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W. B. JOHNSON

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Tél. : (1) 941.82.00 - Poste N°

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COMPLEMENTED SUBSPACES OF  $L_p$  WHICH EMBED INTO  $\ell_p \oplus \ell_2$

W. B. JOHNSON  
(Ohio State University)



In this seminar we report on joint work with Ted Odell [5] concerning the isomorphic classification of complemented subspaces of  $L_p$ ,  $1 < p \neq 2 < \infty$ . There are now known to exist uncountably many mutually non-isomorphic complemented subspaces of  $L_p$  for each  $1 < p \neq 2 < \infty$  [1]. However, there probably are only finitely many which are "small". For example, the only complemented subspace of  $L_p$  which embeds into  $l_p$  is  $l_p$  itself [6]. The question studied in [5] is "what are the complemented subspaces of  $L_p$  which embed into  $l_p \oplus l_2$ ?" For  $1 < p < 2$ , the following partial answer is given:

Theorem A: If  $X$  is a complemented subspace of  $L_q$  ( $1 < q < 2$ ) which has an unconditional basis and  $X$  embeds into  $l_q \oplus l_2$ , then  $X$  is isomorphic to  $l_q$ ,  $l_2$ , or  $l_q \oplus l_2$ .

It is of course a major unsolved problem whether every complemented subspace of  $L_p$  ( $1 < p \neq 2 < \infty$ ) has an unconditional basis.

Theorem A is an immediate consequence of the result of [6] mentioned above and:

Proposition B: Let  $X$  be a subspace of  $L_p$  ( $2 < p < \infty$ ) which has an unconditional basis and which is isomorphic to a quotient of  $l_p \oplus l_2$ . Then there is a subspace  $U$  of  $l_p$  (possibly  $U = \{0\}$ ) so that  $X$  is isomorphic to  $U$ ,  $l_2$ , or  $U \oplus l_2$ .

The classification of complemented subspaces of  $L_p$  which embed into  $l_p \oplus l_2$  is more complicated for  $2 < p < \infty$  because of the presence of Rosenthal's space  $X_p$  [11]. However, in [5] the following is proved:

Theorem C: If  $X$  is a complemented subspace of  $L_p$  ( $2 < p < \infty$ ) which has an unconditional basis and which embeds into  $l_p \oplus l_2$ , then  $X$  is isomorphic to  $l_p$ ,  $l_2$ ,  $l_p \oplus l_2$ , or  $X_p$ .

Below we give a more-or-less complete proof of Proposition B and outline the proof of Theorem C. Actually, Theorem A is also a consequence of Theorem C and the following result from [5] which will not be discussed in this seminar:

Theorem D: If  $X$  is a subspace of  $L_p$  ( $2 < p < \infty$ ) which is isomorphic to a quotient of a subspace of  $l_p \oplus l_2$ , then  $X$  embeds into  $l_p \oplus l_2$ .

Proof of Proposition B: Let  $(x_n)$  be a normalized unconditional basis for  $X$  and let  $\mathcal{G}$  be a norm one operator from  $l_p \oplus l_2$  onto  $X$ .

Claim: There exists  $\epsilon > 0$  so that for all  $0 < \delta < \epsilon$ ,  $\{i: \delta \leq \|x_i\|_2 \leq \epsilon\}$  is finite. (Here  $\|x\|_r = (\int_0^1 |x(t)|^r dt)^{1/r}$  for  $1 \leq r < \infty$ .)

If the claim is false, then there are  $\epsilon_1 > \epsilon_2 > \dots > 0$  and infinite sets  $M_n$  of integers so that  $\epsilon_{n+1} < \|x_i\|_2 \leq \epsilon_n$  for  $i \in M_n$  and  $n = 1, 2, \dots$ . Since  $(x_i)$  is unconditional, it follows from the classical results of Kadec and Pelczynski [7] that  $(x_i)_{i \in M_n}$  is equivalent to the unit vector basis for  $l_2$  for each  $n = 1, 2, \dots$ , hence so is

$(f_i)_{i \in M_n} \in M_n$ , if  $(f_i)$  is the sequence of biorthogonal functionals to  $(x_i)$ .

