



Mathematical Problems in Mechanics

On rigid displacements and their relation to the infinitesimal rigid displacement lemma in three-dimensional elasticity

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Abstract

Let Ω be an open connected subset of \mathbb{R}^3 and let Θ be an immersion from Ω into \mathbb{R}^3 . It is established that the set formed by all rigid displacements of the open set $\Theta(\Omega)$ is a submanifold of dimension 6 and of class C^∞ of the space $H^1(\Omega)$. It is also shown that the infinitesimal rigid displacements of the same set $\Theta(\Omega)$ span the tangent space at the origin to this submanifold.

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Résumé

Déplacements rigides et leur relation au lemme du déplacement rigide infinitésimal en élasticité tri-dimensionnelle. Soit Ω un ouvert connexe de \mathbb{R}^3 et Θ une immersion de Ω dans \mathbb{R}^3 . On établit que l'ensemble formé par les déplacements rigides de l'ouvert $\Theta(\Omega)$ est une sous-variété de dimension 6 et de classe C^∞ de l'espace $H^1(\Omega)$. On montre aussi que les déplacements rigides infinitésimaux du même ouvert $\Theta(\Omega)$ engendrent le plan tangent à l'origine à cette sous-variété. **Pour citer cet article :** P.G. Ciarlet, C. Mardare, C. R. Acad. Sci. Paris, Ser. I 336 (2003).

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Version française abrégée

Les notations sont définies dans la version anglaise.

Le lemme du déplacement rigide infinitésimal en coordonnées curvilignes, qui joue un rôle important en élasticité linéarisée tri-dimensionnelle en coordonnées curvilignes (cf. [2, Chapitre 1]), s'énonce ainsi : Soit Ω un ouvert connexe de \mathbb{R}^3 , soit Θ une immersion suffisamment régulière de Ω dans un espace euclidien tri-dimensionnel \mathbb{R}^3 , et soit $\tilde{\mathbf{v}} \in H^1(\Omega)$ un champ de vecteurs vérifiant

$$e_{i||j}(\tilde{\mathbf{v}}) = 0 \quad \text{dans } \Omega,$$

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où

$$e_{i\parallel j}(\tilde{\mathbf{v}}) = \frac{1}{2}(\partial_i \tilde{\mathbf{v}} \cdot \mathbf{g}_j + \partial_j \tilde{\mathbf{v}} \cdot \mathbf{g}_i) \quad \text{et} \quad \mathbf{g}_i = \partial_i \Theta.$$

Alors il existe des vecteurs $\mathbf{c} \in \mathbb{E}^3$ et $\mathbf{d} \in \mathbb{E}^3$ tels que (cf. [2, Théorème 1.7-3])

$$\tilde{\mathbf{v}}(x) = \mathbf{c} + \mathbf{d} \wedge \Theta(x), \quad x \in \Omega.$$

En élasticité tri-dimensionnelle en coordonnées curvilignes, l'ensemble $\Theta(\Omega) \subset \mathbb{E}^3$ est la *configuration de référence* d'un corps élastique et le champ $\tilde{\mathbf{v}}$ est un *champ de déplacements* de l'ensemble $\Theta(\Omega)$. Les fonctions $e_{i\parallel j}(\tilde{\mathbf{v}})$ sont les composantes covariantes du *tenseur linéarisé de changement de métrique* associé au champ $\tilde{\mathbf{v}}$, et un déplacement de la forme ci-dessus $\tilde{\mathbf{v}} = \mathbf{c} + \mathbf{d} \wedge \Theta$ est appelé un *déplacement rigide infinitésimal* de l'ensemble $\Theta(\Omega)$.

L'objet de cette Note est d'établir que le lemme du mouvement rigide infinitésimal en coordonnées curvilignes n'est autre que la version linéarisée (dans un sens précisé au Théorème 4.1) du *théorème de rigidité* bien connu de la géométrie différentielle, une fois celui-ci convenablement étendu à l'espace de Sobolev $\mathbf{H}^1(\Omega)$.

