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## CHERN NUMBERS OF A KUPKA COMPONENT

by O. CALVO-ANDRADE and M. SOARES

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### 0. Introduction.

A codimension one foliation  $\mathcal{F}$  in the projective space  $\mathbb{P}^n$  has degree  $k$ , if it is represented by a section  $\omega \in H^0(\mathbb{P}^n, \Omega^1(k))$ , such a section, may be given by a dicritical 1-form in  $\mathbb{C}^{n+1}$ , homogeneous of degree  $(k - 1)$ .

The *singular set* of the foliation  $\mathcal{F}$  is the set  $S(\mathcal{F}) = \{p \mid \omega(p) = 0\}$ , and the *Kupka set* is the set  $K(\mathcal{F}) = \{p \mid \omega(p) = 0 \quad d\omega(p) \neq 0\}$ .

In [CL] it is shown that if  $\mathcal{F}$  has a compact Kupka component  $K$  which is a complete intersection, then  $\mathcal{F}$  has a meromorphic first integral, and in the same paper, they prove that the twisted cubic  $\mathcal{C} \subset \mathbb{P}^3$ , cannot be the Kupka set of any foliation. This motivates the following question :

Is the Kupka set a complete intersection? The aim of this work is to consider this question, our main result in this direction is :

**4.4. THEOREM.** — *Let  $\mathcal{F}$  be a codimension one holomorphic foliation of degree  $k$  in  $\mathbb{P}^n$  with a Kupka component  $K$ . Then  $K$  is numerically a complete intersection.*

This result implies an affirmative answer to the question in some cases. Let  $K$  be a compact Kupka component of a degree  $k$  foliation  $\mathcal{F}$ . We will show that the transversal type is  $\eta = pxdy - qydx$  where  $p, q$  are relatively prime positive integers,  $1 \leq p < q$  or  $p = q = 1$ . Then we have

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4.5. COROLLARY. — *Let  $\mathcal{F}$  be as above. If one of the conditions below is satisfied :*

- (1) *If  $k \leq 2(n + 1)$  and  $n \geq 6$ ;*
- (2)  *$k \leq 2(n - 1)$  and  $(n \geq 3)$ ;*
- (3)  $\min \left\{ \frac{p \cdot k}{p + q}, \frac{q \cdot k}{p + q} \right\} \leq n - 1$ ;

*then  $K$  is a complete intersection.*

The proof uses a Koszul resolution of the sheaf of ideals  $\mathcal{J}_K$  of  $K$  and the Baum–Bott residues. First, we will show that the Kupka set is subcanonically embedded, that is :

$$\Omega_K^{n-2} = (\Omega_{\mathbb{P}^n}^n \otimes \mathcal{O}(k))|_K$$

by a Serre's construction (Theorem 2.1), the normal bundle of the Kupka set can be extended to a rank-2 holomorphic vector bundle  $E \rightarrow \mathbb{P}^n$ , and  $K$  can be viewed as the zero locus of a global section  $\sigma$  of  $E$ . Many properties of  $K$  are strictly related with the properties of  $E$ . The section  $\sigma$  gives the exact sequence

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^n} \xrightarrow{\sigma} E \rightarrow \mathcal{J}_K(k) \rightarrow 0,$$

and  $E$  has total Chern class given by  $c(E) = 1 + k \cdot \mathbf{h} + \deg(K) \cdot \mathbf{h}^2$ . The second Chern class  $c_2(E) = \deg(K)$ , is computed with the Baum–Bott formula; thus it depends on the transversal type at  $K$ , and the degree of the foliation. Then,  $K$  is a complete intersection if and only if  $E$  splits in a direct sum of line bundles.

The vector bundle  $E$  associated to  $K$  is unstable and has Chern classes compatible with the splitting, which implies Theorem 4.4. The proof of the Corollary follows by an application of some well known results.

## 1. Rank two vector bundles on $\mathbb{P}^n$ .

In this section, we will give some general results on rank-2 holomorphic vector bundles on  $\mathbb{P}^n$ . By a *sheaf* we mean a coherent analytic sheaf of  $\mathcal{O}$  modules. No distinction will be made between holomorphic vector bundles and locally free analytic sheaves.  $\mathcal{O}(1)$  is the line bundle having a holomorphic section vanishing on a hyperplane.  $E(m) := E \otimes \mathcal{O}(1)^{\otimes m}$ .

Recall that cohomology ring  $H^*(\mathbb{P}^n, \mathbb{Z})$  is isomorphic to the ring  $\mathbb{Z}[\mathbf{h}]/\mathbf{h}^{n+1}$  where  $\mathbf{h}$  is the Poincaré dual of a hyperplane. The total Chern class of a rank- $r$  vector bundle  $E$  over  $\mathbb{P}^n$  will be denoted by  $c(E) = 1 + c_1(E) \cdot \mathbf{h} + \dots + c_r(E) \cdot \mathbf{h}^r$  where  $c_i(E) \in \mathbb{Z}$ . If  $\mathcal{S}$  is a sheaf on  $\mathbb{P}^n$ , then we will denote by  $h^i(\mathbb{P}^n, \mathcal{S}) = \dim_{\mathbb{C}} H^i(\mathbb{P}^n, \mathcal{S})$ .

