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A. BALOG

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On the distribution of integers having no  
 large prime factor

A. Balog (Budapest)

1. Friedlander and Lagarias [2] considered the problem of estimating the number  $\psi(X, Z, Y)$  of integers in the interval  $(X-Y, X]$  having no prime factor  $> Z$ . Especially they defined  $f(\alpha)$  as the infimum of the values of  $\theta$  for which for all  $\alpha' > \alpha$  one had  $\psi(X, X^{\alpha'}, X^{\theta}) > 0$  for sufficiently large  $X$  and they proved for  $0 < \alpha \leq \frac{1}{2}$

$$(1) \quad f(\alpha) \leq 1 - 2(1 - 2^{-[\frac{1}{\alpha}]})\alpha.$$

The proof was based on a simple combinatorial construction, a special case of it was discovered independently by Balog and Sárközy [1]. Our aim is to develop an alternative method. Instead of  $\psi(X, Z, Y)$  itself we investigate a weighted sum by analytic arguments originated from Heath-Brown and Iwaniec [3]. We have

THEOREM: For  $0 < \alpha \leq 1$

$$(2) \quad f(\alpha) \leq \frac{1}{2}.$$

The theorem is a simple consequence of our main lemma

LEMMA: Let  $k \geq 1$  be an integer,  $\frac{1}{8k} \geq \delta > 0$ ,  $X > X_0$  be real numbers,  $|a_m| \leq 1$  be arbitrary complex coefficients and we define  $M = X^{1/2 - 1/4k}$ ,  $Y = X^{1/2 + 1/8k + \delta}$ , finally

$$(3) \quad d_n = \sum_{\substack{m_1, m_2 | n \\ M < m_i \leq 2M}} a_{m_1} a_{m_2}.$$

For any  $A > 0$  we have

$$(4) \quad \sum_{X-Y < n \leq X} d_n = Y \left( \sum_{M < m \leq 2M} \frac{a_m}{m} \right)^2 + O\left(\frac{Y}{\log^A X}\right).$$

2. For a given  $\varepsilon > 0$  and  $0 < \alpha \leq 1$  we can choose a  $k > \max\left(\frac{1}{2\alpha}, \frac{1}{8\varepsilon}\right)$  and  $a_m = \begin{cases} 1 & \text{if } m \text{ has no prime factor } > X^\alpha \\ 0 & \text{otherwise.} \end{cases}$  Our lemma guarantees that the interval  $(X - X^{1/2+\varepsilon}, X]$  contains numbers  $n$  in the form  $n = \ell m_1 m_2$  where  $m_i$  has no prime factor  $> X^\alpha$  and  $\ell < \frac{X}{M^2} = X^{1/2k} < X^\alpha$ . This gives the theorem.

3. At first we reduce the proof of (4) to estimating a certain integral. Our basic tool is the Perron integral formula (Lemma 3.12 of [6]). We define

$$M(s) = \sum_{M < m \leq 2M} a_m m^{-s}, \quad L(s) = \sum_{L_1 < \ell \leq L_2} \ell^{-s},$$

where  $L_1 = \frac{1}{5} X^{\frac{1}{2k}}$  and  $L_2 = 2X^{\frac{1}{2k}}$ . By Perron formula we can express the left hand side of (4) as an integral taken on a vertical line of the complex plane. We have

$$(5) \quad \sum_{X-Y < n \leq X} d_n = \frac{1}{2\pi i} \int_{\frac{1}{2}-iT}^{\frac{1}{2}+iT} L(s) M^2(s) \frac{X^s - (X-Y)^s}{s} ds + O\left(\frac{X \log^3 X}{T}\right).$$

