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# ON THE GALOIS MODULE STRUCTURE OF EXTENSIONS OF LOCAL FIELDS

by

Lara Thomas

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**Abstract.** — We present a survey of the theory of Galois module structure for extensions of local fields. Let  $L/K$  be a finite Galois extension of local fields, with Galois group  $G$ . We denote by  $O_K \subset O_L$  the corresponding extension of valuation rings. The associated order of  $O_L$  is the full set,  $\mathfrak{A}_{L/K}$ , of all elements of  $K[G]$  that induce endomorphisms on  $O_L$ . It is an  $O_K$ -order of  $K[G]$  and the unique one over which  $O_L$  might be free as a module. When the extension is at most tamely ramified, the equality  $\mathfrak{A}_{L/K} = O_K[G]$  holds, and  $O_L$  is  $\mathfrak{A}_{L/K}$ -free. But when ramification is permitted, the structure of  $O_L$  as an  $\mathfrak{A}_{L/K}$ -module is much more difficult to determine. Recent progress has been made on this subject and motivates an exposition of this theory.

**Résumé.** — Le sujet de cet article est la théorie des modules galoisiens pour les extensions de corps locaux. Précisément, soit  $L/K$  une extension galoisienne finie de corps locaux, de groupe  $G$ . Notons  $O_K \subset O_L$  les anneaux de valuation correspondants. L'ordre associé à l'anneau  $O_L$  dans l'algèbre de groupe  $K[G]$  est l'ensemble, noté  $\mathfrak{A}_{L/K}$ , des éléments  $\lambda \in K[G]$  tels que  $\lambda O_L$  est contenu dans  $O_L$ . Cet ensemble est le seul  $O_K$ -ordre de  $K[G]$  sur lequel  $O_L$  puisse être libre comme module. Lorsque l'extension est modérément ramifiée, on a l'égalité  $\mathfrak{A}_{L/K} = O_K[G]$  et  $O_L$  est libre sur  $\mathfrak{A}_{L/K}$ . Dans le cas contraire, la structure de  $O_L$  comme  $\mathfrak{A}_{L/K}$ -module est connue uniquement pour des extensions particulières et son étude donne lieu à de nombreuses questions ouvertes. Des progrès récents viennent d'être réalisés et sont exposés dans cet article.

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## Introduction

In its origin, the theory of Galois modules covered classical questions of algebraic number theory. For example, let  $L/K$  be a finite Galois extension of number fields, with Galois group  $G$ , and let  $O_K$  and  $O_L$  denote the integer rings of  $K$  and  $L$  respectively. The ring  $O_L$  is naturally endowed with the structure of an  $O_K[G]$ -module, and a deep question is concerned with the freeness of this module. It is well known that a necessary condition for  $O_L$  to be free over  $O_K[G]$  is for the extension to be at most tamely ramified, however this is not sufficient. In 1981, Taylor proved the conjecture of Fröhlich: when the extension  $L/K$  is tame, he established an explicit connection between the algebraic structure of  $O_L$  as a  $\mathbb{Z}[G]$ -module with some analytic invariants attached to certain characters of  $G$  [151].

Since this discovery, the subject has developed considerably into several directions, including the study of Galois modules over their associated order when ramification is permitted. Precisely, when the extension  $L/K$  is wildly ramified, one natural question is to determine the structure of the valuation ring  $O_L$  as a module over its associated order in  $K[G]$ , i.e., over the full set  $\mathfrak{A}_{L/K}$  of elements of  $K[G]$  that induce endomorphisms on  $O_L$ :

$$\mathfrak{A}_{L/K} = \{\lambda \in K[G] : \lambda O_L \subset O_L\}.$$

This is a subring of  $K[G]$  which contains  $O_K[G]$ , with equality if and only if the extension is at most tamely ramified.

The most canonical way to attack the problem is via localization, i.e., by transition to local completions. Thus, we now suppose that  $L/K$  is a finite Galois extension of local fields, with Galois group  $G$ , and we denote by  $O_K$  and  $O_L$  the valuation rings of  $K$  and  $L$ . The previous considerations apply to this context as well. In this paper, we shall investigate the following three problems through the survey of previous articles, and outline the main contributions to them since the works of Leopoldt and Fröhlich:

1. to give an explicit description of the associated order  $\mathfrak{A}_{L/K}$  of  $O_L$  in  $K[G]$ ;
2. to describe the structure of  $O_L$  as an  $\mathfrak{A}_{L/K}$ -module, and in particular to determine whether  $O_L$  is free over, i.e., is isomorphic to,  $\mathfrak{A}_{K[G]}$ ;
3. if  $O_L$  is  $\mathfrak{A}_{K[G]}$ -free, to give an explicit generator of  $O_L$  over its associated order.

It should be stressed that at present there is still no complete theory for associated orders, their structure being essentially known for prescribed extensions only. Also, there are still partial general criteria for determining whether a valuation ring is free over its associated order in some extension of local fields. However, several advances have recently been made, especially in positive characteristic, and it is our main goal to expose most of these results.

The paper is organised as follows. In Section 1, we begin with a brief survey of the theory of Galois modules for number fields, including associated orders of integer rings. This will give motivation for the rest of the paper. We then restrict to the algebraic structure of valuation rings as modules over their associated order in extensions of local fields. Since this study depends on the ramification of the extension, Section 2 comprises a short preliminary chapter of definitions and properties on the ramification theory for local fields. Sections 3 and 4 are

then concerned with the questions of describing the associated order of the top valuation ring in certain extensions of local fields and determining whether the valuation ring is a free module over it, in both mixed (Section 3) and equal (Section 4) characteristic cases. Lastly, Section 5 exposes further comments towards these investigations.

## 1. Classical Galois module theory for number fields

To give context for what follows, we first recall the classical theory of Galois modules, i.e., for extensions of number fields. For more details about this section, we refer the reader, e.g., to Chapter 1 of [92], as well as to the articles [34], [70], [122] and [126].

**1.1. Normal integral bases in tame extensions.** — Let  $L/K$  be a finite Galois extension of number fields, with Galois group  $G$ . We denote by  $O_K$  (resp.  $O_L$ ) the ring of integers of  $K$  (resp.  $L$ ).

The normal basis theorem asserts that the field  $L$  is free of rank 1 as a left module over the group ring  $K[G]$  (see [18] for two recent short proofs). A more delicate problem is the analogue question for the study of the Galois module structure of the ring  $O_L$ . Precisely, the natural action of  $G$  on  $L$  induces on  $O_L$  an  $O_K[G]$ -module structure: understanding this structure and determining whether  $O_L$  is a free module are deeper questions. Note that if  $O_L$  is free over  $O_K[G]$ , it is of rank one and the Galois conjugates of any generator form a  $K$ -basis of  $L$  that is called a normal integral basis. The existence of normal integral bases for the extension  $L/K$  is thus equivalent to the freeness of  $O_L$  over  $O_K[G]$ .

There are many obstructions to studying the  $O_K[G]$ -module structure of  $O_L$ . In particular, when  $K \neq \mathbb{Q}$ , the ring  $O_K$  might not be principal. Moreover,  $O_L$  might not even be free over  $O_K$ , and, even if it is free,  $O_L$  might not be  $O_K[G]$ -free. Some examples will be given below. In fact, the freeness of  $O_L$  over  $O_K[G]$  is closely related to the ramification of the extension.

A necessary condition for  $O_L$  to be free over  $O_K[G]$  is for it to be  $O_K[G]$ -projective, i.e., to be a direct summand of a free  $O_K[G]$ -module. The following theorem characterizes  $O_K[G]$ -projective modules in a more general context (see e.g. Theorem II.I of [126]). Recall that the extension  $L/K$  is said to be at most tamely ramified ("tame") if every prime ideal that ramifies has a ramification index prime to the characteristic of its residue field.

**Theorem 1.1.** — *Let  $A$  be a Dedekind domain, with field of fractions  $K$ . Let  $L/K$  be a finite Galois extension with Galois group  $G$ . We denote by  $B$  the integral closure of  $A$  in  $L$ , and by  $\text{Tr}_{L/K} = \sum_{\sigma \in G} \sigma$  the trace map of  $L/K$ . The following conditions are equivalent.*

1.  $B$  is a projective  $A[G]$ -module ;
2.  $\text{Tr}_{L/K}(B) = A$  ;
3.  $L/K$  is at most tamely ramified.

Note that the equivalence  $2 \Leftrightarrow 3$  is a consequence of the characterisation of the different  $\mathcal{D}_{L/K}$  of the extension  $L/K$ . Indeed,  $\text{Tr}_{L/K}(B) \neq A$  if and only if  $\text{Tr}_{L/K}(B)$  is contained in a prime ideal  $\mathfrak{p}$  of  $A$ , i.e., if and only if  $\mathcal{D}_{L/K} \subset \mathfrak{p}B$ . Thus, according to ([143], Chap. III, Prop. 13), this is equivalent to the existence of prime ideals of  $O_L$  above  $\mathfrak{p}$  that are not

tamely ramified. As for  $1 \Rightarrow 2$ , it is essentially the statement that, since  $B$  is an  $A$ -module of finite type which is torsion-free, if  $B$  is projective then it is cohomologically trivial, i.e.,  $\hat{H}^0(G, B) = A/\text{Tr}_{L/K}B = 0$ .

When applied to extensions of number fields, Theorem 1.1 provides necessary conditions for  $O_L$  to be free as an  $O_K[G]$ -module, but they are not sufficient. On the other hand, a theorem of Swan [147] asserts that every projective  $O_K[G]$ -module  $M$  of finite type is locally free: for each prime ideal  $\mathfrak{p}$  of  $O_K$ , the localization of  $M$  at  $\mathfrak{p}$ , that is  $M_{\mathfrak{p}} = M \otimes_{O_K} O_{K_{\mathfrak{p}}}$ , is free over  $O_{K_{\mathfrak{p}}}[G]$ , where  $K_{\mathfrak{p}}$  is the  $\mathfrak{p}$ -adic completion of  $K$  (for a complete proof, see also Theorem 32.11 of [74]). In particular, if  $M$  is such a module, its rank is well defined: it is given by the rank of the free  $K[G]$ -module  $M \otimes_{O_K} K$ . This rank is finite and also equals the rank of  $M_{\mathfrak{p}}$  as an  $O_{K_{\mathfrak{p}}}[G]$ -module, for every  $\mathfrak{p}$ .

Therefore, Theorem 1.1 implies the following criterion which is usually known as Noether's criterion, part of which goes back to Speiser [146] (he proved the necessary condition), and which is presented as the basic starting point of Galois module structure theory:

**Theorem 1.2 (Noether's criterion).** — *Let  $L/K$  be a finite Galois extension of number fields, with Galois group  $G$ . Let  $O_K \subset O_L$  be the corresponding integer rings. Then  $O_L$  is locally free over  $O_K[G]$  if and only if the extension is tamely ramified.*

In particular, when the extension  $L/K$  is tame, the ring  $O_L$  determines an element in the class group  $\text{Cl}(O_K[G])$  of locally free  $O_K[G]$ -modules, and one is interested in understanding this class in terms of the arithmetic of the extension  $L/K$ . Recall that this group is defined as the kernel of the rank map from  $\mathcal{K}_0(O_K[G])$  to  $\mathbb{Z}$ , where  $\mathcal{K}_0(O_K[G])$  is the Grothendieck group of the category of locally free  $O_K[G]$ -modules with addition given by direct sums. If  $M$  is a locally free  $O_K[G]$ -module, we denote by  $\{M\}$  its class in  $\mathcal{K}_0(O_K[G])$  and by  $[M]$  the element  $\{M\} - m\{O_K[G]\}$  of  $\text{Cl}(O_K[G])$ , where  $m$  is the rank of  $M$ . Since every locally free  $O_K[G]$ -module of rank  $\geq 2$  has the cancellation property ([96], result IV), we have  $\{M\} = \{N\}$  in  $\mathcal{K}_0(O_K[G])$  if and only if  $M \oplus O_K[G] \simeq N \oplus O_K[G]$ , which implies  $M \simeq N$  whenever the ranks are strictly greater than 1. Finally,  $\text{Cl}(O_K[G])$  is a finite abelian group whose neutral element is formed by the classes of all stably free  $O_K[G]$ -modules, and in fact by the classes of all locally free  $O_K[G]$ -modules  $M$  of rank 1 such that  $M \oplus O_K[G] \simeq O_K[G] \oplus O_K[G]$ , as a consequence of ([96], results I and IV). Algorithms for explicit computations of the locally free class group  $\text{Cl}(O_K[G])$  were recently worked out in [20, 22].

*1.1.1. Extensions over  $\mathbb{Q}$ .*— We first suppose  $K = \mathbb{Q}$ , consider a tame extension  $L/\mathbb{Q}$  with Galois group  $G$ , and address the question of determining whether  $O_L$  is free over  $\mathbb{Z}[G]$ . When the extension  $L/\mathbb{Q}$  is abelian, a result of Hilbert, as part of the well-known Hilbert-Speiser theorem, implies that  $O_L$  is  $\mathbb{Z}[G]$ -free (originally, this result was restricted to abelian extensions  $L/\mathbb{Q}$  whose degree is relatively prime to the discriminant of  $L$ , and Leopoldt extended it to abelian tame extensions [117]). The proof is based on the Kronecker-Weber theorem:  $L$  is a subfield of a cyclotomic field  $\mathbb{Q}(e^{\frac{2i\pi}{n}})$  with  $n$  squarefree, and the trace of  $e^{\frac{2i\pi}{n}}$  in  $L$  generates a normal integral basis for  $L/\mathbb{Q}$ . Now, this argument does not apply when  $G$  is not abelian or when  $K \neq \mathbb{Q}$ .

The existence of normal integral bases in tame non-abelian extensions over  $\mathbb{Q}$  was widely investigated during the 1970's by several authors, including Armitage, Cassou-Noguès, Cougnard, Fröhlich, Martinet, Queyrut and Taylor. In particular, Martinet first proved that the ring  $O_L$  is free over  $\mathbb{Z}[G]$  when  $G$  is a dihedral group of order  $2p$ , for some odd prime number  $p$  [126]. But then, in 1971, he constructed tamely ramified extensions  $L/\mathbb{Q}$  whose Galois group  $G$  is a quaternion group of order 8 and such that  $O_L$  is not free over  $\mathbb{Z}[G]$  [124]. This provided the first known counter-example for the existence of normal integral bases, and motivated to a very large extent the conjecture of Fröhlich. Several contributions and computations led to the proof, by Taylor, of this conjecture, in 1981 [151]. A precise account of them is given in Chapter 1 of [92].

