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MORIIHIKO SAITO

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Supplement to "Gauss-Manin system and mixed Hodge structure"

by Morihiko Saito

This note is a supplement to "Gauss-Manin system and mixed Hodge structure"(cited as [Sa]), which is submitted for publication in Proceedings of the Japan Academy. In this supplement, we discuss the following questions, which we could not discuss in full detail in the paper:

- 1) the necessity of a unipotent base change in the formulation of the result of Scherk and Steenbrink (e.g., counter-examples to the first formulation of Scherk, Steenbrink and Pham, cf.[Ph]),
- 2) the difference between the limit Hodge filtration of Schmid (which is obtained using a unipotent base change) and the limit of Hodge filtration which is obtained without a base change.

§1. The main point of the paper [Sa] is the following: in the formulation of the result of Scherk and Steenbrink, it is necessary to take a unipotent base change. We give two examples in which the first formulation of Scherk, Steenbrink and Pham as stated in [Ph] does not apply. the first version of

(1.1) First we review the notations in [Sa],[SS] and [Ph].

Let $f: \mathbb{C}^{n+1}_{,0} \rightarrow \mathbb{C}_{,0}$ be a holomorphic function with an isolated singularity, and let $f: X \rightarrow S$ be a Milnor fibration so that $H_X := R^n f_* \mathbb{C}_X |_{S^*}$ is a local system on $S^* = S - \{0\}$. There is a natural extension \mathcal{H} of H_X to the origin as a locally free \mathcal{O}_S -Module with a regular singular connection ∇ , such that the eigenvalues

of $\text{res}(t\nabla_{d/dt})$ are in $(-1,0]$. (\mathcal{A} is denoted by \mathcal{L}_X in [Sa,(1.3)].) There is another extension $\mathcal{H}_X^{(o)}$, which we call the Brieskorn lattice. $\mathcal{H}_X^{(o)}$ is a locally free \mathcal{O}_S -Module with a regular singular connection such that $\mathcal{H}_{X,o}^{(o)} \cong \Omega_{X,o}^{n+1} / d\mathcal{F} \wedge d\Omega_{X,o}^{n-1}$. It is known that there is a natural inclusion $\mathcal{H}_{X,o}^{(o)} \subset \mathcal{A}$ (by Malgrange), which is \mathcal{O}_S -linear, preserves the connection and induces an isomorphism on S^* . $\mathcal{H}_{X,o}^{(o)}$ is also a free $\mathbb{C}\{\{\partial_t^{-1}\}\}$ -module of rank μ , where $\mathbb{C}\{\{\partial_t^{-1}\}\} = \{ \sum_{i \geq 0} a_i \partial_t^{-i} : \sum a_i r^i / i! < \infty \exists r > 0 \}$ and $\partial_t = \nabla_{d/dt}$ (Malgrange, Pham).

The Gauss-Manin system $\int_f^{\circ} \mathcal{O}_X$ is defined as an integration of system (cf. [Ph],[Sa]). $\int_f^{\circ} \mathcal{O}_X$ contains \mathcal{A} and $\mathcal{H}_{X,o}^{(o)}$ naturally, and it is a holonomic system on S such that $\text{DR}(\int_f^{\circ} \mathcal{O}_X) = R^n f_* \mathbb{C}_X$. ($\int_f^{\circ} \mathcal{O}_X$ is denoted by \mathcal{H}_X in [Sa].)

Let $X_{\infty} := X^* \times_S U$ be a base change of X^* by the universal covering $p: U \rightarrow S^*$. We set $H_{\infty} := H^n(X_{\infty}, \mathbb{C}) (\cong \Gamma(U, \rho^* H_X))$, i.e., H_{∞} is the set of multivalued horizontal sections of H_X .

We have an isomorphism $H_{\infty} \cong \mathcal{A}_0 / t\mathcal{A}_0$, by $u \rightarrow \exp(-\log t \log M / 2\pi\sqrt{-1}) u$, where M is the monodromy of H_X and the eigenvalues of $\log M$ are in $[0,1)$. Here we regard \mathcal{A} as a subsheaf of $j_*(\mathcal{O}_S \otimes H_X)$, where $j: S^* \rightarrow S$ is an inclusion.