We can provide a fairly small error term by choosing  $T = \frac{X^{1+\delta/2}}{Y} = X^{1/2-1/8k-\delta/2}$ . The major part of the integral is that around  $\frac{1}{2} + i0$ . Choosing  $T_0 = X^{1/4k}$  and using the facts that

$$L(s) = \frac{L_2^{1-s} - L_1^{1-s}}{1-s} + O(L_2^{-1/2}) \quad \text{for } s = \frac{1}{2} + it, \quad |t| \leq T_0,$$

$$\frac{X^s - (X-Y)^s}{s} = YX^{s-1} + O(|s-1| Y^2 X^{-\frac{3}{2}}) \quad \text{for } s = \frac{1}{2} + it,$$

$$|M(\frac{1}{2} + it)|^2 \ll M$$

we get again from the Perron formula that

$$\frac{1}{2\pi i} \int_{\frac{1}{2}-iT_0}^{\frac{1}{2}+iT_0} L(s) M^2(s) \frac{X^s - (X-Y)^s}{s} ds =$$

$$\frac{1}{2\pi i} \int_{\frac{1}{2}-iT_0}^{\frac{1}{2}+iT_0} \frac{L_2^{1-s} L_1^{1-s}}{1-s} M^2(s) Y X^{s-1} ds + O\left( (L_2^{-\frac{1}{2}} Y X^{-\frac{1}{2}} + Y^2 X^{-\frac{3}{2}} L_1^{\frac{1}{2}}) T_0 M \right) =$$

$$(6) \quad \frac{1}{2\pi i} \int_{\frac{1}{2}-iT_0}^{\frac{1}{2}+iT_0} \left( \sum_{M < m \leq 2M} \frac{a_m/m}{m^{s-1}} \right)^2 \frac{(X/L_1)^{s-1} - (X/L_2)^{s-1}}{s-1} ds + O\left( \frac{Y}{\log^A X} \right) =$$

$$= Y \left( \sum_{M < m \leq 2M} \frac{a_m}{m} \right)^2 + O\left( \frac{Y}{\log^A X} \right)$$

for all  $A > 0$ . Combining (5) and (6) we arrive at

$$(7) \quad \sum_{X-Y < n \leq X} d_n = Y \left( \sum_{M < m \leq 2M} \frac{a_m}{m} \right)^2 + O\left( \frac{Y}{\log^A X} + \frac{Y}{X^{1/2}} R \right)$$

for all  $A > 0$ , where

$$R = \int_{T_0}^T |L(\frac{1}{2}+it) M^2(\frac{1}{2}+it)| dt .$$

From (7) it is enough to prove that

$$(8) \quad R \ll \frac{X^{1/2}}{\log^A X} \text{ for all } A > 0 .$$

4. Next we prove (8). To bound  $R$  we use three important principles, the mean-value theorem of Dirichlet polynomials (Theorem 6.1 of [5]) which states

$$\int_{-T}^T |M(\frac{1}{2}+it)|^2 dt \ll (M+T) \sum \frac{|a_m|^2}{m} ,$$

the Halász-Montgomery-Huxley large-value theorem [4] which states

$$|\{ |t| \leq T : V < |M(\frac{1}{2}+it)| \leq 2V \}| \ll \left( \frac{M}{V^2} + \frac{MT}{V^6} \right) \log^2 X ,$$

and the fact that for  $T_0 < t \leq T$  and for all  $A > 0$  we have

$$(9) \quad L(\frac{1}{2}+it) \ll \frac{L_2^{1/2}}{\log^A X} ,$$

which follows for example from van der Corput's bound for trigonometrical sums (Theorem 5.13 of [6]). Note that the mean-value theorem when is applied to  $L(\frac{1}{2}+it)^k$  gives

$$\int_{-T}^T |L(\frac{1}{2}+it)|^{2k} dt \ll (L_1^k + T) \sum_{\substack{n=n_1 \dots n_k \\ L_1 < n_1 \leq L_2}} \frac{1}{n} \ll X^{1/2} \log^k X .$$