Recall that the total Chern class of a rank-2 vector bundle  $E(m)$  may be calculated in terms of the Chern classes of  $E$ , and the Chern class of the bundle  $\mathcal{O}(m)$  by the formula

$$(1) \quad c(E(m)) = 1 + (c_1(E) + 2m) \cdot \mathbf{h} + (c_2(E) + mc_1(E) + m^2) \cdot \mathbf{h}^2$$

and the Chern class of the bundle  $\mathcal{O}(a) \oplus \mathcal{O}(b)$  is given by

$$(2) \quad c(\mathcal{O}(a) \oplus \mathcal{O}(b)) = 1 + (a + b) \cdot \mathbf{h} + (ab) \cdot \mathbf{h}^2,$$

we will use the following result [OSS] p. 39.

1.1. THEOREM. — *Let  $E \rightarrow \mathbb{P}^n$  be a holomorphic rank-2 vector bundle, then the following conditions are equivalent :*

- (1)  $E$  splits as a sum of line bundles.
- (2)  $E$  has a holomorphic line subbundle.
- (3)  $h^j(\mathbb{P}^n, E(m)) = 0$  for all  $m \in \mathbb{Z}$  and  $j = 1, \dots, n - 1$ .

Also note that since  $E$  has rank-2, the natural map  $E \otimes E \rightarrow \wedge^2 E \simeq \mathcal{O}(c_1)$  is a perfect pairing whence  $E^* \simeq E(-c_1)$ , this implies the following theorem :

1.2. THEOREM. — *A rank-2 holomorphic vector bundle  $E$  over  $\mathbb{P}^3$  splits if and only if  $h^1(\mathbb{P}^3, E(m)) = 0$  for all  $m \in \mathbb{Z}$ .*

*Proof.* — By Theorem 1.1, it suffices to prove that  $H^2(\mathbb{P}^3, E(m)) \simeq 0$  for all  $m \in \mathbb{Z}$ .

Let  $c_1 = c_1(E)$  then by Serre duality we get :

$$\begin{aligned} H^2(\mathbb{P}^3, E(m)) &\simeq H^1(\mathbb{P}^3, E^*(-m - 4)) \\ &\simeq H^1(\mathbb{P}^3, E(-c_1 - m - 4)) \simeq 0. \end{aligned} \quad \square$$

A rank-2 vector bundle is *normalized* if the first Chern class is 0 or -1. Given a rank-2 bundle  $E$  its normalization  $E_{\text{norm}}$  is defined by

$$E_{\text{norm}} := \begin{cases} E(-\frac{c_1}{2}) & \text{if } c_1 \text{ is even} \\ E(-\frac{c_1 + 1}{2}) & \text{if } c_1 \text{ is odd.} \end{cases}$$

A rank-2 vector bundle  $E$  is *stable* (resp. *semistable*) if  $H^0(\mathbb{P}^n, E_{\text{norm}}) = 0$  (resp.  $H^0(\mathbb{P}^n, E_{\text{norm}}(-1)) = 0$ ).

Let  $E$  be a rank-2 bundle, then

$$\Delta_E = c_1(E)^2 - 4c_2(E).$$

Observe that this number is an invariant under twisting,  $\Delta_E = \Delta_{E(m)}$  for all  $m \in \mathbb{Z}$ .

1.3. THEOREM [B]. — *Let  $E$  be a rank-2 holomorphic vector bundle over  $\mathbb{P}^n$ . If  $\Delta_E \geq 0$  then  $E$  is not stable.*

We end this section with the following :

CONJECTURE I [HS]. — *A rank-2 bundle on  $\mathbb{P}^n$ ,  $n \geq 5$ , which is not stable, splits.*

We will see below that the affirmative solution to this conjecture implies that a Kupka component is a complete intersection in dimension  $\geq 5$ .

## 2. Codimension two subcanonical submanifolds of $\mathbb{P}^n$ .

A codimension two smooth submanifold  $X \subset \mathbb{P}^n$ ,  $n \geq 3$ , is called *subcanonical* if its canonical bundle  $\Omega_X^{n-2}$  is a multiple of the hyperplane bundle on  $\mathbb{P}^n$ , that is

$$\Omega_X^{n-2} = \mathcal{O}_X(e(X)).$$

In this situation, from the exact sequence

$$0 \rightarrow \tau_X \rightarrow \tau_{\mathbb{P}^n}|_X \rightarrow \nu_X \rightarrow 0$$

we have that

$$\wedge^2 \nu_X = \mathcal{O}(n+1-e)|_X$$

and then we have the following result.

2.1. THEOREM. — *Let  $X \subset \mathbb{P}^n$  be a codimension two, smooth submanifold with sheaf of ideals  $\mathcal{J}_X$ . If  $\wedge^2 \nu_X = \mathcal{O}(c)|_X$  then there exists*

a holomorphic two bundle  $E \rightarrow \mathbb{P}^n$  with a section  $\sigma \in H^0(\mathbb{P}^n, \mathcal{O}(E))$  such that  $(\sigma = 0) = (X, \mathcal{O}_X)$  and induces the exact sequence

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^n} \xrightarrow{\sigma} E \rightarrow \mathcal{J}_X(c) \rightarrow 0$$

with  $c(E) = 1 + c \cdot \mathbf{h} + \text{deg}(X) \cdot \mathbf{h}^2$ .

Moreover,  $X$  is a complete intersection if and only if  $E$  splits.

The proof of this theorem will be given in the Appendix in a more general context.

*Remark.*

1. The sequence

$$0 \rightarrow \mathcal{O}(-c_1) \rightarrow E(-c_1) \rightarrow \mathcal{J}_X \rightarrow 0,$$

where  $c_1$  is the first Chern class of  $E$ , is the Koszul resolution of the sheaf of ideals  $\mathcal{J}_X$  of  $X$ , and  $E$  is an extension of the normal bundle  $\nu_X$  of  $X \subset \mathbb{P}^n$  [OSS] p. 90.