But this means that for each  $n = 1, 2, \dots$  the  $\ell_q$  - contribution to the norm of  $(Q^*f_i)$  tends to zero as  $i \rightarrow \infty$  in  $M_n$ , because every operator from  $\ell_2$  into  $\ell_q$  is compact. Consequently, since  $Q^*$  is an isomorphism, we can select  $i_n \in M_n$  so that  $(Q^*f_{i_n})_{n=1}^\infty$  is equivalent to the unit vector basis of  $\ell_2$ , hence the same is true of  $(x_{i_n})_{n=1}^\infty$ . But  $(x_{i_n})_{n=1}^\infty$  has a subsequence equivalent to the unit vector basis of  $\ell_p$  because

$\lim_{n \rightarrow \infty} \|x_{i_n}\|_2 = 0$ . This completes the proof of the claim.

Exercise: Where was unconditionality of  $(x_n)$  used in the proof of the claim?

Since for any  $\epsilon > 0$ , the closed linear span of  $\{x_i : \|x_i\|_2 > \epsilon\}$  is either finite dimensional or isomorphic to  $\ell_2$ , we can, in view of the claim, assume that  $\|x_n\|_2 \rightarrow 0$  and hence [7] that no subsequence of  $(x_n)$  is equivalent to the unit vector basis for  $\ell_2$ . We will show that this condition implies that  $X$  embeds into  $\ell_p$ .

Let  $f_i = g_i \oplus e_i \in \ell_q \oplus \ell_2$  ( $1/p + 1/q = 1$ ) be a normalized sequence which is equivalent to the biorthogonal functionals to  $(x_i)$ . In view of Lemma 1 below, we can assume that  $(g_i)$  is a monotonely unconditional basic sequence in  $\ell_q$ , and  $(h_i)$  is orthogonal in  $\ell_2$ . Since no subsequence of  $(f_i)$  is equivalent to the unit vector basis of  $\ell_2$ , there exists  $\delta > 0$  and  $n$  so that  $\|g_i\| \geq \delta$  for all  $i \geq n$ . Letting  $P$  denote the natural projection of  $\ell_q \oplus \ell_2$  onto  $\ell_q$ , we complete the proof by observing that  $P$  is an isomorphism when restricted to  $[(f_i)_{i=n}^\infty]$ , the closed linear span of  $(f_i)_{i=n}^\infty$ . Indeed, since  $(g_i)$  is monotonely unconditional, we have for all scalars  $(a_i)$  that  $(\sum |a_i|^2)^{1/2} \leq K_q \delta^{-1} \|\sum a_i g_i\|$  where  $K_q$  is

Khinchine's constant for  $L_q$ . Hence for any  $f = \sum_{i=1}^{\infty} a_i f_i \in [(f_i)_{i=1}^{\infty}]$ ,

$$\|Pf\| \leq \|f\| = \max(\|\sum a_i g_i\|, \|\sum a_i h_i\|) \leq \max(\|Pf\|, (\sum_{i=1}^{\infty} |a_i|^2)^{1/2}) \leq$$

$$K_q \delta^{-1} \|Pf\|. \quad \square$$

In the proof of Proposition B, we used:

Lemma 1: Let  $(x_i)$  be an unconditional basic sequence in  $\ell_p \oplus \ell_2$   
 ( $1 < p < \infty$ ). Then there is a monotonely unconditional basic sequence  $(u_i)$   
in  $\ell_p$  and an orthogonal sequence  $(v_i)$  in  $\ell_2$  so that  $(x_i)$  is  
equivalent to  $(u_i \oplus v_i)$  in  $\ell_p \oplus \ell_2$ .

