+1}$ , we may however use (over  $\mathbb{C}$ ) the Kuranishi local deformation space  $\text{ov } C'$  (or a suitable rigidification of  $\overline{M}_{g+1}$ ). Instead of admissible coverings of  $\mathbb{P}^1$ , we have to use admissible 2-coverings of curves of arithmetic genus 1. Since these coverings are cyclic, there is no need here of a general theory. Take a 2-covering  $c: C \rightarrow Z$ ,  $Z$  elliptic curve, with  $c(A) = c(B)$  (hence  $c$  not ramified at  $A, B$ ) and a 2-covering  $d: D \rightarrow \mathbb{P}^1$  with  $d(A) = d(B)$  (hence unramified at  $A$  and  $B$ ). Take as  $Z \cup \mathbb{P}^1$  the glueing of  $Z$  and  $\mathbb{P}^1$  along  $c(A)$  and  $d(B)$ . Then  $c, d$  induce a 2-covering  $u: C \cup D \rightarrow Z \cup \mathbb{P}^1$ . Let  $a_1, \dots, a_{2g}$  be the ramification points of  $u$ , with  $a_i \in Z$  if and only if  $i \leq 2g - 2$ . Take any flat family  $s: W \rightarrow T$ , with  $W_0 = Z \cup \mathbb{P}^1$ ,  $W_t$  smooth elliptic for  $t \neq 0$ , and, in an etale neighborhood of 0, any  $2g$  sections  $s_1, \dots, s_{2g}$  of  $s$  with  $s_i(0) = a_i$ : The divisor  $s_1(t) + \dots + s_{2g}(t)$  on  $W_t$  induces a cyclic 2-covering which tends to  $u$  when  $t$  goes to 0. ■

Let  $Z(d, g, n, k)$  (resp.  $Z(d, g, n; \text{biel})$ ) be the closure in  $\text{Hilb}(\mathbb{P}^n)$  of the set of smooth, connected,  $k$ -gonal (resp. bielliptic) curves of degree  $d$ , genus  $g$ , and with non special hyperplane section.  $Z(d, g, n, k)$  and  $Z(d, g, n; \text{biel})$  are irreducible (and not empty if  $d \geq g + n$ ). We have the following result.

PROPOSITION 1.3. Fix  $C \subset \mathbb{P}^n$ ,  $C \in Z(d, g, n, k)$  (resp.  $Z(d, g, n; \text{biel})$ ), 2 smooth points  $A, B$  on  $C$  with the same image under an admissible covering of degree  $k$  (resp. a 2-to-1 covering of a curve of genus 1) of  $C$ , and a chain  $D$  of  $r$  conics in  $\mathbb{P}^n$ ,  $D$  intersecting  $C$  quasi-transversally, and exactly at  $A, B$ ,  $A$  and  $B$  belonging to the same final conic of  $D$ . Then  $C \cup D \in Z(d + 2r, g + r, n, k)$  (resp.  $Z(d + 2r, g + r, n, k)$ ) (resp.  $Z(d + 2r, g + r, n; \text{biel})$ ).

PROOF. It is much easier than the proof of 1.1, 1.2. Take any flat family of admissible covering,  $(W \rightarrow T, U \rightarrow T, W \rightarrow U)$  with  $W_0 = C \cup D$ ,  $U_0 = Z \cup \mathbb{P}^1$ ,  $p_a(Z) = 0$  (resp. 1) and  $d + 2r$  sections  $w_i$  of  $T$  with  $w_1(0) + \dots + w_{d+2r}(0)$  an hyperplane section of  $W_0$ . Embedd  $W_t$  using  $|w_1(t) + \dots + w_{d+2r}(t)|$ , and, if necessary, project the result in  $\mathbb{P}^n$ . ■

We shall use often the following fact ([9], [2], 3.5). Fix a smooth curve  $C \subset \mathbb{P}^n$ ,  $\deg(C) = d$ , and a smooth, connected curve  $D$ ,  $\deg(D) = r$ ,  $D$  intersecting quasi-transversally  $C$  and exactly at a point. Assume  $D$  rational and that the hyperplane section of  $C$  is non-special. Then  $C \cup D$  is a limit of embeddings of degree  $d + r$  of  $C$  into  $\mathbb{P}^n$ .

2. In this section we construct curves  $Y = Z \cup T \subset \mathbb{P}^3$ , with  $Z \cap T = \emptyset$ ,  $Z$  chain of conics,  $T$  bamboo, and with good postulation. This construction will be used in the next section to prove theorems 1, 2 in  $\mathbb{P}^4$ .