The conjecture of Fröhlich determines the class of  $O_L$  in the locally free class group  $\text{Cl}(\mathbb{Z}[G])$  in terms of some analytic invariant. Taylor's proof is based on the combination of several ingredients: a generalization to non-abelian characters of the classical Lagrange resolvent and Galois Gauss sums, the logarithm for local group rings which was first introduced by Taylor himself, as well as the famous Fröhlich's Hom-description of the class group  $\text{Cl}(\mathbb{Z}[G])$  allowing much of the work to be conducted at a local level (see e.g. Chapter II of [92], or [34]).

Precisely, for any character  $\chi$  of the Galois group  $G$ , there is an extended Artin  $L$ -function  $\Lambda(s, \chi)$  attached to  $L/\mathbb{Q}$  and which satisfies a functional equation  $\Lambda(1-s, \chi) = W(\chi)\Lambda(s, \bar{\chi})$ , where  $\bar{\chi}$  is the complex conjugate character and  $W(\chi)$  is a constant called the Artin root number attached to  $\chi$ . Fröhlich's conjecture is related to the equality  $[O_L] = t(W_{L/\mathbb{Q}})$  in  $\text{Cl}(\mathbb{Z}[G])$ , where  $t(W_{L/\mathbb{Q}})$  is the so-called analytic root number class. This invariant was first defined by Cassou-Noguès [50] solely in terms of the values of Artin root numbers of symplectic characters of  $G$ . As these values are  $\pm 1$ ,  $t(W_{L/\mathbb{Q}})$  is an element of order 1 or 2. The theorem of Taylor can thus be stated as follows.

**Theorem 1.3 (Taylor, 1981).** — *Let  $L/\mathbb{Q}$  be a finite Galois extension of number fields, with Galois group  $G$ . Denote by  $O_K \subset O_L$  the corresponding integer rings. If the extension is at most tamely ramified, then:*

1.  $[O_L \oplus O_L] = 1$  in  $\text{Cl}(\mathbb{Z}[G])$ ;
2. *the only obstructions to the vanishing of the class of  $O_L$  are the signs of the Artin root numbers of symplectic characters. In particular, if  $G$  has no irreducible symplectic character, then  $[O_L] = 1$  in  $\text{Cl}(\mathbb{Z}[G])$ .*

Equivalently, assertion 1 states that the module  $O_L \oplus O_L$  is stably free over  $\mathbb{Z}[G]$ , and thus free since it is of rank 2. This means that we always have  $O_L \oplus O_L \simeq \mathbb{Z}[G] \oplus \mathbb{Z}[G]$ . If, moreover,  $t(W_{L/\mathbb{Q}}) = 1$ , then  $O_L \oplus \mathbb{Z}[G] \simeq \mathbb{Z}[G] \oplus \mathbb{Z}[G]$  and  $O_L$  is stably free. This happens in particular when  $G$  has no irreducible symplectic characters (assertion 2): in this case,  $O_L$  is in fact free because  $\mathbb{Z}[G]$  satisfies Jacobinski's cancellation theorem since no simple component of  $\mathbb{Q}[G]$  is a totally definite quaternion algebra (see e.g. [96], Par. 3).

More generally, Theorem 1.3 can be applied to determine the  $\mathbb{Z}[G]$ -structure of  $O_L$  in some relative tame extension  $L/K$  with Galois group  $G$ : in this case, the module  $O_L \oplus O_L$  is isomorphic to  $\mathbb{Z}[G]^{2[K:\mathbb{Q}]}$ . In particular,  $O_L$  is  $\mathbb{Z}[G]$ -free whenever  $[O_L] = 1$  in  $\text{Cl}(\mathbb{Z}[G])$  and  $\mathbb{Z}[G]$  has the cancellation property. Specifically,  $O_L$  is  $\mathbb{Z}[G]$ -free in the following supplementary

cases: when the order of  $G$  is odd [151] or not divisible by 4 [51, 70], when  $G$  is symmetric, or when  $K$  contains the  $m$ -th roots of unity if  $m$  is the exponent of  $G$  [93]. In particular, for  $K = \mathbb{Q}$ , this provides new examples of tame extensions of  $\mathbb{Q}$  with integral normal bases. Note also that, in 1978, Taylor already proved the analogue of the Hilbert-Speiser theorem in this context: if  $L/K$  is tame, then  $O_L$  is  $\mathbb{Z}[G]$ -free. Now, Theorem 1.3 cannot be extended to determine the relative Galois structure of  $O_L$  in general, i.e., as an  $O_K[G]$ -module when  $K \neq \mathbb{Q}$  (see next paragraph).

On the opposite side, the conjecture of Fröhlich has given rise to the construction of new tame extensions  $L/\mathbb{Q}$  without integral normal basis, among them certain quaternion extensions. For instance, if  $L/\mathbb{Q}$  has Galois group  $G = H_{32}$ , Fröhlich had proved that  $O_L$  is stably free over  $\mathbb{Z}[G]$ . However, this doesn't necessarily imply that  $O_L$  is free. Indeed, Cougnard constructed such an extension without integral normal basis [69]. Note that on  $H_8$  and  $H_{16}$ , every stably free module is free. In particular, in [124], the quaternion extensions  $L/\mathbb{Q}$  with Galois group  $H_8$  and without integral normal basis are such that  $O_L$  is not stably free over  $\mathbb{Z}[G]$ .

To conclude this section, we should stress the fact that Theorem 1.3 does not lead to any description of generators when  $O_L$  is free over  $O_K[G]$ . However, explicit generators or algorithms to find them when  $K = \mathbb{Q}$  and  $G = A_4, D_{2p}$  (with  $p$  odd prime),  $H_8, H_{12}, H_{32}$  or  $H_8 \times C_2$  are given in [65, 67, 73, 68, 126]. More recently, in 2008, Bley and Johnston implemented an algorithm which, amongst other things, determines such generators for other groups  $G$ ; in particular, abelian or dihedral  $D_{2n}$  with "small" orders [21].

*1.1.2. Relative extensions of number fields.*— The case of relative extensions is much more difficult, even for abelian extensions. In 1999, Greither et al. proved that the field  $\mathbb{Q}$  is the only base field over which all tame abelian extensions have a normal integral basis [104].

In fact, the question of the existence of normal integral bases for tame relative extensions is solved for prescribed extensions only, including e.g. certain cyclic extensions. For example, one key argument in [104] is that for any number field  $K \neq \mathbb{Q}$ , there exists a prime number  $p$  and a tame cyclic extension  $L/K$  of degree  $p$  without normal integral basis. In 2001, Cougnard gave other examples of relative cyclic extensions without normal integral basis, generalizing results of Brinkhuis [29, 30]. Furthermore, in 2009, Ichimura proved that when  $K/\mathbb{Q}$  is unramified at some odd prime number  $p$ , any tame cyclic extension  $L/K$  of degree  $p$  has a normal integral basis if the extension  $L(\zeta_p)/K(\zeta_p)$  has a normal integral basis, where  $\zeta_p$  is a  $p$ -th root of unity [108].

Kummer extensions of number fields have been investigated by several authors, such as Fröhlich [99], Kawamoto [113, 114, 115], Okutsu, Gomez-Ayala [101], Ichimura [109], and very recently Corso and Rossi [75]. Gomez-Ayala gave an explicit criterion for the existence of normal integral bases in tame Kummer extensions of prime degree, along with explicit generators. Del Corso and Rossi (2010) have just generalized this result to cyclic Kummer extensions of arbitrary degree, precisising [109]. Their result is based on an explicit formula for the ramification index of prime ideals in such extensions. As an application, Ichimura proved that, given an integer  $m \geq 2$  and a number field  $K$ , there exists a finite extension  $L/K$  depending



on  $m$  and  $K$  such that for any abelian extension  $M/K$  of exponent dividing  $m$ , the extension  $LM/L$  has a normal integral basis.

Other relative extensions without normal integral basis have been investigated (see e.g. [115]). Among several contributions, one could cite Brinkhuis' result for CM fields [29]: if  $L/K$  is an unramified abelian extension of number fields, each of which is either CM or totally real, and if the Galois group of  $L/K$  is not 2-elementary, then  $L/K$  has no normal integral basis.

We conclude this section with the notion of weak normal integral bases whose non existence is a further obstruction to the existence of normal integral bases [30]: if  $L/K$  is a tame finite abelian extension of number fields with Galois group  $G$ , we say that  $L/K$  has a weak normal integral basis if the projective  $\mathfrak{M}$ -module  $\mathfrak{M} \otimes_{O_K[G]} O_L$  is in fact free, where  $\mathfrak{M}$  is the unique maximal  $O_K$ -order of  $K[G]$ . The investigation of this notion has just led Greither and Johnston to establish a necessary and sufficient condition for the existence of normal integral bases ([102], Theorem 5.5):

**Proposition 1.4 (Greither & Johnston, 2009).** — *Let  $L/K$  be a tame finite extension of number fields such that  $L/\mathbb{Q}$  is abelian of odd degree. Suppose that either  $[L : K]$  is not divisible by 3 or that for all primes  $q$  dividing  $[K : \mathbb{Q}]$  the field  $L(\zeta_{3^\infty})$  contains no  $q$ -th root of unity. Then  $L/K$  has a normal integral basis if and only if the tower  $L/K/\mathbb{Q}$  is arithmetically split.*

Here, a tower of number fields  $K \subset M \subset L$  is said to be arithmetically split if there exists an extension  $L'/K$  such that  $L = L'M$  and the extensions  $L'/K$  and  $M/K$  are arithmetically disjoint, i.e., they are linearly independent and no finite prime  $\mathfrak{p}$  ramifies both in  $L'/K$  and  $M/K$ .

**1.2. Wildly ramified extensions.** — When the extension  $L/K$  is wildly ramified, i.e., not tamely ramified, we are faced with a very different situation since the integer ring  $O_L$  is not locally free over  $O_K[G]$ . We also note another failure concerned with the following result due to Fröhlich (which was a key argument in Theorem 1.3): suppose  $L/\mathbb{Q}$  is a tame extension with Galois group  $G$  and let  $\mathfrak{M}$  be a maximal order in  $\mathbb{Q}[G]$  containing  $\mathbb{Z}[G]$ , then the locally free  $\mathfrak{M}$ -module  $O_L\mathfrak{M}$  is stably free over  $\mathfrak{M}$ . This result was first conjectured by Martinet, and Cougnard proved that it does not hold in general for wild extensions [71]. There are a number of approaches to circumventing these difficulties, and we present some of them here (see also Appendix C of [92]).

We can first investigate which results from the classical tame theory can be generalized to the wild case, by adapting the framework. For example, Queyrut developed a  $K$ -theoretic approach, replacing the locally free class group  $\text{Cl}(\mathbb{Z}[G])$  with the class group of another category of  $\mathbb{Z}[G]$ -modules (see e.g. [52], [139]). One should cite [53] as well, where the class of  $O_L$  in  $\text{Cl}(\mathbb{Z}[G])$  is replaced with the class of a certain submodule of  $O_L$ .

We can also consider the  $\Omega$ -conjectures of Chinburg which extend and generalize Fröhlich's conjecture [63, 145], and their relations with Equivariant Tamagawa Number conjectures in special cases (see e.g. [31]). Chinburg's conjectures give equalities between new invariants in the class group  $\text{Cl}(\mathbb{Z}[G])$  that involve the Galois structure of  $O_L$ .

Another approach is concerned with the indecomposable  $\mathbb{Z}[G]$ -modules or  $O_K[G]$ -modules that can occur in  $O_L$  as well as the question of the decomposability of  $O_L$  as an  $O_K[G]$ -module. In this direction, one should cite the contributions for certain  $p$ -extensions of Yokoi, Miyata (e.g. [132]), Bertrandias [14], Bondarko & Vostokov (e.g. [27]), Rzedowski Calderon, Villa Salvador & Madan [141], as well as Elder & Madan (e.g. [78, 79, 80, 81]). Note that the question depends very much on ramification invariants of the extension, and most of the results are stated under some technical restrictions on ramification numbers.

In this paper, the approach we consider is due to Leopoldt [117]; it was initiated by Leopoldt, Fröhlich [99] and Jacobinski [111]. The idea is to replace the group ring  $O_K[G]$  by a larger subring of  $K[G]$ , namely the associated order of  $O_K$  in  $K[G]$ :

$$\mathfrak{A}_{L/K} = \mathfrak{A}_{L/K}(O_L) = \{\lambda \in K[G] : \lambda O_L \subset O_L\},$$

with the idea that  $O_L$  may have better properties as a module over  $\mathfrak{A}_{L/K}$  than over  $O_K[G]$ . The Galois module structure of  $O_L$  over its associated order, for extensions of global or local fields, is our main topic of interest in the rest of the paper.

### 1.3. The associated order of integer rings in extensions of number fields. —

*1.3.1. General properties.*— Let  $L/K$  be a finite Galois extension of number fields, with Galois group  $G$ . In this section, we give an account of the general properties of the associated order of  $O_L$  in  $K[G]$ . They all hold in more general Galois extensions  $L/K$ , e.g. when  $K$  is the field of fractions of some Dedekind domain  $O_K$  and  $O_L$  is the integral closure of  $O_K$  in  $L$ . However, to simplify the exposition of the paper, we describe them in the number field case. For further details, we refer to [7], [8], [11] and [125].

The associated order  $\mathfrak{A}_{L/K}$  of  $O_L$  in  $L/K$  is an  $O_K$ -order in  $K[G]$ , i.e., it is a subring of  $K[G]$  and a finitely generated module over  $O_K$  which contains a  $K$ -basis of  $K[G]$ . It is also a free  $O_K$ -module of rank  $[L : K]$  over  $O_K$ , since it is a subring of the endomorphism ring  $\text{End}_{O_K}(O_L)$  with  $O_K$  a principal ideal domain.

The ring  $O_L$  is a module over its associated order which is torsion free and finitely generated. One can thus define its rank as the dimension over  $K$  of  $O_L \otimes_{\mathfrak{A}_{L/K}} L$ , and see that it is equal to 1, by the normal basis theorem. Moreover, the associated order  $\mathfrak{A}_{L/K}$  is the only  $O_K$ -order of  $K[G]$  over which  $O_L$  can be free as a module (Par. 4 of [125], Prop. 12.5 of [60] or Par. 5.8 of [154]).