2. The first Chern class  $c$  of  $E$  is related with  $e = e(X)$  by  $c = e - n - 1$ .

Recall that a smooth curve  $X \subset \mathbb{P}^n$  is  $k$ -normal if the hypersurfaces of degree  $k$  cut out the complete linear series  $|\mathcal{O}_X(k)|$ , and  $X \subset \mathbb{P}^n$  is projectively normal if it is  $k$ -normal for all  $k \in \mathbb{Z}$ .

It may be shown that a curve  $X \subset \mathbb{P}^3$  is projectively normal if and only if

$$H^1(\mathbb{P}^3, \mathcal{J}_X(k)) = 0 \quad \text{for all } k \in \mathbb{Z}.$$

This characterization implies the following result.

2.2. THEOREM (Gherardelli). — *Let  $X \subset \mathbb{P}^3$  be a subcanonical projectively normal curve. Then  $X$  is a complete intersection.*

*Proof.* — Let  $E$  be the associated vector bundle to  $X$  and let  $c$  be its first Chern class. We need to show that  $E$  splits. To do this, it is sufficient by Theorem 1.2, to show that  $H^1(\mathbb{P}^3, E(m)) = 0$  for all  $m \in \mathbb{Z}$ .

Since  $X$  is a projectively normal curve, then  $H^1(\mathbb{P}^3, \mathcal{J}_X(m)) = 0$  for all  $m \in \mathbb{Z}$ . The exact sequence

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^3} \xrightarrow{\sigma} E \rightarrow \mathcal{J}_X(c) \rightarrow 0$$

gives, for all  $m \in \mathbb{Z}$ , the isomorphism

$$H^1(\mathbb{P}^3, E(m)) \simeq H^1(\mathbb{P}^3, \mathcal{J}_X(m+c)) \simeq 0. \quad \square$$

**2.3. THEOREM.** — *Let  $X \subset \mathbb{P}^n$  be a codimension two subcanonical smooth submanifold and let  $E$  be the rank-2 bundle associated to  $X$ . If  $E$  is unstable and has positive first Chern class, then  $X$  is contained in a hypersurface of degree  $\leq c/2 = c_1(E)/2$ .*

*Proof.* — Assume that  $c$  is even. From the exact sequence

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^n} \xrightarrow{\sigma} E \rightarrow \mathcal{J}_X(c) \rightarrow 0$$

we get the exact sequence

$$0 \rightarrow \mathcal{O}(-c/2) \xrightarrow{\sigma} E_{\text{norm}} \rightarrow \mathcal{J}_X(c/2) \rightarrow 0$$

and from the associated long cohomology sequence we get

$$0 \neq H^0(\mathbb{P}^n, E_{\text{norm}}) \simeq H^0(\mathbb{P}^n, \mathcal{J}_X(c/2)). \quad \square$$

One of the unsolved problems in Algebraic Geometry is Hartshorne's conjecture [H] which states that any projective smooth variety  $X \subset \mathbb{P}^n$  such that  $3\dim X > 2n$  is a complete intersection. In this paper, we shall consider the following assertion which for  $n \geq 7$  is a special case of the above.

**CONJECTURE.** — *If  $n \geq 6$  then any codimension 2 smooth subvariety  $X \subset \mathbb{P}^n$  is a complete intersection.*

Some partial results in the solution of this conjecture were given in [R] and [BCh]. An improvement of those results is stated in the following theorem.

**2.4. THEOREM [HS].** — *Let  $X$  be a codimension two subcanonical submanifold. Let  $c_1, c_2$  be the Chern classes of the associated bundle  $E$  and  $e = e(X)$ . If one of the following conditions is satisfied :*

- (1)  $6 \leq n$  and  $e \leq (n+1)$ ;
- (2)  $c_1 \geq \frac{c_2}{n-1} + (n-1)$ ;
- (3)  $6 \leq n$  and  $c_2 \leq (n-1)(n+5)$ ;

*then  $X$  is a complete intersection.*

The main idea in the proof of this theorem, is that the associated vector bundle is unstable (or semistable) and it follows that  $X$  is contained in a hypersurface of degree  $d \leq c_1/2$ . With these facts, it is possible to find a line subbundle and the conclusion follows from Theorem 1.1.

We say that a submanifold  $X \subset \mathbb{P}^n$  is a *numerically complete intersection* if  $X$  has the same Chern classes as a complete intersection. Another open question is the following

CONJECTURE III. — *Any codimension 2 submanifold  $X \subset \mathbb{P}^n$ ,  $n \geq 6$ , is a numerically complete intersection.*

When  $n \geq 6$ , any codimension two smooth submanifold of  $\mathbb{P}^n$  is subcanonically embedded. In this case, an affirmative answer to Conjectures I and III implies that Conjecture II is also true.

*Example* [OSS] p. 109-110. — There exists a two dimensional smooth complex torus  $X$ , of degree 10 in  $\mathbb{P}^4$ . By Lefschetz's Theorem, this surface can not be a complete intersection.

From the exact sequence

$$0 \rightarrow \tau_X \rightarrow \tau_{\mathbb{P}^4}|_X \rightarrow \nu_X \rightarrow 0,$$

and because the tangent bundle of  $X$  is trivial, we get

$$\wedge^2 \nu_X = \mathcal{O}(5) \quad \Omega_X^2 = \mathcal{O}|_X$$

thus, the associated vector bundle  $E$  has Chern class

$$c(E) = 1 + 5 \cdot \mathbf{h} + 10 \cdot \mathbf{h}^2,$$

and this bundle cannot split, thus  $X$  cannot be a complete intersection.