LEMMA 2.1. Fix non-negative integers  $a, b, r, s$ , and a smooth quadric  $Q$  in  $\mathbb{P}^3$ . Assume either (i)  $a = b > 2$ , or (ii)  $a > b > 1$ . Then there is  $(Y, Z, T)$  with  $Y = Z \cup T \subset \mathbb{P}^3$ ,  $Z \cap T = \emptyset$ ,  $Z$  chain of  $r$  conics,  $T$  bamboo of degree  $s$ ,  $\dim(Y \cap Q) = 0$ , and  $h^0(Q, \mathcal{J}_{Y \cap Q}(a, b)) = \max(0, (a + 1)(b + 1) - 4r - 2s)$ .

PROOF. - From now on, we assume  $s = 0$ , the general case being similar (or use [1], 6.2). If  $s = 0$ , it is sufficient to prove 2.1 when  $(a + 1)(b + 1) - 4 < 4r < (a + 1)(b + 1) + 4$ . By the properness of  $\text{Hilb}(\mathbb{P}^3)$ , for any  $u$  and any plane  $H$  there is a scheme  $W$ , with  $W_{\text{red}} \subset H$ ,  $W_{\text{red}}$  with only ordinary double points,  $W$  reduce outside the singular locus of  $W_{\text{red}}$ ,  $W$  limit of a family of chains of  $u$  conics. Just to fix the notations, we assume  $a + b + 1 \equiv 3 \pmod{4}$ , the remaining cases being similar. Fix 3 general planes  $M, N, R$ . Take limits  $W, X, D$ , respectively of chains of  $[(a + b + 1)/4]$ ,  $[(a + b - 1)/4]$ , and 2, conics, with  $W_{\text{red}} \subset M$ ,  $X_{\text{red}} \subset X$ ,  $D_{\text{red}} \subset R$ ,  $W \cup X \cup D$  intersecting transversally  $Q$ ,  $W \cup X \cup D$  limit of a family of chains of conics,  $\text{card}(D \cap Q \cap M) = 2$ ,  $\text{card}(D \cap Q \cap N) = 2$ ,  $\text{card}(X \cap Q \cap M) = 1$ . Set

$$M' := M \cap Q, \quad N := N \cap Q, \quad A := (W \cup X \cup D) \cap Q.$$

Any forms of type  $(a, b)$  vanishing on  $A$ , vanishes on  $a + b + 1$  points on  $M'$ , hence on  $M'$ .

Any form of type  $(a - 1, b - 1)$  vanishing on the points of  $A \setminus (A \cap M')$ , vanishes on  $a + b - 1$  points of  $N'$ , hence on  $N'$ . We reduce to an assertion about forms of type  $(a - 2, b - 2)$  (in which we have to consider also the 4 points in  $D \cap (Q \setminus (M' \cup N'))$ ). We

continue in the same way, never adding curves intersecting  $M' \cup N'$ ; for the next step we take  $R$  as first working plane. At the end in case (i) we reduce to the case  $a = 3$  or  $4$  (plus a few points), in case (ii) to cases with  $a < 4$ . Consider for instance the case  $a = b = 3$  and no point left from the previous construction (the worst case). Take 3 general smooth curves  $L, L', L''$  of type  $(1, 1)$  on  $Q$ , and let  $H, H', H''$  the planes they span. The following chain  $A \cup A' \cup A'' \cup B$  of conics solves our problem.  $A$  (resp.  $A'$ ) is a sufficiently general conic in  $H$  (resp.  $H'$ ),  $A''$  is a sufficiently general conic in  $H''$  containing a point of  $L, B$  is a conic containing 2 points of  $L$  and a point of  $L'$ , but not intersecting  $L''$ . ■

The aim of this section is the proof of the following lemma.

LEMMA 2.2. Fix integers  $n, \iota$ , with  $n > 29, 0 \leq a \leq 2n - 2$ . There exists  $(Y, Z, T)$  with  $Y = Z \cup T \subset \mathbb{P}^3, Z \cap T = \emptyset, Z$  chain of conics,  $T$  bamboo of degree  $a, r_{Y,3}(n)$  surjective,  $\deg(Y) \geq r(n, 0, 3) - 9 - n/6$  (hence  $\dim(\text{Ker}(r_{Y,3}(n))) \leq r(n, 0, 3)/2 + (n^2/6) + 10n + 1$ ).