Cette extension (Théorème 2.1) repose elle-même sur une généralisation du théorème de Liouville, qui est due à Reshetnyak [7] (voir [6] pour une démonstration particulièrement courte et élégante).

On établit ensuite (Théorème 3.1 et son corollaire) que l'ensemble \mathcal{M}_{rig} formé des *déplacements rigides* (au sens du Théorème 2.1) de l'ensemble $\Theta(\Omega)$ est une *sous-variété de dimension 6 et de classe C^∞ de l'espace $\mathbf{H}^1(\Omega)$* .

On montre enfin (Théorème 4.1) que l'espace vectoriel engendré par les déplacements rigides infinitésimaux de l'ensemble $\Theta(\Omega)$ n'est autre que *l'espace tangent à l'origine à la variété \mathcal{M}_{rig}* .

Les énoncés des Théorèmes 2.1, 3.1, et 4.1 mentionnés ci-dessus, accompagnés d'esquisses de démonstrations, se trouvent dans la version anglaise. On trouvera les démonstrations complètes de ces théorèmes dans [4].

Les résultats de cette Note sont étendus aux *déplacements rigides et rigides infinitésimaux sur une surface* dans [5].

1. Preliminaries

Complete proofs of Theorems 2.1, 3.1, and 4.1 are found in [4].

All spaces, matrices, etc., considered are real. The notations \mathbb{M}^3 , \mathbb{O}^3 , \mathbb{O}_+^3 , and \mathbb{A}^3 respectively designate the sets of all square matrices of order 3, of all orthogonal matrices of order 3, of all matrices $\mathbf{Q} \in \mathbb{O}^3$ with $\det \mathbf{Q} = 1$, and of all antisymmetric matrices of order 3.

Latin indices range over the set $\{1, 2, 3\}$ and the summation convention with respect to repeated indices is used in conjunction with this rule. The identity mapping of a set X is denoted \mathbf{id}_X .

The notation \mathbb{E}^3 designates a three-dimensional Euclidean space and $\mathbf{a} \cdot \mathbf{b}$, $\mathbf{a} \wedge \mathbf{b}$, and $|\mathbf{a}| = \sqrt{\mathbf{a} \cdot \mathbf{a}}$ respectively designate the Euclidean inner product, the exterior product of $\mathbf{a}, \mathbf{b} \in \mathbb{E}^3$, and the Euclidean norm of $\mathbf{a} \in \mathbb{E}^3$.

2. The rigidity theorem in Sobolev spaces

Let Ω be an open subset of \mathbb{R}^3 , let x_i denote the coordinates of a point $x \in \mathbb{R}^3$, and let $\partial_i := \partial/\partial x_i$.

Let $\Theta \in \mathcal{C}^1(\Omega; \mathbb{E}^3)$ be an *immersion*. The *metric tensor field* $(g_{ij}) \in \mathcal{C}^0(\Omega; \mathbb{M}^3)$ of the set $\Theta(\Omega)$ (which is open in \mathbb{E}^3 since Θ is an immersion) is then defined by means of its covariant components

$$g_{ij}(x) := \partial_i \Theta(x) \cdot \partial_j \Theta(x), \quad x \in \Omega.$$

The classical *rigidity theorem for an open set* (cf., e.g., [3, Theorem 3]) asserts that, if two immersions $\tilde{\Theta} \in \mathcal{C}^1(\Omega) := \mathcal{C}^1(\Omega; \mathbb{E}^3)$ and $\Theta \in \mathcal{C}^1(\Omega)$ have the same metric tensor fields, i.e., if $\tilde{g}_{ij} = g_{ij}$ in Ω (with self-explanatory notations) and Ω is connected, then there exist a vector $c \in \mathbb{E}^3$ and a matrix $Q \in \mathbb{O}^3$ such that

$$\tilde{\Theta}(x) = c + Q\Theta(x) \quad \text{for all } x \in \Omega.$$

The following result shows that *a similar result holds under the assumption that* $\tilde{\Theta} \in \mathbf{H}^1(\Omega) := \mathbf{H}^1(\Omega; \mathbb{E}^3)$. The matrix $\nabla\Theta(x) \in \mathbb{M}^3$ is that whose i -th column is $\partial_i\Theta(x)$.