In [11], Bergé described some further general results about  $\mathfrak{A}_{L/K}$  obtained by Jacobinski, in particular when viewed as a subring of the ring  $\text{End}_{O_K}(O_L)$  of  $O_K$ -endomorphisms of  $O_L$ . Note that, when the extension  $L/K$  is abelian, the associated order  $\mathfrak{A}_{L/K}$  is isomorphic to the ring  $\text{End}_{O_K[G]}(O_L)$  of  $O_K[G]$ -endomorphisms of  $O_L$ .

Finally, the equality  $\mathfrak{A}_{L/K} = O_K[G]$  holds if and only if the extension  $L/K$  is at most tamely ramified (see e.g. [11], Theorem 1), in which case  $O_L$  is locally free as an  $O_K[G]$ -module. However, the question of determining whether  $O_L$  is locally free (or even free) over its associated order is much more delicate in the wildly ramified case than in the tame



case. First,  $O_L$  might not be projective over its associated order [17]. Moreover, there exist projective  $\mathfrak{A}_{L/K}$ -modules that are not locally free (see [122], Par. 3). Also, the algebraic structure of  $\mathfrak{A}_{L/K}$  is known for prescribed extensions of global and local fields only and still yields open questions.

**Remark 1.5.** — One could address the question of whether Artin root numbers of real characters of  $G$  can be related to the structure of  $O_L$  over its associated order, generalizing Fröhlich’s conjecture in this setting. However, as far as we know, this idea fails. In [122] (Par. 3.3), Martinet raised several obstructions to such a connection and gave a counter-example for quaternion extensions of degree 8, citing a result of Fröhlich [98].

*1.3.2. Extensions over  $\mathbb{Q}$ .*— Again, when  $K = \mathbb{Q}$ , some partial results are known. First, in 1959, Leopoldt proved that for any abelian extension  $L/\mathbb{Q}$  the ring  $O_L$  is free over its associated order [117], generalizing in this way the Hilbert-Speiser theorem. Once more, the proof is based on the Kronecker-Weber theorem but the arguments are much more difficult. In 1964, Jacobinski [111] gave an alternate proof to this theorem, extending the explicit description of the associated order in terms of the ramification structure to a larger class of extensions (see Subsection 3.2). A simplified proof was also given by Lettl in [120]. Note that the theorem of Leopoldt is very explicit, in the sense that it determines  $\mathfrak{A}_{L/K}$  and provides an explicit Galois generator in terms of the classical abelian Galois Gauss sums. See ([54], Chapter I) for further details.

In 1972, generalizing a theorem of Martinet, Bergé [10] proved that  $O_L$  is free over its associated order when  $L/\mathbb{Q}$  is a dihedral extension of order  $2p$  when  $p$  is an odd prime. But dihedral extensions over  $\mathbb{Q}$  of order  $\neq 2p$  give counter-examples of the projectivity of  $O_L$  over its associated order. At the same time, Martinet proved that every quaternion extension of degree 8 over  $\mathbb{Q}$  that is wildly ramified is such that  $O_L$  is free over its associated order [123], which is not always true when the extension is tamely ramified [124].

*1.3.3. Leopoldt extensions.*— Let  $L/K$  be an extension of number fields where  $L/\mathbb{Q}$  is abelian; it is said to be Leopoldt if the ring of integers  $O_L$  is free over its associated order. A field is said to be Leopoldt if every finite extension  $L/K$  with  $L/\mathbb{Q}$  abelian is such that  $O_L$  is free over its associated order. Results of Leopoldt [117], Cassou-Noguès & Taylor ([54], Chap. 1, Thm. 4.1), Chan & Lim [57], Bley [19], and Byott & Lettl [48] culminated in the proof that the  $n$ -th cyclotomic field  $\mathbb{Q}(\zeta_n)$  is Leopoldt for every  $n$ . See also [100] for another type of Leopoldt extensions. Johnston has generalized these results by giving more examples of Leopoldt fields, along with explicit generators [112]. He has also obtained some freeness result in intermediate finite layers of certain cyclotomic  $\mathbb{Z}_p$ -extensions ([112], Cor.8.4).

The result of Chan and Lim is the following [57]: let  $m$  and  $m'$  be positive integers with  $m|m'$ , let  $K = \mathbb{Q}(\zeta_m)$  and  $L = \mathbb{Q}(\zeta_{m'})$ , where  $\zeta_n$  denotes a primitive  $n$ -th root of unity. Then, the ring of integers  $\mathbb{Z}[\zeta_{m'}]$  of  $L$  is free over its associated order in  $K[G]$  and the authors give explicit generators (Aiba investigated an analogue of this result for function fields, see Subsection 4.3). As noticed by Byott, this order is in fact the maximal order in  $K[G]$ . Later, for an extension

$L/K$  with  $L/\mathbb{Q}$  abelian, Byott and Lettl [48] gave an explicit description of the associated order of  $O_L$  when  $K$  is a cyclotomic field, and proved that  $O_L$  is free over it. Their paper contains some intermediate results about maximal orders in  $K[G]$  (see Subsection 5.1).

*1.3.4. Other extensions.* — One should also mention the reference ([54], Chaper XI) where Cassou-Noguès and Taylor determine the Galois structure of rings of integers of certain abelian extensions over quadratic imaginary number fields, by evaluating suitable elliptic functions at singular values. See also [5] where Bayad considers the Galois module structure of rings of integers attached to elliptic curves without complex multiplication and admitting a rational point of finite order: this contains a freeness result over associated orders, with explicit generators.

*1.3.5. Intermediate results.* — The paper of Johnston [112] is interesting also because it gathers several properties of associated orders that might be very useful, some of them are originally issued from [48] and [57]. For example, the next two propositions show how associated orders in composite fields and subfields can be determined under certain additional assumptions, which sometimes permits the reduction of the problem to simpler extensions:

**Proposition 1.6** ([48], Lemma 5). — *If  $L/K$  and  $M/K$  are arithmetically disjoint extensions of number fields, then  $\mathfrak{A}_{LM/M} = \mathfrak{A}_{L/K} \otimes_{O_K} O_M$  and  $\mathfrak{A}_{LM/K} = \mathfrak{A}_{L/K} \otimes_{O_K} \mathfrak{A}_{M/K}$ . Moreover, if  $O_L = \mathfrak{A}_{L/K} \cdot \alpha_1$  and  $O_M = \mathfrak{A}_{M/K} \cdot \alpha_2$ , then  $O_{LM} = \mathfrak{A}_{LM/K} \cdot (\alpha_1 \otimes \alpha_2)$ .*

More generally, Greither & Johnston recently obtained an arithmetically disjoint capitulation result for certain extensions of number fields ([103], Cor. 1.2), generalizing [109]. As noticed by the authors ([103], Remark 5), there is no “arithmetically disjoint capitulation” for finite Galois extensions of  $p$ -adic fields ([119], Proposition 1.b). Moreover, one can prove that if  $L/K$  is a finite Galois extension of number fields such that  $O_L$  is not locally free over its associated order  $\mathfrak{A}_{L/K}$ , then there exists no extension  $M/K$  arithmetically disjoint from  $L/K$  such that  $O_{LM} = O_L \otimes_{O_K} O_M$  is free over  $\mathfrak{A}_{LM/K} = \mathfrak{A}_{L/K} \otimes_{O_K} O_M$ .

An interesting result for certain intermediate extensions is the following:

**Proposition 1.7** ([48], Lem. 6 - [112], Cor. 2.5). — *Let  $L/K$  and  $M/K$  be Galois extensions of number fields with  $K \subset M \subset L$  and  $L/M$  at most tamely ramified. Put  $G = \text{Gal}(L/K)$  and  $H = \text{Gal}(M/K)$ . Let  $\pi : K[G] \rightarrow K[H]$  denote the  $K$ -linear map induced by the natural projection  $G \rightarrow H$ . If  $O_L = \mathfrak{A}_{L/K} \cdot \alpha$  for some  $\alpha \in O_L$ , then  $\mathfrak{A}_{M/K} = \pi(\mathfrak{A}_{L/K})$  and  $O_M = \mathfrak{A}_{M/K} \cdot \text{Tr}_{L/M}(\alpha)$ .*

Another line of attack is to reduce the problem of the existence of elements  $\alpha$  such that  $O_L = \mathfrak{A}_{L/K} \cdot \alpha$  to the computation of certain discriminants, based on explicit computation of resolvents;

**Proposition 1.8** ([112], Cor. 4.4). — *Let  $L/K$  be a finite extension of number fields, with Galois group  $G$ , and let  $\hat{G}$  denote the group of characters of  $G$ . Suppose that  $O_L$  is locally free over  $\mathfrak{A}_{L/K}$ . Then, for any  $\alpha \in O_L$ ,  $O_L = \mathfrak{A}_{L/K} \cdot \alpha$  if and only if  $\prod_{\chi \in \hat{G}} \langle \alpha | \chi \rangle$  divides*

$\prod_{\chi \in \hat{G}} \langle \beta | \chi \rangle$  for all  $\beta \in O_L$ , where  $\langle \alpha, \chi \rangle = \sum_{g \in G} \chi(g^{-1})g(\alpha)$  is the resolvent attached to  $\alpha$  and  $\chi$ .

This result has to be compared with Lemma 1 of [3] in the case of function fields, where Galois generators over associated order when they exist are characterized by a minimality condition on discriminants.

Finally, let us mention the article [6] in which Bergé investigated the genus of the ring of integers of an extension of number fields. She analyzed the obstacles for projectivity encountered at various stages of reduction, linking them to a bad functorial behavior of the associated order.

*1.3.6. From local freeness to global freeness.* — Other examples are derived from the local case. Mathematicians rapidly considered Galois module structure of rings of integers for extensions of local fields, mainly motivated by Noether's theorem and because the local context is easier to deal with. We should also mention the following proposition which allows us to reduce to the local case (see Prop. 1 of [8], as well as Prop. 2 of [17]):

**Proposition 1.9.** — *Let  $L/K$  be a finite Galois extension of number fields, with Galois group  $G$ . Let  $\mathfrak{P}$  be a prime ideal of  $O_L$  whose decomposition group coincides with  $G$  and write  $\mathfrak{p} = \mathfrak{P} \cap O_K$ . Then, the associated order of  $O_{L_{\mathfrak{P}}}$  in  $K_{\mathfrak{p}}[G]$  is the  $\mathfrak{p}$ -adic completion of  $\mathfrak{A}_{L/K}$ . Moreover, if  $O_L$  is free (resp. projective) over  $\mathfrak{A}_{L/K}$ , then  $O_{L_{\mathfrak{P}}}$  is free (resp. projective) over  $U_{K_{\mathfrak{p}}[G]}$ .*

One can generalize this to all prime ideals  $\mathfrak{p}$  of  $O_K$ , i.e., without the condition on the decomposition group, and prove that  $O_L$  is projective over its associated order in  $K[G]$  if and only if it is locally projective (see [8], Chap. I, Par. 1 & 2, where  $\mathfrak{p}$ -adic completions correspond to tensor products over  $O_{K_{\mathfrak{p}}}[D]$ ,  $D$  being the decomposition group of an ideal above  $\mathfrak{p}$ ). However, being locally free is not a sufficient condition for  $O_L$  to be free over  $\mathfrak{A}_{L/K}$ . Nevertheless, recent results of Johnston and Greither & Johnston show that local freeness is close to global freeness in the following sense;

**Proposition 1.10 (Johnston, 2008 ([112], Proposition 3.1))**

*If  $O_L$  is locally free over  $\mathfrak{A}_{L/K}$ , then, given any non-zero ideal  $\mathfrak{a}$  of  $O_K$ , there exists  $\beta \in O_L$  such that  $\mathfrak{a} + [O_L : \mathfrak{A}_{L/K} \cdot \beta] = O_K$ , where  $[O_L : \mathfrak{A}_{L/K} \cdot \beta]$  denote the  $O_K$ -module index of  $\mathfrak{A}_{L/K} \cdot \beta$  in  $O_L$ .*

**Proposition 1.11 (Bley & Johnston, 2008 ([21], Prop. 2.1))**

*Let  $L/K$  be a finite Galois extension of number fields with Galois group  $G$ . Let  $\mathfrak{M}$  be a maximal order in  $K[G]$  containing  $\mathfrak{A}_{L/K}$ . Then,  $O_L$  is  $\mathfrak{A}_{L/K}$ -free if and only if:*

1.  $O_L$  is locally free over  $\mathfrak{A}_{L/K}$ , and;
2. there exists  $\alpha \in O_L$  such that  $\mathfrak{M} \otimes_{\mathfrak{A}_{L/K}} O_L = \mathfrak{M} \cdot \alpha$ .

*When this is the case, then  $O_L = \mathfrak{A}_{L/K} \cdot \alpha$ .*

In fact, Proposition 1.11 is stated in a more general context. Furthermore, when the Wedderburn decomposition of  $K[G]$  is explicitly computable and under certain extra hypothesis, Bley and Johnston derive from this proposition an algorithm that either determines a  $\mathfrak{A}_{L/K}$ -generator of  $O_L$  or determines that no such element exists ([21], Par. 8).

In what follows, we then restrict to finite Galois extensions of local fields and consider the structure of the top valuation rings over their associated order.

## 2. Local setup

From now on, we suppose that  $K$  is a local field, i.e., a complete field with respect to a discrete valuation  $v_K : K^* \rightarrow \mathbb{Z}$  (with  $v_K(0) = +\infty$ ). Let  $O_K$  be its valuation ring, i.e.,  $O_K = \{x \in K : v_K(x) \geq 0\}$ , and let  $\mathfrak{p}_K$  denote the unique maximal ideal of  $O_K$ . We then define the residue field of  $K$  as the quotient  $k := O_K/\mathfrak{p}_K$ , and we shall always suppose that it is perfect.

Let  $p$  be a prime number. When  $k$  has characteristic  $p$ , this leads to the following cases;

- equal characteristic case  $(p, p)$ :  $K$  has characteristic  $p$ , in which case it can be identified with the field of formal power series  $k((T))$  for some element  $T \in K$  with  $v_K(T) = 1$ ;
- unequal characteristic case  $(0, p)$ :  $K$  has characteristic 0, i.e., it is an extension of the field  $\mathbb{Q}_p$  of  $p$ -adic numbers.

Next, we fix a separable closure  $K^{\text{sep}}$  of  $K$  and we consider a finite Galois extension  $L/K$  with Galois group  $G$ . Let  $O_K \subset O_L$  denote the corresponding valuation rings. In our setup, i.e., when  $K$  is a local field, the ring  $O_L$  is always free as an  $O_K$ -module, since it is of finite type over a principal ring ([143], Chap. 2, Prop. 3).

