



Algebra/Group Theory

A progenerator for representations of  $\mathbf{SL}_n(\mathbb{F}_q)$  in transverse characteristic

*Un progénérateur pour les représentations de  $\mathbf{SL}_n(\mathbb{F}_q)$  en caractéristique transverse*

Cédric Bonnafé

CNRS – UMR 5149, Institut de mathématiques et de modélisation de Montpellier, Université Montpellier 2, place Eugène-Bataillon, 34095 Montpellier cedex, France

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ABSTRACT

Let  $G = \mathbf{GL}_n(\mathbb{F}_q)$ ,  $\mathbf{SL}_n(\mathbb{F}_q)$  or  $\mathbf{PGL}_n(\mathbb{F}_q)$ , where  $q$  is a power of some prime number  $p$ , let  $U$  denote a Sylow  $p$ -subgroup of  $G$  and let  $R$  be a commutative ring in which  $p$  is invertible. Let  $D(U)$  denote the derived subgroup of  $U$  and let  $e = \frac{1}{|D(U)|} \sum_{u \in D(U)} u$ . The aim of this Note is to prove that the  $R$ -algebras  $RG$  and  $eRGe$  are Morita equivalent (through the natural functor  $RG\text{-mod} \rightarrow eRGe\text{-mod}$ ,  $M \mapsto eM$ ).

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RÉSUMÉ

Soit  $G = \mathbf{GL}_n(\mathbb{F}_q)$ ,  $\mathbf{SL}_n(\mathbb{F}_q)$  ou  $\mathbf{PGL}_n(\mathbb{F}_q)$ , où  $q$  est une puissance d'un nombre premier  $p$ , soit  $U$  un  $p$ -sous-groupe de Sylow de  $G$  et soit  $R$  un anneau commutatif dans lequel  $p$  est inversible. Soit  $D(U)$  le groupe dérivé de  $U$  et soit  $e = \frac{1}{|D(U)|} \sum_{u \in D(U)} u$ . Le but de cette Note est de montrer que les  $R$ -algèbres  $RG$  et  $eRGe$  sont Morita équivalentes (à travers le foncteur naturel  $RG\text{-mod} \rightarrow eRGe\text{-mod}$ ,  $M \mapsto eM$ ).

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Let  $n$  be a non-zero natural number,  $p$  a prime number,  $q$  a power of  $p$  and let  $\mathbb{F}_q$  denote a finite field with  $q$  elements. Let  $G_n = \mathbf{SL}_n(\mathbb{F}_q)$ . We denote by  $U_n$  the group of  $n \times n$  unipotent upper triangular matrices with coefficients in  $\mathbb{F}_q$  (so that  $U_n$  is a Sylow  $p$ -subgroup of  $G_n$ ). Let  $D(U_n)$  denote its derived subgroup: then, with  $N = (n - 1)(n - 2)/2$ ,

$$D(U_n) = \left\{ \begin{pmatrix} 1 & 0 & a_1 & \cdots & \cdots & a_{n-2} \\ 0 & 1 & 0 & \ddots & & \vdots \\ \vdots & \ddots & \ddots & \ddots & \ddots & \vdots \\ \vdots & & \ddots & \ddots & \ddots & a_N \\ \vdots & & & \ddots & 1 & 0 \\ 0 & \cdots & \cdots & \cdots & 0 & 1 \end{pmatrix} \mid a_1, a_2, \dots, a_N \in \mathbb{F}_q \right\}.$$

We fix a commutative ring  $R$  in which  $p$  is invertible and we set

$$e_n = \frac{1}{|D(U_n)|} \sum_{u \in D(U_n)} u \in RD(U_n).$$

E-mail address: cedric.bonnafe@math.univ-montp2.fr.

URL: <http://ens.math.univ-montp2.fr/~bonnafe>.

Then  $e_n$  is an idempotent of  $RG_n$ . The aim of this Note is to prove the following result (recall that an idempotent  $i$  of a ring  $A$  is called *full* if  $A = AiA$ ):

**Theorem 1.** *If  $p$  is invertible in  $R$ , then  $e_n$  is a full idempotent of  $RG_n$ .*

**Proof.** First, let  $R_0 = \mathbb{Z}[1/p]$ , let  $\zeta$  be a primitive  $p$ -th root of unity in  $\mathbb{C}$  and let  $\hat{R}_0 = R_0[\zeta]$ . Let  $\mathcal{J}_0 = R_0G_n e_n R_0G_n$ ,  $\hat{\mathcal{J}}_0 = \hat{R}_0G_n e_n \hat{R}_0G_n$  and  $\mathcal{J} = RGeRG$ . Since  $p$  is invertible in  $R$ , there is a unique morphism of rings  $R_0 \rightarrow R$  which extends to a morphism of rings  $R_0G_n \rightarrow RG_n$ . So if  $1 \in \mathcal{J}_0$ , then  $1 \in \mathcal{J}$ . Also, as  $(1, \zeta, \dots, \zeta^{p-2})$  is an  $R_0$ -basis of  $\hat{R}_0$ , it is also an  $R_0G_n$ -basis of  $\hat{R}_0G_n$ . Therefore, if  $1 \in \hat{R}_0G_n e_n \hat{R}_0G_n = \hat{R}_0 \otimes_{R_0} (R_0G_n e_n R_0G_n)$ , then  $1 \in \mathcal{J}_0$ . Consequently, in order to prove Theorem 1, we may (and we shall) work under the following hypothesis:

**Hypothesis.** *From now on, and until the end of this proof, we assume that  $R = \mathbb{Z}[1/p, \zeta]$ .*

Now, let  $P_n$  denote the subgroup of  $\mathbf{SL}_n(\mathbb{F}_q)$  defined by

$$P_n = \left\{ \left( \begin{array}{c|c} & \begin{matrix} a_1 \\ \vdots \\ a_{n-1} \end{matrix} \\ \hline M & \\ \hline 0 & \dots & 0 & 1 \end{array} \right) \mid M \in \mathbf{SL}_{n-1}(\mathbb{F}_q) \text{ and } a_1, \dots, a_{n-1} \in \mathbb{F}_q \right\}.$$

Then  $U_n \subset P_n$ . We shall prove by induction on  $n$  that

$$e_n \text{ is a full idempotent of } RP_n. \tag{P_n}$$

It is clear that Theorem 1 follows immediately from  $(P_n)$ .

As  $e_1 = 1$  and  $e_2 = 1$ , it follows that  $(P_1)$  and  $(P_2)$  hold. So assume that  $n \geq 3$  and that  $(P_{n-1})$  holds. Let  $I_n$  denote the identity  $n \times n$  matrix and let

$$V_n = \left\{ \left( \begin{array}{c|c} & \begin{matrix} a_1 \\ \vdots \\ a_{n-1} \end{matrix} \\ \hline I_{n-1} & \\ \hline 0 & \dots & 0 & 1 \end{array} \right) \mid a_1, \dots, a_{n-1} \in \mathbb{F}_q \right\}.$$

Then  $V_n \simeq (\mathbb{F}_q^+)^{n-1}$  and  $P_n = \mathbf{SL}_{n-1}(\mathbb{F}_q) \times V_n \simeq \mathbf{SL}_{n-1}(\mathbb{F}_q) \times (\mathbb{F}_q^+)^{n-1}$ . We set  $V'_n = D(U_n) \cap V_n$ , so that  $V'_n \simeq (\mathbb{F}_q^+)^{n-2}$  is normalized by  $P_{n-1}$ . Then

$$D(U_n) = D(U_{n-1}) \times V'_n.$$

We now define

$$f_n = \frac{1}{|V'_n|} \sum_{v \in V'_n} v,$$

so that

$$e_n = e_{n-1} f_n.$$

By the induction hypothesis, there exist  $g_1, h_1, \dots, g_l, h_l$  in  $P_{n-1}$  and  $r_1, \dots, r_l$  in  $R$  such that

$$1 = \sum_{i=1}^l r_i g_i e_{n-1} h_i.$$

Therefore, as  $P_{n-1}$  normalizes  $V'_n$ , it centralizes  $f_n$  and so

$$f_n = \left( \sum_{i=1}^l r_i g_i e_{n-1} h_i \right) f_n = \sum_{i=1}^l r_i g_i e_{n-1} f_n h_i = \sum_{i=1}^l r_i g_i e_n h_i.$$

So  $f_n \in RP_n e_n RP_n$ .

Let  $\mu_p$  denote the subgroup of  $R^\times$  generated by  $\zeta$ . If  $\chi \in \text{Hom}(V_n, \mu_p)$ , we define  $b_\chi$  to be the associated primitive idempotent of  $RV_n$ :

$$b_\chi = \frac{1}{|V_n|} \sum_{v \in V_n} \chi(v)^{-1} v \in RV_n.$$

Then, as  $V_n$  is an elementary abelian  $p$ -group, we get

$$f_n = \sum_{\substack{\chi \in \text{Hom}(V_n, \mu_p) \\ \text{Res}_{V_n}^{V_n} \chi = 1}} b_\chi.$$

We fix a non-trivial element  $\chi_0 \in \text{Hom}(V_n, \mu_p)$  whose restriction to  $V'_n$  is trivial. Then

$$b_{\chi_0} = b_{\chi_0} f_n \quad \text{and} \quad b_1 = b_1 f_n,$$

so  $b_1$  and  $b_{\chi_0}$  belong to  $RP_n e_n RP_n$ .