As far as we know, this is essentially the only one non-splitting bundle over  $\mathbb{P}^4$  which is known.

We will show later that this torus can not be the Kupka set of any foliation in  $\mathbb{P}^4$ .

### 3. Kupka type singularities.

3.1. DEFINITION. — A *codimension one holomorphic foliation*  $\mathcal{F}$  (with singularities) in a complex manifold  $M$  is an equivalence class of sections

$\omega \in H^0(M, \Omega^1(L))$  where  $L$  is a holomorphic line bundle,  $\omega$  does not vanish on any connected component of  $M$  and satisfies the integrability condition  $\omega \wedge d\omega = 0$ .

The *singular set* of the foliation  $\mathcal{F}$  is the set of points  $S(\mathcal{F}) = \{p \in M \mid \omega(p) = 0\}$ . We will assume that it has codimension  $\geq 2$ . The *leaves of the foliation* are the leaves of the non-singular foliation in  $M - S(\mathcal{F})$ . When a leaf  $\mathcal{L}$  of  $\mathcal{F}$  is such that its closure  $\overline{\mathcal{L}}$  is a closed analytic subspace of  $M$  of codimension 1, we will also call  $\overline{\mathcal{L}}$  a leaf of  $\mathcal{F}$ .

**3.2. DEFINITION.** — Let  $\mathcal{F}$  be a codimension one holomorphic foliation represented by  $\omega \in H^0(M, \Omega^1(L))$ . The *Kupka singular set*  $K(\mathcal{F}) \subset S(\mathcal{F})$  is defined by

$$K(\mathcal{F}) := \{p \in M \mid \omega(p) = 0 \quad d\omega(p) \neq 0\}.$$

We say that  $\mathcal{F}$  has a *Kupka component*  $K$  if  $K$  is a compact, connected component of the Kupka set  $K(\mathcal{F})$ .

The *degree* of a codimension one holomorphic foliation, represented by a section  $\omega \in H^0(M, \Omega^1(L))$  is the first Chern class  $c_1(L) \in H^2(M, \mathbb{Z})$  of the line bundle  $L$ . If  $M = \mathbb{P}^n$ , a foliation is represented by an integrable section  $\omega \in H^0(\mathbb{P}^n, \Omega^1(k))$ , and in this case the degree is  $k$ . Such a section, is given by a dicritical 1-form in  $\mathbb{C}^{n+1}$ , homogeneous of degree  $k - 1$ .

An integrable section  $\omega \in H^0(M, \Omega^1(L))$ , is represented by a family of integrable 1-forms  $\omega_\alpha$  defined on an open cover  $U = \{U_\alpha\}$  of  $M$ , satisfying  $\omega_\alpha = \lambda_{\alpha\beta} \cdot \omega_\beta$  in  $U_\alpha \cap U_\beta$ , and  $\lambda_{\alpha\beta}$  are the defining cocycles of the line bundle  $L$ . The Kupka set is well defined, and independent of the choice of the section  $\omega$ . We will use the following result.

**3.3. THEOREM.** — Let  $\omega \in H^0(M, \Omega^1(L))$  be a codimension one holomorphic foliation.

(1) [M]. Given a connected component  $K \subset K(\mathcal{F})$  there exists a germ at  $0 \in \mathbb{C}^2$  of a holomorphic 1-form  $\eta = A(x, y) dx + B(x, y) dy$  with an isolated singularity at 0, an open covering  $\{U_\alpha\}$  of a neighborhood of  $K \subset M$ , and a family of submersions  $\varphi_\alpha : U_\alpha \rightarrow \mathbb{C}^2$  such that

$$\varphi_\alpha^{-1}(0) = K \cap U_\alpha \quad \text{and} \quad \omega_\alpha = \varphi_\alpha^* \eta.$$

(2) [GML]. If the first Chern class of the normal bundle  $\nu_K$  of a compact connected component  $K \subset K(\mathcal{F})$  is non-zero, then the 1-form  $\eta$  has the form  $\eta = pxdy - qydx$  for  $1 \leq p < q$  relatively prime integers or  $p = q = 1$ .

*Remark.* — The 1-form  $\eta$  is called the *transversal type* of the component  $K$ . Moreover, it is well defined up to biholomorphism and multiplication by non-vanishing holomorphic functions.

3.4. THEOREM. — *Let  $\mathcal{F}$  be a codimension one holomorphic foliation represented by a section  $\omega \in H^0(M, \Omega^1(L))$ . Then*

(1) *Let  $K \subset K(\mathcal{F})$  be a compact connected component. Then  $K$  is subcanonically embedded. i. e.*

$$\Omega_K^{n-2} = \left( \Omega_M^n \otimes L \right)|_K.$$

(2) *If the line bundle  $L$  is positive then the transversal type of the Kupka component  $K$  is given by  $\eta = px dy - qy dx$  with  $p, q$  positive relatively prime integers or  $p = q = 1$ .*

*Proof.*

(1) Observe that  $\omega_\alpha := \varphi_\alpha^* \eta$  is the defining 1-form of  $\mathcal{F}$  in the open set  $U_\alpha$ , thus for any  $p \in K$ , one has the equations

$$\begin{aligned} d\omega_\alpha(p) &= \varphi_\alpha^* \left( \frac{\partial B}{\partial x_\alpha} - \frac{\partial A}{\partial y_\alpha} \right) (0) \cdot dx_\alpha \wedge dy_\alpha \\ d\omega_\alpha(p) &= \lambda_{\alpha\beta}(p) \cdot d\omega_\beta(p). \end{aligned}$$