(1.2) The ^{first} formulation of Scherk, Steenbrink and Pham (cf.[Ph]) asserts the following.

Let $\{F_{St}^{\bullet}\}$ be the Hodge filtration of Steenbrink on H_{∞} , then we have

$$(1.2.1) \quad F_{St}^p = \partial_t^{n-p} \mathcal{H}^{(o)} \cap \mathcal{A}_0 / \partial_t^{n-p} \mathcal{H}^{(o)} \cap t\mathcal{A}_0 \subset \mathcal{A}_0 / t\mathcal{A}_0 \cong H_{\infty}$$

for any p , where we set $\mathcal{H}^{(o)} := \mathcal{H}_{X,o}^{(o)}$ and take intersections in $\int_f^{\circ} \mathcal{O}_X$.

By a result of Steenbrink, $\{F_{st}^i\}$ is compatible with the monodromy decomposition $H_\infty = \bigoplus_\lambda H_{\infty, \lambda}$, where $H_{\infty, \lambda} = \{u \in H_\infty : (M-\lambda)^{n+1} u = 0\}$. First we give an example for $n = p = 1$ that $\mathcal{H}^{(0)} / \mathcal{H}^{(0)} \cap t \mathcal{S}_0$ is not compatible with the decomposition (hence (1.2.1) does not hold.)

(1.3) Example 1. $f = x^5/5 + y^5/5 + \frac{a}{\lambda} x^3 y^3 / 3$.

This is the first example in which b-function changes under a μ -constant deformation (i.e., $b(s) = (s+1) \prod_{i=2}^8 (s + i/5)$ for $a=0$, and $b(s) = (s+1) \prod_{i=2}^7 (s + i/5)$ for $a \neq 0$ (by T. Miwa).)

We assume now $a \neq 0$.

We have a $\mathbb{C}\{\{\partial_t^{-1}\}\}$ -basis $\{w_{ij} = x^{i-1} y^{j-1} dx \wedge dy\}_{i,j=1, \dots, 4}$ of $\mathcal{H}^{(0)}$. Let $\tilde{\mathcal{H}}^{(0)} = \sum_{i=0}^1 (\partial_t)^i \mathcal{H}^{(0)}$ be the saturation of $\mathcal{H}^{(0)}$. Then we have

$$\tilde{\mathcal{H}}^{(0)} = \sum_{(i,j) \neq (4,4)} \mathbb{C}\{\{\partial_t^{-1}\}\} w_{ij} + \mathbb{C}\{\{\partial_t^{-1}\}\} \partial_t w_{44}$$

Set

$$V^0 := \sum_{j=1}^3 \mathbb{C}\{\{\partial_t^{-1}\}\} w_{jj} + \mathbb{C}\{\{\partial_t^{-1}\}\} \partial_t w_{44},$$

$$V^k := \sum_{i-j \equiv k \pmod{5}} \mathbb{C}\{\{\partial_t^{-1}\}\} w_{ij} \quad \text{for } k=1, \dots, 4.$$

We can verify that for $k=0, \dots, 4$, V^k is an $\mathcal{E}^{(0)}$ -submodule of $\tilde{\mathcal{H}}^{(0)}$. ($\mathcal{E}^{(0)} \doteq \mathbb{C}\{t\}\{\{\partial_t^{-1}\}\}$) For there is a decomposition

$$\mathbb{C}\{x,y\} dx \wedge dy = \bigoplus_{k=0}^4 \left\{ \sum_{i-j \equiv k \pmod{5}} a_{ij} x^{i-1} y^{j-1} dx \wedge dy \right\}$$

of $\Omega_{X,0}^2$ which induces the one on $\mathcal{H}^{(0)}$ such that the action of t and ∂_t^{-1} are compatible with it.

Hence there is a decomposition $\mathcal{S} = \bigoplus_{i=0}^4 \mathcal{S}^i$ (resp. $H_X = \bigoplus H_X^i$, resp. $H_\infty = \bigoplus H_\infty^i$) as locally free \mathcal{O}_S -Modules with connection (resp. as local systems, resp. as vector spaces with monodromy action) such that $V^i = \tilde{\mathcal{H}}^{(0)} \cap \mathcal{S}^i$ (resp. \mathcal{S}^i is an extension of H_X^i , resp. $H_\infty^i = \Gamma(U, \rho^* H_X^i)$).