PROOF. Let  $s$  be the maximal integer with  $s \leq n, s \equiv n \pmod{4}$ , say  $n = s + 4t, r(s, 0, 3) - 3 \leq (r(n, 0, 3) - a)/2 - (n - s)/3$ . By [4], 3.1, there is a bamboo  $E \in \mathbb{P}^3, \deg(E) = r(s, 0, 3) - 2$ , with  $r_{E,3}(s)$  surjective. If  $a = 0$ , set  $F := E, T = \emptyset$ . If  $a > 0$ , take  $F \subset E, \deg(F) = \deg(E) - 1, F$  union of two disjoint bamboos  $A, T, \deg(T) = a$ . Then we fix a smooth quadric  $Q$  and we apply the so called Horace's method (introduced in [8])  $2t$  times. At the odd (resp. even) steps we add in  $Q$  lines of type  $(1, 0)$  (resp.  $(0, 1)$ ). We order the lines  $L_1, \dots, L_{\deg(E)}$  of  $E$  in such a way that  $L_i \cap L_j \neq \emptyset$  if and only if  $|i - j| < 2$ . Just to fix the notations we assume  $s = 6k, k$  integer (which, together with  $s = 6k + 5$ , is the worst case). We add in  $Q$  the union  $U$  of  $4k + 2 = r(6k + 2, 0, 3) - (6k + 2, 0, 3) - 1$  lines  $A_i, 1 \leq i \leq 4k + 2$ , of type  $(1, 0)$  with  $A_i$  intersecting  $L_{2i-1}$  for every  $i$ . We claim that  $r_{F \cup U,3}(6k + 2)$  is surjective for general  $F$ . To prove the claim, it is sufficient to find  $S \subset \mathbb{P}^3$ ,

$$\text{card}(S) = q(6k + 2, 0, 3) + (6k + 2)(r(6k + 2, 0, 3) - \deg(F \cup U)),$$

with  $r_{F \cup U \cup S,3}(6k + 2)$  injective. Take  $S = S' \cup S'',$  with

$$\text{card}(S') = q(6k + 2, 0, 3) + (6k)(r(6k + 2, 0, 3) - \deg(F)),$$

in  $\mathbf{P}^3 \setminus Q$ ,  $S'' \cap S' = \emptyset$   $S''$  general in  $Q$ . Fix  $f \in H_0(\mathbf{P}^3, \mathcal{J}_{F \cup U \cup S}(6k+2))$ .

By 2.1 and the generality of  $S''$ , for general  $F$  we may assume  $f|_Q = 0$ . Thus  $f$  is divided by the equation  $q$  of  $Q$ . Since  $f/q$  vanishes on  $F \cup S'$ , we have  $f/q = 0$ , hence the claim is proved. Then we deform the lines  $A_i$  to lines  $A'_i$  with the following rule. Let  $U'$  be the union of the lines  $A'_i$ . We assume that  $U'$  intersects transversally  $Q$ .  $A'_i$  intersects  $L_j$  if and only if  $A_i$  intersects  $L_j$ .  $A'_1$  intersects  $Q$  at a point on a line  $B_1$  of type  $(0, 1)$  intersecting  $L_2$ . Inductively, we impose that  $A'_j \cap Q$ ,  $j > 1$ , has a point on a line  $B_j$  of type  $(0, 1)$  intersecting  $L_{2j}$  and a point on the line  $B_{j-1}$  intersecting  $L_{2j-2}$  and  $A'_{j-1}$ . The lines  $B_i$  are called «good» secants to  $F \cup U'$ . Then we repeat the Horace's method in the following way. To  $F \cup U'$  we add in  $Q$   $4k+4 = r(6k+4, 0, 3) - r(6k+2, 0, 3)$  lines  $B_i$  of type  $(0, 1)$ , among them the «good» secants previously constructed,  $B_{6k+3}$  linked to  $L_1$ ,  $B_{6k+4}$  linked to  $L_{\deg(F)}$ : Then we continue (after smoothing the reducible conics obtained, if you prefer). In the step from  $m-2$  to  $m$  we add to a curve  $X(m-2)$  in  $Q$   $r(m, 0, 3) - r(m-2, 0, 3)$  lines if  $q(m, 0, 3) \geq q(m-2, 0, 3)$ ,  $r(m, 0, 3) - r(m-2, 0, 3) - 1$  lines if  $q(m, 0, 3) < q(m-2, 0, 3)$  (i.e. if  $m \equiv 0$  or  $5 \pmod{6}$ ). In the odd step from  $m-2$  to  $m$  we add a certain number, say  $x$ , of lines of type  $(1, 0)$ , and creates  $x$  «good» secants. In the even step from  $m$  to  $m+2$  we add to  $X(m)$  the  $x$  «good» secants creates in the previous step and one or two lines (always of type  $(0, 1)$ ) linked either to a free conic of  $X(m)$  or to a free line in a bamboo of  $X(m)$ . We use that after 6 steps the lines added in the 3 even steps are exactly 4 more than the lines added in the 3 odd steps. This explain the term « $-(n-s)/3$ » in the choice of  $s$ . ■