Proof. The proof uses an idea of Schechtman's [13]. Note that by a perturbation argument we can assume that, if  $(e_n)$  denotes the natural basis for  $\ell_p \oplus \ell_2$ , then for any  $n = 1, 2, \dots$ , only finitely many of the  $x_i$ 's have a non-zero  $n$ th coordinate when  $x_i$  is expanded in terms of  $(e_n)$ . We can represent  $(e_n)$  in  $L_p[-1, 1]$  by having  $(e_{2n})_{n=1}^{\infty}$  be a sequence of  $L_p$ -normalized indicator functions of disjoint subsets of  $[-1, 0)$  and letting  $(e_{2n-1})_{n=1}^{\infty}$  be the Rademacher functions on  $[0, 1]$ . Write  $x_i = y_i + z_i$  with  $y_i \in [(e_{2n})_{n=1}^{\infty}]$  and  $z_i \in [(e_{2n-1})_{n=1}^{\infty}]$ . The sequence  $(x_i)$  is easily seen to be equivalent to the sequence  $(r_i \otimes y_i + r_i \otimes z_i)$  in  $L_p([0, 1] \times [-1, 1])$ , where  $(r_i)$  is the usual sequence of Rademacher functions. Of course,  $(r_i \otimes z_i)$  is equivalent to an orthogonal sequence; the point is that the terms of the monotonely unconditional sequence  $(r_i \otimes y_i)$  are measurable with respect to a purely atomic sub-sigma field of  $[0, 1] \times [-1, 0]$  so that  $[(r_i \otimes y_i)]$  embeds isometrically into  $\ell_p$ .  $\square$

Throughout the rest of this seminar, we let  $2 < p < \infty$  and let  $(e_n)$  (respectively,  $(\delta_n)$ ) denote the unit vector basis for  $l_p$  (respectively,  $l_2$ ). Given  $z = y \oplus z \in l_p \oplus l_2$ , we let  $|x|_p = \|y\|$  and  $|x|_2 = \|z\|$ . Given a sequence  $w = (w_n)$  of non-negative weights, the space  $X_{p,w}$  is defined to be the subspace  $[e_n \oplus w_n \delta_n]$  of  $l_p \oplus l_2$ . We use  $(b_n)$  to denote the natural basis  $(e_n \oplus w_n \delta_n)$  for a generic  $X_{p,w}$  space; if confusion is likely to result, we use  $|\cdot|_{2,w}$  to denote the  $l_2$ -part of the norm in  $X_{p,w}$ , so that for  $x = \sum a_n b_n \in X_{p,w}$ ,  $|x|_{2,w} = (\sum |a_n w_n|^2)^{1/2}$ .

No matter what the weight sequence  $w$  is, the space  $X_{p,w}$  is isomorphic to  $l_2$ ,  $l_p$ ,  $l_p \oplus l_2$  or the space  $X_p$  introduced by Rosenthal [11]. Rosenthal showed that  $X_{p,w}$  is isomorphic to  $X_p$  if and only if for each  $\epsilon > 0$ ,

$$\sum_{w_n < \epsilon} w_n^{2p/(p-2)} = \infty.$$

$X_p$  is isomorphic to a complemented subspace of  $l_p$  but is not isomorphic to a complemented subspace of  $l_p \oplus l_2$ . It has become clear during the last ten years that, rather than being a pathological example,  $X_p$  plays a fundamental role in the study of  $l_p$  (cf., e.g. [2], [4], and [12]).

There are three important steps in the proof of Theorem C:

Proposition 2: Let  $X$  be a subspace of  $l_p \oplus l_2$  ( $2 < p < \infty$ ) and let  $T$  be an operator from  $l_p$  into  $X$ . Then  $T$  factors through  $X_p$ .

Proposition 3: If  $X$  is isomorphic to a complemented subspace of  $X_p$  and  $X_p$  is isomorphic to a complemented subspace of  $X$ , then  $X$  is isomorphic to  $X_p$ .



Proposition 4: Let  $X$  be a subspace of  $\ell_p \oplus \ell_2$  ( $2 < p < \infty$ ) with a normalized basis  $x_n = y_n \oplus z_n$ , where  $(y_n)$  (respectively,  $(z_n))$  is a basic sequence in  $\ell_p$  (respectively,  $\ell_2)$ . Assume that  $\|z_n\|_2 \rightarrow 0$  as  $n \rightarrow \infty$ . Then either  $X$  embeds into  $\ell_p$  or  $X_p$  is isomorphic to a comple- mented subspace of  $X$ .