**2.1. Galois module theory for extensions of local fields.**— The more general Hattori's approach to Swan's theorem ([74], 32.A) enables us to derive again, from Theorem 1.1, a Noether's criterion for extensions of local fields:

**Proposition 2.1.** — *If  $L/K$  is a finite Galois extension of local fields, with Galois group  $G$ , then  $O_L$  is  $O_K[G]$ -free if and only if the extension is at most tamely ramified.*

When the extension is ramified, we introduce the associated order  $\mathfrak{A}_{L/K}$  of  $O_L$  in  $K[G]$ , given by  $\mathfrak{A}_{L/K} = \{\lambda \in K[G] : \lambda O_L \subset O_L\}$ . The classical considerations described in the first section, and specifically in Subsection 1.3, apply to this local context as well.

In particular, it is a ring containing  $O_K[G]$ , with equality if and only if  $L/K$  is tame, and  $O_L$  is an  $\mathfrak{A}_{L/K}$ -module. Moreover, since  $K[G]$  acts faithfully on  $L$ ,  $\mathfrak{A}_{L/K}$  is an  $O_K$ -order in  $K[G]$  and we address the question of whether  $O_L$  is free over  $\mathfrak{A}_{L/K}$ . If it is, and if we can find an explicit generator, then we can say that we have determined the structure of the  $O_K[G]$ -module  $O_L$ . If, on the other hand,  $O_L$  is not free over  $\mathfrak{A}_{L/K}$ , then we have at least obtained one information about the Galois structure of  $O_L$ : its structure is too complicated to be rendered free by enlarging  $O_K[G]$ . In both cases, the question is difficult, not least because it is difficult to describe  $\mathfrak{A}_{L/K}$  as an  $O_K$ -module since it requires a detailed understanding of

the action of  $G$  on  $O_L$ . Many answers are in fact given without an explicit determination of this order.

This question is solved for prescribed extensions of local fields only, and our goal is to expose most of the known answers in what follows.

**2.2. Ramification of local fields.**— The description of the associated order of the valuation ring in any extension of local fields involves higher ramification invariants. We thus recall some facts about the ramification theory of local fields with perfect residue field, and precisely the notion of ramification groups and jumps. For further details, see for example ([143], Chap. IV), and for a complete investigation of the possible values of ramification jumps in  $p$ -extensions of local fields, we refer the reader to [116] and [90], as well as the works of Marshall, Maus, Miki and Wyman.

Let  $L/K$  be a finite Galois extension, with group  $G$ . The ramification groups  $G_i$ , for  $i \in \mathbb{Z}_{\geq -1}$ , of  $L/K$  are defined by:

$$G_i := \{\sigma \in G : \sigma(x) - x \in \mathfrak{p}_L^{i+1}\}.$$

In particular, the ramification groups form a decreasing filtration of normal subgroups of  $G$ :

$$G = G_{-1} \supseteq G_0 \supseteq G_1 \supseteq \cdots \supseteq G_m \neq G_{m+1} = 1,$$

for some integer  $m \geq -1$ . Note that, for  $i = 0$ , the ramification group  $G_0$  is the inertia group of  $L/K$ , and the ramification index of the extension is defined as  $e_{L/K} = \text{card}(G_0)$ .

The extension  $L/K$  is said to be unramified if  $G_0 = 1$ ; tamely ramified if  $G_1 = 1$ , equivalently if its ramification index is prime to the residue characteristic of  $K$ ; and totally ramified if  $G_0 = G$ . Moreover, when the residue field  $k$  of  $K$  has characteristic 0, the group  $G_1$  is trivial and  $G_0$  is cyclic; when  $\text{char}(k) = p$ ,  $G_1$  is a  $p$ -group and the quotient group  $G_0/G_1$  is cyclic of order prime to  $p$ . In particular, if  $\text{char}(k) = 0$ , then the extension  $L/K$  is at most tamely ramified. This is the reason why we exclude this case and only consider local fields with positive residue characteristic  $p$ , since we are interested in wild extensions. In this context, if  $L/K$  is a  $p$ -extension, then  $G_0 = G_1$ : in particular, tamely ramified implies unramified.

A notion that arises naturally is that of ramification jumps, which are defined as the integers  $b \geq -1$  such that  $G_b \neq G_{b+1}$ . They form an increasing sequence:  $b_1 < \cdots < b_r$  (with  $b_r = m$  with regards to the previous notation). When the residue characteristic of  $K$  is some prime number  $p$  and  $L/K$  is a totally ramified  $p$ -extension, i.e.,  $b_1 \geq 1$ , jumps are all congruent modulo  $p$  ([143], IV.2, Proposition 11) :

$$\forall i, j, \quad b_i \equiv b_j \pmod{p}.$$

Furthermore, when the extension is abelian, the Hasse-Arf theorem induces more advanced congruences (see e.g. [153], Proposition 5).

If  $L/K$  is a totally ramified  $p$ -extension, we have the following. When  $\text{char}(K) = p$ , i.e., in the context of Artin-Schreier theory, one can prove that all ramification jumps of  $L/K$  are relatively prime to  $p$  whenever the residue field of  $K$  is perfect. Moreover, in this case, ramification jumps are not bounded.

When  $\text{char}(K) = 0$ , things are rather different: jumps are bounded and might be divisible by  $p$ . Precisely, suppose  $L/K$  be of degree  $p^n$  and let  $b_1 \leq b_2 \dots \leq b_m$  denote its ramification jumps. Let  $e_K = v_K(p)$  be the absolute ramification index of  $K$ . Then,  $b_m \leq \frac{e_K[L:K]}{p-1}$ , and so  $b_m - \lfloor \frac{b_m}{p} \rfloor \leq p^{n-1}e_K$ . Moreover, if  $b_m < \frac{p^n e_K}{p-1}$  then  $p \nmid b_i$  for all  $i$ , and if  $b_m = \frac{p^n e_K}{p-1}$ , then all jumps are divisible by  $p$  and  $L/K$  is a Kummer cyclic extension (see [143], Chap. IV, Exercise 3).

### 3. Local Galois module structure in mixed characteristic

Let  $K$  be a local field of characteristic  $(0, p)$ , for some fixed prime number  $p$ . We seek to determine  $\mathfrak{A}_{L/K}$  and the module structure of  $O_L$  as an  $\mathfrak{A}_{L/K}$ -module, where the action is induced by that of  $K[G]$  acting on  $L$ . We will often suppose that  $K$  is in fact a finite extension of  $\mathbb{Q}_p$  (and say it is a  $p$ -adic field), equivalently, that its residue field  $k$  is finite, since most of the known results are stated in this setting even if they can be generalized to local fields with perfect residue field.

**3.1. On the  $p$ -adic version of Leopoldt's theorem.** — The archetypal result is that of Leopoldt. For extensions of  $p$ -adic fields, it says that  $O_L$  is  $\mathfrak{A}_{L/K}$ -free whenever  $K = \mathbb{Q}_p$  and  $G$  is abelian. However, it is proved that the field  $\mathbb{Q}_p$  is the only base field which satisfies this property (see e.g. Subsections 3.2 and 3.3).

In 1998, Lettl strengthened the local version of Leopoldt's theorem as follows [119]. He proved that if  $L/\mathbb{Q}_p$  is abelian, then  $O_L$  is again free over  $\mathfrak{A}_{L/K}$  for any intermediate field  $K$  of the extension  $L/\mathbb{Q}_p$ . Moreover, writing  $G_0$  for the inertia group of  $L/K$ , and  $\mathfrak{M}_0$  for the maximal order in  $K[G_0]$ , the author shows that if  $p \neq 2$ , then  $\mathfrak{A}_{L/K} = O_K[G] \otimes_{O_K[G_0]} \mathfrak{M}_0$ . Unfortunately, his argument does not give an explicit generator of  $O_L$  over its associated order in general. As a corollary, Lettl deduced a global result: if  $L/K$  is an extension of number fields with  $L/\mathbb{Q}$  abelian, then  $O_L$  is locally free over  $\mathfrak{A}_{L/K}$ .

In fact, the property of Lettl characterises  $\mathbb{Q}_p$  among its finite extensions, as a local analogue of [104]. If  $F \neq \mathbb{Q}_p$ , then there exist fields  $F \subset K \subset L$  with  $L/F$  abelian but  $O_L$  not free over  $\mathfrak{A}_{L/K}$ . An example is given by Lubin-Tate extensions in ([42] Theorem 5.1): let  $K$  be a finite extension of  $\mathbb{Q}_p$  and write  $K^{(n)}$  for the  $n$ -th division field of  $K$  with respect to a Lubin-Tate formal group, then  $K^{(n)}$  is an abelian extension of  $K$ , but  $O_{K^{m+r}}$  fails to be free over its associated order in  $K^{(m+r)}/K^{(r)}$  whenever  $m > r \geq 1$  and  $K \neq \mathbb{Q}_p$ .

Another extension of the  $p$ -adic Leopoldt's theorem is due to Byott. If  $L/K$  is an abelian extension of  $p$ -adic fields, then  $O_L$  is  $\mathfrak{A}_{L/K}$ -free whenever  $L/K$  is at most weakly ramified, i.e., its second ramification group is trivial ([41], Cor. 4.3).

**3.2. Extensions with cyclic inertia group (Bergé).** — According to the local version of Leopoldt's theorem, if  $L/\mathbb{Q}_p$  is a finite abelian extension with Galois group  $G$  and ramification groups  $G_i$ , the associated order  $\mathfrak{A}_{L/K}$  of  $O_L$  is the subring of  $\mathbb{Q}_p[G]$  obtained by adjoining to



$\mathbb{Z}[G]$  the idempotents

$$e_i = \frac{1}{\text{card}(G_i)} \sum_{\sigma \in G_i} \sigma,$$

and  $O_L$  is free over this subring. In [7], Bergé investigated the analogue of this property for extensions over any absolutely unramified  $p$ -adic field with cyclic inertia group, extending results of [111]. In this section, we shall describe most of her results.

Let  $K$  be a  $p$ -adic field which is absolutely unramified, i.e.,  $e_K = 1$ . Let  $L/K$  be a finite Galois extension, with Galois group  $G$  and cyclic inertia group  $G_0$ . Bergé described explicitly the associated order  $\mathfrak{A}_{L/K}$  of such an extension, and investigated criteria for the top valuation ring to be free over its associated order. However, in her more general setting, Bergé did not consider the problem of giving explicit generators for those cases in which  $O_L$  is free over its associated order.

As another consequence of her investigation, she constructed extensions for which the valuation ring is not free. This fact was already surprising since the conditions imposed on  $K$  and on  $G$  by Bergé are merely the abstraction of conditions satisfied by all abelian extensions of  $\mathbb{Q}_p$ , and these extensions satisfy Leopoldt's result.

Using a result of Jacobinski [111], Bergé first reduced the problem to totally ramified extensions. So, let  $L/K$  be a totally ramified cyclic extension of order  $rp^n$ , with  $p \nmid r$  and  $e_K = 1$ . The cyclic group  $G/G_1$  has order  $r$ . Let  $\mathfrak{C}$  be the multiplicative group of characters of  $G/G_1$  of degree 1. For each  $\chi \in \mathfrak{C}$ , we write  $e_\chi$  for the idempotent

$$e_\chi = \frac{1}{r} \sum_{\sigma \in G/G_1} \chi(\sigma^{-1})\sigma$$

of the group algebra  $K[G/G_1]$ . For each ramification group  $G_i$  of  $L/K$ , we also write

$$e_i = \frac{1}{\text{card}(G_i)} \sum_{\sigma \in G_i} \sigma$$

which is an idempotent of  $K[G]$ . According to Leopoldt's result, if  $K = \mathbb{Q}_p$  and  $L/K$  is abelian, all  $e_i$  belong to  $K[G]$ . This does not hold in general, and Bergé provided explicit counter examples in her setting. Her main result is the following ([7], Thm. 1);

**Proposition 3.1 (Bergé, 1978).** — *Let  $K$  be a  $p$ -adic field such that  $K/\mathbb{Q}_p$  is unramified. Let  $L/K$  be a totally ramified cyclic extension of degree  $rp^n$  with  $p \nmid r$ . Let  $\sigma$  be a generator of its highest non trivial ramification group, and write  $f = \sigma - 1$ . Then  $\mathfrak{A}_{L/K}$  is the subring of  $K[G]$  generated by  $O_K[G]$ , the elements  $e_i f$  for  $1 \leq i \leq n$ , and the idempotents  $e_\chi e_i$  for all  $\chi \in \mathfrak{C}$  and all  $i$  such that  $e_\chi e_i \in \mathfrak{A}_{L/K}$ .*

Bergé then investigated the existence of a criterion for  $O_L$  to be free over its associated order. This yields the following criterion ([7], Cor. of Thm. 3);

**Proposition 3.2 (Bergé, 1978).** — Under the assumptions of Proposition 3.1, and if  $t_1$  denotes the first ramification jump of  $L/K$ , the ring  $O_L$  is free as a  $\mathfrak{A}_{L/K}$ -module if and only if  $\frac{rp}{p-1} - t_1 < \frac{p^n}{p^{n-1}-1}$ , with  $\frac{p^n}{p^{n-1}-1} = +\infty$  if  $n = 1$ .

In particular, if the property “ $O_L$  is free over its associated order” is true for  $L/K$ , then it is true for subextensions  $L'/K$ .

Bergé then derived from these investigations the structure of  $\mathfrak{A}_{L/K}$ , as well as certain criteria for freeness, for non totally ramified extensions (see e.g. [7], Cor. of Thm 2). For example, if  $L/K$  has cyclic inertia group and if  $e_K = 1$ , she proved that  $\mathfrak{A}_{L/K}$  is included in the  $O_K$ -order of  $K[G]$  generated by  $O_K[G]$  and all the idempotents  $e_i$  attached to the ramification groups  $G_i$ . Moreover, the equality holds if and only if  $\frac{rp}{p-1} - 1 \leq t_1$ , where  $t_1$  is the first ramification jump of  $L/K$  ([7], Cor. of Prop. 3 and Cor. 3 of Thm. 1). When this is the case, we say that the extension is almost maximally ramified. We shall come back on this notion in Subsection 5.1.

Finally, note that most of Bergé’s results have been extended by Burns in [33].

