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Some remarks on the Pethő public key cryptosystem

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In [1] Pethő introduced a public key cryptosystem. In its definition (see below for more details) an essential role is played by a monic polynomial g(t)of degree n and a modulus M, which belong to the nonpublic part of this cryptosystem. The aim of this note is to show that if the greatest common divisor of the *n*th power of the constant term of g and M is too "small", then the cryptosystem can be broken in polynomial time. The crucial role in our cryptoanalysis is played by a system of congruences (9) whose solution can be found under the above mentioned condition.

1 Pethő public key cryptosystem

For the convenience of the reader, we describe in this section the main ingredients of the public key cryptosystem suggested by A. Pethő in [1].

Let $g(t) = t^n + g_{n-1}t^{n-1} + \cdots + g_1t + g_0 \in \mathbb{Z}[t]$, where \mathbb{Z} denotes the ring of integers and **G** the companion matrix of the polynomial g(t). Further, let $\mathbf{x}_i \in \mathbb{Z}^n$ for $i \ge 0$ be the sequence of vectors defined by

$$\mathbf{x}_{0} = (1, 0, \dots, 0)
 \mathbf{x}_{i+1} = \mathbf{x}_{i} \mathbf{G} \text{ for } i \ge 0.$$
(1)

Given a finite subset \mathcal{N} of \mathcal{Z} , $\mathcal{A}_{\mathcal{N}}$ will denote the set of all finite words over \mathcal{N} satisfying the property that if $0 \in \mathcal{N}$ and l > 0 then $w_l \neq 0$. If l(w) = l + 1 denotes the length of the word $w = w_0 w_1 \dots w_l$, then $\mathcal{A}_{\mathcal{N}}^L$ will denote the set of all words of $\mathcal{A}_{\mathcal{N}}$ of length not exceeding L + 1.

DEFINITION 1.1 A pair $\{g(t), \mathcal{N}\}$ is called a weak number system if the map $T: \mathcal{A}_{\mathcal{N}} \to \mathcal{Z}^n$ defined by

$$T(w_0 \dots w_l) = w_0 \mathbf{x}_0 + \dots + w_l \mathbf{x}_l \tag{2}$$

is injective.

S. M. F. Astérisque 209** (1992) One sufficient condition for weak number systems is contained in the next result [1]:

PROPOSITION 1.1 If $|g_0| \ge 2$ and \mathcal{N} consists of pairwise incongruent integers modulo g_0 , then the pair $\{g(t), \mathcal{N}\}$ is a weak number system.

This weak number system enables us to construct a private key cryptosystem. To do this take $g(t) = t^n + g_{n-1}t^{n-1} + \cdots + g_1t + g_0 \in \mathbb{Z}[t]$ with $|g_0| \ge 2$ and a set \mathcal{N} of pairwise incongruent integers modulo g_0 .

For encryption of a plaintext $w = w_0 \dots w_r \in \mathcal{A}_N$ choose integers l_1, l_2, \dots, l_h with $l_1 + l_2 + \dots + l_h = r + 1$. Then cut the word w into subwords W_1, \dots, W_h of \mathcal{A}_N in such a way that $w = W_1 \dots W_h$ and $l(W_i) = l_i$. Then application of the map T gives the cryptogram $Y_1, \dots, Y_h \in \mathbb{Z}^n$, where $Y_i = T(W_i)$ for $i = 1, \dots, h$. The knowledge of the corresponding secret keys g(t) and \mathcal{N} may be used to decrypt the received message. For more details about the corresponding algorithm consult [1].

Unfortunately, this cryptosystem cannot be used as the public key cryptosystem, therefore Pethő suggested the following modification:

Let $\{g(t), \mathcal{N}\}$ be a weak number system constructed by proposition 1.1 such that $0 \in \mathcal{N}$.