Notice that Proposition 2 implies that a complemented subspace of  $L_p$  which embeds into  $\ell_p \oplus \ell_2$  is isomorphic to a complemented subspace of  $X_p$ . Suppose now that  $X$  is a complemented subspace of  $L_p$  which embeds into  $\ell_p \oplus \ell_2$  and  $X$  has normalized unconditional basis which in  $\ell_p \oplus \ell_2$  can be represented as  $x_n = y_n \oplus z_n$ , where by Lemma 1 we can assume that  $(y_n)$  is unconditional in  $\ell_p$  and  $(z_n)$  is orthogonal in  $\ell_2$ . Suppose that

$$(*) \quad \left\{ \begin{array}{l} \text{There are } 1 > \epsilon_1 > \epsilon_2 > \dots > 0 \text{ so that for } n = 1, 2, \dots, \\ M_n = \{i: \epsilon_{n+1} \leq \|z_i\|_2 < \epsilon_n\} \text{ is infinite.} \end{array} \right.$$

We can then use a standard gliding hump and perturbation argument to find infinite  $M'_n \subseteq M_n$  so that, setting  $M = \bigcup_{n=1}^{\infty} M'_n$ , we have that

$(y_i)_{i \in M}$  is equivalent to the unit vector basis of  $\ell_p$  and  $(z_i)_{i \in M}$  is equivalent to an orthogonal sequence in  $\ell_2$ . Thus by Rosenthal's characterization of  $X_p$  mentioned earlier,  $[(x_i)_{i \in M}]$  is isomorphic to  $X_p$  and is complemented in  $X$  because  $(x_i)$  is unconditional, hence by Propositions 2 and 3,  $X$  is isomorphic to  $X_p$ .

If  $(*)$  is false, then there is  $\epsilon > 0$  and  $A \subseteq \mathbb{N}$  so that

$$\|z_i\|_2 \geq \epsilon \text{ for } i \notin A \text{ and } \lim_{\substack{i \rightarrow \infty \\ i \in A}} \|z_i\|_2 = 0.$$

By Proposition 4, either  $X_p$  is complemented in  $[(x_i)_{i \in A}]$  and hence in  $X$ , so that, by Proposition 3,  $X$  and  $X_p$  are isomorphic, or  $[(x_i)_{i \in A}]$  embeds into  $\ell_p$ , and so is finite dimensional or isomorphic to  $\ell_p$  since it embeds into  $L_p$  as a complemented subspace. Of course,  $[(x_i)_{i \notin A}]$  is isomorphic to a Hilbert space and so if  $[(x_i)_{i \in A}]$  embeds into  $\ell_p$ , then  $X$  is isomorphic to  $\ell_p$ ,  $\ell_p \oplus \ell_2$ , or  $\ell_2$  if, respectively,  $\mathbb{N} \sim A$  is finite,  $A$  and  $\mathbb{N} \sim A$  are infinite, or  $A$  is finite.

To indicate how to prove Proposition 2, we need to recall the concept of a blocking of a finite dimensional decomposition (f.d.d., in short). Given an f.d.d.  $(E_n)$  for some space  $Z$ , a blocking of  $(E_n)$  is an f.d.d. for  $Z$  of the form  $(E'_n)$ , where for  $k = 1, 2, \dots$ ,  $E'_k = [(E_i)_{i=n(k)}]^{n(k+1)-1}$  for some sequence  $1 = n(1) < n(2) < \dots$  of integers. The simplest version of the blocking method, introduced in [6] (cf. also Proposition 1.g.4 in [8]) can be stated qualitatively as follows: If  $Z$  has a shrinking f.d.d.  $(E_n)$ ,  $Y$  has an f.d.d.  $(F_n)$ , and  $T: Z \rightarrow Y$  is an operator, then there are blockings  $(E'_n)$  of  $(E_n)$  and  $(F'_n)$  of  $(F_n)$  so that for all  $n = 1, 2, \dots$ ,  $TE'_n$  is "essentially" contained in  $F'_n + F'_{n+1}$ . ("Essentially" means: given any  $\epsilon_n \downarrow 0$ ,  $(E'_n)$  and  $(F'_n)$  may be chosen so that for  $x \in E_n$ ,  $d(Tx, F'_n + F'_{n+1}) \leq \epsilon_n \|x\|$ .) An easy consequence of this blocking principle is:

Lemma 5: If  $(E_n)$  is a shrinking f.d.d. for  $Z$ ,  $(F_n)$  is an f.d.d. for  $Y$ , and  $T: Z \rightarrow Y$  is an operator, then there are blockings  $(E'_n)$  of  $(E_n)$  and  $(F'_n)$  of  $(F_n)$  so that  $T: (\sum_{n=1}^{\infty} E'_n)_p \rightarrow (\sum_{n=1}^{\infty} F'_n)_p$  is bounded.

