



Mathematical Analysis/Functional Analysis

## Functions of perturbed tuples of self-adjoint operators

*Fonctions d'uplets d'opérateurs autoadjoints perturbés*Fedor Nazarov<sup>a</sup>, Vladimir Peller<sup>b</sup><sup>a</sup> Department of Mathematics, Kent State University, Kent, OH 44242, USA<sup>b</sup> Department of Mathematics, Michigan State University, East Lansing, MI 48824, USA

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## ABSTRACT

We generalize earlier results of Aleksandrov and Peller (2010) [2,3], Aleksandrov et al. (2011) [6], Peller (1985) [13], Peller (1990) [14] to the case of functions of  $n$ -tuples of commuting self-adjoint operators. In particular, we prove that if a function  $f$  belongs to the Besov space  $B_{\infty,1}^1(\mathbb{R}^n)$ , then  $f$  is operator Lipschitz and we show that if  $f$  satisfies a Hölder condition of order  $\alpha$ , then  $\|f(A_1, \dots, A_n) - f(B_1, \dots, B_n)\| \leq \text{const} \max_{1 \leq j \leq n} \|A_j - B_j\|^\alpha$  for all  $n$ -tuples of commuting self-adjoint operators  $(A_1, \dots, A_n)$  and  $(B_1, \dots, B_n)$ . We also consider the case of arbitrary moduli of continuity and the case when the operators  $A_j - B_j$  belong to the Schatten–von Neumann class  $\mathcal{S}_p$ .

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## R É S U M É

Dans cette Note nous généralisons des résultats de Aleksandrov et Peller (2010) [2,3], Aleksandrov et al. (2011) [6], Peller (1985) [13], Peller (1990) [14] en cas de fonctions d'opérateurs auto-adjoints et d'opérateurs normaux. Nous considérons le problème similaire pour les fonctions de  $n$ -uplets d'opérateurs auto-adjoints qui commutent. En particulier, nous démontrons que si  $f$  est une fonction de la classe de Besov  $B_{\infty,1}^1(\mathbb{R}^n)$ , alors elle est lipschitzienne opératorielle. En outre, nous montrons que si  $f$  appartient à l'espace de Hölder d'ordre  $\alpha$ , alors  $\|f(A_1, \dots, A_n) - f(B_1, \dots, B_n)\| \leq \text{const} \max_{1 \leq j \leq n} \|A_j - B_j\|^\alpha$  pour tous  $n$ -uplets  $(A_1, \dots, A_n)$  et  $(B_1, \dots, B_n)$  d'opérateurs auto-adjoints qui commutent. Nous considérons aussi le cas de module de continuité arbitraire et le cas où les opérateurs  $A_j - B_j$  appartiennent à l'espace de Schatten–von Neumann  $\mathcal{S}_p$ .

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## Version française abrégée

Il est bien connu (voir [10]) qu'il y a des fonctions  $f$  lipschitziennes sur la droite réelle  $\mathbb{R}$  qui ne sont pas *lipschitziennes opératorielles*, c'est-à-dire la condition  $|f(x) - f(y)| \leq \text{const}|x - y|$ ,  $x, y \in \mathbb{R}$ , n'implique pas que pour tous les opérateurs auto-adjoints  $A$  et  $B$  l'inégalité

$$\|f(A) - f(B)\| \leq \text{const} \|A - B\|,$$

soit vraie. Dans [13] et [14] des conditions nécessaires et des conditions suffisantes sont données pour qu'une fonction  $f$  soit lipschitzienne opératorielle. En particulier, il est démontré dans [13] que pour qu'une fonction  $f$  soit lipschitzienne

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opératorielle il est nécessaire que  $f$  appartienne localement à l'espace de Besov  $B^1_{1,1}(\mathbb{R})$ . Cela implique aussi qu'une fonction lipschitzienne n'est pas nécessairement lipschitzienne opératorielle. D'autre part, il est démontré dans [13] et [14] que si  $f$  appartient à l'espace de Besov  $B^1_{\infty,1}(\mathbb{R})$ , alors la fonction  $f$  est lipschitzienne opératorielle.