Let the height m(w) of the word $w \in \mathcal{A}_{\mathcal{N}}$ be defined by

$$m(w) = \max\{|y_0|, \ldots, |y_{n-1}|\},\$$

where $T(w) = (y_0, \ldots, y_{n-1}) \in \mathbb{Z}^n$. Then take an integer M such that

$$M > 2\max\{m(w) : w \in \mathcal{A}_{\mathcal{N}}^{n+L}\}$$
(3)

and a regular matrix \mathbf{C} over \mathcal{Z}_M satisfying

$$\mathbf{CG} \neq \mathbf{GC} \text{ over } \mathcal{Z}_M.$$
 (4)

Finally, define the vectors $\widehat{\mathbf{x}}_i$ for $i = 0, 1, \dots, L$ by

$$\widehat{\mathbf{x}}_i \equiv \mathbf{x}_{n+i} \mathbf{C} \pmod{M} \tag{5}$$

and the map $\widehat{T}: \mathcal{A}^L_{\mathcal{N}} \to \mathcal{Z}^n$ by

$$\widehat{T}(w_0 \dots w_l) = w_0 \widehat{\mathbf{x}}_0 + \dots + w_l \widehat{\mathbf{x}}_l \quad \text{for} \quad l \le L.$$
(6)

The public part of the Pethő public key cryptosystem consists of the chosen weak number system, \mathcal{N} and vectors $\hat{\mathbf{x}}_0, \hat{\mathbf{x}}_1, \ldots, \hat{\mathbf{x}}_L$. To encrypt a plaintext $w = w_0 \ldots w_i$ an analogous algorithm can be used, but based on $\widehat{T}(w_0 \ldots w_i)$ instead on $T(w_0 \ldots w_i)$. Knowing the secret keys \mathbf{C}, M one can determine the matrix \mathbf{C}^{-1} over \mathcal{Z}_M . We have

$$\widehat{T}(w_0\dots w_l) = w_0\widehat{\mathbf{x}}_0 + \dots + w_l\widehat{\mathbf{x}}_l \equiv (w_0\mathbf{x}_n + \dots + w_l\mathbf{x}_{n+l})\mathbf{C} \pmod{M}$$

and consequently

$$(y_0,\ldots,y_{n-1})=T(\underbrace{0\ldots0}_n w_0\ldots w_l)\equiv T(w_0\ldots w_l)\mathbf{C}^{-1} \pmod{M}.$$
 (7)

Furthermore, using (3) we obtain

$$2|y_i| \leq 2m(\underbrace{0\ldots 0}_n w_0 \ldots w_l) < M,$$

which implies

 $|y_i| < M/2$ for $i = 0, 1, \dots, n-1$ (8)

and y_0, \ldots, y_{n-1} are uniquely determined. Using the algorithm for decryption (see [1]) we get $0 \ldots 0 w_0 \ldots w_l$ and then $w_0 \ldots w_l$.

This cryptosystem is correct in the sense that the plaintext may be uniquely determined from the encrypted text.

2 A possibility of decryption

We write $\mathbf{A} \equiv \mathbf{B} \pmod{m}$ or $\mathbf{A} \stackrel{(m)}{\equiv} \mathbf{B}$ for the matrices \mathbf{A}, \mathbf{B} congruent modulo m.

DEFINITION 2.1 The square matrices \mathbf{A}, \mathbf{B} of order n are called similar modulo m if there exist two square matrices \mathbf{P}, \mathbf{Q} of order n such that $\mathbf{PQ} \stackrel{(m)}{\equiv} \mathbf{QP} \stackrel{(m)}{\equiv} \mathbf{I}$ and $\mathbf{B} \equiv \mathbf{PAQ} \pmod{m}$. We write $\mathbf{A} \sim \mathbf{B} \pmod{m}$.

PROPOSITION 2.1 Let \mathbf{A}, \mathbf{B} be square matrices of order n and $\operatorname{char}(\mathbf{A}) = t^n + a_{n-1}t^{n-1} + \cdots + a_1t + a_0$, $\operatorname{char}(\mathbf{B}) = t^n + b_{n-1}t^{n-1} + \cdots + b_1t + b_0$ be their characteristic polynomials. If $\mathbf{A} \sim \mathbf{B} \pmod{m}$, then

$$a_i \equiv b_i \pmod{m}$$
 for $i = 0, 1, \ldots, n-1$.