We are now ready to prove Proposition 2. By a change of density on the underlying measure space, we can by one of Maurey's theorems [9]

assume that  $T$  is bounded as an operator from  $L_2$  into  $(X, \|\cdot\|_2)$ , i.e., for all  $x \in L_2$ ,  $\|Tx\|_2 \leq K \|x\|_2$  for some constant  $K$ . Secondly, by Lemma 5, we can find a blocking  $(H_n)$  of the Haar basis so that  $T$  is bounded as an operator from  $(\sum_{n=1}^{\infty} (H_n, \|\cdot\|_p))_p$  into  $(X, \|\cdot\|_p)$ . (To see this, embed  $(X, \|\cdot\|_p)$  into  $\ell_p$  and block the unit vector basis for  $\ell_p$ .) Consequently, if for  $x \in L_p$ ,  $x = \sum x_n$  ( $x_n \in E_n$ ), we define  $\|x\| = \max((\sum \|x_n\|_p^p)^{1/p}, \|x\|_2)$  then we have that  $T$  is bounded as an operator from  $(L_p, \|\cdot\|)$  into  $X$ . The identity mapping from  $L_p$  into  $(L_p, \|\cdot\|)$  is bounded because the Haar basis, being unconditional, admits a lower  $\ell_p$ -estimate. Thus the operator  $T: L_p \rightarrow X$  factors through  $(L_p, \|\cdot\|)$ . To complete the proof of Proposition 2 we only need to observe that the completion of  $(L_p, \|\cdot\|)$  is isomorphic to a complemented subspace of  $X_{p,w}$  for some weight sequence  $w$ . This is done by seeing that the completion of  $(L_p, \|\cdot\|) = (\sum H_n, \|\cdot\|)$  is norm one complemented in  $(\sum E_n, \|\cdot\|)$  by the orthogonal projection, where for  $n=1,2,\dots$ ,  $E_n = [(h_i)_{i=1}^{2^{k(n)}}]$  and  $k(n)$  is chosen so that  $H_n \subseteq E_n$ . If  $f_i^n \in E_n$  denotes the  $L_p$ -normalized indicator function of the interval  $[(i-1)2^{-k(n)}, i 2^{-k(n)})$  for  $1 \leq i \leq 2^{k(n)}$ ;  $n = 1,2,\dots$ , then one can easily see that  $(f_i^n)_{i=1}^{2^{k(n)}}_{n=1}^{\infty}$  in  $(\sum E_n, \|\cdot\|)$  is equivalent to the natural basis of  $X_{p,w}$  for the weight sequence  $w = (\|f_i^n\|_2)_{i=1}^{2^{k(n)}}_{n=1}^{\infty}$ .

To prove Proposition 3 we need the following:

Lemma 6: There exists  $M_p < \infty$  so that if  $T$  is an operator on  $X_{p,w}$  for some weight sequence  $w = (w_n)_{n=1}^{\infty}$ , then there exists a weight sequence

$v$  so that  $\|T\|_{2,v} \leq M_p \|T\|$  and  $\|x\| \equiv \max(|x|_p, |x|_{2,v})$  is  $M_p$ -equivalent to the usual norm on  $X_{p,w}$ .