The action of ∂_t on V^0 / tV^0 is given by the following matrix,

$$\begin{array}{ccccc}
 & w_{11} & \partial_t w_{44} & w_{22} & w_{33} \\
 w_{11} & 2/5 & 0 & 0 & 0 \\
 \partial_t w_{44} & -a/15 & 3/5 & 0 & 0 \\
 w_{22} & * & * & 4/5 & 0 \\
 w_{33} & * & * & * & 6/5
 \end{array}$$

This implies

$$\begin{aligned}
 w_{33} &\equiv 0 && (\text{mod } t\mathcal{A}) \\
 w_{22} &\equiv t^{-1/5} \otimes u_4 && (\text{mod } t\mathcal{A}) \\
 \partial_t w_{44} &\equiv t^{-2/5} \otimes u_3 && (\text{mod } t\mathcal{A} + \mathbb{C}w_{22}) \\
 w_{11} &\equiv t^{-3/5} \otimes u_2 - (a/3)t^{-2/5} \otimes u_3 && (\text{mod } t\mathcal{A} + \mathbb{C}w_{22})
 \end{aligned}$$

where $\{u_i\}_{i=1, \dots, 4}$ is a basis of H_∞^0 such that $M u_i = \exp(-2\pi\sqrt{-1} i/5) u_i$.

Thus we have $(\mathcal{H}^{(0)} / \mathcal{H}^{(0)} \cap t\mathcal{A}_0) \cap H_\infty^0 = \mathbb{C}u_4 + \mathbb{C}(u_2 - (a/3)u_3)$, hence $\mathcal{H}^{(0)} / \mathcal{H}^{(0)} \cap t\mathcal{A}_0$ is not compatible with the monodromy decomposition, because we have $\mathcal{H}^{(0)} = \bigoplus_i \mathcal{H}^{(0)} \cap \mathcal{A}^i$.

Remark. We have $F_{St}^1 \cap H_\infty^0 = \mathbb{C}u_2 + \mathbb{C}u_4$, because we have

$$\tilde{t}^3 \pi^* w_{11} \equiv 1 \otimes u_2 \pmod{\tilde{t}\tilde{\mathcal{A}}},$$

where $\pi: \tilde{S} \rightarrow S$ is a 5-fold covering such that $\pi^*t = \tilde{t}^5$ and $\tilde{\mathcal{A}}$ ($= \tilde{\mathcal{A}}_X$ in [Sa]) is an extension of π^*H_X as in (1.1) (cf. [Sa(3.2)]).

(1.4) Example 2.

Let $f: \mathbb{C}^2, 0 \rightarrow \mathbb{C}, 0$ be a holomorphic function such that $\{f=0\}$ is an irreducible and reduced curve. We show that $F_{St}^1 \neq \mathcal{H}^{(0)} / \mathcal{H}^{(0)} \cap t\mathcal{A}_0$ if f is not quasi-homogeneous.

Proof) By a result of Lê and A'Campo, the local monodromy is semi-simple and $H_{\infty,1} = \{0\}$. Suppose $F_{St}^1 = \mathcal{H}^{(0)} / \mathcal{H}^{(0)} \cap t\mathcal{A}_0$ holds.

There is a basis $\{u_j\}_{j=1, \dots, \mu}$ of H_∞ such that $F_{St}^1 = \sum_{j=1}^{\mu/2} \mathbb{C}u_j$ and $M u_j = \exp(-2\pi\sqrt{-1} \alpha_j) u_j$, for F_{St}^1 is compatible with the monodromy decomposition. We may assume that $-1 < \alpha_j < 0$ ($1 \leq j \leq \mu/2$), $0 < \alpha_j < 1$ ($\mu/2 < j \leq \mu$) and $\alpha_j + \alpha_{\mu+1-j} = 0$ by the duality of exponents.