Now we return to the Pethő public key cryptosystem. Consider the following system of congruences

$$\widehat{\mathbf{x}}_i \equiv \widehat{\mathbf{x}}_{i-1} \mathbf{A} \pmod{M} \quad \text{for} \quad i = 1, 2, \dots, L, \tag{9}$$

where **A** is a (unknown) matrix of order n and M, $\hat{\mathbf{x}}_0, \hat{\mathbf{x}}_1, \ldots, \hat{\mathbf{x}}_L$ are public keys.

It is not hard to see that the matrix $\mathbf{C}^{-1}\mathbf{G}\mathbf{C}$ is a solution of the system of congruences (9) for

$$\widehat{\mathbf{x}}_{i} \stackrel{(M)}{\equiv} \mathbf{x}_{n+i} \mathbf{C} = \mathbf{x}_{n+i-1} \mathbf{G} \mathbf{C} \stackrel{(M)}{\equiv} \mathbf{x}_{n+i-1} \mathbf{C} \mathbf{C}^{-1} \mathbf{G} \mathbf{C} \stackrel{(M)}{\equiv} \widehat{\mathbf{x}}_{i-1} (\mathbf{C}^{-1} \mathbf{G} \mathbf{C}) \text{ for } i = 1, 2, \dots, L.$$

In the rest of the paper we shall find conditions under which it is possible to find M and a solution matrix \mathbf{A}_0 of the system (9). The following observations show that this is sufficient to break the Pethő cryptosystem in polynomial time. To see this note:

1. If $\mathbf{A}_0 \equiv \mathbf{C}^{-1}\mathbf{G}\mathbf{C} \pmod{M}$, then by definition 2.1 the matrices \mathbf{A}_0 and \mathbf{G} are similar modulo M. Therefore, if $\operatorname{char}(\mathbf{A}_0) = t^n + g'_{n-1}t^{n-1} + \cdots + g'_1t + g'_0$ is the characteristic polynomial of the matrix \mathbf{A}_0 , then by proposition 2.1 we have

$$g'_i \equiv g_i \pmod{M}.$$
 (10)

Furthermore, we have

$$M > 2|g_i| \cdot |w'| \ge 2|g_i|, \tag{11}$$

where w' is a nonzero element of \mathcal{N} , since $\mathbf{x}_n = (-g_0, \ldots, -g_{n-1})$. Consequently, $|g_i| < M/2$ for $i = 0, 1, \ldots, n-1$ and this together with (10) implies that the coefficients $g_0, g_1, \ldots, g_{n-1}$ of the polynomial g(t) are uniquely determined. Thus we can derive the polynomial g(t), the matrix \mathbf{G} and the vectors \mathbf{x}_i $(i = 0, 1, \ldots, n+L)$ from knowledge of M and \mathbf{A}_0 .

2. Let \mathbf{R}_0 be an arbitrary solution of the system of congruences

$$\widehat{\mathbf{x}}_i \mathbf{R} \equiv \mathbf{x}_{n+i} \pmod{M} \text{ for } i = 0, 1, \dots, L$$
 (12)

with an unknown matrix **R**. This system is solvable, because \mathbf{C}^{-1} solves it. But it is not necessary to find just the matrix \mathbf{C}^{-1} , because any solution matrix \mathbf{R}_0 can be used for determining y_0, \ldots, y_{n-1} since

$$\widehat{T}(w_0 \dots w_l) \mathbf{R}_0 = (w_0 \widehat{\mathbf{x}}_0 + \dots + w_l \widehat{\mathbf{x}}_l) \mathbf{R}_0$$

$$\stackrel{(M)}{\equiv} w_0 \mathbf{x}_n + \dots + w_l \mathbf{x}_{n+l}$$

$$= T(0 \dots 0 w_0 \dots w_l) = (y_0, \dots, y_{n-1})$$

Due to (8) the numbers y_0, \ldots, y_{n-1} are uniquely determined. Now we know all that is necessary for decryption. Applying the decryption algorithm to $(y_0, \ldots, y_{n-1}) = T(0 \ldots 0 w_0 \ldots w_l)$ we get $0 \ldots 0 w_0 \ldots w_l$ and consequently $w_0 \ldots w_l$.