The lemma can be proved by embedding  $X_p$  into  $L_p[-1,1]$  by identifying the  $n$ th-unit vector of  $X_{p,w}$  with the function  $f_n = g_n + w_n r_n$ , where  $(g_n)$  are disjointly supported unit vectors in  $L_p[-1,0]$ ,  $\|g_n\|_2 \leq w_n$ , and  $(r_n)$  are the Rademacher functions on  $[0,1]$ . Note that  $|\cdot|_{2,w}$  on  $X_{p,w}$  is equivalent to  $\|\cdot\|_2$  under this identification. Now one uses [3] to get a change of density  $\phi \geq \frac{1}{2}$  on  $[-1,1]$  so that  $T$  is bounded when considered as an operator from  $([f_n], \|\cdot\|_{L_2(\phi dm)})$  into itself. One can check that the weight sequence  $v = (v_n)$  defined by  $v_n^2 = w_n^2 + \|\phi^{-1/p} g_n\|_{L_2(\phi dm)}^2$  does the job.

We are now ready to prove Proposition 3. The idea is to use Pelczynski's classical proof [10] that every complemented subspace of  $\ell_p$  is isomorphic to  $\ell_p$ . We need to write  $X_p$  as a symmetric sum  $(X_p \oplus X_p \oplus \dots)$  in such a way that  $(X \oplus X \oplus \dots)$  is complemented in  $(X_p \oplus X_p \oplus \dots)$ . The problem is that  $X_p$  is not isomorphic to  $(X_p \oplus X_p \oplus \dots)_p$ . However, if we represent  $X_p$  as  $X_{p,w}$ , then  $X_p$  is isomorphic to  $(X_{p,w} \oplus X_{p,w} \oplus \dots)_{p,2}$  where for  $x_n \in X_{p,w}$ , the norm in  $(X_{p,w} \oplus X_{p,w} \oplus \dots)_{p,2}$  of  $y = (x_n)_{n=1}^\infty$  is given by  $\|y\| = \max((\sum |x_n|_p^p)^{1/p}, (\sum |x_n|_{2,w}^2)^{1/2})$ . (One checks the isomorphism of  $X_p$  with  $(X_{p,w} \oplus X_{p,w} \oplus \dots)_{p,2}$  by observing that  $(X_{p,w} \oplus X_{p,w} \oplus \dots)_{p,2}$  is isometric to  $X_{p,v}$ , where the weight sequence  $v$  consists of all terms of the weight sequence  $w$ , each repeated infinitely many times.) Unfortunately, it is not true that  $(X \oplus X \oplus \dots)$  must be complemented in  $(X_{p,w} \oplus X_{p,w} \oplus \dots)_{p,2}$  if  $X$  is complemented in  $X_{p,w}$ , so Pelczynski's argument does not apply. However, if the projection

$P: X_p \rightarrow X$  is bounded in both the  $|\cdot|_p$  and the  $|\cdot|_{2,w}$  norms on  $X$ , then  $(X \oplus X \oplus \dots)$  is complemented in  $(X_{p,w} \oplus X_{p,w} \oplus \dots)_{p,2}$  by the projection  $P \oplus P \oplus \dots$ . The point of Lemma 6 is that we can assume, without loss of generality, that  $|P|_{2,w} < \infty$ . Of course,  $|P|_p$  might be infinite, but there is by Lemma 5 a blocking  $(E_n)$  of the natural basis for  $X_{p,w}$  so that  $P$  is bounded as an operator from  $(\sum E_n)_p$  into itself, where each space  $E_n$  has the  $X_{p,w}$  norm,  $\|\cdot\|$ , on it. If we define  $|\cdot|'_p$  on  $X_{p,w}$  by  $|x|'_p = (\sum \|x_n\|_p^p)^{1/p}$  ( $x = \sum x_n, x_n \in E_n$ ) then it is easy to check that the  $X_{p,w}$  norm is equivalent to the norm  $\| \|x\| \| = \max(|x|'_p, |x|_{2,w})$ . Since  $|P|'_p$  and  $|P|_2$  are both finite,  $(X \oplus X \oplus \dots)$  is complemented in  $((X_{p,w}, \| \cdot \|) \oplus (X_{p,w}, \| \cdot \|) \oplus \dots)_{p,2}$  and this latter space is easily seen to be isomorphic to  $X_p$ . This completes the sketch of the proof of Proposition 3.

We complete this seminar by giving a proof of Proposition 4.