**3.3. Cyclic  $p$ -extensions.** — We now consider a general  $p$ -adic field  $K$ , i.e., without any assumption on  $e_K$ . On such a field, there are several results for cyclic  $p$ -extensions, but only the case of extensions of degree  $p$  is completely solved.

*3.3.1. Cyclic extensions of degree  $p$ .* — Let  $L/K$  be a totally ramified cyclic extension of degree  $p$ . Contributions of Bergé, Bertrandias (F. and J.-P.) and Ferton in the 1970’s culminated in a complete answer for such an extension: they determined an explicit description of the associated order  $\mathfrak{A}_{L/K}$ , obtained full criteria for  $O_L$  to be free over it, and described generators.

First, Bergé [12] and Bertrandias & Ferton [17] obtained independently and by different methods an explicit description of  $\mathfrak{A}_{L/K}$  when  $L/K$  is a totally ramified cyclic extension of degree  $p$ ;

**Theorem 3.3 (Bergé - Bertrandias & Ferton, 1972).** — Let  $K$  be a  $p$ -adic field, with uniformizing element  $\pi_K$ . Let  $L/K$  be a totally ramified extension of degree  $p$ . Let  $t$  be its unique ramification jump, and let  $\sigma$  be a generator of its Galois group. Write  $f = \sigma - 1$ . Then, the associated order of  $O_L$  in  $K[G]$  is the  $O_K$ -submodule of  $K[G]$  generated by the elements  $\frac{f^i}{\pi_K^{n_i}}$  for  $i = 0, \dots, p-1$ , where the integers  $n_i$  are given by:

$$n_i = \lfloor \frac{it + \rho_i}{p} \rfloor,$$

with  $r_j$  the least non-negative residue of  $-jt$  modulo  $p$ , and  $\rho_i = \inf_{i \leq j \leq p-1} r_j$ .

Moreover, Bertrandias (F. and J.-P.) and Ferton ([16, 17]) determined explicitly when  $O_L$  is free over its associated order;

**Theorem 3.4 (F. Bertrandias - J.P. Bertrandias - M. J. Ferton, 1972)**

Let  $K$  be a finite extension of  $\mathbb{Q}_p$ . Let  $L/K$  be a totally ramified extension of degree  $p$  with ramification jump  $t$ .

1. If  $p|t$ , then  $O_L$  is free over  $\mathfrak{A}_{L/K}$ .
2. If  $p \nmid t$ , write  $t = pk + a$  with  $1 \leq a \leq p - 1$ , we have:
  - (a) if  $1 \leq t < \frac{pe_K}{p-1} - 1$ , then  $O_L$  is  $\mathfrak{A}_{L/K}$ -free if and only if  $a|p - 1$  ;
  - (b) if  $t \geq \frac{pe_K}{p-1} - 1$ , then  $O_L$  is  $\mathfrak{A}_{L/K}$ -free if and only if  $N \leq 4$ , where  $N$  denotes the length of the continued fraction expansion:

$$\frac{t}{p} = a_0 + \frac{1}{a_1 + \dots + \frac{1}{\dots + \frac{1}{a_N}}},$$

with  $a_N \geq 2$ .

Note that cases 2.(a) and 2.(b) are treated differently. Moreover, case 2.(b) is precisely when the extension is said to be almost maximally ramified (see Subsection 5.1 for further details). For an extension of degree  $p$ , this is equivalent to the condition where the idempotent  $\frac{1}{p} \sum_{\sigma \in G} \sigma$  belongs to  $\mathfrak{A}_{L/K}$ .

When  $e_K = 1$ , then  $t = 1 = a$  if  $p \neq 2$ , and  $t = a = 1$  or  $p|t$  if  $p = 2$ . In particular, case 2.(a) never happens. Therefore, if  $K$  is an absolutely unramified  $p$ -adic field, extensions of degree  $p$  over  $K$  are almost maximally ramified whenever  $p \neq 2$ , and they are such that  $O_L$  is  $\mathfrak{A}_{L/K}$ -free, according to cases 1 and 2.(b). In particular, we recover Leopoldt's theorem for cyclic extensions of degree  $p$  over  $\mathbb{Q}_p$ .

Moreover, the authors determined explicitly Galois generators for  $O_L$ , when it is free over  $\mathfrak{A}_{L/K}$ , in terms of  $t$ ,  $p$  and a generator of  $G$ . They also deduced conditions of projectivity for integer rings in cyclic extensions of degree  $p$  of number fields ([17], Cor. 1).

*3.3.2. Cyclic extensions of degree  $p^n$ .* — Following these results, Bergé, Bertrandias (F.) and Ferton attacked the problem for cyclic extensions of degree  $p^n$  with  $n \geq 2$ , but this situation is more difficult. For  $p = 3$ , Bergé described the  $O_K$ -generators for the associated order in a particular extension of degree 9 with prescribed ramification jumps [12]. In parallel, Ferton obtained partial results for cyclic extensions of degree  $p^2$  ([86], Par. 3).

In 1978 and 1979, Bertrandias (F.) generalized case 2.(b) of Theorem 3.4 to cyclic extensions of degree  $p^n$  when  $p \nmid e_K$  [15, 13];

**Proposition 3.5 (Bertrandias, 1979 ([13], Thm. 4)).** — *Let  $K$  be a  $p$ -adic local field, with  $p \nmid e_K$ . Let  $L/K$  be a totally ramified cyclic extension of degree  $p^n$ , with  $p \geq 1$ . Let  $t_i$  denote its ramification jumps, for  $i = 1, \dots, n$ . We suppose that the ramification is almost maximally ramified, i.e., that  $t_i \geq \frac{p^i e_K}{p-1} - 1$ , for all  $i$ . Then  $O_L$  is free over  $\mathfrak{A}_{L/K}$  if and only if  $N \leq 4$ , where  $N$  is the length of the continued fraction expansion of  $t_1/p$ . Moreover, the structure of  $\mathfrak{A}_{L/K}$ , and Galois generators, are determined explicitly.*

More than twenty years later, case 2.(a) of Theorem 3.4 was partially generalized to certain Kummer extensions by Miyata [133], with improvements by Byott in 2008 [36]. For such an extension  $L/K$  of degree  $p^n$ , the ramification jumps all lie in the same residue class modulo  $p^n$ . Write  $b$  for the least non negative residue of these jumps modulo  $p^n$ . In [36], Byott introduced a set

$$\mathcal{S}(p^n) \subset \{c : 1 \leq c \leq p^n - 1, p \nmid c\}.$$

The precise definition of this set is a little elaborate. However, a more easily defined set closely related to  $\mathcal{S}(p^n)$  is  $\mathcal{S}_0(p^n)$ , with

$$\mathcal{S}_0(p^n) = \bigcup_{m=1, \dots, n} \{c : c \text{ divides } p^m - 1\}.$$

In particular,  $\mathcal{S}_0(p^n) \subset \mathcal{S}(p^n)$ , with equality if  $n \leq 2$ , and in most cases when  $n \geq 3$ . The criterion of Miyata, reformulated by Byott, is then that  $O_L$  is free over  $\mathfrak{A}_{L/K}$  if and only if  $b \in \mathcal{S}(p^n)$ .

Note also that Nigel Byott [38] had dealt with cyclic extensions of degree  $p^2$  when  $\text{char}(K) = 0$  in 2002, in the language of Hopf algebras.

**3.4. Lubin-Tate extensions.** — Another extension of local fields for which the structure of the valuation ring over its associated order has been investigated are Lubin-Tate extensions. For background on Lubin-Tate theory, see for example [142].

Let  $K$  be a finite extension of  $\mathbb{Q}_p$ , and let  $q$  be the cardinality of its residue field. Let  $\pi$  be a uniformizing element of  $K$ . Let  $f(X)$  be a Lubin-Tate series for  $K$ , corresponding to the parameter  $\pi$ , and let  $F(X, Y)$  be the formal group admitting  $f(X)$  as an endomorphism. Let  $\mathfrak{m}$  be the maximal ideal of the valuation ring of a fixed algebraic closure of  $K$ , and, for all  $n \geq 0$ , set

$$G^{(n)} = \{\lambda \in \mathfrak{m} : f^{(n)}(\lambda) = 0\},$$

where  $f^{(0)}(X) = X$  and  $f^{(n)}(X) = f(f^{(n-1)}(X))$  for  $n \geq 1$ . Then, the division fields  $K^{(n)}$  are defined by  $K^{(n)} = K(G^{(n)})$ . For every  $n \geq 1$ , the extension  $K^{(n)}/K$  is totally ramified and abelian, of degree  $q^{n-1}(q-1)$ , and every element of  $G^{(n)} \setminus G^{(n-1)}$  generates the maximal ideal of  $O_{K^{(n)}}$ . Furthermore,  $K^{(1)}/K$  is cyclic of order  $q-1$ .

Just as the cyclotomic theory allows an explicit constructive treatment of class field theory for  $\mathbb{Q}_p$ , so the extensions  $K_n$  provide a constructive treatment of class field theory of totally ramified extensions for  $K$  [142]. This is probably the main motivation to consider the fields  $K^{(n)}$  as good candidates for an investigation of integral Galois module structure, in the light of the theorem of Leopoldt. Interest in these questions arose from the work of Taylor [150], and its subsequent applications to CM fields.

**Example 3.6.** — Take  $K = \mathbb{Q}_p$  and  $\pi = p$ . Consider  $f(X) = (1+X)^p - 1$ . Then  $F(X, Y) = X + Y + XY = (1+X)(1+Y) - 1$ , so the group operation is the usual multiplication with a change of variable to shift the identity from 1 to 0. Thus  $F^{(n)} = \mathbb{Q}_p(\zeta_{p^n})$  for some primitive  $p^n$ -th root of unity  $\zeta_{p^n}$ . In this sense, Lubin-Tate extensions can be presented as generalized cyclotomic extensions.

The integral Galois module structure of extensions of the form  $K^{(m+r)}/K^{(r)}$  was considered in some detail ([40, 41, 42, 55, 56, 149, 150]), and there is now a complete theory for the Galois structure of the top valuation ring over its associated order in such an extension:

**Theorem 3.7 (Lubin-Tate extensions).** — *Let  $m, r \geq 1$  be two integers. Let  $O_{m,r}$  denote the valuation ring of  $K^{(m+r)}$  and write  $G_{m,r} := \text{Gal}(K^{(m+r)}/K^{(r)})$  for  $m, r \geq 1$ . We have:*

1. *if  $m \leq r$ , then  $O_{m,r}$  is free over  $\mathfrak{A}_{K^{(r)}}[G_{m,r}]$  [150] ;*
2. *if  $m > r$  and  $K = \mathbb{Q}_p$ , then  $O_L$  is free over  $\mathfrak{A}_{K^{(r)}}[G_{m,r}]$  [55, 56] ;*
3. *if  $m > r$  and  $K \neq \mathbb{Q}_p$ , then  $O_L$  is not free over  $\mathfrak{A}_{K^{(r)}}[G_{m,r}]$  [42].*

Case 1 corresponds to the Kummer case. In cases 1 and 2, an explicit Galois generator is given, as well as the determination of the associated order (in case 2,  $\mathfrak{A}_{K^{(r)}}[G_{m,r}]$  is determined by a "transport of structure" from the cyclotomic case [56]). Note also that if one take  $\pi = p$  in case 2, we have a relative extension of cyclotomic fields, and the result was already proved in [57].

The proof of case 3 uses a study of the ramification jumps of the extension, and it doesn't provide any explicit determination of the associated order. However, in [41], Byott gives an explicit description of  $\mathfrak{A}_{K^{(r)}}[G_{m,r}]$  when  $r = 1$  and  $m = 2$ , under the additional assumption that the field  $K$  has absolute ramification index  $e_K > q^2$ . Similarly for the works of Bergé, Bertrandias and Ferton for certain cyclic  $p$ -extensions, this thus provides an infinite family of totally ramified extensions over local fields in which the valuation ring is not free over its associated order, but for which this order is known explicitly. This is worth noting, since orders and freeness are usually established simultaneously.

The investigation of the extensions  $K^{(n)}/K$ , with  $K$  itself as base field, probably started with the work of Byott [39]. In particular, for  $n = 2$ , he proved that  $O_L$  is not free over  $\mathfrak{A}_{K^{(2)}/K}$ , whenever  $K/\mathbb{Q}_p$  is ramified and the residue field of  $K$  has cardinality at least 3. Byott also considered the integral Galois module structure of intermediate fields of  $K^{(2)}/K$ . He determined explicitly the associated orders in all cases, and when freeness holds he gave a generator. Today, we do not know whether this result has been generalized to other extensions  $K^{(n)}/K$  with  $n \geq 2$ .

We close this section with the following remark. As noticed by Byott, there is a striking similarity to R. Miller's work [129], who considered the corresponding problem for function fields in characteristic  $p$ , where Lubin-Tate formal groups are replaced with Carlitz modules. We shall come back on this setting in subsection 4.3.

**3.5. Elementary abelian extensions.** — In characteristic 0, few results are known for elementary abelian extensions. In 2007, Miyata gave conditions for the valuation ring not to be free over its associated order when  $L/K$  is a totally ramified abelian Kummer extension of the type  $L = K(\alpha, \beta)$ , where  $\alpha$  and  $\beta$  are suitably normalized elements with  $\alpha^p, \beta^p \in K$  and such that  $K(\alpha)/K$  and  $K(\beta)/K$  have ramification numbers  $t$  in the range  $2p < t < pe_K/(p-1)$  ([130], Theorem 5).

**3.6. Dihedral extensions.** — We suppose now that  $L/\mathbb{Q}_p$  is a dihedral extension of degree  $r2p$ , with  $p \neq 2$  and  $p \nmid r$ . We suppose that the inertia group is cyclic, which happens whenever  $r \geq 3$ . In [9], Bergé proved that  $O_L$  is free over its associated order if and only if  $r < p$ . In [86], Ferton investigated the case of dihedral extensions of degree  $2p$ . This case is also derived from works of Bergé (see e.g [7] and [10], ).

#### 4. Local Galois module structure in equal characteristic

When the local field  $K$  is of characteristic  $p$  and the extension  $L/K$  is of order a power of  $p$ , the group algebra  $K[G]$  is a local ring whose maximal ideal is its augmentation ideal, i.e., the left ideal generated by all  $\sigma - 1$  when  $\sigma$  runs through  $G$  (Thm. 19.1 of [121]). Moreover, it has a unique minimal left ideal, which is generated by the trace element  $\sum_{\sigma \in G} \sigma$  (see e.g. [153], Chap. 3).