Thus knowing M and the matrix \mathbf{A}_0 we are able to decrypt intercepted messages in polynomial time.

3 How to solve system (9)

 \mathbf{Put}

$$\mathbf{X} = \begin{pmatrix} \widehat{\mathbf{x}}_0 \\ \vdots \\ \widehat{\mathbf{x}}_{L-1} \end{pmatrix}, \ \mathbf{Y} = \begin{pmatrix} \widehat{\mathbf{x}}_1 \\ \vdots \\ \widehat{\mathbf{x}}_L \end{pmatrix}$$

and rewrite the system of congruences (9) into the matrix form

$$\mathbf{XA} \equiv \mathbf{Y} \pmod{M}.$$
 (13)

We can suppose $L \ge n$. In the opposite case (i. e. if L < n) this system would reduce to a system of equations, which is easy to solve and we immediately obtain the plaintext.

Reduce the matrix **X** of order $L \times n$ over \mathcal{Z} to Smith canonical form. Then we obtain invertible matrices **P**, **Q** over \mathcal{Z} such that

$$\mathbf{PXQ}=\mathbf{D},$$

where $\mathbf{D} = \operatorname{diag}_{L,n}(a_0, \ldots, a_{n-1})$ is the matrix of order $L \times n$ with a_0, \ldots, a_{n-1} on the main diagonal and $a_i | a_j$ for i < j. We may suppose that $a_i \ge 0$ for $i = 0, 1, \ldots, n-1$ (in the opposite case multiply the row by -1).

The system (13) can be equivalently rewritten into the form

$$\mathbf{DB} = \mathbf{PXQB} \equiv \mathbf{PY} \pmod{M},\tag{14}$$

with an unknown matrix \mathbf{B} such that $\mathbf{A} = \mathbf{Q}\mathbf{B}$.

Note that we do not need to know M in order to be able to reduce the matrix \mathbf{X} to Smith canonical form.

If $\mathbf{B} = ||y_{ij}||$ and $\mathbf{PY} = ||b_{ij}||$, then the system (14) can be replaced by two systems

$$a_0 y_{0,j} \equiv b_{0,j} \pmod{M}$$

$$\vdots$$

$$a_{n-1} y_{n-1,j} \equiv b_{n-1,j} \pmod{M} \text{ for } j = 0, \dots, n-1$$
(15)

and

$$0 \equiv b_{n,j} \pmod{M}$$

$$\vdots$$

$$0 \equiv b_{L-1,j} \pmod{M} \text{ for } j = 0, \dots, n-1.$$
(16)

System (16) is solvable, because e. g. the matrix $\mathbf{Q}^{-1}\mathbf{C}^{-1}\mathbf{G}\mathbf{C}$ is its solution. Thus the following condition must be true:

$$M|b_{k,j}$$
 for $k = n, \dots, L-1; j = 0, \dots, n-1.$

If we write d for the greatest common divisor of $b_{k,j}$ for all $k = n, \ldots, L-1$; $j = 0, \ldots, n-1$, then M|d.

Similarly, system (15) has also a solution, therefore

$$(a_i, M) = (a_i, M, b_{i,j})$$
 for $i = 0, ..., n-1; j = 0, ..., n-1$

and this gives a further restriction on M of the type M|d', where $d' \leq d$.

Now we may gradually substitute for M divisors of d'. However, this is possible only provided $d \neq 0$, otherwise the congruences (5) become equalities, i. e. $\hat{\mathbf{x}}_i = \mathbf{x}_{n+i} \mathbf{C}$ for $i = 0, \ldots, L$.

Now we suppose that we know M. Put $d_i = (a_i, M)$, $m_i = M/d_i$ for i = 0, 1, ..., n-1. Since $a_i | a_j$ for i < j, we have $d_i | d_j$ and $m_j | m_i$ for i < j.

The congruence $a_i y_{ij} \equiv b_{ij} \pmod{m_i}$ has exactly d_i solutions incongruent modulo M for all $i, j \in \{0, \ldots, n-1\}$. Therefore there are $d_0^n d_1^n \cdots d_{n-1}^n$ solutions incongruent modulo M of the system (14) and also the same number of the system (13).