This shows that  $d\omega_\alpha$  is a never vanishing holomorphic section of the line bundle  $\wedge^2 \nu_K^* \otimes L|_K$  where  $\nu_K$  denotes the normal bundle of  $K$  in  $M$ , thus we have

$$\wedge^2 \nu_K^* = L^{-1}|_K,$$

and from the exact sequence

$$0 \rightarrow \tau_K \rightarrow \tau_M|_K \rightarrow \nu_K \rightarrow 0$$

we get the isomorphism

$$\Omega_K^{n-2} \otimes \wedge^2 \nu_K^* = \Omega_K^{n-2} \otimes L^{-1}|_K \simeq \Omega_M^n|_K$$

and this implies

$$\Omega_K^{n-2} \simeq (\Omega_M^n \otimes L)|_K.$$

(2) We have shown that

$$\wedge^2 \nu_K = L|_K,$$

and by assumption, the line bundle  $L$  is positive. Let  $i : K \rightarrow M$  be the inclusion map, then

$$c_1(\nu_K(M)) = i^*c_1(L)$$

and by the naturality of the Chern classes, the first Chern class of the normal bundle of  $K$  does not vanish. This implies by the second part of Theorem 3.3 that the transversal type of  $K$  is as claimed.  $\square$

**3.5. COROLLARY.** — *Let  $\mathcal{F}$  be a codimension one holomorphic foliation of degree  $k$  with a Kupka component  $K$  in  $\mathbb{P}^n$ ,  $n \geq 3$ , then there exists a rank-2 holomorphic vector bundle  $E$  with a section  $\sigma$  which induces the exact sequence*

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^n} \xrightarrow{\sigma} E \rightarrow \mathcal{J}_K(k) \rightarrow 0$$

and the total Chern class of this bundle is

$$c(E) = 1 + k \cdot \mathbf{h} + \deg(K) \cdot \mathbf{h}^2.$$

*Proof.* — Since the canonical bundle of  $\mathbb{P}^n$  is the line bundle  $\Omega_{\mathbb{P}^n}^n = \mathcal{O}(-n-1)$ , part (1) of the above theorem shows that the canonical bundle of a Kupka component  $K$ , of a foliation of degree  $k$  is

$$\begin{aligned} \Omega_K^{n-2} &= \mathcal{O}(e_K)|_K \quad \text{and} \quad e_K = k - n - 1 \\ \wedge^2 \nu_K &= \mathcal{O}(k)|_K \end{aligned}$$

and it follows from Theorem 2.1 the existence of the rank-2 vector bundle  $E$  as claimed.  $\square$

*Examples.* — Let  $\mathcal{C}$  be some of the following smooth curves in  $\mathbb{P}^3$  : The twisted cubic, a quintic of genus 2, a septic of genus 5, or a septic of genus 6. We will show that  $\mathcal{C}$  cannot be the Kupka set of a codimension one holomorphic foliation in  $\mathbb{P}^3$ .

All those curves are projectively normal, and none of them is a complete intersection. If  $\mathcal{C} = K_\omega$  then its canonical bundle would be

$$\Omega_{\mathcal{C}}^1 = \mathcal{O}(k-4)|_{\mathcal{C}} \quad k = \deg(\omega).$$

From Theorem 2.2 we conclude that  $\mathcal{C}$  is a complete intersection, a contradiction.

*Remark.* — Corollary 3.5 may be generalized to codimension one foliations in projective manifolds (see Appendix).

**4. Baum–Bott formula for Kupka sets.**

In this section we will compute the second Chern class of the rank–2 vector bundle associated to a Kupka component.

Let  $M$  be a compact complex manifold of complex dimension  $n$ . Following [BB], a holomorphic foliation with singularities is an *integrable* subsheaf  $\Theta_{\mathcal{F}}$  of the tangent sheaf  $\Theta_M$ . namely :

- (1)  $\Theta_{\mathcal{F}}$  is *coherent*.
- (2)  $\Theta_{\mathcal{F}}$  is closed under the bracket operation of vector fields.

The *singular set* consists of those points where the sheaf  $Q = \Theta_M/\Theta_{\mathcal{F}}$  is not free. We have the following exact sequence :

$$0 \rightarrow \Theta_{\mathcal{F}} \rightarrow \Theta_M \rightarrow Q \rightarrow 0.$$

Let  $\Theta_{\mathcal{F}} \subset \Theta_M$  be a full integrable subsheaf of dimension  $n - k$  and let  $\varphi \in \mathbb{C}[x_1, \dots, x_n]$  be a symmetric and homogeneous polynomial of degree  $1 \leq l \leq n - k$ . For such a polynomial, there exists a unique polynomial  $\tilde{\varphi}$  such that

$$\varphi = \tilde{\varphi}(\sigma_1, \dots, \sigma_n)$$

where  $\sigma_i$  are the elementary symmetric functions. In this case,  $\varphi(Q) := \tilde{\varphi}(c_1(Q), \dots, c_n(Q))$  where  $c_i(Q)$  are the Chern classes of the sheaf  $Q$ .