We set $v := t^{\alpha_j} \otimes u_j \in \mathcal{A}$ for $j=1, \dots, \mu$ and $V := \sum_{j=1}^{\mu} \mathbb{C}\{t\}v_j \subset \mathcal{A}$. V is a free \mathcal{O}_S -Module containing $\mathcal{H}_X^{(0)}$, because of $F_{St}^1 = \mathcal{H}^{(0)} / \mathcal{H}^{(0)} \cap t\mathcal{A}_0$.

Let $\{\gamma_i(t)\}_{i=1, \dots, \mu}$ be a multivalued horizontal basis of $\bigsqcup_{t \in S^*} H_1(X_t, \mathbb{C})$ and $\{w_j\}_{j=1, \dots, \mu}$ be a \mathcal{O}_S -basis of $\mathcal{H}_X^{(0)}$. Then $(\det(\int \gamma_i(t) v_j))^2$ and $(\det(\int \gamma_i(t) w_j))^2$ are both nowhere vanishing holomorphic functions on S , due to the duality of exponents and a lemma of Kyoji Saito.

Then we have $V = \mathcal{H}^{(0)}$, for there is a basis $\{e_i\}$ of V such that $\{t^{m_i} e_i\}$ is a basis of $\mathcal{H}^{(0)}$ ($m_i \geq 0$).

It is clear that $\mathcal{H}^{(0)} = V$ is saturated (i.e., $t\partial_t V \subset V$). Hence f is quasihomogeneous by a result of Kyoji Saito. Q.E.D.

Remark. In general, we can show the following.

Let $f: \mathbb{C}^2, 0 \rightarrow \mathbb{C}, 0$ be a holomorphic function with an isolated singularity. We assume that the local monodromy of f is semi-simple. Then $\mathcal{H}^{(0)} / \mathcal{H}^{(0)} \cap t\mathcal{A}_0$ is compatible with the monodromy decomposition, if and only if f is quasihomogeneous.

Problem. For $n=1$, does the subspace $\mathcal{H}^{(0)} / \mathcal{H}^{(0)} \cap t\mathcal{A}_0$ of H_∞ determine the local moduli of f in the family of μ -constant deformation? In general, does $\mathcal{H}^{(0)} \subset \mathcal{A}$ determine the local moduli of f in the μ -constant family?

§2. The examples in §1 mean that the proof of the formulation of Scherk, Steenbrink and Pham such as the first version of stated in [Ph] is not complete. This contradiction comes from the following.

(2.1) Let $(H_Z, \mathcal{F}^\bullet)$ be a polarizable variation of Hodge structure of weight n on S^* : i.e., H_Z is a local system on S^* , \mathcal{F}^\bullet are holomorphic subbundles of $\mathcal{O}_{S^*} \otimes H_Z$ such that $\partial_t \mathcal{F}^p \subset \mathcal{F}^{p-1}$, and there is a bilinear form $H_Z \otimes H_Z \rightarrow \mathbb{Z}$ such that they induce a polarized Hodge structure on $H_{\mathbb{C},t}$ for $\forall t \in S^*$. Here $H_{\mathbb{C}} = R^1 \bar{f}_* \mathbb{C}_Y |_{S^*}$ and $\bar{f} : Y \rightarrow S$ is a compactification of a Milnor fibration $f : X \rightarrow S$, cf. [Sa, (1.1)].

Then \mathcal{F}^\bullet can be extended to the origin as subbundles $\hat{\mathcal{F}}^\bullet$ of \mathcal{J} , where \mathcal{J} is an extension of $H_{\mathbb{C}} = \mathbb{C} \otimes H_Z$ as in (1.1). But the limit filtration $\hat{\mathcal{F}}^\bullet|_{t=0}$ of $H_{\mathbb{C},\infty} \simeq \mathcal{J}/t\mathcal{J}$ is different from the filtration F_∞^\bullet of Schmid, which is obtained using a unipotent base change by Steenbrink. ($H_{\mathbb{C},\infty} := \Gamma(U, \rho^* H_{\mathbb{C}})$, cf. (1.1))

(2.2) First we show the existence of the extension $\hat{\mathcal{F}}^\bullet$.

We fix the coordinates t and z of S and U such that $S = \{|t| < 1\}$, $U = \{\text{Im } z > 0\}$ and $\rho^* t = \exp(2\pi\sqrt{-1} z)$.