4 Conclusions

Now we prove the following theorem.

THEOREM 4.1 Let X be the matrix of order $L \times n$ defined in section 3 and $L \ge n$. Let the matrix $\mathbf{D} = \operatorname{diag}_{L,n}(a_0, \ldots, a_{n-1})$ be its Smith canonical form with $a_i|a_j$ for i < j and $a_i \ge 0$ for $i = 0, 1, \ldots, n-1$. Then

(a)
$$(a_0, M) = d_0 = (M, g_0, \dots, g_{n-1})$$

(b) $(a_0 \cdots a_{n-1}, M) = (M, g_0^n).$

Proof: The following property of the Smith canonical form will be used.

Let $\Delta_k(\mathbf{A})$ be the greatest common divisor of all minors of k-th order of a matrix \mathbf{A} . Given a matrix \mathbf{A} of order $l \times m$, write $\mathbf{D} = \text{diag}_{l,m}(a_0, \ldots, a_{n-1})$ for its Smith canonical form. Then (see [2] chapter 16)

$$a_{0} = \Delta_{1}(\mathbf{A})$$

$$a_{0}a_{1} = \Delta_{2}(\mathbf{A})$$

$$\vdots$$

$$a_{0}a_{1}\cdots a_{n-1} = \Delta_{n}(\mathbf{A}).$$
(17)

(a) Put $s = (M, g_0, \ldots, g_{n-1})$. We show by induction on *i* that there is a vector \mathbf{x}'_{n+i} such that $\mathbf{x}_{n+i} = s\mathbf{x}'_{n+i}$ for all $i = 0, 1, \ldots, L$. The case i = 0 is trivial, because $\mathbf{x}_n = (-g_0, \ldots, -g_{n-1})$. Suppose therefore that our assertion is true for i-1. The induction hypothesis implies $\mathbf{x}_{n+i} = \mathbf{x}_{n+i-1}\mathbf{G} = s\mathbf{x}'_{n+i-1}\mathbf{G} = s\mathbf{x}'_{n+i-1}\mathbf{G}$

 $s\mathbf{x}'_{n+i}$. Furthermore, we have $\mathbf{\hat{x}}_i \stackrel{(M)}{\equiv} \mathbf{x}_{n+i}\mathbf{C} = s\mathbf{x}'_{n+i}\mathbf{C}$. Since s|M, there exists a vector $\mathbf{\hat{x}}'_i$ over \mathcal{Z} such that $\mathbf{\hat{x}}_i = s\mathbf{x}'_i$ for all $i = 0, 1, \ldots, L$. Consequently $s|d_0$. There exists a vector $\mathbf{\hat{x}}'_0$ over \mathcal{Z} with $\mathbf{x}_n\mathbf{C} \stackrel{(M)}{\equiv} \mathbf{\hat{x}}_0 = d_0\mathbf{\hat{x}}''_0$. The matrix \mathbf{C} is regular over \mathcal{Z}_M , $d_0|M$, thus necessarily there exists a vector \mathbf{x}''_n such that $\mathbf{x}_n = d_0\mathbf{x}''_n$, i. e. $d_0|s$ as claimed.

(b) We have

$$\begin{vmatrix} \left(\widehat{\mathbf{x}}_{0} \\ \vdots \\ \widehat{\mathbf{x}}_{n-1} \end{matrix} \right) \begin{vmatrix} M \\ \equiv \end{vmatrix} \begin{pmatrix} \mathbf{x}_{n} \\ \vdots \\ \mathbf{x}_{2n-1} \end{pmatrix} \mathbf{C} = \\ = |\mathbf{I}\mathbf{G}^{n}\mathbf{C}| = |\mathbf{G}|^{n}|\mathbf{C}| = (-1)^{n}g_{0}^{n}|\mathbf{C}|$$