Il se trouve que la situation change dramatiquement si l'on considère les fonctions de la classe  $\Lambda_\alpha(\mathbb{R})$  de Hölder d'ordre  $\alpha$ ,  $0 < \alpha < 1$ . Il est démontré dans [1] et [2] que si  $f \in \Lambda_\alpha(\mathbb{R})$ ,  $0 < \alpha < 1$  (c'est-à-dire  $|f(x) - f(y)| \leq \text{const}|x - y|^\alpha$ ), alors  $f$  doit être *hölderienne opératorielle d'ordre  $\alpha$* , c'est-à-dire

$$\|f(A) - f(B)\| \leq \text{const} \|A - B\|^\alpha.$$

Ce résultat était généralisé dans [2] pour les modules de continuité arbitraires.

Les résultats ci-dessus ont été généralisés dans [5] et [6] au cas de fonctions d'opérateurs normaux.

Dans cette note nous considérons le cas de fonctions de  $n$ -uplets d'opérateurs auto-adjoints qui commutent. Il se trouve que les méthodes du travail [6] ne marchent pas dans cette situation. Supposons que  $f$  est une fonction bornée sur  $\mathbb{R}^3$  dont la transformée de Fourier a un support compact. On peut montrer que comme dans le cas d'opérateurs normaux, les fonction  $\mathfrak{D}_1 f$  et  $\mathfrak{D}_3 f$  sur  $\mathbb{R}^3 \times \mathbb{R}^3$  définies par

$$(\mathfrak{D}_1 f)(x, y) = \frac{f(x_1, x_2, x_3) - f(y_1, x_2, x_3)}{x_1 - y_1}, \quad (\mathfrak{D}_3 f)(x, y) = \frac{f(y_1, y_2, x_3) - f(y_1, y_2, y_3)}{x_3 - y_3}$$

sont des multiplicateurs de Schur (voir §3 pour la définition). Toutefois, contrairement au cas  $n = 2$ , la fonction  $\mathfrak{D}_2$  définie par

$$(\mathfrak{D}_2 f)(x, y) = \frac{f(y_1, x_2, x_3) - f(y_1, y_2, x_3)}{x_2 - y_2},$$

n'est pas un multiplicateur de Schur.

Pendant, nous démontrons le résultat suivant :

Soient  $\sigma > 0$  et  $f$  une fonction dans  $L^\infty(\mathbb{R}^n)$  dont la transformée de Fourier a un support dans  $\{\xi \in \mathbb{R}^n : \|\xi\| \leq \sigma\}$ . Alors il y a des fonctions  $\Psi_j$ ,  $1 \leq j \leq n$ , sur  $\mathbb{R}^n \times \mathbb{R}^n$  qui appartiennent à l'espace  $\mathfrak{M}_{\mathbb{R}^n, \mathbb{R}^n}$  de multiplicateurs de Schur et telles que

$$f(x_1, \dots, x_n) - f(y_1, \dots, y_n) = \sum_{j=1}^n (x_j - y_j) \Psi_j(x_1, \dots, x_n, y_1, \dots, y_n), \quad x_j, y_j \in \mathbb{R},$$

et

$$\|\Psi_j\|_{\mathfrak{M}_{\mathbb{R}^n, \mathbb{R}^n}} \leq \text{const} \sigma \|f\|_{L^\infty(\mathbb{R}^n)}.$$

Ce résultat implique que si  $f$  appartient à l'espace de Besov  $B^1_{\infty,1}(\mathbb{R}^n)$  (voir [12]), alors  $f$  est lipschitzienne opératorielle, c'est-à-dire

$$\|f(A_1, \dots, A_n) - f(B_1, \dots, B_n)\| \leq \text{const} \max_{1 \leq j \leq n} \|A_j - B_j\|$$

pour tous  $n$ -uplets  $(A_1, \dots, A_n)$  et  $(B_1, \dots, B_n)$  d'opérateurs auto-adjoints qui commutent.