Theorem 2.1. *Let Ω be a connected open subset of \mathbb{R}^3 and let $\Theta \in \mathcal{C}^1(\Omega)$ be a mapping that satisfies $\det \nabla\Theta > 0$ in Ω . Assume that there exists a vector field $\tilde{\Theta} \in \mathbf{H}^1(\Omega)$ that satisfies*

$$\det \nabla\tilde{\Theta} > 0 \quad \text{a.e. in } \Omega \quad \text{and} \quad \tilde{g}_{ij} = g_{ij} \quad \text{a.e. in } \Omega.$$

Then there exist a vector $c \in \mathbb{E}^3$ and a matrix $Q \in \mathbb{O}_+^3$ such that

$$\tilde{\Theta}(x) = c + Q\Theta(x) \quad \text{for almost all } x \in \Omega.$$

Sketch of proof. The Euclidean space \mathbb{E}^3 is identified with the space \mathbb{R}^3 throughout the proof.

(i) To begin with, consider the special case where $\Theta = \text{id}_\Omega$. In other words, we are given a mapping $\tilde{\Theta} \in \mathbf{H}^1(\Omega)$ that satisfies $\nabla\tilde{\Theta}(x) \in \mathbb{O}_+^3$ for almost all $x \in \Omega$. Then, by a result of Reshetnyak [7] (for a modern proof, see Friesecke, James and Müller [6]), there exist a vector $c \in \mathbb{E}^3$ and a matrix $Q \in \mathbb{O}_+^3$ such that

$$\tilde{\Theta}(x) = c + Qx \quad \text{for almost all } x \in \Omega.$$

Note that this result is a generalization of the classical *Liouville theorem*.

(ii) Consider next the general case. Let $x_0 \in \Omega$ be given. Since Θ is an immersion, the local inversion theorem can be applied; there thus exist bounded open neighborhoods U of x_0 and \hat{U} of $\Theta(x_0)$ satisfying $\bar{U} \subset \Omega$ and $\{\hat{U}\}^- \subset \Theta(\Omega)$, such that the restriction Θ_U of Θ to U can be extended to a \mathcal{C}^1 -diffeomorphism from \bar{U} onto $\{\hat{U}\}^-$.

Then the composite mapping $\hat{\Phi} := \tilde{\Theta} \cdot \Theta_U^{-1}$ belongs to $\mathbf{H}^1(\hat{U})$ and satisfies $\widehat{\nabla}\hat{\Phi}(\hat{x}) \in \mathbb{O}_+^3$ for almost all $\hat{x} \in \hat{U}$. By (i), there thus exist $c \in \mathbb{R}^3$ and $Q \in \mathbb{O}_+^3$ such that $\hat{\Phi}(\hat{x}) = c + Q\hat{x}$ for almost all $\hat{x} \in \hat{U}$, hence such that

$$\tilde{\Theta}(x) = c + Q\Theta(x) \quad \text{for almost all } x \in U.$$

(iii) The assumed connectedness of the open set Ω then implies that the last relation holds in fact for almost all $x \in \Omega$. \square

3. The submanifold of rigid displacements

All the results needed below about submanifolds in infinite-dimensional Banach spaces are found in [1]. If \mathcal{M} is a submanifold, the tangent space to \mathcal{M} at $m \in \mathcal{M}$ is denoted $T_m\mathcal{M}$.

The next theorem shows that the set \mathcal{M} formed by all the mappings $\tilde{\Theta} \in \mathbf{H}^1(\Omega)$ that satisfy the assumptions of the rigidity theorem for an open set (Theorem 2.1) is a *finite-dimensional submanifold of the space $\mathbf{H}^1(\Omega)$* . The *tangent space to \mathcal{M} at Θ* is also identified. Another equally important characterization of the same tangent space, involving this time the linearized change of metric tensor, will be given in Theorem 4.1.