Consider the following assertion  $T(n, a, b)$ , defined for all integers  $n, a, b$ .  $T(n, a, b)$ : There is  $(Y, Z, T)$  with  $Y = Z \cup T \subset \mathbf{P}^3$ ,  $Z \cap T = \emptyset$ ,  $Z$  chain of a conics,  $T$  bamboo of degree  $b$ , and with  $r_{Y,3}(n)$  surjective.

In the following section, for the proof of theorems 1, 2 we will need the assertion  $T(n, a, b)$  for the values of  $n, a, b$ , listed in 2.3.

LEMMA 2.3. – The assertion  $T(n, a, b)$  is true if  $(n, a, b)$  has one of the following values:  $(2, 1, 0)$ ,  $(2, 0, 2)$ ,  $(3, 2, 0)$ ,  $(3, 2, 1)$ ,  $(4, 3, 0)$ ,  $(4, 2, 2)$ ,  $(5, 4, 0)$ ,  $(5, 3, 2)$ ,  $(6, 4, 2)$ ,  $(6, 3, 4)$ ,  $(7, 2, 8)$ ,  $(7, 5, 3)$ ,  $(8, 3, 10)$ ,  $(8, 5, 5)$ ,  $(9, 9, 2)$ ,  $(9, 7, 4)$ ,  $(10, 8, 5)$ ,  $(10, 5, 12)$ ,  $(11, 9, 7)$ ,  $(11, 5, 14)$ ,  $(12, 11, 6)$ ,  $(12, 7, 15)$ ,  $(13, 14, 4)$ ,  $(14, 14, 8)$ ,  $(15, 16, 9)$ ,  $(16, 18, 9)$ ,  $(17, 22, 6)$ ,  $(18, 16, 24)$ ,  $(18, 22, 11)$ ,  $(19, 18, 24)$ ,  $(19, 25, 11)$ ,  $(20, 27, 12)$ ,  $(21, 20, 33)$ ,  $(21, 32, 8)$ ,  $(22, 33, 12)$ ,  $(22, 25, 29)$ ,  $(23, 35, 15)$ ,  $(23, 27, 30)$ ,

(24, 29, 13), (24, 30, 32), (25, 45, 8), (26, 45, 15), (27, 48, 17), (28, 52, 16), (29, 59, 10), (30, 48, 41).

Sketch of proof. The cases with  $n < 24$  can be done using several times the Horace's construction applied not to quadrics but to planes: it is easier; if  $n < 10$ , we do not need any nilpotent, if  $n > 9$  we use nilpotents as in [8]; only the cases with  $n > 21$  are more difficult; however they can be handle also using quadrics as in the proof of 2.2. If  $n > 23$ , the proof of 2.2 works verbatim, and gives indeed stronger results; for (24, 39, 13) start taking in the proof of 2.2  $s = 12$ ; for the remaining  $(n, a, b)$  start from  $s = n - 8$ . ■

3. In this section we show how to modify the proofs in [4], to prove theorems 1, 2. The proof of the case « $P^4$ » given in [4], § 8, cannot be adapted, but the results proven here in § 2 are sufficient to prove this case and the inductive assertions of [4] needed for the proofs in  $\mathbf{P}^N$ ,  $N > 5$ . We will use the numbers  $y(k, N), \dots$ , introduced in § 0.

Consider the following assertions:

$Y(k, N)$ ,  $k > 0$ ,  $n > 3$ : there exists a chain  $Y$  of  $y(k, N)$  smooth conics in  $\mathbf{P}^N$  with  $r_{Y,N}(k)$  surjective; if either  $k > 6$  or  $k > 2$ ,  $N > 4$ , or  $N > 6$ , there is such a  $Y$  which is contained in an integral hypersurface of degree  $k$ .

$Z(k, N)$ ,  $k > 0$ ,  $N > 3$ : there exists a chain  $Y$  of  $c(k, N) + k - 1$  smooth conics in  $\mathbf{P}^N$  with  $r_{Y,N}(k)$  injective.