Nous démontrons aussi que si  $f$  est une fonction hölderienne d'ordre  $\alpha$  sur  $\mathbb{R}^n$ , alors  $f$  est une fonction hölderienne opératorielle d'ordre  $\alpha$ , c'est-à-dire

$$\|f(A_1, \dots, A_n) - f(B_1, \dots, B_n)\| \leq \text{const} \max_{1 \leq j \leq n} \|A_j - B_j\|^\alpha$$

pour tous  $n$ -uplets  $(A_1, \dots, A_n)$  et  $(B_1, \dots, B_n)$  d'opérateurs autoadjoints qui commutent.

Nous obtenons aussi des analogues d'autres résultats de [13,14,2] et [3] pour les fonctions d' $n$ -uplets d'opérateurs auto-adjoint qui commutent (voir la version anglaise).

### 1. Introduction

In this note we study the behavior of functions of perturbed tuples of commuting self-adjoint operators. We are going to find sharp estimates for  $f(A_1, \dots, A_n) - f(B_1, \dots, B_n)$ , where  $(A_1, \dots, A_n)$  and  $(B_1, \dots, B_n)$  are  $n$ -tuples of commuting self-adjoint operators and  $f$  is a function on  $\mathbb{R}^n$ . Our results generalize the results of [13,14,1–6] for self-adjoint and normal operators.

Recall that a Lipschitz function  $f$  on the real line  $\mathbb{R}$  does not have satisfy the inequality

$$\|f(A) - f(B)\| \leq \text{const} \|A - B\|$$

for arbitrary self-adjoint operators  $A$  and  $B$  on Hilbert space, i.e., it does not have to be *operator Lipschitz*. This was proved in [10]. Later it was shown in [13] and [14] that if  $f$  is operator Lipschitz, then  $f$  locally belongs to the Besov space  $B_{1,1}^1(\mathbb{R})$  (see [12] for an introduction to Besov spaces) which also implies that Lipschitzness is not sufficient for operator Lipschitzness. On the other hand, it was proved in [13] and [14] that if  $f$  belongs to the Besov space  $B_{\infty,1}^1(\mathbb{R})$ , then  $f$  is operator Lipschitz.

The situation changes dramatically if instead of the Lipschitz class, we consider the Hölder classes  $\Lambda_\alpha(\mathbb{R})$ ,  $0 < \alpha < 1$ , of functions  $f$  satisfying the inequality  $|f(x) - f(y)| \leq \text{const} |x - y|^\alpha$ ,  $x, y \in \mathbb{R}$ . It was shown in [1] and [2] that a function  $f$  in  $\Lambda_\alpha(\mathbb{R})$  must be *operator Hölder of order  $\alpha$* , i.e.,

$$\|f(A) - f(B)\| \leq \text{const} \|A - B\|^\alpha,$$

for arbitrary self-adjoint operators  $A$  and  $B$ . Note that the papers [1] and [2] also contain sharp estimates of  $\|f(A) - f(B)\|$  for functions  $f$  of class  $\Lambda_\omega$  for arbitrary moduli of continuity  $\omega$ .

It was also proved in [1] and [3] that if  $f \in \Lambda_\alpha$ ,  $p > 1$ , and  $A$  and  $B$  are self-adjoint operators such that  $A - B$  belongs to the Schatten–von Neumann class  $\mathbf{S}_p$ , then  $f(A) - f(B) \in \mathbf{S}_{p/\alpha}$  and

$$\|f(A) - f(B)\|_{\mathbf{S}_{p/\alpha}} \leq \text{const} \|A - B\|_{\mathbf{S}_p}^\alpha.$$

Later in [5] and [6] the above results were generalized to the case of functions of normal operators. Note that the proofs given in [13,14,1–3] for self-adjoint operators do not work in the case of normal operators and a new approach was used in [5] and [6].

In this paper we consider a more general problem of functions of  $n$ -tuples of commuting self-adjoint operators. The case  $n = 2$  corresponds to the case of normal operators. It turns out that the techniques used in [6] do not work for  $n \geq 3$ . We offer in this note a new approach that works for all  $n \geq 1$ .