Theorem 3.1. *Let Ω be a connected open subset of \mathbb{R}^3 and let $\Theta \in \mathcal{C}^1(\Omega) \cap \mathbf{H}^1(\Omega)$ be a mapping that satisfies $\det \nabla\Theta > 0$ in Ω . Then the set*

$$\mathcal{M} := \{ \tilde{\Theta} \in \mathbf{H}^1(\Omega); \det \nabla\tilde{\Theta} > 0 \text{ and } \tilde{g}_{ij} = g_{ij} \text{ a.e. in } \Omega \}$$

is a submanifold of class C^∞ and of dimension 6 of the space $H^1(\Omega)$ and its tangent space at Θ is given by

$$T_\Theta \mathcal{M} = \{ \tilde{v} \in H^1(\Omega); \exists c \in \mathbb{E}^3, \exists A \in \mathbb{A}^3, \tilde{v} = c + A\Theta \text{ a.e. in } \Omega \}.$$

Sketch of proof. It is easily seen that the linear mapping f defined by

$$f : (c, F) \in \mathbb{E}^3 \times \mathbb{M}^3 \rightarrow f(c, F) := c + F\Theta \in H^1(\Omega)$$

is injective. Consequently, the image $f(\mathbb{E}^3 \times \mathbb{M}^3)$ is a linear subspace of dimension 12 of $H^1(\Omega)$ and f is a C^∞ -diffeomorphism between $\mathbb{E}^3 \times \mathbb{M}^3$ and $f(\mathbb{E}^3 \times \mathbb{M}^3)$.

By the rigidity theorem (Theorem 2.1), the set \mathcal{M} may be equivalently defined as

$$\mathcal{M} = f(\mathbb{E}^3 \times \mathbb{O}_+^3).$$

Since $\mathbb{E} \times \mathbb{O}_+^3$ is a submanifold of class C^∞ and of dimension 6 of $\mathbb{E}^3 \times \mathbb{M}^3$, \mathcal{M} is thus a submanifold of class C^∞ and of dimension 6 of $f(\mathbb{E}^3 \times \mathbb{M}^3)$. Besides, the closed subspace $f(\mathbb{E}^3 \times \mathbb{M}^3)$ is “split” in $H^1(\Omega)$. Hence \mathcal{M} is also a submanifold of class C^∞ and of dimension 6 of $H^1(\Omega)$.

Since f is linear and $T_I \mathbb{O}_+^3 = \mathbb{A}^3$, the tangent space to \mathcal{M} at Θ is given by

$$\begin{aligned} T_\Theta \mathcal{M} &= T_{f(0,I)} f(\mathbb{E}^3 \times \mathbb{O}_+^3) = f(T_{(0,I)}(\mathbb{E}^3 \times \mathbb{O}_+^3)) = f(\mathbb{E}^3 \times \mathbb{A}^3) \\ &= \{ \tilde{v} \in H^1(\Omega); \exists c \in \mathbb{E}^3, \exists A \in \mathbb{A}^3, \tilde{v} = c + A\Theta \text{ a.e. in } \Omega \}. \quad \square \end{aligned}$$

In three-dimensional elasticity in curvilinear coordinates, the set $\Theta(\Omega)$ is the *reference configuration* of a three-dimensional elastic body (under the additional assumption that the immersion Θ is injective, but this assumption is irrelevant for our present purposes). Then, for each $\tilde{\Theta} \in H^1(\Omega)$, the set $\tilde{\Theta}(\Omega)$ is a *deformed configuration* and the field $\tilde{v} \in H^1(\Omega)$ defined by $\tilde{\Theta} = \Theta + \tilde{v}$ is a *displacement field* of the reference configuration $\Theta(\Omega)$. If in particular $\tilde{\Theta} \in \mathcal{M}$, the field \tilde{v} defined in this fashion is called a *rigid displacement*, and the subset \mathcal{M}_{rig} of $H^1(\Omega)$ defined by

$$\mathcal{M} = \Theta + \mathcal{M}_{\text{rig}}$$

is accordingly called the *manifold of rigid displacements*. We now recast Theorem 3.1 in terms of the manifold \mathcal{M}_{rig} .