In this setting, the associated order  $\mathfrak{A}_{L/K}$  is a local ring as well, and there exists a canonical isomorphism between  $\mathfrak{A}_{L/K}/\mathfrak{m}_{L/K}$  and the residue field  $k$  of  $K$ , if  $\mathfrak{m}$  denotes the unique maximal ideal of  $\mathfrak{A}_{L/K}$  ([154], Prop. 5.10 and Cor. 5.2).

**4.1. Cyclic extensions of formal power series fields.** — Over a local field  $K$  of characteristic  $p$ , the Galois module structure of the top valuation ring has been entirely solved for cyclic extensions of degree  $p$ . Precisely, let  $L/K$  be a totally ramified extension of degree  $p$ . We denote by  $t$  its unique ramification jump: it is prime to  $p$  and we write  $t = pk + a$  with  $1 \leq a \leq p - 1$ . Recall that, by Artin-Schreier theory, one can find  $A \in K$  such that  $L = K(\alpha)$  with  $\alpha^p - \alpha = A$  and  $v_K(A) = -t$ .

In 2003, Aiba established the following criterion [1], which was precised by Lettl [118]:

**Proposition 4.1 (Aiba, 2003 - Lettl, 2005).** — *The valuation ring  $O_L$  is  $\mathfrak{A}_{L/K}$ -free if and only if  $a$  divides  $p - 1$ .*

This criterion is the same as the one given by Bertrandias (F.) and Ferton [17] for the corresponding problem in characteristic 0. Note also that it is based on the following property derived from ([3], Lemma 1) and ([1], Lemma 2), and which characterises  $p$ -extensions in characteristic  $p$ :

**Lemma 4.2 (Aiba, 2003).** — *Suppose  $L/K$  is an abelian  $p$ -extension with Galois group  $G$ . If  $O_L$  is  $\mathfrak{A}_{L/K}$ -free, then  $O_L = \mathfrak{A}_{L/K} \cdot \alpha$  if and only if  $\text{Tr}_{L/K}(\alpha)$  divides  $\text{Tr}_{L/K}(\beta)$  for any  $\beta \in O_L$ .*

Then, in 2005, Proposition 4.1 was made more explicit and reinterpreted in algebraic terms by the author in her Ph.D. [154]. Precisely, let  $\text{edim}(\mathfrak{A}_{L/K}) := \dim_k \mathfrak{m}/\mathfrak{m}^2$  denote the embedding dimension of  $\mathfrak{A}_{L/K}$ . She proved that  $O_L$  is  $\mathfrak{A}_{L/K}$ -free if and only if  $\text{edim}(\mathfrak{A}_{L/K}) \leq 3$  ([154], Thm. 5.2, Prop. 5.23).

Finally, de Smit and the author [144] generalized these criteria by computing efficiently the minimal number of  $\mathfrak{A}_{L/K}$ -module generators of  $O_L$  from  $p$  and  $t$  with a continued fraction



expansion. In particular, this provides an algorithm that given  $p$  and  $a$  computes  $d$  in polynomial time, i.e., in time bounded by a polynomial in  $\log(p)$ . The main result is the following. Note that, in this case, the continued fraction expansion of  $-a/p$ , instead of  $+a/p$  (comparing with Theorem 3.4), codes the Galois structure of the ring  $O_L$ ; moreover, the criterion is based on the values of the coefficients of this expansion, instead of its length.

**Theorem 4.3 (de Smit & Thomas, 2007).** — *Let  $K$  be a local field of characteristic  $p$ , and let  $L/K$  be a totally ramified cyclic extension of degree  $p$ . Let  $t$  be the unique ramification jump of  $L/K$ , and write  $t = pk + a$  with  $1 \leq a \leq p - 1$ . Let  $d$  be the minimal number of  $\mathfrak{A}_{L/K}$ -generators of  $O_L$ . Then  $d = 1$  if and only if  $O_L$  is  $\mathfrak{A}_{L/K}$ -free, and we have;*

1. *if  $a = p - 1$ , then  $d = 1$  and  $\text{edim}(\mathfrak{A}_{L/K}) = 2$ ;*
2. *if  $a < p - 1$ , then  $\text{edim}(\mathfrak{A}_{L/K}) = 2d + 1$  and  $d = \sum_{i \text{ odd}, i < n} a_i$ , where the coefficients  $a_i$ 's are the unique integers given by*

$$-\frac{a}{p} = a_0 + \frac{1}{a_1 + \frac{1}{\ddots \frac{1}{a_{n-1} + \frac{1}{a_n}}}}$$

*with  $a_1, \dots, a_n \geq 1$  and  $a_n \geq 2$ . In particular,  $O_L$  is  $\mathfrak{A}_{L/K}$ -free if and only if  $a|(p - 1)$ .*

*Moreover, in all cases, a set of  $O_K$ -generators for  $\mathfrak{A}_{L/K}$  and a minimal set of  $\mathfrak{A}_{L/K}$ -generators for  $O_L$  are given explicitly.*

The proof has two basic ingredients: graded rings and balanced sequences. Precisely, the associated order  $\mathfrak{A}_{L/K}$  is given the structure of a graded ring and  $O_L$  the structure of a graded module over it. The proof is then based on an explicit combinatorial description of the gradings on  $\mathfrak{A}_{L/K}$  and  $O_L$  in terms of the balanced sequence associated to the fraction  $\frac{a}{p}$ .

It is worth noting that this result is probably the first one where the minimal number of  $\mathfrak{A}_{L/K}$ -generators of  $O_L$  is given in the case of non freeness, whereas previous works in this direction had concentrated only on determining when  $O_L$  is  $\mathfrak{A}_{L/K}$ -free. Moreover, the explicit use of combinatorics, through subtle properties of the sequence  $\{\lceil \frac{ia}{p} \rceil\}_{i \geq 1}$ , is very intriguing and constitutes another novel approach of the authors.

These contributions also prove that there is no Leopoldt type result over  $\mathbb{F}_p((T))$  since one can derive infinitely many cyclic extensions over  $\mathbb{F}_p((T))$  for which the valuation ring is not free over its associated order. Indeed, for any prime number  $p$ , if  $t > 0$  is a positive integer such that  $p \nmid t$  and  $a \not\equiv (p - 1) \pmod{p}$  ( $a$  is the least non negative residue of  $t$  modulo  $p$ ), then the extension  $L/\mathbb{F}_p((T))$  given by  $L = \mathbb{F}_p((T))(\alpha)$  with  $\alpha^p - \alpha = T^{-t}$  is cyclic of order  $p$  and such that  $O_L$  is not free over its associated order.

The consideration of cyclic  $p$ -extensions of higher degree in positive characteristic is still in progress.

**4.2. Elementary abelian extensions.** — In parallel, Byott and Elder have obtained results for a family of elementary abelian extensions [44], and obtained a criterion which

agrees with the condition found by Miyata for certain Kummer extensions in characteristic 0 [36, 133]).

For these extensions, it is the existence of a particularly well-behaved ‘‘Galois scaffold’’ that allows the structure of the top valuation ring over its associated order to be determined. Such structure was introduced by Elder [77], it corresponds to some variant of a normal basis that allows for an easy determination of valuation and thus has implications for the questions of the Galois module structure. Byott and Elder develop the idea to use it to determine a necessary and sufficient condition for  $O_L$  to be free over its associated order for larger classes of extensions in mixed and equal characteristic.

**4.3. Note on the function Field case.** — Since function fields can be viewed as the globalisation of local fields of positive characteristic, it is natural to consider analogue Galois module structure questions for extensions of such fields.

Let  $p$  be a prime number, and let  $q$  be a power of  $p$ . Let  $K = k(T)$  be a global function field over the finite field  $k = \mathbb{F}_q$  of characteristic  $p$ . One can think of  $K$  as being the set of functions defined over  $k$  of a certain projective nonsingular curve  $\mathcal{C}$  defined over  $k$ . In general, there is no canonical way to define a ring of integers  $O_K$  for  $K$ . To study integral Galois module structure, we fix a finite non-empty set  $S$  of places of  $K$ , and we let  $O_K = O_{K,S}$  be the set of all  $x \in K$  having no pole outside  $S$ . If  $L$  is a finite extension of  $K$ , then we let  $O_L$  be the integral closure of  $O_K$  in  $L$ . Let  $L/K$  be a finite Galois extension with Galois group  $G$ ; we can consider  $O_L$  as an  $O_K[G]$ -module and investigate its structure. We can also be interested in the existence of analogue results between the number field case and the function field case, when  $\mathbb{F}_q(T)$  plays the same role as  $\mathbb{Q}$ .

Recall that we derived Noether’s criterion from Theorem 1.1 and Swan’s Theorem. Now, Swan’s theorem was originally stated for modules over group algebras  $A[G]$ , when e.g.  $G$  is abelian or the ring  $A$  has characteristic 0. According to Martinet, in a private communication, this also holds in positive characteristic once the order of the group  $G$  is prime to  $\text{char}(A)$ . However, for general extensions of function fields of characteristic  $p$ , we can derive a Noether’s criterion from the local case. Indeed, the ring of integers  $O_L$  is locally free over its associated order  $\mathfrak{A}_{L/K}$  if and only if each completion  $O_{L,\mathfrak{p}}$  is free over its associated order in the corresponding local extension. Then, using the characterisation of tameness of the trace being surjective at integral level, and since taking the trace commutes with completion, we deduce that  $O_L$  is locally free over  $O_K[G]$  if and only if the extension is at most tamely ramified.

First, if  $G$  has order prime to  $p$ , tameness is automatic and Chapman gave a version of the ‘‘Hom-description’’ of Fröhlich for the class group of locally free  $O_K[G]$ -modules [58]. Furthermore, if  $G$  is cyclic, Chapman used class field theory and Kummer theory to calculate the isomorphism classes explicitly.

Then, Ichimura proved the converse of Noether’s criterion, in the particular case where  $G$  is an abelian  $p$ -group. Precisely, if  $L/K$  is a finite abelian  $p$ -extension, then Ichimura proves that  $O_L$  is free over  $O_K[G]$  if and only if  $L/K$  is unramified outside  $S$ . The method of the proof is quite explicit. Since the problem reduces to the case where  $G$  is cyclic, one can suppose  $L/K$

to be cyclic, in which case it can be described explicitly in terms of Witt vectors. This allows a free generator of  $O_L$  as an  $O_K[G]$ -module to be written down.

In the particular case where  $O_K = \mathbb{F}_q[T]$ , i.e.,  $S = \{\infty_T\}$ , Chapman gave a constructive proof of an analogue of the Hilbert-Speiser theorem ([59], Theorem 1). This result is based on an analogue of the Kronecker-Weber theorem for function fields due to Carlitz and Hayes [107]: all abelian extensions of  $\mathbb{F}_q(T)$  can be obtained by adjoining roots of unity, division points of the Carlitz module for  $\mathbb{F}_q[T]$ , and division points of the Carlitz module for  $\mathbb{F}_q[\frac{1}{T}]$ . The result of Chapman is the following;

**Theorem 4.4 (Chapman, 1991).** — *If  $L/\mathbb{F}_q(T)$  is a finite abelian extension which is wildly ramified at no prime of  $O_K = \mathbb{F}_q[T]$ , then  $O_L$  is a free module of rank 1 over the group ring  $O_K[G]$ . Moreover, a generator can be constructed explicitly.*

Note that normal integral bases can be afforded by Thakur's analogue of Gauss sums, using a Carlitz module.

When raising the bottom field  $K$  to a finite extension, the situation is more difficult. In [4], Anglès investigated the existence of integral normal bases for intermediate extensions of a tame cyclotomic extension over  $\mathbb{F}_q[T]$ . Precisely, let  $K \subset M \subset N \subset L$  be a tower of extensions over the function field  $K = \mathbb{F}_q(T)$ . Suppose that the field  $L$  is obtained by adjoining to  $K$  the  $P$ -division points of the Carlitz module, for some irreducible polynomial  $P \in \mathbb{F}_q[T]$  (we say that  $L$  is a cyclotomic function field). Anglès gave several sufficient conditions for  $N/M$  to be without normal integral basis. In particular, if  $p \neq 2$  and if  $M$  is the quadratic subfield of  $L/K$ , then  $N/M$  has a normal integral basis if and only if the polynomial  $P \in \mathbb{F}_q[T]$  defining  $L/K$  has degree at most 2. This provides some analogue of results of Brinkhuis and Cougnard for cyclotomic extensions of number fields.

Finally, for wild extensions of function fields, the analogue of Leopoldt's theorem on  $\mathbb{F}_q(T)$  is no longer true for function fields since it is not true for wild extensions of the local field  $\mathbb{F}_p((T))$  (see Subsection 4.1). Aiba obtained another counter example which is more elaborate. Let  $L/K$  be a finite Galois extension of function fields with Galois group  $G$ , and let  $O_L$  be the integral closure of  $O_K$  in  $L$ . One can define the associated order of  $O_L$  in  $K[G]$  as  $\mathfrak{A}_{L/K} = \{\lambda \in K[G] : \lambda O_L \subset O_L\}$ , and study the structure of  $O_L$  as a module over it. If  $K = \mathbb{F}_q(T)$  and  $O_K = \mathbb{F}_q[T]$ , Aiba constructed examples of extensions  $L/\mathbb{F}_q(T)$  for which  $O_L$  is not free over its associated order using Hayes modules [3]. Moreover, for certain extensions of cyclotomic function fields  $L/K$ , Aiba also investigated an analogue of a result of Chan and Lim [57] on cyclotomic number fields. In particular, in [2], he found the existence of conditions for  $O_L$  not to be free over its associated order, contrary to the characteristic 0 case.

## 5. Further comments

**5.1. On the maximality of associated orders.** — Let  $K$  be a local field of residue characteristic  $p$  and  $L/K$  be a finite abelian  $p$ -extension over  $K$ , with Galois group  $G$ . In this section, we consider the question of whether the associated order of  $O_L$  in  $K[G]$  is a maximal

order, which might help in the investigation of the Galois module structure of  $O_L$ . Most of the required information about maximal orders is contained in [140].

If  $K$  has characteristic  $p$ , the algebra  $K[G]$  has no maximal order. This is due to the fact that the  $K$ -algebra is not separable (see e.g. [154], Prop. 5.9).