We are going to use the technique of double operator integrals developed in [7–9]. Double operator integrals are expressions of the form

$$\iint_{\mathcal{X}_1 \times \mathcal{X}_2} \Phi(s_1, s_2) dE_1(s_1) T dE_2(s_2), \tag{1}$$

where  $E_1$  and  $E_2$  are spectral measures on  $\mathcal{X}_1$  and  $\mathcal{X}_2$ ,  $\Phi$  is a bounded measurable function on  $\mathcal{X}_1 \times \mathcal{X}_2$ , and  $T$  is an operator on Hilbert space. It was observed in [7–9] that the double operator integral (1) is well defined if  $T \in \mathbf{S}_2$  and determines an operator of class  $\mathbf{S}_2$ . For certain  $\Phi$ , the transformer  $T \mapsto \iint \Phi dE_1 T dE_2$  maps the trace class  $\mathbf{S}_1$  into itself. If so, one can define by duality the integral (1) for all bounded operators  $T$ . Such functions  $\Phi$  are called *Schur multipliers* (with respect to the spectral measures  $E_1$  and  $E_2$ ). We refer the reader to [13] for characterizations of Schur multipliers.

If  $\mathcal{X}_1$  and  $\mathcal{X}_2$  are Borel subsets of Euclidean spaces, we use the notation  $\mathfrak{M}_{\mathcal{X}_1, \mathcal{X}_2}$  for the space of Borel functions  $\Phi$  on  $\mathcal{X}_1 \times \mathcal{X}_2$  that are Schur multipliers for all Borel spectral measures  $E_1$  and  $E_2$  on  $\mathcal{X}_1$  and  $\mathcal{X}_2$ .

The proofs of the results of [6] for normal operators were based on the following formula:

$$\begin{aligned} f(N_1) - f(N_2) &= \iint (\mathfrak{D}_y f)(z_1, z_2) dE_1(z_1)(B_1 - B_2) dE_2(z_2) \\ &+ \iint (\mathfrak{D}_x f)(z_1, z_2) dE_1(z_1)(A_1 - A_2) dE_2(z_2). \end{aligned}$$

Here  $N_1$  and  $N_2$  are normal operators with bounded difference  $N_1 - N_2$ ,  $A_j = \text{Re } N_j$ ,  $B_j = \text{Im } N_j$ ,  $x_j = \text{Re } z_j$ ,  $y_j = \text{Im } z_j$ ,  $f$  is a bounded function on  $\mathbb{R}^2$  whose Fourier transform has compact support,

$$(\mathfrak{D}_x f)(z_1, z_2) = \frac{f(x_1, y_2) - f(x_2, y_2)}{x_1 - x_2}, \quad \text{and} \quad (\mathfrak{D}_y f)(z_1, z_2) = \frac{f(x_1, y_1) - f(x_1, y_2)}{y_1 - y_2}, \quad z_1, z_2 \in \mathbb{C}.$$

It was shown in [6] that  $\mathfrak{D}_x f$  and  $\mathfrak{D}_y f$  belong to the space of Schur multipliers  $\mathfrak{M}_{\mathbb{R}^2, \mathbb{R}^2}$ .

However, in the case  $n \geq 3$  the situation is more complicated. Let  $(A_1, A_2, A_3)$  and  $(B_1, B_2, B_3)$  be triples of commuting self-adjoint operators. Suppose that  $f$  is a bounded function on  $\mathbb{R}^3$  whose Fourier transform has compact support. It can be shown that

$$\begin{aligned} f(A_1, A_2, A_3) - f(B_1, B_2, B_3) &= \iint (\mathfrak{D}_1 f)(x, y) dE_1(x)(A_1 - B_1) dE_2(y) \\ &+ \iint (\mathfrak{D}_2 f)(x, y) dE_1(x)(A_2 - B_2) dE_2(y) \\ &+ \iint (\mathfrak{D}_3 f)(x, y) dE_1(x)(A_3 - B_3) dE_2(y), \end{aligned}$$

whenever the functions  $\mathfrak{D}_1 f$ ,  $\mathfrak{D}_2 f$ , and  $\mathfrak{D}_3 f$  belong to the space of Schur multipliers  $\mathfrak{M}_{\mathbb{R}^3, \mathbb{R}^3}$ . Here

