# Annali della Scuola Normale Superiore di Pisa Classe di Scienze

### KEWEI ZHANG

## A construction of quasiconvex functions with linear growth at infinity

Annali della Scuola Normale Superiore di Pisa, Classe di Scienze  $4^e$  série, tome 19,  $n^{\circ}$  3 (1992), p. 313-326

<a href="http://www.numdam.org/item?id=ASNSP\_1992\_4\_19\_3\_313\_0">http://www.numdam.org/item?id=ASNSP\_1992\_4\_19\_3\_313\_0</a>

© Scuola Normale Superiore, Pisa, 1992, tous droits réservés.

L'accès aux archives de la revue « Annali della Scuola Normale Superiore di Pisa, Classe di Scienze » (http://www.sns.it/it/edizioni/riviste/annaliscienze/) implique l'accord avec les conditions générales d'utilisation (http://www.numdam.org/conditions). Toute utilisation commerciale ou impression systématique est constitutive d'une infraction pénale. Toute copie ou impression de ce fichier doit contenir la présente mention de copyright.



## A Construction of Quasiconvex Functions with Linear Growth at Infinity

#### KEWEI ZHANG

#### 1. - Introduction

In this paper we develop a method for constructing nontrivial quasiconvex functions with p-th growth at infinity from known quasiconvex functions. The main result is the following:

THEOREM 1.1. Suppose that the continuous function  $f: M^{N \times n} \to \mathbb{R}$  is quasiconvex in the sense of Morrey (cf. [17], also see Definition 2.1) and that for some real constant  $\alpha$ , the level set

$$K_{\alpha} := \{ P \in M^{N \times n} : f(P) \le \alpha \}$$

is compact. Then, for every  $1 \le q < +\infty$ , there is a continuous quasiconvex function  $g_q \ge 0$ , such that

$$(1.1) -C_1 + c|P|^q \le g_q(P) \le C_1 + C_2|P|^q$$

and

(1.2) 
$$K_{\alpha} = \{ P \in M^{N \times n} : g_q(P) = 0 \}$$

where  $C_1 \ge 0$ , c > 0,  $C_2 > 0$  are constants.

When the level set  $K_{\alpha}$  of some quasiconvex function is unbounded, we establish the following result for a compact subset of  $K_{\alpha}$  under an additional assumption.

COROLLARY 1.2. Under the assumptions of Theorem 1.1 without assuming that  $K_{\alpha}$  is compact, for any compact subset  $H \subset K_{\alpha}$ , satisfying

$$K_{\alpha} \cap (\text{conv } H \backslash H) = \emptyset,$$

Pervenuto alla Redazione il 27 Settembre 1990 e in forma definitiva il 27 Maggio 1992.

and  $1 \le q < \infty$ , there exists a non-negative quasiconvex function  $g_q$  satisfying (1.1) and with H as its zero set:

$$H = \{P \in M^{N \times n} : g_q(P) = 0\}.$$

(For relevant notations and definitions, see Section 2 below).

With these results we can construct a rich class of quasiconvex functions with linear growth, for example, function with the two-point set  $\{A, B\}$  being its zero set provided that rank  $A - B \neq 1$ . However for sets like SO(n), we need much deeper result to cope with (see Theorem 4.1 below). These sets are important in the study of quasiconformal mappings (Reshetnyak [18], [19]) and phase transitions (Kinderlehrer [15], Ball and James [8]). Also, we can establish connection between these results and Tartar's conjecture on sets without rank-one connections (see Section 4). We prove that for any compact subset  $K \subset R_+SO(n)$  we can construct quasiconvex functions with K as its zero set and with prescribed growth at infinity.

The basic idea for proving the main result is to apply maximal function method developed by Acerbi and Fusco [1] in the study of weak lower semicontinuity for the calculus of variations and an approximating result motivated by a work of V. Šverák on two-dimensional two-well problems with linear growth.

In Section 2, notation and preliminaries are given which will be used in the proof of the main result. In Section 3, we prove Theorem 1.1 while in Section 4 we study the relation between Tartar's conjecture and our basic constructions and give some examples to show how quasiconvex functions with linear growth and non-convex zero sets can be constructed.