Corollary to Theorem 3.1. *Let Ω be a connected open subset of \mathbb{R}^3 , and let $\Theta \in \mathcal{C}^1(\Omega) \cap H^1(\Omega)$ be a mapping that satisfies $\det \nabla \Theta > 0$ in Ω . Then the manifold of rigid displacements of the set $\Theta(\Omega)$, viz.,*

$$\mathcal{M}_{\text{rig}} := \{ \tilde{v} \in H^1(\Omega); \det(\nabla \Theta + \nabla \tilde{v}) > 0 \text{ and } \tilde{g}_{ij} = g_{ij} \text{ a.e. in } \Omega \},$$

is a submanifold of class C^∞ and of dimension 6 of the space $H^1(\Omega)$ and its tangent space at $\mathbf{0}$ is given by

$$\begin{aligned} T_0 \mathcal{M}_{\text{rig}} &= T_\Theta \mathcal{M} \\ &= \{ \tilde{v} \in H^1(\Omega); \exists c \in \mathbb{E}^3, \exists A \in \mathbb{A}^3, \tilde{v} = c + A\Theta \text{ a.e. in } \Omega \}. \quad \square \end{aligned}$$

4. The infinitesimal rigid displacement lemma in curvilinear coordinates revisited

The covariant components of the *linearized change of metric tensor* associated with a displacement field \tilde{v} of the set $\Theta(\Omega)$ are defined by

$$e_{i||j}(\tilde{v}) := \frac{1}{2} [\tilde{g}_{ij} - g_{ij}]^{\text{lin}},$$

where g_{ij} and \tilde{g}_{ij} are the covariant components of the metric tensors of the sets $\Theta(\Omega)$ and $\tilde{\Theta}(\Omega)$ where $\tilde{\Theta} := \Theta + \tilde{\nu}$, and $[\cdot]^{\text{lin}}$ denotes the linear part with respect to $\tilde{\nu}$ in the expression $[\cdot]$. A formal computation immediately gives

$$e_{i\parallel j}(\tilde{\nu}) = \frac{1}{2}(\partial_i \tilde{\nu} \cdot g_j + \partial_j \tilde{\nu} \cdot g_i), \quad \text{where } g_i := \partial_i \Theta.$$

This expression thus shows that

$$e_{i\parallel j}(\tilde{\nu}) \in L^2_{\text{loc}}(\Omega) \quad \text{if } \tilde{\nu} \in \mathbf{H}^1(\Omega) \text{ and } \Theta \in \mathbf{C}^1(\Omega).$$

Under this assumption on the mapping Θ , a displacement field $\tilde{\nu} \in \mathbf{H}^1(\Omega)$ that satisfies $e_{i\parallel j}(\tilde{\nu}) = 0$ a.e. in Ω is called an *infinitesimal rigid displacement* of the set $\Theta(\Omega)$. Accordingly, the *infinitesimal rigid displacement lemma in curvilinear coordinates* consists in identifying the vector space $\mathcal{V}_{\text{rig}}^{\text{lin}}$ formed by such displacements. This is the object of the next theorem, which shows that the space $\mathcal{V}_{\text{rig}}^{\text{lin}}$ has a *remarkably simple interpretation* in terms of the manifold \mathcal{M}_{rig} of rigid displacements introduced at the end of Section 3.