$$\begin{aligned}
 (\mathfrak{D}_1 f)(x, y) &= \frac{f(x_1, x_2, x_3) - f(y_1, x_2, x_3)}{x_1 - y_1}, & (\mathfrak{D}_2 f)(x, y) &= \frac{f(y_1, x_2, x_3) - f(y_1, y_2, x_3)}{x_2 - y_2}, \\
 (\mathfrak{D}_3 f)(x, y) &= \frac{f(y_1, y_2, x_3) - f(y_1, y_2, y_3)}{x_3 - y_3}, & x &= (x_1, x_2, x_3), \quad y = (y_1, y_2, y_3).
 \end{aligned}$$

The methods of [6] allow us to prove that  $\mathfrak{D}_1 f$  and  $\mathfrak{D}_3 f$  do belong to the space of Schur multipliers  $\mathfrak{M}_{\mathbb{R}^3, \mathbb{R}^3}$ . However, as the next result shows, the function  $\mathfrak{D}_2 f$  does not have to be in  $\mathfrak{M}_{\mathbb{R}^3, \mathbb{R}^3}$ .

**Theorem 1.1.** *Suppose that  $g$  is a bounded function on  $\mathbb{R}$  such that the Fourier transform of  $g$  has compact support and is not a measure. Let  $f$  be the function on  $\mathbb{R}^3$  defined by*

$$f(x_1, x_2, x_3) = g(x_1 - x_3) \sin x_2.$$

Then  $\mathfrak{D}_2 f \notin \mathfrak{M}_{\mathbb{R}^3, \mathbb{R}^3}$ .

Note that it is easy to construct such a function  $g$ , e.g.,  $g(x) = \int_0^x t^{-1} \sin t \, dt$ .

In Section 2 we show that in the case  $n \geq 3$  it is possible to represent  $f(A_1, \dots, A_n) - f(B_1, \dots, B_n)$  in terms of double operator integrals in a different way. Using such a representation, we obtain in Section 3 and Section 4 analogs of the above results in the case of  $n$ -tuples of commuting self-adjoint operators.

## 2. An integral representation

The integral representation for  $f(A_1, \dots, A_n) - f(B_1, \dots, B_n)$  is based on the following result:

**Theorem 2.1.** *Let  $\sigma > 0$  and let  $f$  be a function in  $L^\infty(\mathbb{R}^n)$  whose Fourier transform is supported on  $\{\xi \in \mathbb{R}^n : \|\xi\| \leq \sigma\}$ . Then there exist functions  $\Psi_j$  in  $\mathfrak{M}_{\mathbb{R}^n, \mathbb{R}^n}$ ,  $1 \leq j \leq n$ , such that*

$$f(x_1, \dots, x_n) - f(y_1, \dots, y_n) = \sum_{j=1}^n (x_j - y_j) \Psi_j(x_1, \dots, x_n, y_1, \dots, y_n), \quad x_j, y_j \in \mathbb{R}, \tag{2}$$

and  $\|\Psi_j\|_{\mathfrak{M}_{\mathbb{R}^n, \mathbb{R}^n}} \leq \text{const } \sigma \|f\|_{L^\infty(\mathbb{R}^n)}$ .

We are going to derive Schur multiplier estimates from the following lemma.

**Lemma 2.2.** *Let  $\mathcal{C} = \mathcal{Q} \times \mathcal{R}$  be a cube in  $\mathbb{R}^{2n}$  of sidelength  $L$  and let  $\Psi$  be a  $C^\infty$  function on  $\frac{3}{2}\mathcal{C}$ . Then  $\Psi|_{\mathcal{C}} \in \mathfrak{M}_{\mathcal{Q}, \mathcal{R}}$  and*

$$\|\Psi\|_{\mathfrak{M}_{\mathcal{Q}, \mathcal{R}}} \leq \text{const} \max \left\{ L^{|\alpha|} \max_{a \in \frac{3}{2}\mathcal{C}} |(D^\alpha \Psi)(a)| : |\alpha| \leq 2n + 2 \right\}.$$

The lemma can be proved by expanding  $\Psi$  in the Fourier series.