When  $K$  has characteristic 0, and since  $G$  is abelian, the algebra  $K[G]$  contains a unique maximal  $O_K$ -order  $\mathfrak{M}$ ; it is the integral closure of  $O_K$  in  $K[G]$  ([140], remark after Theorem 8.6). The Wedderburn decomposition of  $K[G]$  into simple  $K$ -algebras is  $K[G] = \bigoplus K[G]e_\chi$ , where the  $e_\chi$  are primitive idempotents indexed by a set of representatives for the classes of characters of  $G$  which are conjugate under the action of the absolute Galois group of  $K$ . This yields the decomposition  $\mathfrak{M} = \bigoplus \mathfrak{M}e_\chi$  and each  $\mathfrak{M}e_\chi$  is the maximal order of  $K[G]e_\chi$ . Therefore,  $\mathfrak{M}$  is the  $O_K$ -module generated by the group ring  $O_K[G]$  and idempotents of  $K[G]$ .

Moreover, each summand  $K[G]e_\chi$  is isomorphic to a cyclotomic field  $K_\chi$ , with  $K_\chi = K(\zeta_m)$  if  $m$  is the order of  $\chi$  and  $\zeta_m$  a primitive  $m$ th root of unity. Therefore,  $\mathfrak{M} \simeq \prod_{\chi \in \Gamma_K} \mathfrak{M}_\chi$ , where  $\mathfrak{M}_\chi$  is the valuation ring of  $K_\chi$ . In particular, the components  $\mathfrak{M}_\chi$  are principal ideal domains, so that, if the associated order  $\mathfrak{A}_{L/K}$  equals  $\mathfrak{M}$ , then  $O_L$  is free over it. The equality  $\mathfrak{A}_{L/K} = \mathfrak{M}$  thus provides a condition of freeness.

*5.1.1. Criteria for  $\mathfrak{A}_{L/K} = \mathfrak{M}$ .* — Since  $L/K$  is abelian, the associated order  $\mathfrak{A}_{L/K}$  can only equal the maximal order  $\mathfrak{M}$  if the extension is cyclic. As noticed by Byott, this can be shown using Frohlich's notion of "factorisability" [91]. See also Corollary 1.8 of [33]. One can also prove it in a more restrictive context, using some other criteria to determine whether  $O_L$  is  $O_K[G]$ -indecomposable.

Indeed, one necessary condition for  $\mathfrak{A}_{L/K}$  to coincide with  $\mathfrak{M}$  is that it must contain some nontrivial idempotents. On the other hand, the ring  $O_L$  is indecomposable as an  $O_K[G]$ -module if it cannot be written as a direct sum of two non-zero  $O_K[G]$ -submodules. This amounts to the fact that the ring of  $O_K[G]$ -endomorphisms of  $O_L$  contains no nontrivial idempotents. But since  $G$  is supposed to be abelian, this ring is precisely the associated order  $\mathfrak{A}_{L/K}$ . Hence, if  $\mathfrak{A}_{L/K} = \mathfrak{M}$ , then  $O_L$  is  $O_K[G]$ -decomposable. Vostokov and Miyata have investigated criteria for  $O_L$  to be  $O_K[G]$ -indecomposable [131, 159].

For example, if  $L/K$  is an abelian  $p$ -extension, and if the order of the first ramification group  $G_1$  does not divide the different, then  $O_L$  is  $O_K[G]$ -indecomposable, and so  $\mathfrak{A}_{L/K} \neq \mathfrak{M}$ . This comes from the fact that if the order of  $G_1$  divides the different, then the associated order contains the central idempotent attached to the trace element for  $G_1$ . In particular, if  $L/K$  has ramification index  $p^n$ , and if its biggest ramification jump  $t_m$  satisfies  $t_m - \lfloor \frac{t_m}{p} \rfloor \leq p^{n-1}e_K$ , then  $O_L$  is indecomposable as an  $O_K[G]$ -module ([160], Theorem 4). For  $p \geq 3$ , Byott proved that if this condition does not hold, then  $L/K$  is cyclic ([42], Prop. 3.7).

*5.1.2. Link with almost maximal ramification.* — Bertrandias investigated the  $O_K[G]$ -decomposability of  $O_L$  when  $L/K$  is a cyclic extension of degree  $p$  [13]. In particular, she proved that  $O_L$  is  $O_K[G]$ -decomposable if and only if the idempotent  $\frac{1}{p}\text{Tr}_{L/K}$  belongs to

$\mathfrak{A}_{L/K}$ , and, when this is true, she described the decomposition of  $O_L$  into indecomposable  $O_K[G]$ -submodules in terms of the value of the ramification jump  $t$  modulo  $p$  ([13], Thm. 2 and Thm. 3). Moreover, she proved that the condition is actually equivalent to the double inequality  $\frac{p}{p-1}e_K - 1 \leq t \leq \frac{p}{p-1}e_K$ : we say that the extension is almost maximally ramified.

The notion of almost maximal ramification is due to Jacobinski [111]. An extension  $L/K$  with Galois group  $G$  is said to be almost maximally ramified if all idempotents  $e_H = \frac{1}{|H|} \sum_{\sigma \in H} \sigma$  belong to the associated order  $\mathfrak{A}_{L/K}$ , when  $H$  run over all subgroups of  $G$  included between two consecutive ramification groups of the extension.

When  $L/K$  is a totally ramified cyclic extension of degree  $p^n$ , this is equivalent to the following conditions (see e.g. [7], Cor. of Prop. 3, and [13], Prop. 1). For each integer  $i$ ,  $0 \leq i \leq n$ , write  $H_i$  for the subgroup of  $G$  of order  $p^i$ , and put  $e_i = e_{H_i}$ . Clearly, the groups  $H_i$ 's are the ramification groups of the extension. Moreover, each  $e_i$  is an idempotent of  $K[G]$ , and  $p^i e_i$  coincides with the trace of the extension  $L/L^{H_{n-i}}$ . We also write  $t_1 < t_2 < \dots < t_n$  for the  $n$  ramification jumps of  $L/K$ .

**Proposition 5.1.** — *The extension  $L/K$  is almost maximally ramified if and only if it satisfies one of the following equivalent conditions:*

1.  $e_H \in \mathfrak{A}_{L/K}$  for all subgroups  $H \subset G$  included between two consecutive ramification groups;
2.  $e_i \in \mathfrak{A}_{L/K}$  for all  $i \in \{1, \dots, n\}$ ;
3.  $\frac{p^i e_K}{p-1} - 1 \leq t_i \leq \frac{p^i e_K}{p-1}$  for all  $i = 1, 2, \dots, n$ ;
4.  $t_i = \frac{p^i e - a}{p-1}$  for all  $i$ , where  $a$  is the least non-negative residue modulo  $p$  of  $t_1$ .

Note that, in this context, if we set  $e'_0 = e_n$  and  $e'_i = e_{n-i} - e_{n-i+1}$ , then the  $e'_i$  are orthogonal idempotents whose sum is 1, and  $K[G] = \bigoplus_{0 \leq i \leq n} K[G]e'_i$ .

Suppose now that  $K$  is absolutely unramified ( $e_K = 1$ ), and that the extension  $L/K$  is cyclic and totally ramified, of order  $rp^n$  with  $p \nmid r$ . In 1978, Bergé obtained an explicit description of the maximal order  $\mathfrak{M}$  of  $K[G]$ : this is the  $O_K$ -module generated by  $O_K[G]$  and the idempotents  $e_i$  ([7], Proposition 5). Moreover, the equality  $\mathfrak{A}_{L/K} = \mathfrak{M}$  holds if and only if the extension is almost maximally ramified ([7], Corollary 3 of Theorem 1).

When  $K$  is not absolutely unramified, almost maximal ramification is not sufficient for  $\mathfrak{A}_{L/K}$  to equal the maximal order  $\mathfrak{M}$ . If  $L/K$  is cyclic of order  $p^n$ , this is due to the fact that, in this setting, the idempotents  $e_i$  defined above are not sufficient to generate the maximal order  $\mathfrak{M}$  of  $K[G]$ . As a consequence of Theorem 3.4, Bertrandias (F. and J.-P.) and Ferton obtained the following criterion for a cyclic extension of degree  $p$  ([16], Theorem 2);

**Proposition 5.2 (Bertrandias & Bertrandias & Ferton, 1972)**

*Let  $K$  be a local field of mixed characteristic  $(0, p)$ . Let  $L/K$  be a totally ramified extension of degree  $p$ , with Galois group  $G$ . Let  $t$  be its ramification jump; let  $a$  be its least non-negative residue modulo  $p$ . Then  $\mathfrak{A}_{L/K}$  coincides with the maximal order  $\mathfrak{M}$  in  $K[G]$  if and only if the*

extension is almost maximally ramified and  $a$  satisfies one of the following conditions :

$$a = 0 \quad \text{ou} \quad a|(p-1) \quad \text{ou} \quad a|p-2 \quad \text{ou} \quad a|2p-1.$$

*5.1.3. Number field case.* — These considerations still hold for extensions of number fields. As an illustration, let us mention the following criterion due to Byott and Lettl, where the assumption on linear disjointness is crucial;

**Proposition 5.3 (Byott-Lettl, 1996 [48]).** — *Let  $L/K$  be a cyclic and totally ramified extension of number fields. Suppose it is linearly disjoint to  $\mathbb{Q}(\zeta_m)/\mathbb{Q}$ , where  $m$  denotes the conductor of  $K$ . Then  $\mathfrak{A}_{L/K}$  is the maximal order of  $K[G]$ .*

**Is the associated order a local ring ?** The previous consideration also lead us to the question whether the associated order is a local ring. For an abelian  $p$ -extension  $L/K$ , this always holds when  $\text{char}(K) = p$  (see e.g. [154], Prop. 5.10). In zero characteristic, this is related to the existence of nontrivial idempotents as well, and one can prove that if  $O_L$  is  $O_K[G]$ -indecomposable, then  $\mathfrak{A}_{L/K}$  is a local ring. According to ([42], Prop. 3.7), the condition that  $\mathfrak{A}_{L/K}$  is a local ring is thus very weak.

**5.2. Hopf structures in Galois module theory.** — The use of Hopf theory is another one of the most innovative approaches to the wild situation in recent years. This idea, initiated by Fröhlich, was developed by Taylor and Childs in the mid 1980's to solve Galois module questions for extensions of local fields of unequal characteristic. Hopf orders had first been considered by people studying group schemes (Tate, Oort, Raynaud, Larson). Most of the contributions towards the connection between Hopf orders and Galois module structure are due to Byott, Childs, Greither, Pareigis and Taylor. For more details about this theory, we refer the reader to [60]. Among other investigations of the relation between Hopf orders and Galois module structure, one should cite, e.g., the recent contributions of Agboola, Bley & Boltje, Miyata and Truman.

If  $R$  is a commutative ring, a Hopf  $R$ -algebra is an  $R$ -bialgebra with antipode. It is said to be finite if it is finitely generated and projective as an  $R$ -module. If  $L/K$  is a finite Galois extension of number fields or of local fields of mixed characteristic  $(0, p)$ , the group ring  $K[G]$  provides the easiest example of a Hopf algebra. We then call a Hopf order any sub Hopf algebra of  $K[G]$  which is also an  $O_K$ -order in  $K[G]$ .

In 1985, Taylor considered local extensions constructed using division points of Lubin-Tate formal groups [150]: using the formal group structure, he gave an explicit description of the associated order, and showed that the top valuation ring was free over it. Then, in 1987, he generalised and reinterpreted this in terms of Kummer theory with respect to the formal group [149]. In particular, he made it explicit that the construction works because the associated order is a Hopf order.

More generally, Childs and Moss proved the following criterion [61, 62];



**Theorem 5.4 (Childs - Moss, 1994).** — *Let  $L/K$  be a finite Galois extension of  $p$ -adic fields or of number fields, with Galois group  $G$ . If the associated order  $\mathfrak{A}_{L/K}$  of  $O_L$  is a Hopf order in  $K[G]$ , then  $O_L$  is  $\mathfrak{A}_{L/K}$ -free of rank one.*

The converse is false. Indeed, there are many wildly ramified Galois extensions  $L/K$  whose valuation rings are free over their associated order  $\mathfrak{A}_{L/K}$  but  $\mathfrak{A}_{L/K}$  is not a Hopf order (see Theorem 5.1 of [61] and its corollaries).

In parallel, Greither and Pareigis [106] proved that  $L$  is also an  $H$ -Hopf Galois extension of  $K$  for various  $K$ -Hopf algebras  $H$  (the terminology means that  $L$  is an  $H$ -module algebra); one of them is the group algebra  $K[G]$ . If the field extension is one of  $p$ -adic fields, one can define the associated order in each Hopf-Galois structure, prove that Theorem 5.4 still holds, and compare freeness results between them. For example, Childs did this for cyclic extensions of degree  $p^2$ ; Byott then did the same for elementary abelian extensions of degree  $p^2$  [38]. It can happen that the valuation ring is free over its associated order with respect to some non-classical Hopf-Galois structure, whereas it is not free in the classical case.

In 1992, Greither essentially classified most of the Hopf orders in the group algebra  $K[\mathbb{Z}/p^2\mathbb{Z}]$  for a  $p$ -adic field  $K$ , and found which of them occur as associated orders of valuation rings. Independently to this, Byott found almost all the Hopf orders in both  $K[\mathbb{Z}/p^2\mathbb{Z}]$  and  $K[\mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/p\mathbb{Z}]$ , including some excluded by Greither's hypothesis. Furthermore, in 2004, Byott determined all Hopf-Galois structures on Galois extensions of fields of degree  $pq$ , where  $p, q$  are distinct primes such that  $q \equiv 1 \pmod{p}$  [37].

Moreover, for an abelian  $p$ -extension of  $p$ -adic fields, Bondarko proved that if the top valuation ring is free over its associated order, then the associated order must be a Hopf order and the extension can be produced from a one-dimensional formal group [25]. In [42], Byott investigates the ramification numbers of abelian  $p$ -extensions  $L/K$  for which the associated order of  $O_L$  is a Hopf order in  $K[G]$ .