A natural isomorphism $H_{\mathbb{C},\infty} = \Gamma(U, \rho^* H_{\mathbb{C}}) \xrightarrow{\sim} (\rho^* H_{\mathbb{C}})_Z$ induces a Hodge filtration F_Z^\bullet on $H_{\mathbb{C},\infty}$, which depends holomorphically on z . As we have $F_{Z+1}^\bullet = M^{-1} F_Z^\bullet$ for $\forall z \in U$, $\exp(z \log M) F_Z^\bullet$ are filtrations on $H_{\mathbb{C},\infty}$ which depend only on $t = \exp(2\pi\sqrt{-1} z)$.

Let $M = M_S M_U$ be the Jordan decomposition of M and set $N := \log M_U$ (N is nilpotent). As M_S has a finite order e (cf. [Sc, (6.1)]), $\exp(z N) F_Z^\bullet$ depends on $\tilde{t} := \exp(2\pi\sqrt{-1} z/e)$.

The Theorem of Schmid [Sc, 6.16] assures that there exists

a limit $F_\infty^\bullet = \lim_{\text{Im } z \rightarrow \infty} \exp(zN) F_z^\bullet$ in the flag manifold of $H_{\mathbb{C}, \infty}$, such that the Hodge filtration $\{F_\infty^\bullet\}$ and the monodromy ^{weight} filtration $\{W_\bullet\}$ determine a mixed Hodge structure on $H_{\mathbb{Z}, \infty}$.

Using this theorem we show the existence of $\hat{\mathcal{F}}^\bullet$.

If we choose an \mathcal{O}_S -basis of \mathcal{J} , the subbundles \mathcal{F}^\bullet determine a holomorphic map $\phi: S^* \rightarrow \text{Flag}(\mathbb{C}^m)$, and the existence of $\hat{\mathcal{F}}^\bullet$ is equivalent to the extension of ϕ on S .

Let $\{u_{ij}\}_{i=1, \dots, \ell, j=0, \dots, r_i-1}$ be a basis of $H_{\mathbb{C}, \infty}$ such that $-N/(2\pi\sqrt{-1}) u_{ij} = u_{i, j-1}$ ($u_{i, -1} := 0$), $M_S u_{ij} = \exp(2\pi\sqrt{-1} a_i/e) u_{ij}$ ($a_i \in [0, e-1]$).

Then $\{v_{ij} = \exp(-\log t \log M/2\pi\sqrt{-1}) u_{ij}\}_{ij}$ (resp. $\{\tilde{v}_{ij} = \exp(-\log \tilde{t} eN/2\pi\sqrt{-1}) u_{ij}\}_{ij}$) is a \mathcal{O}_S - (resp. $\mathcal{O}_{\tilde{S}}$ -) basis of \mathcal{J} (resp. $\tilde{\mathcal{J}}$), where the eigenvalues of $\log M$ are in $[0, 1]$. We remark that in general we have $\tilde{\mathcal{J}} \neq \pi^* \mathcal{J}$, i.e., there is a natural inclusion $\tilde{\mathcal{J}} \subset \pi^* \mathcal{J}$ such that $\pi^* v_{ij} = \tilde{t}^{-a_i} \tilde{v}_{ij}$.

Using these basis, \mathcal{F}^\bullet (resp. $\tilde{\mathcal{F}}^\bullet := \pi^* \mathcal{F}^\bullet$) can be identified with a holomorphic map $\phi: S^* \rightarrow \text{Flag}(H_{\mathbb{C}, \infty})$ (resp. $\tilde{\phi}: \tilde{S}^* \rightarrow \text{Flag}(H_{\mathbb{C}, \infty})$) such that $\phi(t) = \exp(z \log M) F_z^\bullet$ (resp. $\tilde{\phi}(\tilde{t}) = \exp(zN) F_z^\bullet$), for $t = \exp(2\pi\sqrt{-1} z)$ (resp. $\tilde{t} = \exp(2\pi\sqrt{-1} z/e)$).