Let  $Z$  be a compact, connected component of the singular set. Consider  $\mu_*$  is defined by  $\mu_* = \alpha \circ i_*$  where  $i_* : H_j(Z, \mathbb{C}) \rightarrow H_j(M, \mathbb{Z})$  is induced by the inclusion and  $\alpha : H_j(M, \mathbb{C}) \rightarrow H^{2n-j}(M, \mathbb{C})$  is the Poincaré isomorphism. The main result in [BB] is the following.

**4.1. THEOREM.** — *Let  $M$  be a compact complex manifold,  $\Theta_{\mathcal{F}}, Q, \varphi$  be as above. Then*

- (1) *there exists a homology class  $\text{Res}_{\varphi}(\Theta_{\mathcal{F}}, Z) \in H_{2n-2l}(Z, \mathbb{Z})$  such that*
  - (1.1)  *$\text{Res}_{\varphi}(\Theta_{\mathcal{F}}, Z)$  depends only on the polynomial  $\varphi$  and the local behavior of the leaves of the foliation  $\Theta_{\mathcal{F}}$  near  $Z$ .*
  - (1.2)  $\sum_Z \mu_* \text{Res}_{\varphi}(\Theta_{\mathcal{F}}, Z) = \varphi(Q)$ .

(2) Let  $Z$  be an irreducible component of the singular set with  $\dim(Z) = n - k - 1$ . If  $\Theta_{\mathcal{F}}$  is locally generated by

$$\left\{ X(x_1, \dots, x_k) = \sum_{i=1}^k X_i(x_1, \dots, x_k) \frac{\partial}{\partial x_i}, \frac{\partial}{\partial z_{k+1}}, \dots, \frac{\partial}{\partial z_n} \right\}$$

then

$$\text{Res}_\varphi(\Theta_{\mathcal{F}}, Z) = \left[ \begin{array}{c} \tilde{\varphi}(DX(0)) dx_1 \cdots dx_k \\ X_1(x) \cdots X_k(x) \end{array} \right] [Z].$$

If  $A$  is a matrix with eigenvalues  $(\lambda_1, \dots, \lambda_n)$  then  $\varphi(A) := \tilde{\varphi}(\lambda_1, \dots, \lambda_n)$ .

If  $\varphi$  has degree  $k$ , we set

$$\begin{aligned} \varphi(X, 0) &= \text{Res}_0 \left[ \begin{array}{c} \tilde{\varphi}(DX(0)) dx_1 \cdots dx_k \\ X_1(x) \cdots X_k(x) \end{array} \right] \\ &= \left( \frac{1}{2i\pi} \right)^k \int_{\|X_i\|=\epsilon} \frac{\tilde{\varphi}(DX(0)) dx_1 \wedge \dots \wedge dx_k}{X_1(x) \cdots X_k(x)}. \end{aligned}$$

In our case, the sheaf  $\Theta_{\mathcal{F}}$  consists of those germs of holomorphic vector fields  $X$  such that  $\omega(X) = 0$  and has dimension  $n - 1$ , the singular set is the zero set of  $\omega$ . Thus the Baum-Bott formula implies the following.

4.2. THEOREM. — Let  $\mathcal{F}$  be a codimension one holomorphic foliation of degree  $k$  in  $\mathbb{P}^n$  such that the codimension two component of the singular set consists of a single compact Kupka component  $K$  of transversal type  $\eta = pxdy - qydx$ , then

$$\text{deg}(K) = \frac{p \cdot k}{p + q} \cdot \frac{q \cdot k}{p + q}.$$

*Proof.* — Consider the polynomial  $\varphi(x_1, \dots, x_2) = (x_1 + x_2)^2$ . Then

$$\tilde{\varphi}(x_1, x_2, 0, \dots, 0) = \sigma_1^2(x_1, x_2, 0, \dots, 0)$$

and observe that  $Q$  is the normal sheaf in the regular values, and the tangent sheaf is precisely the annihilator of a local 1-form which defines the section  $\omega$ . This gives that for a local section  $\gamma$  of  $Q$ , we have

$$\omega(\gamma) \neq 0$$

thus the section  $\omega|_{(\mathbb{P}^n - S)}$  is a never vanishing section of the sheaf  $\mathcal{O}(k) \otimes Q^*|_{(\mathbb{P}^n - S)} \simeq \mathcal{O}$  and we get

$$Q \simeq \mathcal{O}(k).$$

The Baum-Bott formula implies that

$$\begin{aligned} \frac{(p+q)^2}{p \cdot q} \deg(K) &= \sigma_1^2(Q) \\ &= \sigma_1^2(\mathcal{O}(k)) = k^2. \end{aligned}$$

Hence the degree of the Kupka set is

$$\deg(K) = \frac{k \cdot p}{(p+q)} \cdot \frac{k \cdot q}{(p+q)}. \quad \square$$

4.3. COROLLARY. — *Let  $K$  be a Kupka component of a degree  $k$  foliation in  $\mathbb{P}^n$ ,  $n \geq 3$ .*

(1) *The rank-2 vector bundle associated to a Kupka component is not stable.*

(2)  *$K$  is contained in a hypersurface of degree  $\leq k/2$ .*

*Proof.* — (1) Let  $E$  be the vector bundle associated to the Kupka set and  $c_1, c_2$  its Chern classes :

$$\begin{aligned} \Delta_E &= c_1^2 - 4c_2 \\ &= k^2 - 4k^2 \cdot \frac{q \cdot p}{(p+q)^2} \\ &= \left( \frac{(p-q) \cdot k}{(p+q)} \right)^2 \geq 0. \end{aligned}$$

(2) Follows from part (1) and Theorem 2.3. □

Now we are in a position to prove the main theorem.