#### 2. - Notation and preliminaries

Throughout the rest of this paper  $\Omega$  denotes a bounded open subset of  $\mathbb{R}^n$ . We denote by  $M^{N\times n}$  the space of real  $N\times n$  matrices, with norm  $|P|=(\operatorname{tr} P^TP)^{1/2}$ . We write  $C_0(\Omega)$  for the space of continuous functions  $\phi:\Omega\to\mathbb{R}$  having compact support in  $\Omega$ , and define  $C_0^1(\Omega)=C^1(\Omega)\cap C_0(\Omega)$ . If  $1\le p\le\infty$  we denote by  $L^p(\Omega;\mathbb{R}^N)$  the Banach space of mappings  $u:\Omega\to\mathbb{R}^N,\ u=(u_1,\cdots,u_N),$  such that  $u_i\in L^p(\Omega)$  for each i, with norm  $\|u\|_{L^p(\Omega;\mathbb{R}^N)}=\sum_{i=1}^N\|u_i\|_{L^p(\Omega)}$ . Similarly, we denote by  $W^{1,p}(\Omega;\mathbb{R}^N)$  the usual Sobolev space of mappings  $u\in L^p(\Omega;\mathbb{R}^N)$  all of whose distributional derivatives  $\frac{\partial u_i}{\partial x_j}=u_{i,j},\ 1\le i\le N,\ 1\le j\le n,$  belong to  $L^p(\Omega)$ .  $W^{1,p}(\Omega;\mathbb{R}^N)$  is a Banach space under the norm

$$||u||_{W^{1,p}(\Omega;\mathbb{R}^N)} = ||u||_{L^p(\Omega;\mathbb{R}^N)} + ||Du||_{L^p(\Omega;M^{N\times n})},$$

where  $Du = (u_{i,j})$ , and we define, as usual,  $W_0^{1,p}(\Omega; \mathbb{R}^N)$  the closure of  $C_0^{\infty}(\Omega; \mathbb{R}^N)$  in the topology of  $W^{1,p}(\Omega; \mathbb{R}^N)$ .

Weak and weak \* convergence of sequences are written  $\rightarrow$  and  $\stackrel{*}{\rightharpoonup}$  respectively. The convex hull of a compact set K in  $M^{N\times n}$  is denoted by conv K. If  $H\subset M^{N\times n}$ ,  $P\in M^{N\times n}$ , we write H+P the set  $\{P+Q:Q\in H\}$ . We define the distance function for a set  $K\subset M^{N\times n}$  by

$$f(P) = \operatorname{dist}(P, K) := \inf_{Q \in K} |P - Q|$$

DEFINITION 2.1 (see Morrey [17], Ball [3, 4], Ball, Currie and Olver [7]). A continuous function  $f: M^{N \times n} \to \mathbb{R}$  is quasiconvex if

$$\int_{U} f(P + D\phi(x)) dx \ge f(P) \operatorname{meas}(U)$$

for every  $P \in M^{N \times n}$ ,  $\phi \in C_0^1(U; \mathbb{R}^N)$ , and every open bounded subset  $U \subset \mathbb{R}^n$ .

To construct quasiconvex functions, we need the following

DEFINITION 2.2 (see Dacorogna [11]). Suppose  $f: M^{N \times n} \to \mathbb{R}$  is a continuous function. The quasiconvexification of f is defined by

$$\sup\{g \leq f; g \ quasiconvex\}$$

and will be denoted by Qf.

PROPOSITION 2.2 (see Dacorogna [11]). Suppose  $f:M^{N\times n}\to\mathbb{R}$  is continuous, then

(2.1) 
$$Qf(P) = \inf_{\phi \in C_0^{\infty}(\Omega; \mathbb{R}^N)} \frac{1}{\operatorname{meas}(\Omega)} \int_{\Omega} f(P + \mathrm{D}\phi(x)) \, \mathrm{d}x,$$

where  $\Omega \subset \mathbb{R}^n$  is a bounded domain. In particular the infimum in (2.1) is independent of the choice of  $\Omega$ .

We use the following theorem concerning the existence and properties of Young measures from Tartar [22]. For results in a more general context and proofs the reader is referred to Berliocchi and Lasry [10], Balder [2] and Ball [6].

THEOREM 2.4. Let  $z^{(j)}$  be a bounded sequence in  $L^{\infty}(\Omega; \mathbb{R}^s)$ . Then there exist a subsequence  $z^{(\nu)}$  of  $z^{(j)}$  and a family  $(\nu_x)_{x\in\Omega}$  of probability measures on  $\mathbb{R}^s$ , depending measurably on  $x\in\Omega$ , such that

$$f(z^{(\nu)}) \stackrel{*}{\rightharpoonup} \langle \nu_x, f(\,\cdot\,) \rangle$$
 in  $L^{\infty}(\Omega)$ 

for every continuous function  $f: \mathbb{R}^s \to \mathbb{R}$ .

Let r > 0 and  $x \in \mathbb{R}^n$ , set  $B(x, r) = \{y \in \mathbb{R}^n : |y - x| < r\}$  and  $\operatorname{meas}(B(x, r)) = \omega_x \times r^n.$ 

DEFINITION 2.5 (The Maximal Function). Let  $\in C_0^{\infty}(\mathbb{R}^n)$ , we define

$$(M^*u)(x) = (Mu)(x) + \sum_{\alpha=1}^n (Mu_{,\alpha})(x)$$

where we set

$$(Mf)(x) = \sup_{r>0} \frac{1}{\omega_n r^n} \int_{B(x,r)} |f(y)| dy$$

for every locally summable f, where  $\omega_n$  is the volume of the n dimensional unit ball.