Using Plücker coordinates, we can regard ϕ (resp. $\tilde{\phi}$) as $\phi = (\phi_0(t) : \dots : \phi_k(t)) : S^* \rightarrow \mathbb{P}^k$ (resp. $\tilde{\phi} = (\tilde{\phi}_0(\tilde{t}) : \dots : \tilde{\phi}_k(\tilde{t})) : \tilde{S}^* \rightarrow \mathbb{P}^k$), where ϕ_i (resp. $\tilde{\phi}_i$) are holomorphic functions on S^* (resp. \tilde{S}^*). Moreover, there are holomorphic functions g_i on \tilde{S} such that $\phi_i(\pi(\tilde{t})) = g_i(\tilde{t}) \tilde{\phi}_i(\tilde{t})$, because we have $\pi^* v_{ij} = \tilde{t}^{-a_i} \tilde{v}_{ij}$ and vector bundles on S^* are trivial.

By the result of Schmid, $\tilde{\phi}$ can be extended to the origin holomorphically. Hence there is a nowhere vanishing holomorphic function h on \tilde{S}^* such that $h \cdot \tilde{\phi}_i$ and $h \cdot \pi^* \phi_i$ are holomorphic

at the origin. Let $h(\tilde{t}) = \sum_{j=0}^{e-1} h_j(\pi(\tilde{t})) \cdot \tilde{t}^j$ be a decomposition of h such that h_j are holomorphic functions on S^* . Then $h_j \cdot \phi_i$ are extended to the origin, and also is ϕ . Q.E.D.

(2.3) The reason why $\hat{\mathcal{F}}|_{t=0} \neq F_\infty^\circ$ is obvious from the proof. If $\tilde{\phi} = \phi \circ \pi$, they coincide, but this does not hold in general.

Example 3. Let $H_{\mathbf{Z}}$ be a local system on S^* , having a multi-valued basis $\{e_1, e_2\}$ such that $M e_1 = e_2$, $M e_2 = -e_1 - e_2$, where M is the monodromy of $H_{\mathbf{Z}}$ ($M^3=1$). We define a skew symmetric bilinear form \langle, \rangle on $H_{\mathbf{Z}}$ by $\langle e_1, e_2 \rangle = 1$, and a Hodge subbundle $\mathcal{F}^1 := \mathcal{O}_{S^*} \otimes v \subset \mathcal{O} \otimes H_{\mathbf{Z}}$ by $v := g(t) \otimes e_1 + h(t) \otimes e_2$, where $g(t) := -a t^{-1/3} + \zeta t^{-2/3}$, $h(t) := a \zeta t^{-1/3} - t^{-2/3}$, $\zeta^3 = 1$, $\text{Im } \zeta > 0$, $a \in \mathbb{C}$, $a \neq 0$ and $|a| \ll 1$.

It is easy to see that they form a polarized variation of Hodge structure of weight 1. (We set $\mathcal{F}^0 := \mathcal{O} \otimes H_{\mathbf{Z}}$, $\mathcal{F}^2 := \{0\}$.) For example, $\sqrt{-1} \langle v, \bar{v} \rangle = -2 \text{Im } g \bar{h} > 0$ comes from $\text{Im } \zeta > 0$ and $|a| \ll 1$.

We define another basis $\{u_1, u_2\}$ of $H_{\mathbb{C}, \infty} = \Gamma(U, \rho^* H_{\mathbb{C}})$ by $u_1 := -e_1 + \zeta e_2$, $u_2 := \zeta e_1 - e_2$ such that $M u_1 = \zeta u_1$, $M u_2 = \zeta^{-1} u_2$ and $v = a t^{-1/3} \otimes u_1 + t^{-2/3} \otimes u_2$.

Then we have

$$\begin{aligned} \phi(t) &= \mathbb{C} (a u_1 + u_2) \quad (\subset H_{\mathbb{C}, \infty}) \quad \text{for } \forall t \in S^* , \\ \tilde{\phi}(\tilde{t}) &= \mathbb{C} (a \tilde{t} u_1 + u_2) \quad (\subset H_{\mathbb{C}, \infty}) \quad \text{for } \forall \tilde{t} \in \tilde{S}^* . \end{aligned}$$

Hence $\phi(0) = \mathbb{C} (a u_1 + u_2) \neq \tilde{\phi}(0) = \mathbb{C} u_2$ ($\because a \neq 0$).

