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## ON SUMS OF $S$ -UNITS AND LINEAR RECURRENCES

Jan-Hendrik Evertse

### §1. Introduction

In 1961 Chowla [1] proved that in any algebraic number field  $K$  there are only finitely many pairs of units  $\epsilon_1, \epsilon_2$  such that  $\epsilon_1 - \epsilon_2 = 1$ . Schlickewei [15] and Dubois and Rhin [2] proved independently of each other that the equation  $x_1 + x_2 + \dots + x_n = 0$  has only finitely many solutions in rational integers  $x_1, x_2, \dots, x_n$  which are pairwise coprime and each composed of fixed primes. Recently, Shorey [20] showed that if  $\{u_k\}_{k=0}^\infty$  is a simple linear non-degenerate binary recurrence sequence of rational integers, then the greatest prime factor of  $u_r/u_s$  tends to infinity if  $r \rightarrow \infty, r > s, u_s \neq 0$ . It is our intention to generalize these results by a uniform approach based on Schlickewei's  $p$ -adic version of the method of Thue-Siegel-Roth-Schmidt. Part of our results has been obtained independently by van der Poorten and Schlickewei [14].

Throughout this paper,  $K$  will denote an algebraic number field of degree  $D$  with ring of integers  $O_K$ . By a prime on  $K$  we mean an equivalence class of non-trivial valuations on  $K$ . We distinguish between infinite primes which contain archimedean valuations and finite primes which contain non-archimedean valuations. We denote the set of all infinite primes on  $K$  by  $S_\infty$ . There is a well-known correspondence between finite primes and prime ideals. The letter  $p$  is used for primes on  $\mathbb{Q}$ , the letter  $v$  for primes on  $K$ . The infinite prime on  $\mathbb{Q}$  is denoted by  $p_0$  and  $|\cdot|_{p_0}$  is the ordinary absolute value. If  $q$  is a prime number in  $\mathbb{Q}$ , the corresponding finite prime is also denoted by  $q$  and  $|\cdot|_q$  denotes the  $q$ -adic valuation defined in the usual way. The completions of  $\mathbb{Q}, K$  at the primes  $p, v$  respectively, are denoted by  $\mathbb{Q}_p, K_v$  respectively. Thus  $\mathbb{Q}_{p_0} = \mathbb{R}$ . For every prime  $v$  on  $K$  lying above a prime  $p$  on  $\mathbb{Q}$  we choose a valuation  $\|\cdot\|_v$  such that

$$\| \alpha \|_v = |\alpha|_p \quad \text{for all } \alpha \in \mathbb{Q}.$$

By this choice, the so-called product-formula holds,

$$\prod_v \| \alpha \|_v = 1 \quad \text{for all } \alpha \in K, \alpha \neq 0, \quad (1)$$

where  $\prod_v$  means that the product is taken over all primes  $v$  on  $K$ .

Let  $n$  be an integer with  $n \geq 1$ . Points in the vector space  $K^{n+1}$  are denoted by  $\mathbf{x} = (x_0, x_1, \dots, x_n)$ . Let  $\sigma_1, \sigma_2, \dots, \sigma_D$  be the embeddings of  $K$  in  $\mathbf{C}$ . Put

$$\|\mathbf{x}\| = \max_{\substack{0 \leq k \leq n \\ 1 \leq j \leq D}} |\sigma_j(x_k)|. \tag{2}$$

If we identify pairwise linearly dependent non-zero points in  $K^{n+1}$ , we obtain the  $n$ -dimensional projective space  $\mathbb{P}^n(K)$ . Points in  $\mathbb{P}^n(K)$ , so-called *projective points*, are denoted by  $X = (x_0 : x_1 : \dots : x_n)$ , where the homogeneous coordinates are in  $K$  and determined up to a multiplicative constant in  $K$ . Put

$$H(X) = \prod_v \max(\|x_0\|_v, \|x_1\|_v, \dots, \|x_n\|_v). \tag{3}$$

By (1) this height is well-defined since it is independent of the multiplicative factor. The functions  $\|\mathbf{x}\|$  and  $H(X)$  are closely related. Schmidt [17] showed that positive constants  $c_1, c_2$  exist, depending only on  $K$ , such that for each point  $X \in \mathbb{P}^n(K)$  the homogeneous coordinates  $x_0, x_1, \dots, x_n$  can be chosen such that if  $\mathbf{x} = (x_0, x_1, \dots, x_n)$ ,

$$(i) \quad x_k \in \mathcal{O}_K \quad \text{for } k = 0, 1, \dots, n$$

and (4)

$$(ii) \quad c_1 \|\mathbf{x}\|^D \leq H(X) \leq c_2 \|\mathbf{x}\|^D. \quad (\text{cf. §3}).$$