LEMMA 2.6 (cf. [20, p. 5, Th. 1.(b)]). If  $f \in L^1(\mathbb{R}^n)$ , then for every  $\lambda > 0$ 

$$\operatorname{meas}(\{x\in\mathbb{R}^n: (Mf)(x)>\lambda\}) \leq \frac{C(n)}{\lambda} \int\limits_{\mathbb{R}^n} |f| \, \mathrm{d}x.$$

LEMMA 2.7. If  $u \in C_0^{\infty}(\mathbb{R}^n)$ , then  $M^*u \in C^0(\mathbb{R}^n)$  and

$$|u(x)| + \sum_{\alpha=1}^{n} |u_{\alpha}| \leq (M^*u)(x)$$

for all  $x \in \mathbb{R}^n$ . Moreover (see [20]) if p > 1, then

$$||M^*u||_{L^p(\mathbb{R}^n)} \le c(n,p)||u||_{W^{1,p}(\mathbb{R}^n)}$$

and if  $p \ge 1$ , then

$$\max(\{x \in R^n : (M^*u)(x) \ge \lambda\}) \le \frac{c(n,p)}{\lambda^p} \|u\|_{W^{1,p}(\mathbb{R}^n)}^p$$

for all  $\lambda > 0$ .

LEMMA 2.8 (see [1]). Let  $u \in C_0^{\infty}(\mathbb{R}^n)$  and  $\lambda > 0$ , and set

$$H^{\lambda} = \{x \in \mathbb{R}^n : (M^*u)(x) < \lambda\}.$$

Then for every  $x, y \in H^{\lambda}$  we have

$$\frac{|u(x)-u(y)|}{|x-y|}\leq C(n)\lambda.$$

LEMMA 2.9. Let X be a metric space, E a subspace of X, and k a positive real number. Then any k-Lipschitz mapping from E into  $\mathbb{R}$  can be extended to a k-Lipschitz mapping from X into  $\mathbb{R}$ .

For the proof see [13, p. 298].

#### 3. - Construction of quasiconvex functions

In this section we prove Theorem 1.1. The following lemma is crucial in the prove of the theorem.

LEMMA 3.1. Suppose  $u_j \to 0$  in  $W_0^{1,1}(\Omega; \mathbb{R}^N)$  and there is K > 0 such that

(3.1) 
$$\int_{\Omega \cap \{|Du_j| \ge K\}} |Du_j| \, \mathrm{d}x \to 0 \qquad as \ j \to \infty.$$

Then there exists a bounded sequence  $g_i$  in  $W^{1,\infty}(\Omega;\mathbb{R}^N)$  such that

(3.2) 
$$\int_{\Omega} |Du_j - Dg_j| dx \to 0 \quad as \ j \to \infty.$$

PROOF. For each fixed j, extend  $u_j$  by zero outside  $\Omega$  so that it is defined on  $\mathbb{R}^n$ . Since  $C_0^{\infty}(\mathbb{R}^n, \mathbb{R}^N)$  is dense in  $W_0^{1,1}(\mathbb{R}^n; \mathbb{R}^N)$ , there exists a sequence  $w_j$  in  $C_0^{\infty}(\mathbb{R}^n; \mathbb{R}^N)$  such that

$$\|u_j-w_j\|_{W^{1,1}_0(\mathbb{R}^n;\mathbb{R}^N)}<rac{1}{j},$$

and

$$\int_{\{x \in \mathbb{R}^n : |Dw_j| > 2K\}} |Dw_j(x)| \, \mathrm{d}x \to 0$$

as  $j \to \infty$ , so that we can assume that  $u_j \in C_0^{\infty}(\mathbb{R}^n; \mathbb{R}^N)$ . For each fixed j, i, define

$$H_{i,j}^{\lambda} = \{x \in \mathbb{R}^n : (M^*u_j^i)(x) < \lambda\}, \qquad H_j^{\lambda} = \bigcap_{i=1}^N H_{ij}^{\lambda}, \qquad \lambda \geq 4nK.$$