**Sketch of the proof of Theorem 2.1.** By rescaling, we may assume that  $\|f\|_{L^\infty} \leq 1$  and  $\sigma = 1$ .

We consider the lattice of dyadic cubes in  $\mathbb{R}^{2n} = \mathbb{R}^n \times \mathbb{R}^n$ , i.e., the cubes whose sides are intervals of the form  $[j2^k, (j+1)2^k]$ ,  $j, k \in \mathbb{Z}$ . We say that a dyadic cube  $\mathcal{C}$  in  $\mathbb{R}^{2n} = \mathbb{R}^n \times \mathbb{R}^n$  is *admissible* if either its sidelength  $L(\mathcal{C})$  is equal to 1 or  $L(\mathcal{C}) > 1$  and the interior of the cube  $2\mathcal{C}$ , i.e., the cube centered at the center of  $\mathcal{C}$  with sidelength  $2L(\mathcal{C})$ , does not intersect the diagonal  $\{(x, x) : x \in \mathbb{R}^n\}$ . An admissible cube is called *maximal* if it is not a proper subset of another admissible cube. It is easy to see that the maximal admissible cubes are disjoint and cover  $\mathbb{R}^{2n}$ . It can also easily be verified that if  $\mathcal{Q}$  is a dyadic cube in  $\mathbb{R}^n$ , then there can be at most  $6^n$  dyadic cubes  $\mathcal{R}$  in  $\mathbb{R}^n$  such that  $\mathcal{Q} \times \mathcal{R}$  is a maximal admissible cube. For  $l = 2^m$ , we denote by  $\mathcal{D}_l$  the set of maximal dyadic cube of sidelength  $l$ .

It follows that if  $\Omega$  is a function on  $\mathbb{R}^n \times \mathbb{R}^n$  that is supported on  $\bigcup_{\mathcal{C} \in \mathcal{D}_l} \mathcal{C}$ , then

$$\|\Omega\|_{\mathfrak{M}_{\mathbb{R}^n, \mathbb{R}^n}} \leq 6^n \sup_{\mathcal{C} \in \mathcal{D}_l} \|\chi_{\mathcal{C}} \Omega\|_{\mathfrak{M}_{\mathbb{R}^n, \mathbb{R}^n}}.$$

We have to define  $\Psi_j$  on each maximal admissible cube. Suppose that  $\mathcal{C} \in \mathcal{D}_1$ . We put

$$\Psi_j(x, y) = \int_0^1 (D_j f)((1-t)x + ty) \, dt, \quad (x, y) \in \mathcal{C} = \mathcal{Q} \times \mathcal{R},$$

where  $D_j f$  is the  $j$ th partial derivative of  $f$ . It follows from Lemma 2.2 that  $\|\Psi_j\|_{\mathfrak{M}_{\mathcal{Q}, \mathcal{R}}} \leq \text{const}$ .

Suppose now that  $l = 2^m > 1$  and  $\mathcal{C} = \mathcal{Q} \times \mathcal{R} \in \mathcal{D}_l$ . Let  $\omega$  be a  $C^\infty$  nonnegative even function on  $\mathbb{R}$  such that  $\omega(t) = 0$  for  $t \in [-\frac{1}{2}, \frac{1}{2}]$ , and  $\omega(t) = 1$  for  $t \notin [-1, 1]$ . We put  $\Phi_j(x, y) = \omega((x_j - y_j)/l)$ ,  $\Phi = \sum_{j=1}^n \Phi_j$ , and define the functions  $\mathcal{E}_j$ ,  $1 \leq j \leq n$ , by

$$\mathcal{E}_j(x, y) = \begin{cases} \frac{1}{x_j - y_j} \cdot \frac{\Phi_j(x, y)}{\Phi(x, y)}, & x_j \neq y_j, \\ 0, & x_j = y_j. \end{cases}$$

It follows easily from Lemma 2.2 that  $\|\mathcal{E}_j\|_{\mathfrak{M}_{\mathcal{Q}, \mathcal{R}}} \leq \text{const } 2^{-m}$ . We put now

$$\Psi_j(x, y) = (f(x) - f(y))\mathcal{E}_j(x, y), \quad (x, y) \in \mathcal{C}.$$