Determine now the value of another minor of *n*-th order of the matrix **X**. Let $0 \le i_0 < \cdots < i_{n-1} < L$, then

$$\begin{vmatrix} \begin{pmatrix} \widehat{\mathbf{x}}_{i_0} \\ \vdots \\ \widehat{\mathbf{x}}_{i_{n-1}} \end{pmatrix} & \begin{vmatrix} M \\ m \end{pmatrix} \begin{pmatrix} \mathbf{x}_{n+i_0} \\ \vdots \\ \mathbf{x}_{n+i_{n-1}} \end{pmatrix} \mathbf{C} = \\ = \begin{vmatrix} \begin{pmatrix} \mathbf{x}_{i_0} \\ \vdots \\ \mathbf{x}_{i_{n-1}} \end{pmatrix} \mathbf{G}^n \mathbf{C} & = \begin{vmatrix} \begin{pmatrix} \mathbf{x}_{i_0} \\ \vdots \\ \mathbf{x}_{i_{n-1}} \end{pmatrix} \end{vmatrix} (-1)^n g_0^n |\mathbf{C}|.$$

This implies

$$a_0a_1\cdots a_{n-1}=\Delta_n(\mathbf{X})=g_0^n|\mathbf{C}|,$$

and since the matrix C is regular over \mathcal{Z}_M we have $(|\mathbf{C}|, M) = 1$ and in turn

$$(a_0a_1\cdots a_{n-1},M)=(\Delta_n(\mathbf{X}),M)=(M,g_0^n)$$

and the proof is finished.

In section 3 we obtained $d_0^n d_1^n \cdots d_{n-1}^n$ solutions incongruent modulo M of the system (13). But we need one such \mathbf{A}_0 for which $\mathbf{A}_0 \equiv \mathbf{C}^{-1}\mathbf{G}\mathbf{C}$ (mod M). Thus we arrive at the problem to determine which one among the solutions of (13) satisfies this additional condition.

If $d_{n-1} = 1$, then the system (13) has only one solution and we are able to decrypt. Thus $d_{n-1} = 1$ is a sufficient condition for the determination of the matrix \mathbf{A}_0 . But there is also a weaker condition for this conclusion.

All the solutions of the system (13) are congruent modulo m_{n-1} . Let \mathbf{Z} be one of them, then $\mathbf{Z} \equiv \mathbf{C}^{-1}\mathbf{G}\mathbf{C} \pmod{m_{n-1}}$. Since $m_{n-1}|M$ and $\mathbf{C}\mathbf{C}^{-1} \stackrel{(M)}{\equiv} \mathbf{C}^{-1}\mathbf{C} \stackrel{(M)}{\equiv} \mathbf{I}$, we have $\mathbf{C}\mathbf{C}^{-1} \stackrel{(m_{n-1})}{\equiv} \mathbf{C}^{-1}\mathbf{C} \stackrel{(m_{n-1})}{\equiv} \mathbf{I}$. According to definition 2.1 we obtain $\mathbf{Z} \sim \mathbf{C}^{-1}\mathbf{G}\mathbf{C} \pmod{m_{n-1}}$. If $\operatorname{char}(\mathbf{Z}) = t^n + g'_{n-1}t^{n-1} + \cdots + g'_1t + g'_0$ is the characteristic polynomial of the matrix \mathbf{Z} , then $g_i \equiv g'_i \pmod{m_{n-1}}$ for $i = 0, 1, \ldots n - 1$ as proposition 2.1 shows. Put $k = \max\{|w| : w \in \mathcal{N}\}$, then we have $M > 2k|g_i|$ for $i = 0, \ldots, n - 1$ by (11), whence

$$|g_i| < \frac{M/k}{2}$$
 for $i = 0, 1, \dots, n-1$.

Thus if

$$m_{n-1} \ge M/k, \text{ resp. } d_{n-1} \le k,$$
 (18)

then the coefficients of g(t) are uniquely determined, since

$$|g_i| < \frac{M/k}{2} \le \frac{m_{n-1}}{2}$$
 for $i = 0, \dots, n-1$.

And now we can decrypt by the same way as in section 2.

According to assertion (b) of theorem 4.1 we have

$$d_{n-1} \leq (a_0 \cdots a_{n-1}, M) = (g_0^n, M).$$

Thus the Pethő public key cryptosystem cannot be used securely if $(g_0^n, M) \leq k$ and therefore it is necessary to choose M in such a way that (g_0^n, M) is sufficiently large.

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