Finally, Hopf structures have been investigated in certain  $p$ -extensions of degree  $p^n$  by Miyata [131] and Byott (see e.g. [36, 38, 42]). One recent result is the following;

**Theorem 5.5 (Byott, 2008).** — *If  $K$  is a  $p$ -adic field and  $L/K$  a Kummer extension of degree  $p^n$  of the form  $L = K(\alpha)$  with  $\alpha^{p^n} \in K$  and  $v_K(\alpha - 1) > 0$ ,  $v_K(\alpha - 1)$  coprime to  $p$ . Then  $\mathfrak{A}_{L/K}$  is a Hopf order if and only if  $a = p^n - 1$ , where  $a$  denotes the least non-negative residue of the first ramification jump modulo  $p^n$ . Moreover, if  $p^n/2 < a < p^n - 1$ , then  $O_L$  is not free over its associated order.*

**5.3. Valuation criteria for normal basis generators.** — Investigating the algebraic structure of the top valuation ring over its associated order in abelian elementary  $p$ -extensions, Byott and Elder [45] raised the question of the existence of a valuation criterion for normal basis generators of some extension  $L/K$  of local fields, i.e., of the existence of an integer  $v$  such that every element  $x \in L$  with valuation  $v$  generates a normal basis for  $L/K$ .

If  $\text{char}(K) = p$ , Elder and the author proved that every totally ramified  $p$ -extension of  $K$  satisfies such a valuation criterion, for a prescribed value of  $v$ ;

**Theorem 5.6 (Thomas 2008, Elder 2010).** — *Let  $K$  be a local field of characteristic  $p$  and let  $L/K$  be a totally ramified  $p$ -extension. Write  $d$  for the valuation of the different of the extension. Then each element  $x \in L$  with valuation congruent to  $-d - 1$  modulo  $[L : K]$  is a normal basis generator for  $L/K$ .*

Nigel Byott has reinterpreted this result in terms of Hopf-Galois structures [35].

Florence, de Smit and the author have just solved the question entirely in all characteristics [89]. Let  $L/K$  be a finite Galois extension of local fields. To simplify, say that  $VC(L/K)$  holds if  $L/K$  satisfies a valuation criterion for normal basis generators. They first proved that  $VC(L/K)$  holds if and only if the tamely ramified part of the extension  $L/K$  is trivial and every non-zero  $K[G]$ -submodule of  $L$  contains a unit. Moreover, the integer  $v$  can take one value modulo  $[L : K]$  only, namely  $-d_{L/K} - 1$ , where  $d_{L/K}$  is the valuation of the different of  $L/K$ . When  $K$  has positive characteristic, they recover the result of Elder and the author. When  $\text{char}(K) = 0$ , they identify all abelian extensions  $L/K$  for which  $VC(L/K)$  is true, using algebraic arguments. These extensions are determined by the behaviour of their cyclic Kummer subextensions.

Therefore, one can then address the question of the existence of a valuation criterion for Galois generators of valuation rings over their associated orders, when they are free. Several results in this direction have now been obtained, and the existence of such a criterion can also provide arguments to determine non-freeness results. Note also that the  $\mathfrak{A}_{L/K}$ -generators of  $O_L$  founded for cyclic extensions  $L/K$  of degree  $p$  in both characteristic cases 0 and  $p$  (according to the results of Subsection 3.3 and 4.1) satisfy the valuation criterion of Florence, de Smit and the author.

**5.4. Galois module structure of ambiguous ideals.** — Let  $L/K$  be a finite Galois extension of number fields or local fields, with Galois group  $G$ . Instead of investigating the Galois module structure of the integer ring  $O_L$ , one can consider ambiguous ideals, i.e., fractional ideals of  $L$  that are stable under the action of  $G$ . In particular, if  $\mathfrak{a}$  is such an ideal, one may define its associated order in  $K[G]$  by:

$$\mathfrak{A}_{L/K}(\mathfrak{a}) = \{\alpha \in K[G] : \alpha\mathfrak{a} \subset \mathfrak{a}\}.$$

Similarly to the ring  $O_L$ ,  $\mathfrak{a}$  is a module over  $\mathfrak{A}_{L/K}(\mathfrak{a})$  and one can address the question of whether it is free. In what follows, we give a brief account of the investigation that has been done on this subject when  $K$  is a  $p$ -adic field. In this case, every fractional ideal of  $L$  is ambiguous.

If the extension  $L/K$  is tame, then  $\mathfrak{A}_{L/K}(\mathfrak{a}) = O_K[G]$  ([11], Thm. 1). Now, whereas the relation  $\mathfrak{A}_{L/K}(O_L) = O_K[G]$  characterizes tamely ramified extensions (see Subsection 1.3), this is false if we replace  $O_L$  with another ambiguous ideal. Indeed, in ([11], Par. I.3), Bergé gives the following counter-example. If  $L = \mathbb{Q}_2(i)$  with  $i^2 = -1$ , and if  $\mathfrak{a} = (1 + i)O_L$ , then the extension  $L/\mathbb{Q}_2$  is wildly ramified whereas  $\mathfrak{A}_{L/K}(\mathfrak{a}) = \mathbb{Z}_2[G]$ .

Moreover, when  $K$  is a local field, Ullom proved that if the extension  $L/K$  is tame, then every ambiguous ideal of  $L$  is a free  $O_K[G]$ -module [155]. An explicit set of generators for each ideal can be derived from the construction of normal integral bases by Kawamoto [113].

If  $L/K$  is wild, the situation is very different, and only special cases are known. First, Ullom showed that the freeness of any ambiguous ideal  $\mathfrak{a}$  of  $L$  over  $O_K[G]$  is a strong restriction on both the ramification of  $L/K$  and the  $L$ -valuation of  $\mathfrak{a}$  ([156], Theorem 2.1). He also proved that if an ambiguous ideal in  $L$  is free over  $O_K[G]$ , then  $L/K$  must be weakly ramified, i.e., its second ramification group is trivial [156].

The Galois module structure of ambiguous ideals over their associated orders has been investigated for cyclic extensions. Suppose first that  $L/K$  is an extension of degree  $p$ . Write  $t$  for the unique ramification jump of  $L/K$ , and  $\mathfrak{P}_L$  for the maximal ideal of  $O_L$ . In [87], Fertion characterized the ideals  $\mathfrak{P}_L^r$  of  $O_L$  which are free over their associated order, in terms of the values of  $t$  and  $r$ . Her results generalized Theorem 3.4. In particular, answering a question of Jacobinski, she proved that every ideal  $\mathfrak{P}_L^r$  such that  $r \equiv t \pmod{p}$  is free over its associated order. Note that two ideals  $\mathfrak{P}_L^r$  and  $\mathfrak{P}_L^{r'}$  have the same associated order if  $r \equiv r' \pmod{p}$ . Later, in [33], and under the assumption that  $e_K = 1$ , Burns proved that if  $L/K$  is a cyclic extension of order  $p^n r$  with  $p \nmid n$ , then  $O_L$  is free over its associated order if and only if there exists a fractional ideal of  $L$  which is free over its associated order, and this happens if and only if  $n = 1$ , or  $n = 2$  and  $r < p^2$ , or  $n > 2$  and  $r < p(p - 1)$  (Thm. 3 of [33]). See also Lemma 1.1 of [32].

Finally, in [32], Burns gave an almost complete answer to the question when  $L/K$  is a finite totally ramified abelian extension of  $p$ -adic fields, for an odd prime  $p$ , extending [7], [155] and [33]. A key tool is the notion of factorisability introduced by Fröhlich [91], as well as the factorisable quotient function, introduced by Burns in 1991 [33] and which allows the question of whether  $\mathfrak{a}$  is free over its associated order to be answered by computing module indices. Note that an appendix by W. Bley describes an algorithm to determine whether an ambiguous ideal in the ring of algebraic integers in a number field is locally or globally free over its associated order.

In particular, denoting by  $G$  the Galois group of  $L/K$ , Burns investigated the structure of fractional ideals of  $L$ ,  $\mathfrak{a}$ , over their associated order in  $\mathbb{Q}_p[G]$ , i.e., over  $\mathfrak{A}_{\mathbb{Q}_p[G]}(\mathfrak{a}) := \{\lambda \in \mathbb{Q}_p[G] : \lambda \mathfrak{a} \subset \mathfrak{a}\}$ . When  $K$  is ramified over  $\mathbb{Q}_p$ , there are only two types of extensions for which there is an ideal free over its associated order in  $\mathbb{Q}_p[G]$ : the weakly ramified extensions, and the cyclic extensions that are almost maximally ramified. When  $K/\mathbb{Q}_p$  is unramified, the result is much more complicated, and Burns investigated necessary conditions on the existence of ideals free over their associated orders in  $\mathbb{Q}_p[G]$  in terms of the ramification jumps.

We now develop two examples of the Galois module structure of ambiguous ideals.

*5.4.1. Galois module structure of the inverse different.*— Let  $L/K$  be a totally ramified abelian extension of degree  $p^n$  with Galois group  $G$ . We denote by  $b_1 \leq b_2 \leq \dots \leq b_n$ , with  $b_1 \geq 1$  and possibly  $b_i = b_{i+1}$  for some  $i$ , the ramification jumps of  $L/K$ , and let  $e_K = v_K(p)$  be the absolute ramification index of  $K$ . Let  $\mathcal{D}_{L/K}^{-1}$  be the inverse different of  $L/K$ , defined by:

$$\mathcal{D}_{L/K}^{-1} = \{x \in L : \text{Tr}_{L/K}(xO_L) \subset O_L\}.$$

In ([42], Theorem 3.10), Byott proved the following:

**Theorem 5.7 (Byott, 1997).** — *If  $b_n - \lceil \frac{b_n}{p} \rceil \neq p^{n-1}e_K$ , and if  $b_i \not\equiv -1 \pmod{p^n}$  for some  $i$ , then  $\mathcal{D}_{L/K}^{-1}$  is not free over its associated order.*

5.4.2. *Square root of the inverse different.* — In the global case, the study of the  $\mathbb{Z}[G]$ -structure of other  $G$ -stable ideals of  $O_L$  began in a special case in [85], where Erez studied the square root of the inverse difference of some extensions of number fields answering a question of Conner and Perlis. Let  $L/K$  denote an odd degree Galois extension of number fields. By Hilbert's formula for the valuation of the different  $\mathcal{D}_{L/K}$  of  $L/K$ , there exists a fractional ideal  $\mathcal{A}_{L/K}$  of the ring of integers  $O_K$  of  $K$  such that:

$$\mathcal{A}_{L/K}^2 = \mathcal{D}_{L/K}^{-1} .$$

This ideal is known as the square root of the inverse different. It is an ambiguous ideal. As an analogue of Noether's criterion, Erez showed  $\mathcal{A}_{L/K}$  to be locally free if and only if  $L/K$  is weakly ramified, i.e., if the second ramification group of any prime ideal  $\mathfrak{p}$  of  $O_L$  is trivial [83]. Moreover, in [82], Erez and Taylor proved that when  $L/K$  is at most tamely ramified, then  $\mathcal{A}_{L/K}$  is always free over  $\mathbb{Z}[G]$ . For a precise account on the Galois module structure of the square root of the inverse different until 1991, see [84].

The question of whether  $\mathcal{A}_{L/K}$  is free as a  $\mathbb{Z}[G]$ -module when  $L/K$  is wildly but weakly ramified is still open. Pickett and Vinatier [138] have recently proved that  $\mathcal{A}_{L/K}$  is a free  $\mathbb{Z}[G]$ -module when  $L/K$  is an odd degree weakly ramified Galois extension of number fields such that, for any wildly ramified prime  $\mathfrak{p}$  of  $O_L$ , the decomposition group is abelian, the ramification group is cyclic and the localised extension  $F_{\wp}/\mathbb{Q}_p$  is unramified, where  $\wp = \mathfrak{p} \cap F$  and  $p\mathbb{Z} = \mathfrak{p} \cap \mathbb{Q}$ . This result generalises Theorem 1.2 of [158], which is the natural analogue in the absolute case  $K = \mathbb{Q}$ . The proof of this result uses Lubin-Tate theory and the explicit descriptions by Pickett of self-dual normal basis generators for cyclic weakly ramified extensions of an unramified extension of  $\mathbb{Q}_p$  [137]. These generators are constructed with the help of Lubin-Tate theory and Dwork's  $p$ -adic exponential power series.

It should be interesting to pursue this investigation and determine the Galois structure of  $\mathcal{A}_{L/K}$  as a module over its associated order, when higher ramification is permitted. In this direction, one result is due to Burns whose proof is given in Appendix A of [84]:

**Proposition 5.8 (Burns, 1991).** — *If  $L/\mathbb{Q}$  is a finite abelian extension such that the square root of the inverse different  $\mathcal{A}_{L/K}$  exists, then  $\mathcal{A}_{L/K}$  is locally free over its associated order.*

5.4.3. *Existence of valuation criteria.* — Finally, one can also consider the question of the existence of valuation criterion for Galois generators of ambiguous ideals when they are free over their associated order, and partial answers are already obtained. For example, if  $L/K$  is an abelian and weakly ramified extension of  $p$ -adic fields with Galois group  $G$  of odd order, the square root of the inverse different exists and is a free module over  $O_K[G]$ . Generalizing a result of Byott, Vinatier proved that every element  $\beta \in L$  with valuation  $v_L(\beta) = 1 - e_K$  generates  $\mathcal{A}_{L/K}$  over  $O_K[G]$  ([157], Cor. 2.5).

**5.5. On the sufficiency of the ramification invariants.** — We close this paper by the following remark. Let  $L/K$  be a finite extension of local fields of residue characteristic  $p$ . Results about the Galois module structure of ideals of  $L$  (e.g. [42], [32], [87]) indicate the possibility of nice general patterns governing some relationship with the ramification invariants of the extension, precisely its ramification jumps as well as the absolute ramification index of  $K$ . Moreover, when  $L/K$  is of degree  $p$ , the single ramification break determines whether or not  $O_L$  is free over its associated order in both mixed and equal characteristic cases. It seems that the ramification invariants actually control the question of freeness to a considerable extent, and Byott and Elder have noticed that they are sufficient to determine the structure of ideals when their number is maximal [46].

Nevertheless, we do not expect that such invariants will give the required information for all extensions. For instance, in ([12], Chap. 4), Bergé constructed two wild extensions over a fixed 3-adic field  $K$  with the same ramification jumps but such that the associated orders of their top valuation rings are different. More recently, this insufficiency was also observed for biquadratic extensions of 2-adic fields with one ramification jump [47]. These observations have led Byott and Elder to introduce a refined ramification filtration for some totally ramified elementary abelian  $p$ -extensions, i.e., one with more ramification jumps [44, 46], and then investigate whether they are sufficient. It will be interesting to address the question of which invariants determine the Galois module structure of ideals for more general extensions.

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LARA THOMAS, Ecole Polytechnique Fédérale de Lausanne - Chaire de Structures Algébriques et Géométriques  
- FSB - IMB - Station 8, Bureau 594 CH - 1015 Lausanne • *E-mail* : lara.thomas@epfl.ch