If  $\ell_2$  does not embed into  $X$ , then  $X$  embeds into  $\ell_p$  by a result of Johnson and Odell (or see [2]). Thus we may assume  $X$  contains a copy of  $\ell_2$ .

Since  $|z_n|_2 \rightarrow 0$ , we can assume without loss of generality that  $|z_n|_2 < 1$  for each  $n$ . For a subspace  $Y$  of  $X$ , let  $\delta(Y) = \sup \{|y|_2 : \|y\| = 1\}$ . Note that since  $X$  contains  $\ell_2$ , if  $\dim X/Y < \infty$ , then  $\delta(Y) = 1$ . By the blocking technique [6] there exists  $0 = k(1) < k(2) < \dots$  such that if  $E_n = [(y_i)_{k(n)+1}^{k(n+1)}]$  and  $F_n = [(z_i)_{k(n)+1}^{k(n+1)}]$ , then  $(E_n)$  is an  $\ell_p$ -f.d.d. for  $[(y_n)]$  and  $(F_n)$  is an  $\ell_2$ -f.d.d. for  $[(z_n)]$ . Thus if  $u_n \in E_n$ , then  $|\sum u_n|_p \sim (\sum |u_n|_p^p)^{1/p}$  and a similar statement holds for  $(F_n)$ . Also by our above remark we can insure that

$\delta([\mathbf{x}_i]_{k(n)+1}^{k(n+1)}) \geq 1/2$  for each  $n$ . Since  $\|z_n\|_2 \rightarrow 0$ , we can find  $q(n)$   $k(n) < q(n) < k(n+1)$  such that if  $H_n = [(\mathbf{x}_i)]_{k(n)+1}^{q(n)}$  then

$$1 > \delta(H_n) > 0 \text{ for each } n,$$

$$\sum_{n=1}^{\infty} \delta(H_n)^{2p/(p-2)} = \infty, \quad \text{and} \quad \lim_{n \rightarrow \infty} \delta(H_n) = 0.$$

Let  $e_n \in H_n$  so that  $\|e_n\| = 1$  and  $\|e_n\|_2 = \delta(H_n)$ . Clearly  $[(e_n)]$  is isomorphic to  $X_p$ . We must show it is also complemented in  $X$ . Thus we wish to find  $\tilde{f}_n \in X^*$  so that  $(\tilde{f}_n)$  is biorthogonal to  $(e_n)$  and  $P(x) = \sum \tilde{f}_n(x) e_n$  is a bounded operator, and hence a projection onto  $[(e_n)]$ .

Let  $f_n$  be the functional on  $H_n$  defined by  $f_n(h) = \langle h, e_n \|e_n\|_2^{-2} \rangle$ . Then

$$\begin{aligned} \|f_n\|_p &= \max_{\substack{\|h\|_p=1 \\ h \in H_n}} \langle h, e_n \|e_n\|_2^{-2} \rangle \\ &\leq \max_{\substack{\|h\|_p=1 \\ h \in H_n}} \|h\|_2 \|e_n\|_2^{-1} = 1, \end{aligned}$$

since  $\|e_n\|_2 = \delta(H_n)$  and  $\|\cdot\| = \|\cdot\|_p$  on  $H_n$ . Thus  $f_n$  is a norm 1 functional on  $H_n$  in the  $\ell_p$  norm. Extend  $f_n$  to a functional  $\tilde{f}_n$  on  $X$  by letting  $\tilde{f}_n(x_i) = 0$  if  $i < k(n)$  or  $i > q(n)$ . Since  $(y_i)$  and  $(z_i)$  are basic, we have

$$\|\tilde{f}_n\|_p \leq K \quad \text{and} \quad \|\tilde{f}_n\|_2 \leq K \|f_n\|_2 = K \|e_n\|_2^{-1}$$

where  $K$  is twice the larger basis constant of  $(y_i)$  and  $(z_i)$ . Moreover, since  $(E_n)$  and  $(F_n)$  are  $p$ - and  $2$ -f.d.d.'s, respectively, and  $\|e_n\|_p \leq 1$ , we see that  $P(x) = \sum \tilde{f}_n(x) e_n$  is bounded.  $\square$

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