Clearly, (2) holds for  $(x, y) \in \mathcal{C}$  and  $\|\Psi_j\|_{\mathfrak{M}_{\mathcal{Q}, \mathcal{R}}} \leq \text{const } 2^{-m}$ . The functions  $\Psi_j$  are now defined on  $\mathbb{R}^n \times \mathbb{R}^n$  and  $\|\Psi_j\|_{\mathfrak{M}_{\mathbb{R}^n, \mathbb{R}^n}} \leq \text{const } \sum_{m \geq 0} 2^{-m}$ . This implies the result.  $\square$

**Theorem 2.3.** *Let  $f$  be a function satisfying the hypotheses of Theorem 2.1 and let  $\Psi_j$ ,  $1 \leq j \leq n$ , be functions in  $\mathfrak{M}_{\mathbb{R}^n, \mathbb{R}^n}$  satisfying (2). Suppose that  $(A_1, \dots, A_n)$  and  $(B_1, \dots, B_n)$  are  $n$ -tuples of commuting self-adjoint operators such that the operators  $A_j - B_j$  are bounded,  $1 \leq j \leq n$ . Then*

$$f(A_1, \dots, A_n) - f(B_1, \dots, B_n) = \sum_{j=1}^n \iint_{\mathbb{R}^n \times \mathbb{R}^n} \Psi_j(x, y) dE_A(x)(A_j - B_j) dE_B(y)$$

and  $\|f(A_1, \dots, A_n) - f(B_1, \dots, B_n)\| \leq \text{const } \sigma \|f\|_{L^\infty(\mathbb{R}^n)} \max_{1 \leq j \leq n} \|A_j - B_j\|$ .

### 3. Operator norm estimates

In this section we obtain operator norm estimates for  $f(A_1, \dots, A_n) - f(B_1, \dots, B_n)$ , where  $(A_1, \dots, A_n)$  and  $(B_1, \dots, B_n)$  are  $n$ -tuples of commuting self-adjoint operators.

A function  $f$  on  $\mathbb{R}^n$  is called *operator Lipschitz* if

$$\|f(A_1, \dots, A_n) - f(B_1, \dots, B_n)\| \leq \text{const} \max_{1 \leq j \leq n} \|A_j - B_j\|$$

for all  $n$ -tuples of commuting self-adjoint operators  $(A_1, \dots, A_n)$  and  $(B_1, \dots, B_n)$ .

The following theorem can be deduced easily from Theorem 2.3:

**Theorem 3.1.** *Let  $f$  be a function in the Besov space  $B_{\infty, 1}^1(\mathbb{R}^n)$ . Then  $f$  is operator Lipschitz.*

For  $\alpha \in (0, 1)$ , we define the Hölder class  $\Lambda_\alpha(\mathbb{R}^n)$  of functions  $f$  on  $\mathbb{R}^n$  such that

$$|f(x) - f(y)| \leq \text{const} \|x - y\|_{\mathbb{R}^n}^\alpha, \quad x, y \in \mathbb{R}^n.$$

For a modulus of continuity  $\omega$ , the space  $\Lambda_\omega(\mathbb{R}^n)$  consists of functions  $f$  on  $\mathbb{R}^n$  such that

$$|f(x) - f(y)| \leq \text{const} \omega(\|x - y\|_{\mathbb{R}^n}), \quad x, y \in \mathbb{R}^n.$$

The following results are analogs of the corresponding results of [1] and [2] in the case  $n = 1$ . The proofs of Theorems 3.2 and 3.3 are based on Theorem 2.3 and use the same methods as in [2].

**Theorem 3.2.** *Let  $\alpha \in (0, 1)$  and let  $f \in \Lambda_\alpha(\mathbb{R}^n)$ . Then  $f$  is operator Hölder of order  $\alpha$ , i.e.,*

$$\|f(A_1, \dots, A_n) - f(B_1, \dots, B_n)\| \leq \text{const} \max_{1 \leq j \leq n} \|A_j - B_j\|^\alpha$$

for all  $n$ -tuples of commuting self-adjoint operators  $(A_1, \dots, A_n)$  and  $(B_1, \dots, B_n)$ .