§3. Some remarks.

(3.1) The use of the Gauss-Manin system $\int^{\circ} \mathcal{O}_X$ in the formulation of the result of Scherk-Steenbrink was first claimed by F. Pham (cf. [Ph]). One might think that $\int^{\circ} \mathcal{O}_X$ and $\int^{\circ} \mathcal{O}_X \otimes_{\mathbb{Q}} \mathcal{O}_s[t^{-1}] = \mathcal{L} \otimes_{\mathbb{Q}} \mathcal{O}_s[t^{-1}]$ would produce the same filtration, because we are considering the limit of the filtration on $S^* = S - \{0\}$. But this is not true, because the fundamental short exact sequence

$$0 \longrightarrow \mathbb{Q} \otimes_{\mathbb{Q}} \mathcal{O}_s \longrightarrow \int^{\circ} \mathcal{O}_Y \longrightarrow \int^{\circ} \mathcal{O}_X \longrightarrow 0$$

does not split as \mathcal{O}_s -Modules in general, and we have an inclusion $\int^{\circ} \mathcal{O}_Y \subset \int^{\circ} \mathcal{O}_Y \otimes_{\mathbb{Q}} \mathcal{O}_s[t^{-1}]$ (cf. [Sa (2.5), (3.5)]). (The above exact sequence was found independently by F. Pham (cf. [Ph 4.1]).)

(3.2) The rest of the proof of Theorem (3.2) in [Sa] is almost the same as Lemma 2 in [Va]. It is possible to prove the theorem without using it. For we can show the following. Let $\bar{Y} \rightarrow Y$ be a modification which is isomorphic on S^* . (\bar{Y} is smooth) Then $\int^{\circ} \mathcal{O}_Y$ is a direct factor of $\int^{\circ} \mathcal{O}_{\bar{Y}}$ as a filtered complex (cf. [Sa]'),

(3.3) Let R be the residue of $t \partial_t: \tilde{\mathcal{H}}^{(0)} \rightarrow \tilde{\mathcal{H}}^{(0)}$. Then $\exp(-2\pi\sqrt{-1} R)$ and the monodromy M are conjugate to each other as matrices for $n = 1$ (i.e., $\{f=0\}$ is a plane curve).

Combined with the result of Malgrange (Springer Lect. Note, 459, p. 115, Theorem (5.4)), we have the following. Let $b(s) = (s+1) \prod_1 (s+\alpha_1)^{m_1}$ be the b-function of f , and let $a(s) = \prod_j (s-\lambda_j)^{r_j}$ be the minimal polynomial of the monodromy. Then we have $r_j = \max\{m_1: \exp(-2\pi\sqrt{-1} \alpha_1) = \lambda_j\}$ for $n = 1$.

In fact, let $\{u_{ij}\}_{ij}$ be a basis of H_∞ such that $\{u_{ij}\}_{j=1, \dots, \ell_1}$ is a basis of $\text{Gr}_1^W H_\infty$ for $i = 0, 1, 2$, where W is the weight filtration [St]. Since F and W are compatible with the monodromy decomposition, we may assume that $u_{ij} \in F^1 H_\infty$ for $i=1, j > \ell_1/2$ or $i=2$, and $M_S u_{ij} = \exp(-2\pi\sqrt{-1} \alpha_{ij}) u_{ij}$ with $\alpha_{ij} \in (-1, 0]$, where $M = M_S M_U$ is the Jordan decomposition. Since $N = \log M_U$ acts on H_∞ as the morphism of type $(-1, -1)$, we have $N u_{ij} = 0$ for $i \leq 1$, and we may assume that $-N/(2\pi\sqrt{-1}) u_{2j} = u_{0j}$ for $j \leq \ell_0$ and $N u_{2j} = 0$ (hence $\alpha_{2j} = 0$) for $j > \ell_0$.

$$\begin{aligned} \text{We set } v_{ij} &= \exp(-\log t \log M / 2\pi\sqrt{-1}) u_{ij} \\ &= \begin{cases} t^{\alpha_{ij}} u_{ij} & \text{for } i \leq 1 \text{ or } i=2, j > \ell_0 \\ t^{\alpha_{2j}} u_{2j} + t^{\alpha_{2j}} (\log t) u_{0j} & \text{for } i=2, j \leq \ell_0 \end{cases} \end{aligned}$$

so that $\{v_{ij}\}$ is a $\mathbb{C}\{\{\partial_t^{-1}\}\}$ -basis of \mathcal{A} .