4.4. THEOREM. — *Let  $\mathcal{F}$  be a codimension one holomorphic foliation of degree  $k$  in  $\mathbb{P}^n$  with a Kupka component  $K$ . Then  $K$  is numerically a complete intersection.*

*Proof.* — Note that the vector bundle  $E$  has the Chern class of the bundle

$$\mathcal{O}(a) \oplus \mathcal{O}(b), \quad a = \frac{k \cdot p}{p+q}, \quad b = \frac{k \cdot q}{p+q}.$$

From the exact sequence

$$0 \rightarrow \tau_K \rightarrow \tau_{\mathbb{P}^n}|_K \rightarrow \nu_K \rightarrow 0$$

if  $i : K \rightarrow \mathbb{P}^n$  denotes the inclusion map we get

$$\begin{aligned} c(\tau_K) &= i^* c(\tau_{\mathbb{P}^n}) \cdot c(E)^{-1} \\ &= i^* c(\tau_{\mathbb{P}^n}) \cdot (1 + (a + b) \cdot \mathbf{h} + (ab) \cdot \mathbf{h}^2)^{-1}. \end{aligned} \quad \square$$

*Examples.*

(1) The above theorem shows that the complex torus  $X \subset \mathbb{P}^4$  cannot be the Kupka set of any foliation, since the Chern classes of the associated bundle are not compatible with the splitting.

(2) For a foliation in  $\mathbb{P}^3$  we can calculate the genus of the Kupka set in terms of the transversal type and the degree of the foliation.

Following [H1] let  $E$  be the rank-2 bundle associated to a curve  $C$ . The genus is given by

$$g = \frac{c_2(c_1 - 4) + 2}{2}$$

from this formula and Theorem 4.4 we have that the quartic of genus 1 and the non-hyperelliptic sextic of genus 3 cannot be the Kupka set of any foliation.

4.5. COROLLARY. — *Let  $\mathcal{F}$  be as above. If one of the conditions below is satisfied :*

- (1) *If  $k \leq 2(n + 1)$  and  $n \geq 6$ ,*
- (2)  *$k \leq 2(n - 1)$  and  $(n \geq 3)$ ,*
- (3)  $\min \left\{ \frac{p \cdot k}{p + q}, \frac{q \cdot k}{p + q} \right\} \leq n - 1,$

*then  $K$  is a complete intersection.*

*Proof.* — (1) We have that  $e_K = k - n - 1$  thus by part (a) of Theorem 2.4 we have

$$e_K \leq (n + 1) \quad \text{if and only if} \quad k \leq 2 \cdot (n + 1).$$

(2) If  $k \leq 2(n - 1)$  then the second condition of Theorem 2.4 is satisfied.

(3) It is easy to see that the second condition of Theorem 2.4 is satisfied. □

*Remark.* — In [GS] it is shown that the unstability condition is sufficient for the splitting of the bundle  $E$ . If  $n \geq 4$ , unfortunately their proof seems to be incomplete.

### Appendix.

Let  $M$  be a projective manifold and let  $L$  be a holomorphic line bundle such that

$$H^1(M, \mathcal{O}(-L)) \simeq H^2(M, \mathcal{O}(-L)) \simeq 0.$$

This condition is satisfied if for example,  $M$  has complex dimension at least 3, and  $L$  is a positive line bundle.

**THEOREM.** — *Let  $V \subset M$  be a smooth, codimension two submanifold with sheaf of ideals  $\mathcal{J}_V \subset \mathcal{O}_M$ . If the determinant of the normal bundle is extendable to a line bundle  $L$  over  $M$  such that  $H^1(M, \mathcal{O}(-L)) \simeq H^2(M, \mathcal{O}(-L)) \simeq 0$ , then there exists a holomorphic two bundle  $E \rightarrow M$  with a section  $\sigma \in H^0(M, \mathcal{O}(E))$  such that  $(\sigma = 0) = (V, \mathcal{O}_V)$  and it induces the exact sequence*

$$0 \rightarrow \mathcal{O}_M \xrightarrow{\sigma} E \rightarrow \mathcal{J}_V \otimes L \rightarrow 0.$$

Moreover,  $c_1(E) = c_1(L)$  and  $c_2(E) = [V]$  the fundamental class of  $V$ .

*Proof.* — Following [OSS] or [H] p. 1029, if there exists  $E$  as claimed, then  $\wedge^2 \nu_V^* = L^*|_V$ , and  $E^*$  is an extension of the sequence

$$0 \rightarrow \mathcal{O}_M(-L) \rightarrow E^* \rightarrow \mathcal{J}_V \rightarrow 0.$$

The global Ext-group

$$\text{Ext}^1(\mathcal{J}_V, \mathcal{O}(-L))$$

classifies such extensions [GH] p. 725. We will use the lower terms of the spectral sequence relating the global with the local Ext's :

$$E_2^{pq} = H^p\left(M, \underline{\text{Ext}}^q(\mathcal{J}_V, \mathcal{O}(-L))\right)$$

$$E_\infty^{p+q} \Rightarrow \text{Ext}_M^{p+q}(\mathcal{J}_V, \mathcal{O}(-L)).$$