In case  $K = \mathbb{Q}$  we may take  $c_1 = c_2 = 1$  since

$$\|\mathbf{x}\| = H(X) \quad \text{if and only if } \gcd(x_0, x_1, \dots, x_n) = 1. \tag{5}$$

Obviously  $\|\mathbf{x}\| \geq 1$  for all  $\mathbf{x} \in \mathcal{O}_K^{n+1}$  and  $H(X) \geq 1$  for all  $X \in \mathbb{P}^n(K)$ . It is easy to check that for each  $A \geq 1$  there are at most finitely many  $\mathbf{x} \in \mathcal{O}_K^{n+1}$  with  $\|\mathbf{x}\| \leq A$ . Hence by (4) for each  $B \geq 1$  there are at most finitely many  $X \in \mathbb{P}^n(K)$  with  $H(X) \leq B$ .

Let  $S$  be a finite set of primes on  $K$ , enclosing  $S_\infty$ . An  $S$ -unit is by definition an element  $\alpha$  of  $K$  with  $\|\alpha\|_v = 1$  if  $v \in S$  and an  $S$ -integer an element  $\alpha$  of  $K$  with  $\|\alpha\|_v \leq 1$  if  $v \in S$ . Let  $c, d$  be constants with  $c > 0, d \geq 0$ . A projective point  $X \in \mathbb{P}^n(K)$  is called  $(c, d, S)$ -admissible if its homogeneous coordinates  $x_0, x_1, \dots, x_n$  can be chosen such that

$$(i) \quad \text{all } x_k \text{ are } S\text{-integers} \tag{6}$$

and

$$(ii) \quad \prod_{v \in S} \prod_{k=0}^n \|x_k\|_v \leq c \cdot H(X)^d \tag{6}$$

Clearly, the homogeneous coordinates of  $(1, 0, S)$ -admissible projective points can be chosen to be all  $S$ -units.

**THEOREM 1:** *Let  $c, d$  be constants with  $c > 0, 0 \leq d < 1$ , let  $S$  be a finite set of primes on  $K$  enclosing  $S_\infty$  and let  $n$  be a positive integer. Then there are only finitely many  $(c, d, S)$ -admissible projective points  $X = (x_0 : x_1 : \dots : x_n) \in \mathbb{P}^n(K)$  satisfying*

$$x_0 + x_1 + \dots + x_n = 0 \tag{7}$$

but

$$x_{i_0} + x_{i_1} + \dots + x_{i_s} \neq 0 \tag{8}$$

for each proper, non-empty subset  $\{i_0, i_1, \dots, i_s\}$  of  $\{0, 1, \dots, n\}$ .

Mahler showed that for  $n = 2$  (7) has at most finitely many  $(1, 0, S)$ -admissible solutions in  $\mathbb{P}^n(K)$ . As far as I know, Lang [4] was the first who published a proof of this result. For related results we refer to Chowla [1], Nagell [8], [9], [10], Györy [3], Schneider [19]. A somewhat weaker result than Theorem 1 has been stated by van der Poorten and Schlickewei [14]. For  $K = \mathbb{Q}$  we have the following corollary of Theorem 1.

**COROLLARY 1.** *Let  $c, d$  be constants with  $c > 0, 0 \leq d < 1$ , let  $S_0$  be a finite set of prime numbers and let  $n$  be a positive integer. Then there are only finitely many tuples  $\mathbf{x} = (x_0, x_1, \dots, x_n)$  of rational integers such that*

$$x_0 + x_1 + \dots + x_n = 0; \tag{9}$$

$$x_{i_0} + x_{i_1} + \dots + x_{i_s} \neq 0 \tag{10}$$

for each proper, non-empty subset  $\{i_0, i_1, \dots, i_s\}$  of  $\{0, 1, \dots, n\}$ ;

$$\gcd(x_0, x_1, \dots, x_n) = 1; \tag{11}$$

$$\prod_{k=0}^n \left( |x_k| \prod_{p \in S_0} |x_k|_p \leq c \cdot \|\mathbf{x}\|^d \right). \tag{12}$$