We divide the interval  $[T_0, T]$  into parts and denote the integral over the set  $\Omega_0$  on which  $|M(\frac{1}{2}+it)| \leq M^{1/4}$  by  $R_0$  and over the set  $\Omega(V)$  on which  $V < |M(\frac{1}{2}+it)| \leq 2V$  by  $R(V)$ . As  $|M(\frac{1}{2}+it)| \leq M^{1/2}$  trivially, it is possible to cover the interval  $[T_0, T]$  by using  $\ll \log X$  sets  $\Omega(V)$  together with  $\Omega_0$ . From Hölder's inequality and the mean-value theorem

$$\begin{aligned} R_0 &\leq \left( \int_{\Omega_0} |L(\frac{1}{2}+it)|^{2k} dt \right)^{\frac{1}{2k}} \left( \int_{\Omega} |M(\frac{1}{2}+it)|^{\frac{4k}{2k-1}} dt \right)^{1-\frac{1}{2k}} \leq \\ &\leq \left( \int_{T_0}^T |L|^{2k} \right)^{\frac{1}{2k}} \left( \int_{T_0}^T |M|^2 \right)^{1-\frac{1}{2k}} \max_{t \in \Omega_0} |M(\frac{1}{2}+it)|^{\frac{1}{k}} \ll \\ (10) \quad &\ll (X^{1/2+T})^{\frac{1}{2k}} (M+T)^{1-\frac{1}{2k}} M^{1/4k} \log^{1/2} X \ll \\ &\ll X^{\frac{1}{4k} + (\frac{1}{2} - \frac{1}{8k} - \frac{\delta}{2}) (1 - \frac{1}{2k}) + (\frac{1}{2} - \frac{1}{4k}) \frac{1}{4k}} \log^{1/2} X \ll \\ &\ll X^{\frac{1}{2} - \delta (\frac{1}{2} - \frac{1}{4k})} \log^{1/2} X \ll \frac{X^{1/2}}{\log^A X} \end{aligned}$$

for all  $A > 0$ . From the large-value theorem for  $M^{1/4} < V \leq T^{1/4}$

$$\int_{\Omega(V)} 1 dt \ll \frac{MT}{V^6} \log^2 X$$

and

$$\begin{aligned} R(V) &\leq \left( \int_{\Omega(V)} |L(\frac{1}{2}+it)|^{2k} dt \right)^{\frac{1}{2k}} \left( \int_{\Omega(V)} |M(\frac{1}{2}+it)|^{\frac{4k}{2k-1}} dt \right)^{1-\frac{1}{2k}} \ll \\ &\ll \left( \int_{T_0}^T |L|^{2k} \right)^{\frac{1}{2k}} \left( V^{\frac{4k}{2k-1}} \int_{\Omega(V)} 1 dt \right)^{1-\frac{1}{2k}} \ll \\ (11) \quad &\ll \left( X^{1/2+T} \right)^{\frac{1}{2k}} \left( M^{\frac{4k}{2k-1} - 6} T V \right)^{1-\frac{1}{2k}} \log^3 X \ll \end{aligned}$$

$$\begin{aligned} &\ll X^{\frac{1}{4k_T}} \left(1 - \frac{1}{2k_V}\right)^{\frac{3}{k}-4} M^{\frac{1}{2k}} \log^3 X \ll \\ &\ll X^{\frac{1}{4k_T}} \left(1 - \frac{1}{2k_M}\right)^{\frac{1}{4k}} \log^3 X \ll \frac{X^{1/2}}{\log^A X} \end{aligned}$$

for all  $A > 0$ . Finally if  $T^{1/4} < V$  then from the large-value theorem

$$\int_{\Omega(V)} 1 \, dt \ll \frac{M}{V^2} \log^2 X$$

and from (9)

$$(12) \quad R(V) \ll \max_{t \in \Omega(V)} |L(\frac{1}{2} + it)| V^2 \int_{\Omega(V)} 1 \, dt \ll \frac{X^{\frac{1}{4k}} M}{\log^A X} \ll \frac{X^{1/2}}{\log^A X} .$$

Now (8) follows from (10), (11) and (12). This completes the proof.

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BALOG, ANTAL  
 MATHEMATICAL INSTITUTE OF THE  
 HUNGARIAN ACADEMY OF SCIENCES  
 1364 BUDAPEST, P. O. BOX 127.