Lemma 2.8 ensures that for all  $x, y \in H_j^{\lambda}$ ,

$$\frac{\left|u_j^i(x)-u_j^i(y)\right|}{|y-x|}\leq C(n)\lambda.$$

Let  $g_j^i$  be a Lipschitz function extending  $u_j^i$  outside  $H_j^{\lambda}$  with Lipschitz constant not greater than  $C(n)\lambda$  (Lemma 2.9). Since  $H_j^{\lambda}$  is an open set, we have

$$g_j^i(x) = u_j^i(x), \qquad \mathrm{D}g_j^i(x) = \mathrm{D}u_j^i(x)$$

for all  $x \in H_j^{\lambda}$  and

$$\|\mathbf{D}g_{j}^{i}\|_{L^{\infty}(\mathbb{R}^{n})} \leq C(n)\lambda.$$

We may also assume

$$||g_j^i||_{L^{\infty}} \le ||z_j^i||_{L^{\infty}(H_i^{\lambda})} \le C(n)\lambda$$

and set  $g_j^i = (g_j^1, \dots, g_j^N)$ . In order to prove that  $u_j - g_j \to 0$  strongly in  $W^{1,1}(\Omega; \mathbb{R}^N)$ , we have

(3.3) 
$$\int_{\Omega} |\mathrm{D}u_j - \mathrm{D}g_j| \,\mathrm{d}x \leq \int_{\Omega \setminus H_j^{\lambda}} (|\mathrm{D}u_j| + |\mathrm{D}g_j|) \,\mathrm{d}x.$$

Hence the left hand side of (3.2) tends to zero provided that

$$\operatorname{meas}(\Omega \backslash H_i^{\lambda}) \to 0.$$

From the definition of  $H_{ij}^{\lambda}$ , we have

$$\Omega\backslash H_{ij}^\lambda\subset \{x\in\Omega: (Mu^i_j)(x)\geq \lambda/2\} \cup \left\{x\in\Omega: \sum_{\alpha=1}^n \left(M\,\frac{\partial u^i_j}{\partial x_\alpha}\right)(x)\geq \lambda/2\right\},$$

and

$$\left\{x\in\mathbb{R}^n:\sum_{\alpha=1}^n(Mu^i_{j,\alpha})(x)\geq\lambda/2\right\}\subset\bigcup_{\alpha=1}^n\left\{x\in\mathbb{R}^n:(Mu^i_{j,\alpha})(x)\geq\frac{\lambda}{2n}\right\}.$$

Define  $h: \mathbb{R}^n \to \mathbb{R}$  by

$$h(s) = \begin{cases} 0 & \text{as } |s| < K, \\ |s| - K & \text{as } |s| \ge K, \end{cases}$$

so that we can prove that

$$(3.4) \quad \left\{ x \in \mathbb{R}^n : (Mu^i_{j,\alpha})(x) \ge \frac{\lambda}{2n} \right\} \subset \left\{ x \in \mathbb{R}^n : (Mh(\mathrm{D}u^i_j))(x) \ge \frac{\lambda}{2n} - K \right\}.$$

In fact, when  $Mu^i_{j,\alpha}(x) \geq \frac{\lambda}{2n}$ , we have a sequence of  $\epsilon_k > 0$ ,  $\epsilon \to 0$  and a

sequence of balls  $B_k = B(x, \mathbb{R}_k)$  such that

$$\frac{1}{\operatorname{meas}(B_k)}\int\limits_{B_k}|u^i_{j,lpha}|\,\mathrm{d}x\geq rac{\lambda}{2n}-\epsilon_k$$

which implies

$$(3.5) \qquad Mh(\mathrm{D}u^{i}_{j}) \geq \frac{1}{\mathrm{meas}(B_{k})} \int_{B_{k} \cap \{x: |\mathrm{D}u^{i}_{j}(x)| \geq K\}} (|\mathrm{D}u^{i}_{j}| - K) \, \mathrm{d}x$$

$$\geq \frac{\lambda}{2n} - \frac{1}{\mathrm{meas}(B_{k})} \int_{B_{k} \cap \{x: |\mathrm{D}u^{i}_{j}| \leq K\}} |u^{i}_{j,\alpha}| \, \mathrm{d}x$$

$$- \frac{1}{\mathrm{meas}(B_{k})} \int_{B_{k} \cap \{x: |\mathrm{D}u^{i}_{i}(x)| \geq K\}} K \, \mathrm{d}x - \epsilon_{k} \geq \frac{\lambda}{2n} - K - \epsilon_{k}.$$