By [Sa, (3.2)], there is an element $w_{ij} \in \mathcal{H}^{(0)}$, such that

$$\tilde{t}^{-\alpha_{ij}} \pi^*(v_{ij} - w_{ij}) \in \tilde{t} \tilde{\mathcal{A}} \quad \text{for } u_{ij} \in F^1 H_\infty$$

and $\tilde{t}^{-\alpha_{ij}} \pi^*(v_{ij} - \partial_t w_{ij}) \in \tilde{t} \tilde{\mathcal{A}} \quad \text{for } u_{ij} \notin F^1 H_\infty$,

where $\pi: \tilde{S} \ni \tilde{t} \mapsto t = \tilde{t}^e \in S$ is a unipotent base change and $\tilde{\mathcal{A}}$ is the canonical extension for $\pi^* H_X$. Hence

$\{\partial_t w_{ij}\}_{i=0 \text{ or } i=1, j \leq \ell_1/2} \cup \{w_{ij}\}_{i=1, j > \ell_1/2 \text{ or } i=2}$ is a $\mathbb{C}\{\{\partial_t^{-1}\}\}$ -basis of \mathcal{A} , and we have $\partial_t^{-1} \mathcal{A} \subset \mathcal{H}^{(0)}$. Then by the induction on the eigenvalue α_{ij} , we can show that v_{0j}, v_{1j} ($j > \ell_1/2$) and v_{2j} are contained in $\tilde{\mathcal{H}}^{(0)}$.

For example, let $v = \sum v_i$ be an element of $\tilde{\mathcal{H}}^{(0)}$, such that $(t\partial_t - \alpha_1)^2 v_i = 0$ and $\alpha_i \neq \alpha_j$ for $i \neq j$. We may assume that $(t\partial_t - \alpha_1) v_i = 0$, for $i \geq 2$ by the induction hypothesis, where $v = \sum v_i$ is the expansion of w_{2j} ($j \leq \ell_0$) modulo $\partial_t^{-1} \mathcal{A} + \sum_{\alpha_{2j} > \alpha_{2j}} \mathbb{C} v_{2j}$. Then v_i and $(t\partial_t - \alpha_1) v_i$ are contained in $\tilde{\mathcal{H}}^{(0)}$, because we have the following identity:

$$\det \begin{pmatrix} 1 & 0 & 1 & \dots & 1 \\ \alpha_1 & 1 & \alpha_2 & \dots & \alpha_k \\ \alpha_1^2 & 2\alpha_1 & \alpha_2^2 & \dots & \alpha_k^2 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \alpha_1^k & k\alpha_1^{k-1} & \alpha_2^k & \dots & \alpha_k^k \end{pmatrix}$$

$$= \pm \prod_{i < j} (\alpha_i - \alpha_j) \prod_{i > 1} (\alpha_i - \alpha_1).$$

Thus $\tilde{\mathcal{H}}^{(0)}$ has a basis $\{v_{0j}\} \cup \{v_{1j}\}_{j > m} \cup \{v_{2j}\} \cup \{\partial_t^{-1} v_{1j}\}_{j \leq m}$ with $m \leq \ell_1/2$

(by changing $\{u_{1j}\}$ if necessary), which gives the desired result.

In general, we have that $\partial_t^{-n} \mathcal{A} \subset \mathcal{H}^{(0)}$, which implies that $r^{n+1} \in \sum \mathbb{C} \partial f / \partial x_1$. But I do not know whether $\exp(-2\pi\sqrt{-1} R)$ is conjugate to M for $n \geq 2$.

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Morihiro Saito

Research Institute for
Mathematical Sciences
Kyoto University
Kyoto 606 JAPAN

(Home Institute)
Dept. of Math.
Faculty of Sciences
University of Tokyo
Tokyo 113 JAPAN

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