The corollary follows by (5) and the fact that there are exactly two tuples  $(x_0, \dots, x_n)$  of rational integers with gcd 1 which can be chosen as homogeneous coordinates of a given projective point in  $\mathbb{P}^n(\mathbb{Q})$ . Schlickewei [15] and Dubois and Rhin [2] showed that the number of tuples  $x = (x_0, x_1, \dots, x_n) \in \mathbb{Z}^{n+1}$  satisfying (9), (12) and  $\max(|x_i|_p, |x_j|_p) = 1$  for  $i, j \in \{0, 1, \dots, n\}$  and  $i \neq j$  and  $p \in S_0$  is finite, where again  $c, d$  are constants with  $c > 0, 0 \leq d < 1$ .

We shall derive Theorem 1 from

**THEOREM 2:** *Let  $n$  be a non-negative integer and  $S$  a finite set of primes on  $K$ , enclosing  $S_\infty$ . Then for every  $\epsilon > 0$  a constant  $C$  exists, depending only on  $\epsilon, S, K, n$  such that for each non-empty subset  $T$  of  $S$  and every vector  $x = (x_0, x_1, \dots, x_n) \in O_K^{n+1}$  with*

$$x_{i_0} + x_{i_1} + \dots + x_{i_s} \neq 0 \tag{13}$$

for each non-empty subset  $\{i_0, \dots, i_s\}$  of  $\{0, 1, \dots, n\}$ :

$$\begin{aligned} & \left( \prod_{k=0}^n \prod_{v \in S} \|x_k\|_v \right) \prod_{v \in T} \|x_0 + x_1 + \dots + x_n\|_v \\ & \geq C \left( \prod_{v \in T} \max(\|x_0\|_v, \dots, \|x_n\|_v) \right) \|x\|^{-\epsilon}. \end{aligned} \tag{14}$$

A straightforward application of theorem 2 yields

**COROLLARY 2:** *Let  $n, S$  be as in theorem 2. Then for every  $\epsilon > 0$  a constant  $C_1$  exists, depending only on  $\epsilon, S, K, n$ , such that for each non-empty subset  $T$  of  $S$  and every vector  $X = (x_0, x_1, \dots, x_n) \in O_K^{n+1}$  with  $x_0 x_1 \dots x_n (x_0 + \dots + x_n) \neq 0$ :*

$$\begin{aligned} & \left( \prod_{k=0}^n \prod_{v \in S} \|x_k\|_v \right) \prod_{v \in T} \|x_0 + x_1 + \dots + x_n\|_v \\ & \geq C_1 \left( \prod_{v \in T} \min(\|x_0\|_v, \dots, \|x_n\|_v) \right) \|x\|^{-\epsilon} \end{aligned}$$

We shall apply theorem 1 to linear recurrence sequences  $\{u_k\}_{k=0}^\infty$ . We assume that no integer  $k_0$  exists such that  $u_k = 0$  for  $k \geq k_0$ . Let  $n$  be the smallest integer for which constants  $v_1, v_2, \dots, v_n$  exists such that

$$u_{k+n} = v_1 u_{k+n-1} + v_2 u_{k+n-2} + \dots + v_n u_k \quad \text{for } k = 0, 1, 2, \dots \tag{15}$$

Then  $v_n \neq 0$ . It is well-known that polynomials  $f_i$  and pairwise distinct numbers  $\alpha_i$  exist, depending only on  $v_1, v_2, \dots, v_n, u_0, u_1, \dots, u_{n-1}$ , such that

$$u_k = \sum_{i=1}^m f_i(k) \alpha_i^k \quad \text{for } k = 0, 1, 2, \dots \tag{16}$$

Without loss of generality we may assume that the polynomials  $f_i$  do not vanish identically. The numbers  $\alpha_i$  are called the *characteristic roots* of  $\{u_k\}_{k=0}^\infty$ . We call the sequence *degenerate* if at least one of the quotients of two distinct characteristic roots is a root of unity and *non-degenerate* otherwise.