**Theorem 3.3.** *Let  $\omega$  be a modulus of continuity and let  $f \in \Lambda_\omega(\mathbb{R}^n)$ . Then*

$$\|f(A_1, \dots, A_n) - f(B_1, \dots, B_n)\| \leq \text{const} \omega_* \left( \max_{1 \leq j \leq n} \|A_j - B_j\| \right)$$

for all  $n$ -tuples of commuting self-adjoint operators  $(A_1, \dots, A_n)$  and  $(B_1, \dots, B_n)$ , where

$$\omega_*(\delta) \stackrel{\text{def}}{=} \delta \int_{\delta}^{\infty} \frac{\omega(t)}{t^2} dt, \quad \delta > 0.$$

#### 4. Schatten–von Neumann norm estimates

In this section we obtain estimates in  $\mathbf{S}_p$  norms.

**Theorem 4.1.** *Let  $f$  be a function in the Besov space  $B_{\infty,1}^1(\mathbb{R}^n)$ . Suppose that  $(A_1, \dots, A_n)$  and  $(B_1, \dots, B_n)$  are  $n$ -tuples of commuting self-adjoint operators such that  $A_j - B_j \in \mathbf{S}_1$ . Then  $f(A_1, \dots, A_n) - f(B_1, \dots, B_n) \in \mathbf{S}_1$  and*

$$\|f(A_1, \dots, A_n) - f(B_1, \dots, B_n)\|_{\mathbf{S}_1} \leq \text{const} \|f\|_{B_{\infty,1}^1(\mathbb{R}^n)} \max_{1 \leq j \leq n} \|A_j - B_j\|_{\mathbf{S}_1}.$$

**Theorem 4.2.** *Let  $f \in \Lambda_\alpha(\mathbb{R}^n)$  and let  $p > 1$ . Suppose that  $(A_1, \dots, A_n)$  and  $(B_1, \dots, B_n)$  are  $n$ -tuples of commuting self-adjoint operators such that  $A_j - B_j \in \mathbf{S}_p$ . Then  $f(A_1, \dots, A_n) - f(B_1, \dots, B_n) \in \mathbf{S}_{p/\alpha}$  and*

$$\|f(A_1, \dots, A_n) - f(B_1, \dots, B_n)\|_{\mathbf{S}_{p/\alpha}} \leq \text{const} \|f\|_{\Lambda_\alpha(\mathbb{R}^n)} \max_{1 \leq j \leq n} \|A_j - B_j\|_{\mathbf{S}_p}^\alpha.$$

Note that the conclusion of Theorem 4.2 does not hold in the case  $p = 1$  even if  $n = 1$ , see [3].

**Theorem 4.3.** *Let  $f$  be a function in the Besov space  $B_{\infty,1}^\alpha(\mathbb{R}^n)$ . Suppose that  $(A_1, \dots, A_n)$  and  $(B_1, \dots, B_n)$  are  $n$ -tuples of commuting self-adjoint operators such that  $A_j - B_j \in \mathbf{S}_1$ . Then  $f(A_1, \dots, A_n) - f(B_1, \dots, B_n) \in \mathbf{S}_{1/\alpha}$  and*

$$\|f(A_1, \dots, A_n) - f(B_1, \dots, B_n)\|_{\mathbf{S}_{1/\alpha}} \leq \text{const} \|f\|_{B_{\infty,1}^\alpha(\mathbb{R}^n)} \max_{1 \leq j \leq n} \|A_j - B_j\|_{\mathbf{S}_1}^\alpha.$$

The proofs of the above theorems are based on Theorem 2.3 and use the methods of [3].

Note that in [3] more general results for other operator ideals were obtained in the case  $n = 1$ . Those results can also be generalized to the case of arbitrary  $n \geq 1$ .

We would like to mention the paper [11] on Lipschitz estimates in the norm of  $\mathbf{S}_p$ ,  $1 < p < \infty$ , for functions of commuting tuples of self-adjoint operators.

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