From this spectral sequence, we get the following exact sequence [G] p. 265 :

$$\begin{aligned} 0 &\rightarrow H^1\left(M, \underline{\text{Hom}}(\mathcal{J}_V, \mathcal{O}(-L))\right) \rightarrow \text{Ext}^1(\mathcal{J}_V, \mathcal{O}(-L)) \\ &\rightarrow H^0\left(M, \underline{\text{Ext}}^1(\mathcal{J}_V, \mathcal{O}(-L))\right) \rightarrow H^2\left(M, \underline{\text{Hom}}(\mathcal{J}_V, \mathcal{O}(-L))\right) \\ &\rightarrow \text{Ext}^2(\mathcal{J}_V, \mathcal{O}(-L)) \rightarrow \dots \end{aligned}$$

The exact sequence  $0 \rightarrow \mathcal{J}_V \rightarrow \mathcal{O}_M \rightarrow \mathcal{O}_M/\mathcal{J}_V = \mathcal{O}_V \rightarrow 0$  induces the long exact sequence :

$$\begin{aligned} 0 &\rightarrow \underline{\text{Hom}}(\mathcal{O}_V, \mathcal{O}(-L)) \rightarrow \underline{\text{Hom}}(\mathcal{O}_M, \mathcal{O}(-L)) \\ &\rightarrow \underline{\text{Hom}}(\mathcal{J}_V, \mathcal{O}(-L)) \rightarrow \underline{\text{Ext}}^1(\mathcal{O}_V, \mathcal{O}(-L)) \rightarrow \dots \end{aligned}$$

The local Ext-group  $\underline{\text{Ext}}^i(\mathcal{O}_V, \mathcal{O}(-L)) = 0$  for  $i = 0, 1$  because  $V$  is smooth, and has codimension two, so it is a local complete intersection. This gives the isomorphisms :

$$\mathcal{O}(-L) \simeq \text{Hom}(\mathcal{O}_M, \mathcal{O}(-L)) \simeq \text{Hom}(\mathcal{J}_V, \mathcal{O}(-L)).$$

If we put this sequence on the first exact sequence, we obtain :

$$\begin{aligned} 0 &\rightarrow H^1(M, \mathcal{O}(-L)) \rightarrow \text{Ext}^1(\mathcal{J}_V, \mathcal{O}(-L)) \\ &\rightarrow H^0\left(M, \underline{\text{Ext}}^1(\mathcal{J}_V, \mathcal{O}(-L))\right) \rightarrow H^2(M, \mathcal{O}(-L)). \end{aligned}$$

Now, by hypothesis,  $0 = H^i(M, \mathcal{O}(-L))$  for  $i = 1, 2$ , so we get the isomorphism

$$\text{Ext}^1(\mathcal{J}_V, \mathcal{O}(-L)) \cong H^0\left(M, \underline{\text{Ext}}^1(\mathcal{J}_V, \mathcal{O}(-L))\right).$$

Consider the exact sequence  $0 \rightarrow \mathcal{J}_V \rightarrow \mathcal{O}_M \rightarrow \mathcal{O}_V \rightarrow 0$ . The Ext-sequence gives the isomorphism

$$\text{Ext}^1(\mathcal{J}_V, \mathcal{O}(-L)) \simeq \text{Ext}^2(\mathcal{O}_V, \mathcal{O}(-L)).$$

Since  $V$  is smooth, it is a local complete intersection, thus we have the local fundamental isomorphism [GH] p. 690-692

$$\text{Ext}^2(\mathcal{O}_V, \mathcal{O}(-L)) \simeq \text{Hom}_{\mathcal{O}_V}(\det \mathcal{J}_V / \mathcal{J}_V^2, \mathcal{O}_V(-L))$$

where  $\mathcal{O}_V(-L) = \mathcal{O}(-L) \otimes \mathcal{O}_V$  and by assumption,  $\det \mathcal{J}_V / \mathcal{J}_V^2 \simeq \mathcal{O}_V(-L)$  so

$$\text{Hom}_{\mathcal{O}_V}(\det \mathcal{J}_V / \mathcal{J}_V^2, \mathcal{O}_V(-L)) \simeq \mathcal{O}_V,$$

and thus

$$\text{Ext}^1(\mathcal{J}_V, \mathcal{O}(-L)) \simeq \text{Ext}^2(\mathcal{O}_V, \mathcal{O}(-L)) \simeq H^0(V, \mathcal{O}_V).$$

We will consider the extension defined by  $1 \in H^0(V, \mathcal{O}_V)$

$$0 \rightarrow \mathcal{O}(-L) \rightarrow F \rightarrow \mathcal{J}_V \rightarrow 0.$$

Then  $F$  is locally free, and the dual  $E = F^*$  is the desired vector bundle. This sequence is the Koszul complex of a section  $\sigma \in H^0(M, \mathcal{O}(E))$ .  $\square$

**COROLLARY.** — *Let  $\mathcal{F} \in \text{Fol}(M, L)$  be a codimension one holomorphic foliation with a compact Kupka component  $K$ . If  $L$  is a positive line bundle then :*

(1) *there exists a rank-2 bundle  $E$  over  $M$  with a section  $\sigma$  which induces the exact sequence*

$$0 \rightarrow \mathcal{O}_M \xrightarrow{\sigma} E \rightarrow \mathcal{J}_K \otimes L \rightarrow 0.$$

(2) *The Chern class of  $E$  is  $c(E) = 1 + c_1(L) + [K]$  where  $[K]$  is the fundamental class of  $K$ .*

(3) *If the transversal type is  $\eta = pxdy - qydx$  then*

$$[K] = \frac{p}{p+q} \cdot c_1(L) \wedge \frac{q}{p+q} \cdot c_1(L).$$

*Proof.* — (1) and (2) follow from the above theorem.

(3) Follows from the Baum–Bott residue formula.  $\square$

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