Passing to the limit  $k \to \infty$  in (3.5), we obtain (3.4) (here we choose  $\frac{\lambda}{2n} > K$ ). From Lemma 2.6, we have

$$\begin{split} \operatorname{meas} \left( \left\{ x \in \mathbb{R}^n : (Mh(\operatorname{D} u^i_j))(x) \geq \frac{\lambda}{2n} - K \right\} \right) \\ & \leq \frac{1}{\frac{\lambda}{2n} - K} \int\limits_{\mathbb{R}^n} |h(\operatorname{D} u^i_j)| \, \mathrm{d}x \leq \frac{1}{\frac{\lambda}{2n} - K} \int\limits_{\{x \in \Omega: |\operatorname{D} u^i_j| \geq K \}} |\operatorname{D} u^i_j| \, \mathrm{d}x \\ & \leq \frac{1}{\frac{\lambda}{2n} - K} \int\limits_{\{x \in \Omega: |\operatorname{D} u_j| \geq K \}} |\operatorname{D} u_j| \, \mathrm{d}x \to 0 \end{split}$$

as  $j \to \infty$ . Also, from Lemma 2.6, together with the embedding theorem, we have

$$\operatorname{meas}(\{x \in \mathbb{R}^n : (Mu^i_j)(x) \ge \lambda/2\}) \le \frac{1}{\lambda/2} \int\limits_{\Omega} |u^i_j| \, \mathrm{d}x \to 0,$$

as  $j \to \infty$ , so that we conclude that

$$\operatorname{meas}(\Omega \backslash H_j^{\lambda}) \to 0$$
 as  $j \to \infty$ .

PROOF OF THEOREM 1.1. It is easy to see that the function

$$F(P) = \max\{0, f(P) - \alpha\}$$

is quasiconvex and satisfies assumption (1), with zero set

$$\{x \in M^{n \times N} : F(P) = 0\} = K_{\alpha}.$$

Define

$$\tilde{f}_{\alpha} = \operatorname{dist}(P; K_{\alpha})$$

and

$$G(P) = Q\tilde{f}_{\alpha}$$
.

We seek to prove that G(P) = 0 if and only if  $P \in K_{\alpha}$ . By definition of quasi-convexification of  $\tilde{f}_{\alpha}$ , G is zero on  $K_{\alpha}$ . Conversely, suppose G(P) = 0, i.e.,

$$0 = G(P) = \inf_{\phi \in C_0^{\infty}(B; \mathbb{R}^N)} \frac{1}{\operatorname{meas}(B)} \int_{B} \tilde{f}_{\alpha}(P + \mathrm{D}\phi) \, \mathrm{d}x$$

for a ball  $B \subset \mathbb{R}^n$ , we have a sequence  $\phi_j \in C_0^{\infty}(\Omega; \mathbb{R}^N)$  such that for  $K \geq 2$  dist $(P; K_{\alpha})$ ,

$$\begin{split} 0 &= \lim_{j \to \infty} \int\limits_{B} \operatorname{dist}(P + \mathrm{D}\phi_{j}, K_{\alpha}) \, \mathrm{d}x \\ &\geq \lim_{j \to \infty} \int\limits_{B \cap \{x \in \Omega: |\mathrm{D}\phi_{j}(x)| \geq K\}} [|\mathrm{D}\phi_{j}| - \operatorname{dist}(P; K_{\alpha})] \, \mathrm{d}x \\ &\geq \lim_{j \to \infty} K/2 \, \mathrm{meas}(\{x \in \Omega: |\mathrm{D}\phi_{j}(x)| \geq K\}), \end{split}$$

hence

$$\int_{\{x\in\Omega: |D\phi_j|\geq K\}} |D\phi_j| \, \mathrm{d}x \to 0$$

as  $j \to \infty$  and  $(|D\phi_j|)$  are equi-integrable on  $\Omega$  with respect to j. Then, by a vector-valued version of the Dunford-Pettis theorem (A & C. Ionescu Tulcea [14, p. 117], Diestel and Uhl [12, p. 101, 76]) there exists a subsequence (still denoted by  $\phi_j$ ) which converges weakly in  $W_0^{1,1}(B;\mathbb{R}^N)$  to a function  $\phi$ . Moreover, by an argument of Tartar [22], and the embedding theorems,  $D\phi(x) \in \text{conv } K_\alpha$  for a.e.  $x \in B$ , so that  $\phi \in W_0^{1,\infty}(B;\mathbb{R}^N)$ .

Define  $\psi_j = \phi_j - \phi$ . Then  $\psi_j$  satisfies all assumptions of Lemma 3.1. Hence there exists a bounded sequence  $g_j \in W^{1,\infty}(B; \mathbb{R}^N)$ , such that

$$\int\limits_{B} |\mathrm{D}\psi_{j} - \mathrm{D}g_{j}| \,\mathrm{d}x \to 0, \qquad g_{j} \stackrel{*}{\to} 0 \qquad \text{in } W^{1,\infty}(B; \mathbb{R}^{N}),$$

as  $j \to \infty$ . Let  $\{\nu_x\}_{x \in B}$  be the family of Young measures corresponding to the sequence  $Dg_j$  (up to a subsequence), we have