Theorem 4.1. *Let Ω be a connected open subset of \mathbb{R}^3 and let $\Theta \in \mathbf{C}^1(\Omega) \cap \mathbf{H}^1(\Omega)$ be a mapping that satisfies $\det \nabla \Theta > 0$ in Ω . Then the space of infinitesimal rigid displacements of the set $\Theta(\Omega)$, viz.,*

$$\mathcal{V}_{\text{rig}}^{\text{lin}} := \{ \tilde{\nu} \in \mathbf{H}^1(\Omega); [\tilde{g}_{ij} - g_{ij}]^{\text{lin}} = 0 \text{ a.e. in } \Omega \},$$

is given by

$$\mathcal{V}_{\text{rig}}^{\text{lin}} = T_0 \mathcal{M}_{\text{rig}},$$

where the tangent space $T_0 \mathcal{M}_{\text{rig}}$ has been identified in the Corollary to Theorem 3.1.

Sketch of proof. Let $\tilde{\nu} \in \mathbf{H}^1(\Omega)$ be such that $e_{i\parallel j}(\tilde{\nu}) = 0$ in Ω . An extension of the proof in [2, Theorem 1.7-3] then shows that, given any $x_0 \in \Omega$, there exist an open neighborhood $U \subset \Omega$ of x_0 , a vector $\mathbf{c} \in \mathbb{E}^3$, and a matrix $\mathbf{A} \in \mathbb{M}^3$ such that

$$\tilde{\nu}(x) = \mathbf{c} + \mathbf{A}\Theta(x) \quad \text{for almost all } x \in U.$$

Since the point x_0 is arbitrary and Ω is connected, this relation holds in fact for almost all $x \in \Omega$ (given any $x_1 \in \Omega$, cover any path joining x_0 to x_1 by a finite number of neighborhoods similar to U and repeat the previous argument). In other words,

$$\mathcal{V}_{\text{rig}}^{\text{lin}} = \{ \tilde{\nu} \in \mathbf{H}^1(\Omega); \exists \mathbf{c} \in \mathbb{E}^3, \exists \mathbf{A} \in \mathbb{A}^3, \tilde{\nu} = \mathbf{c} + \mathbf{A}\Theta \text{ a.e. in } \Omega \}.$$

The conclusion then follows from the Corollary to Theorem 3.1. \square

The manifold of rigid displacements can be equivalently written as

$$\mathcal{M}_{\text{rig}} = \{ \tilde{\nu} \in \mathbf{H}^1(\Omega); \det(\nabla \Theta + \nabla \tilde{\nu}) > 0 \text{ a.e. in } \Omega, \mathcal{F}_{ij}(\tilde{\nu}) = \mathbf{0} \text{ a.e. in } \Omega \},$$

where the mappings $\mathcal{F}_{ij} : \mathbf{H}^1(\Omega) \rightarrow L^1(\Omega)$ are defined by

$$\mathcal{F}_{ij}(\tilde{\nu}) := \partial_i(\Theta + \tilde{\nu}) \cdot \partial_j(\Theta + \tilde{\nu}) - \partial_i \Theta \cdot \partial_j \Theta, \quad \tilde{\nu} \in \mathbf{H}^1(\Omega).$$

Such mappings are Fréchet-differentiable and their Gâteaux derivatives at $\mathbf{0}$ are given by $D\mathcal{F}_{ij}(\mathbf{0})\tilde{\nu} = 2e_{i\parallel j}(\tilde{\nu})$ for all $\tilde{\nu} \in \mathbf{H}^1(\Omega)$, by definition of the functions $e_{i\parallel j}(\tilde{\nu})$. Theorem 4.1 thus shows that

$$T_0 \mathcal{M}_{\text{rig}} = \{ \tilde{\nu} \in \mathbf{H}^1(\Omega); D\mathcal{F}_{ij}(\mathbf{0})\tilde{\nu} = 0 \text{ a.e. in } \Omega \}.$$

In other words, the space $T_0 \mathcal{M}_{\text{rig}}$ has the expression that is naturally expected, yet is often delicate to establish, of the tangent space to a submanifold of an infinite-dimensional Banach space defined by means of equations; see in this respect [1, Chapter 3].

The results of this Note can be extended to *rigid and infinitesimal rigid displacements on a surface* (cf. [5]).

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