But  $\mathbf{SL}_{n-1}(\mathbb{F}_q) \subset P_n$  has only two orbits for its action on  $\text{Hom}(V_n, \mu_p)$  (because  $n - 1 \geq 2$ ): the orbit of 1 and the orbit of  $\chi_0$ . Therefore,  $b_\chi \in RP_n e_n RP_n$  for all  $\chi \in \text{Hom}(V_n, \mu_p)$ . Consequently,

$$1 = \sum_{\chi \in \text{Hom}(V_n, \mu_p)} b_\chi \in RP_n e_n RP_n,$$

as desired.  $\square$

**Finite reductive groups.** Let  $\mathbb{F}$  be an algebraic closure of  $\mathbb{F}_q$ , let  $\mathbf{G}$  be a connected reductive group over  $\mathbb{F}$  and let  $F : \mathbf{G} \rightarrow \mathbf{G}$  be an isogeny such that some power  $F^\delta$  is a Frobenius endomorphism relative to an  $\mathbb{F}_q$ -structure. We denote by  $\mathbf{U}$  an  $F$ -stable maximal unipotent subgroup of  $\mathbf{G}$  (it is the unipotent radical of an  $F$ -stable Borel subgroup). Define

$$e = \frac{1}{|D(\mathbf{U})^F|} \sum_{u \in D(\mathbf{U})^F} u \in \mathbf{RG}^F.$$

**Theorem 2.** Assume that  $(\mathbf{G}, F)$  is split of type A. Then  $e$  is a full idempotent of  $\mathbf{RG}^F$ .

**Proof.** If  $(\mathbf{G}, F)$  is split of type A, then we may assume that  $\delta = 1$ . Then there is a morphism of groups  $\pi : G_n \rightarrow \mathbf{G}^F$  such that the image of  $U_n$  is  $\mathbf{U}^F$ . As  $D(\mathbf{U}^F) = D(\mathbf{U})^F$  in this case, the extension of this morphism to the group algebras  $\hat{\pi} : RG_n \rightarrow \mathbf{RG}^F$  sends  $e_n$  to  $e$ . By Theorem 1, the two-sided ideal of  $RG_n$  generated by  $e_n$  contains 1, so the result follows by applying  $\hat{\pi}$ .  $\square$

**Corollary 3.** If  $(\mathbf{G}, F)$  is split of type A, then the functors

$$\begin{array}{ccc} \mathbf{RG}^F\text{-mod} & \longrightarrow & e\mathbf{RG}^F e\text{-mod} \\ M & \longmapsto & eM \end{array} \quad \text{and} \quad \begin{array}{ccc} \mathbf{RG}^F e\text{-mod} & \longrightarrow & \mathbf{RG}^F\text{-mod} \\ N & \longmapsto & \mathbf{RG}^F e \otimes_{e\mathbf{RG}^F e} N \end{array}$$

are mutually inverse equivalences of categories. In particular,  $\mathbf{RG}^F$  and  $e\mathbf{RG}^F e$  are Morita equivalent, and  $\mathbf{RG}^F e$  is a progenerator for  $\mathbf{RG}^F$ .

**Proof.** This follows from Theorem 2 and, for instance, [3, Example 18.30].  $\square$

**Example.** Theorem 2 and Corollary 3 can be applied whenever  $\mathbf{G}^F = \mathbf{GL}_n(\mathbb{F}_q)$ ,  $\mathbf{SL}_n(\mathbb{F}_q)$  or  $\mathbf{PGL}_n(\mathbb{F}_q)$ .

**Comments.** (1) It is natural to ask whether Theorem 2 (or Corollary 3) can be generalized to other finite reductive groups. In fact, it cannot: indeed, if for instance  $R = \mathbb{C}$ , then saying that  $e$  is a full idempotent of  $\mathbf{RG}^F$  means that every irreducible character of  $\mathbf{G}^F$  is an irreducible component of an Harish-Chandra induced of some Gelfand–Graev character. But, if  $\mathbf{G}$  is quasi-simple and  $(\mathbf{G}, F)$  is not split of type A, then  $\mathbf{G}^F$  admits a unipotent character which does not belong to the principal series: this character cannot be an irreducible component of an Harish-Chandra induced of a Gelfand–Graev character.

(2) In [1], a crucial step for the proof of a special case of the geometric version of Broué’s abelian defect conjecture was [1, Theorem 4.1], where R. Rouquier and the author have proved the above Theorem 2 in the case where  $R$  is the integral closure of  $\mathbb{Z}_\ell$  in a sufficiently large algebraic extension of  $\mathbb{Q}_\ell$  (here,  $\ell$  is a prime number different from  $p$ ). The proof was essentially based on the classification, due to Dipper [2, 4.15 and 5.23], of simple modules for  $G_n$  in characteristic  $\ell$ , and especially of cuspidal ones, which involves the Deligne–Lusztig theory.

The interest of the proof given here is that it does not rely on any classification of simple modules, and is based on elementary methods: as a by-product of this elementariness, Theorem 2 and Corollary 3 are valid over any commutative ring (in which  $p$  is invertible, which is a necessary condition if one wants the idempotent  $e_n$  to be well-defined).

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