(3.6) 
$$\limsup_{j \to \infty} \int_{B} \tilde{f}_{\alpha}(P + \phi + Dg_{j}) dx$$
$$\leq \lim_{j \to \infty} \int_{B} |D\psi_{j} - Dg_{j}| dx + \lim_{j \to \infty} \int_{B} \tilde{f}_{\alpha}(P + D\phi + D\psi_{j}) dx = 0$$

which implies

$$\int_{B} \langle \nu_x, \tilde{f}_{\alpha}(P + \mathrm{D}\phi(x) + \lambda) \rangle \, \mathrm{d}x = 0$$

which further implies

(3.7) 
$$\operatorname{supp} \nu_x \subset K_\alpha - P - \operatorname{D}\phi(x) \text{ for a.e. } x \in B.$$

Since  $g_j \stackrel{*}{\rightharpoonup} 0$  in  $W^{1,\infty}(B; \mathbb{R}^N)$ , by Ball and Zhang [9, Th. 2.1], and (3.7), up to a subsequence, we have

$$0 = F(P + D\phi + Dg_j) \stackrel{*}{\rightharpoonup} \langle \nu_x, F(P + D\phi(x) + \lambda) \rangle \ge F(P + D\phi(x))$$

for a.e.  $x \in B$ , as  $j \to \infty$ . By the definition of quasiconvex functions, we have

$$0 = \int_{B} F(P + D\phi(x)) dx \ge F(P) \operatorname{meas}(B)$$

which implies F(P) = 0,  $P \in K_{\alpha}$ .

Now, for q > 1, define

$$g_q(P) = \max\{[\operatorname{dist}(P, \operatorname{conv} K_\alpha)]^q, Q \operatorname{dist}(P, K_\alpha)\}.$$

It is easy to see that  $g_q$  satisfies (1.1) and (1.2).

PROOF OF COROLLARY 1.2. As in the proof of Theorem 1.1, firstly we have  $P \in K$ , supp  $\nu_x \subset H - P - \mathrm{D}\phi(x)$  for a.e.  $x \in B$ , so that  $P + \mathrm{D}\phi(x) \in \mathrm{conv}\, H \cap K$ . Hence,

$$\frac{1}{\operatorname{meas} B} \int_{B} (P + \mathrm{D}\phi(x)) \, \mathrm{d}x = P \in \operatorname{conv} H \cap K = K.$$

For q > 1, similar argument as above works.

#### 4. - Tartar's conjecture and some examples

With Theorem 1.1 in hand, we can study the connection between out constructions and Tartar's conjecture on oscillations of gradients (cf. Tartar [23], Ball [5]).

TARTAR'S CONJECTURE. Let  $K \subset M^{N \times n}$  be closed and have no rank-one connections, i.e. for every A,  $B \in K$ ,  $\operatorname{rank}(A - B) \neq 1$ . Let  $z_j$  be a bounded sequence in  $W^{1,\infty}(\mathbb{R}^n;\mathbb{R}^N)$  and the Young measures  $(\nu_x)$  associated with  $Dz_j$  satisfies  $\nu_x \subset K$ , and such that  $f(Dz_j)$  is weak-\* convergent in  $L^{\infty}(\mathbb{R}^n)$  for every continuous  $f: M^{N \times n} \to \mathbb{R}$ . Then  $(\nu_x)$  is a Dirac mass.

The answer of this conjecture is, in general, negative (cf. Ball [5]). However, there are a number of cases when Tartar's conjecture is known to be true for gradients under supplementary hypotheses on the set K.

- (i)  $K_1 = \{A, B\}$  with rank(A B) > 1 (Ball and James [8]),
- (ii) n = N > 1, K = SO(n) (Kinderlehrer [15]). In fact, more generally, (see Ball [5]), for n > 1 and

$$K_2 = \{tR : t \ge 0, R \in SO(n)\} := R_+SO(n).$$

Based on these examples, we can use similar argument as in the proof of Theorem 1.1 to prove the following

THEOREM 4.1. Suppose  $K \subset M^{N \times n}$  has no rank-one connections and Tartar's conjecture is known to be true for K. Moreover, for any bounded  $Q^{1,\infty}$  sequence with Young measures  $\nu_x \subset K$  has the property that  $\nu_x = \delta_T$  with T a constant matrix in K ( $T = \langle \nu_x, \lambda \rangle$ ). Then for any non-empty compact subset  $H \subset K$ , any  $1 \le p < \infty$ , there exist a continuous quasiconvex function  $f \ge 0$ , such that

- (i)  $c(p)|P|^p C(P) \le f(P) \le C_1(p)(1+|P|)^p$ , with c(p),  $C_1(p) > 0$ ,  $C(p) \ge 0$ ;
- (ii)  ${P \in M^{N \times n} : f(P) = 0} = H.$

REMARK 4.2. In the case  $K = K_1$ , Kohn [16] constructs a quasiconvex function with the above properties when p = 2 and n, N > 1 arbitrary; Šverák [21] does the same in the case  $p \ge 1$ , n = N = 2.