$W(k, a, N, j)$ ,  $k > 2$ ,  $0 \leq a < 2k - 1$ ,  $N > 3$ ,  $1 < j < 2N + 3$ : for every subset  $S \subset \mathbf{P}^N$ ,  $\text{card}(S) = j$ ,  $S$  in linear general position, and every  $A, B \in S$ ,  $A \neq B$ , there is a curve  $Y \subset \mathbf{P}^N$  such that:

- (a)  $Y \cap S = \{A, B\}$ ,  $r_{Y \cap S, N}(k)$  is surjective and a general hypersurface of degree  $k$  containing  $Y \cup S$  is irreducible;
- (b)  $Y = J \cup T$  with  $J \cap T = \emptyset$ ;  $J$  is a chain of  $y'(k, N) - a - 1$  conics;  $T = \emptyset$  if  $a = 0$ ; if  $a > 0$ ,  $T$  is a bamboo of degree  $2a$ .

$H(k, N)$ ,  $k > 0$ ,  $N > 3$ : there exists a curve  $Y = Z \cup T \subset \mathbf{P}^N$  such that:

- (a)  $Z$  is a canonical trigonal (resp. bielliptic) curve of degree  $2(x(k, N) - j(k, N))$  and genus  $x(k, N) - j(k, N) + 1$ ;

(b)  $T$  is a bamboo of degree  $2j(k, N)$  intersecting  $Z$  exactly at a point, say  $P$ , and quasi-transversally;  $P$  is a point in a final line of  $T$ ;

(c)  $r_{Y,N}(k)$  is bijective.

$W(k, a, N, j)$  and  $H(k, N)$  are slight modifications of the assertions of [4] with the same name. In [4]  $Y(k, N)$  and  $Z(k, N)$  were proved for  $N > 4$ . The same method (Horace's construction using a hyperplane) gives  $Y(k, 4)$ ,  $Z(k, 4)$ , using 2.2 if  $k > 30$  (plus a numerical lemma: «  $2c(k, 4) - 2 > r(k, 0, 3)/2 + (k^2/6) + 10k$  if  $k > 30$  » whose proof is left to the reader), using 2.3 if  $k < 31$ .

In the same way we get the « new » assertions  $W(k, a, 4, j)$ ,  $H(k, 4)$ . Then the proofs of the « new »  $W(k, a, N, j)$ ,  $H(k, N)$ ,  $N > 4$ , are done by induction as in [4]; the cases with  $N = 4$  simplify the discussion of the cases with low  $k$  for  $N = 5$  given in [4], 6.4. Then theorem 1 is proved in  $\mathbb{P}^N$ ,  $N > 3$ , in the same way the corresponding theorem is proved for  $\mathbb{P}^N$ ,  $N > 4$ , in [4], end of § 7. The same proof works for theorem 0.2, although a simpler one could be done in this case, adding in a hyperplane irreducible hyperelliptic curves.

4. After this paper was typed, we read [6]. It is elementary to show how the results of [6], th. 4, 5, about sygygies of general canonical curves can be adapted to give results about syzygies of general  $k$ -gonal curves for suitable  $k$ . We have:

PROPOSITION 4.1. Let  $X$  be a non-hyperelliptic  $k$ -gonal genus  $n$  curves. Assume  $K_{p,2}(X) = 0$  for an integer  $p$  with  $1 \leq p \leq n - 3$   $p \leq k - 3$ . Then

- (a) If  $C$  is a general  $k$ -gonal curve of genus  $n + p + 1$ , then  $K_{p,2}(C) = 0$ .
- (b) If  $C$  is a general  $k$ -gonal curve of genus  $m$ , where  $m \equiv n \pmod{p + 1}$  and  $m \leq n$ , then  $K_{p,2}(C) = 0$ .

For the proof of 4.1, it is sufficient to take in the proof of [6], th. 4, as divisor  $q_1 + q_2 + \dots + q_{p+2}$  a divisor contained in a  $g_k^1$  on  $X$  (strictly contained by the assumption  $k > p + 2$ ) and as smoothing of  $X \cup Y$  an admissible  $k$ -cover. Then from 4.1 we get verbatim the following improved version of [6], th. 5:

PROPOSITION 4.2. Let  $C$  be a general  $k$ -gonal curve of genus  $g$ .

- (a)  $K_{2,2}(C) = 0$  if  $g \geq 7$  and either  $k > 5$  or  $g \equiv 1, 2 \pmod{3}$  and  $k = 5$ .
- (b)  $K_{3,2}(5) = 0$  if  $g \geq 9$  and either  $k > 6$  or  $g \equiv 1, 2 \pmod{4}$  and  $k = 6$ .
- (c)  $K_{4,2}(C) = 0$  if  $g \geq 11$ ,  $k > 6$ , and  $g \equiv 1, 2 \pmod{5}$ .

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