PROOF OF THEOREM 4.1. We employ a similar argument as that of Theorem 1.1.

Firstly, we construct a quasiconvex function with linear growth. Define as before

$$G(P) = \operatorname{dist}(P, H)$$
 and  $f(P) = QG(P)$ 

and assume that

$$f(P) = \inf_{\phi \in C_0^{\infty}(B; \mathbb{R}^N)} \int_B G(P + D\phi) dx = 0$$

to derive a sequence  $\phi_j \to \phi$  in  $W_0^{1,1}(B;\mathbb{R}^N)$  with  $\phi \in W_0^{1,\infty}(B;\mathbb{R}^N)$ . In fact, we can assume  $\phi_j \in C_0^\infty(\mathbb{R}^n;\mathbb{R}^N)$  and  $\phi \in W_0^{1,\infty}(\mathbb{R}^n;\mathbb{R}^N)$  supported in B. It is easy to see that  $D\phi_j$  converges in measure to the set H-P. Let  $g_j$  be the approximate sequence in  $W^{1,\infty}(\mathbb{R}^n;\mathbb{R}^N)$ , we have the Young measures  $(\nu_x)_{x\in\mathbb{R}^n}$  associated with  $Dg_j$  satisfy supp  $\nu_x \subset H-P-D\phi(x)$  for a.e.  $x\in\mathbb{R}^n$ . Therefore, the Young measures associated with  $P+D\phi(x)+Dg_j(x)$  will be supported in  $H\subset K$ , so that from the assumption, they are the same Dirac measure. Since  $\langle \nu_x,\lambda\rangle=Dg(x)=0,\ P+D\phi(x)=\mathrm{constant}\in H$ . Therefore  $\phi=0$  a.e. and  $P\in H$ .

EXAMPLE 4.3. Let  $K_1 = \{A, B\}$  with  $A, B \in M^{N \times n}$  and assume that rank (A-B) > 1. It is known (Kohn [16]) that there exists a non-negative quasiconvex function f with quadric growth, such that

$${P \in M^{N \times n} : f(P) = 0} = {A, B}.$$

From Theorem 1.1, the zero set of the quasiconvex function with linear growth  $Q \operatorname{dist}(P; K_1)$  should be  $K_1$ .

EXAMPLE 4.4. Let  $K_2 = \{P = tQ : t \ge 0, Q \in SO(n)\} = R_+SO(n)$  and let H be any non-empty compact subset of  $K_2$ . Then, we can apply Theorem 4.1 and a result due to Reshetnyak [18], [19] to show that

$${P \in M^{n \times n} : Q \operatorname{dist}(P, H) = 0} = H.$$

Here we employ the approach based on an argument of Ball [5]. Following the proof of Theorem 1.1, the Young measures  $\{\nu_x\}_{x\in B}$  associated with  $Dg_j$  are supported in  $H-P-D\phi(x)$  for a.e.  $x\in B$ . Let us consider the quasiconvex function (see, e.g. Ball [5])

$$F(P) = |P|^n - n^{n/2} \det P$$

which is non-negative and has  $K_2$  as its zero set. We have

$$\begin{split} 0 &= \liminf_{j \to \infty} \int\limits_{B} F(P + \mathrm{D}\phi + \mathrm{D}g_{j}) \,\mathrm{d}x \\ &= \int\limits_{B} \left\langle \nu_{x}, F(P + \mathrm{D}\phi(x) + \lambda) \right\rangle \,\mathrm{d}x \geq \int\limits_{B} F(P + \mathrm{D}\phi(x)) \,\mathrm{d}x \geq F(P) \,\mathrm{meas}(B). \end{split}$$

Since the function  $|\cdot|^n$  is strictly convex and

$$\int\limits_{B} \left\langle \nu_{x}, \, \det(P + \mathrm{D}\phi(x) + \lambda) \right\rangle \, \mathrm{d}x = \int\limits_{B} \, \det(P + \mathrm{D}\phi(x)) \, \mathrm{d}x = \det P \, \mathrm{meas}(B),$$

we have

$$\int\limits_{B} \langle \nu_x, |P + \mathrm{D}\phi(x) + \lambda|^n \rangle \, \mathrm{d}x = \int\limits_{B} |P + \mathrm{D}\phi(x)|^n \, \mathrm{d}x = |P|^n \, \mathrm{meas}(B)$$

which implies

$$\nu_x = \delta_0$$
, and  $D\phi(x) = 0$  a.e.

so that  $P \in H$ .

REMARK 4.5. Since any non-empty compact subset of  $R_+SO(n)$  can be the zero set of some non-negative quasiconvex function, the topology of zero sets for quasiconvex functions can be very complicated. For example, let K be any compact subset of  $\mathbb{R}^2$ , define

$$K_1 = \left\{ \begin{pmatrix} a & b \\ -b & a \end{pmatrix} : (a,b) \in K \right\},$$

then  $K_1 \subset R_+SO(2)$  and has the same topology as K.

REMARK 4.6. The method used in the proof of Theorem 1.1 depends heavily on the compactness of the level set  $K_{\alpha}$ . I do not know, for example, whether the function  $Q \operatorname{dist}(P, R_{+}SO(n))$  has  $R_{+}SO(n)$  as its zero set or not.

#### Acknowledgement

I am very grateful to Professor J.M. Ball for his continuous advice and many helpful suggestions and discussions. I wish also to thank Professor R.D. James and V. Šverák for suggestions and discussions. This work was done while on leave from Peking University and visiting Heriot-Watt University with the support of the UK Science and Engineering Research Council.

#### REFERENCES

- [1] E. ACERBI N. FUSCO, Semicontinuity problems in the calculus of variations, Arch. Rational Mech. Anal., 86 (1984) 125-145.
- [2] E.J. BALDER, A general approach to lower semicontinuity and lower closure in optimal control theory, SIAM J. Control Optim., 22 (1984) 570-597.
- [3] J.M. Ball, Convexity conditions and existence theorems in nonlinear elasticity, Arch. Rational Mech. Anal., 63 (1977) 337-403.
- [4] J.M. BALL, Constitutive inequalities and existence theorems in nonlinear elasticity, in "Nonlinear Analysis and Mechanics: Heriot-Watt Symposium". Vol. 1 (edited by R.J. Knops), Pitman, London, 1977.
- [5] J.M. BALL, Sets of gradients with no rank-one connections, Preprint, 1988.
- [6] J.M. BALL, A version of the fundamental theorem of Young measures, to appear in Proceedings of Conference on "Partial Differential Equations and Continuum Models of Phase Transitions", Nice, 1988 (edited by D. Serre), Springer.
- [7] J.M. BALL J.C. CURRIE P.J. OLVER, Null Lagrangians, weak continuity, and variational problems of arbitrary order, J. Funct. Anal., 41 (1981) 135-174.
- [8] J.M. BALL R.D. JAMES, Fine phase mixture as minimizers of energy, Arch. Rational Mech. Anal., 100 (1987) 13-52.
- [9] J.M. BALL KEWEI ZHANG, Lower semicontinuity of multiple integrals and the biting lemma, Proc. Roy. Soc. Edinburgh, 114A (1990) 367-379.
- [10] H. BERLIOCCHI J.M. LASRY, Intégrandes normales et mesures paramétrées en calcul des variations, Bull. Soc. Math. France, 101 (1973) 129-184.
- [11] B. DACOROGNA, Weak Continuity and Weak Lower Semicontinuity of Nonlinear Functionals, Lecture Notes in Math. Springer-Verlag, Berlin. Vol. 922 (1980).
- [12] I. DIESTEL J.J. UHL JR., Vector Measures, American Mathematical Society, Mathematics Surveys, No. 15, Providence, 1977.
- [13] I. EKELAND R. TEMAM, Convex Analysis and Variational Problems, North-Holland, 1976.
- [14] IONESCU TULCEA, Topics in the Theory of Lifting, Springer, New York, 1969.
- [15] D. KINDERLEHRER, Remarks about equilibrium configurations of crystals, in "Material Instabilities in Continuum Mechanics" (ed. J.M. Ball), Oxford University Press, (1988) 217-241.
- [16] R. KOHN, personal communication.
- [17] C.B. MORREY, Multiple Integrals in the Calculus of Variations, Springer-Verlag, New York, 1966.
- [18] Y.G. RESHETNYAK, On the stability of conformal mappings in multidimensional spaces, Siberian Math. J., 8 (1967) 69-85.
- [19] Y.G. RESHETNYAK, Stability theorems for mappings with bounded excursion, Siberian Math. J., 9 (1968) 499-512.
- [20] E.M. STEIN, Singular Integrals and Differentiability Properties of Functions, Princeton University Press, Princeton (1970).
- [21] V. ŠVERÁK, Quasiconvex functions with subquadratic growth, Preprint, 1990.

- [22] L. TARTAR, Compensated compactness and applications to partial differential equations, in "Nonlinear Analysis and Mechanics: Heriot-Watt Symposium", Vol. IV (edited by R.J. Knops), Pitman 1979.
- [23] L. TARTAR, The compensated compactness method applied to system of conservation laws, in "Systems of Nonlinear Partial Differential Equations", NATO ASI Series, Vol. C 111 (edited by J.M. Ball), Reidel, 1982, 263-285.

School of Mathematics, Physics, Computing and Electronics Macquarie University North Ryde, NSW 2109 Australia