Van der Poorten [13] has applied his version of theorem 1 to deduce several remarkable facts on non-degenerate recurrence sequences  $\{u_k\}_{k=0}^\infty$  of algebraic numbers. Under very general conditions he proved that (i) for every  $\epsilon > 0$  there exists a  $K$  such that

$$|u_k| > \left( \max_{i=1,2,\dots,n} |\alpha_i| \right)^{k(1-\epsilon)} \quad \text{for } k \geq K,$$

(ii) the maximum of the norms of the prime ideals  $\mathfrak{p}$  with  $\text{ord}_{\mathfrak{p}}(u_k) \neq 0$  tends to infinity if  $k \rightarrow \infty$  and (iii) the total multiplicity of  $\{u_k\}_{k=0}^\infty$  is finite. Here the total multiplicity is defined as the number of pairs  $(r, s)$  of non-negative rational integers with  $u_r = u_s$  and  $r \neq s$ . Shorey [20] gave in the case of a binary recurrence sequence of rational integers a lower bound for the greatest prime factor of  $u_r/u_s$  subject to the conditions  $r > s, u_s \neq 0$ , which tends to infinity if  $r$  does. In Theorem 3 we shall generalize (ii) to prime ideals  $\mathfrak{p}$  with  $\text{ord}_{\mathfrak{p}}(u_r/u_s) \neq 0$  in the same way as Shorey did, but without an explicit lower bound. Result (iii) is a direct consequence of theorem 3.

For  $\alpha \in K, \alpha \neq 0$  we define  $P_K(\alpha)$  to be the maximum of the norms of the prime ideals  $\mathfrak{p}$  with  $\text{ord}_{\mathfrak{p}}(\alpha) \neq 0$  if  $\alpha$  is not a unit and  $P_K(\alpha) = 1$  if  $\alpha$  is a unit. Further we put  $P_K(0) = 0$ .

**THEOREM 3:** *Let  $\{u_k\}_{k=0}^\infty$  be a linear non-degenerate recurrence sequence in  $K$  with at least two characteristic roots. Then*

$$\lim_{\substack{r \rightarrow \infty \\ r > s \\ u_s \neq 0}} P_K \left( \frac{u_r}{u_s} \right) = \infty.$$

The example  $u_k = ka^k$  with  $a \in \mathbb{Z}, a > 2$ , where  $u_a^l$  is a power of  $a$  for every positive integer  $l$ , shows that the assertion of Theorem 3 does not hold if there is only one characteristic root.

The following two results of van der Poorten [13] are consequences of Theorem 3.

**COROLLARY 3:** *Let  $\{u_k\}_{k=0}^\infty$  be as in theorem 3. Then*

$$\lim_{r \rightarrow \infty} P_K(u_r) = \infty$$

This follows from Theorem 3 by keeping some  $s$  with  $u_s \neq 0$  fixed. This is an improvement and generalization of a result of Pólya ([12], Satz 2', p. 17) which in fact states that if  $\{u_n\}_{n=0}^\infty$  is a sequence satisfying the conditions of theorem 3 and if all  $u_n$  belong to  $\mathbb{Q}$ , then  $\limsup_{n \rightarrow \infty} (P_{\mathbb{Q}}(u_n)) = \infty$ .

**COROLLARY 4:** *Let  $\{u_k\}_{k=0}^\infty$  be a linear non-degenerate recurrence sequence of algebraic numbers. Suppose that there do not exist a constant  $a$  and a root of unity  $\rho$  such that  $u_k = a\rho^k$  for all  $k$ . Then there are only finitely many pairs of non-negative integers  $(r, s)$  with  $r \neq s$  and  $u_r = u_s$ .*

If  $u_k = f(k)\rho^k$  for  $k = 0, 1, \dots$ , where  $f$  is a non-constant polynomial with complex coefficients and  $\rho$  is a root of unity, then there can be only finitely many pairs  $(r, s)$  with  $r \neq s$  and  $u_r = u_s$ . This follows from the fact that  $\{|u_k|\}_{k=0}^\infty = \{|f(k)|\}_{k=0}^\infty$  is a strictly increasing sequence from a certain term on. If  $u_k = f(k)\alpha^k$  for  $k = 0, 1, \dots$ , where  $f$  is a polynomial with algebraic coefficients and  $\alpha$  not a root of unity, then we consider instead of  $\{u_k\}_{k=0}^\infty$  the non-degenerate recurrent sequence  $\{v_k\}_{k=0}^\infty$  with  $v_k = u_k + 1^k$  for  $k = 0, 1, \dots$ . So we may assume that  $\{u_k\}_{k=0}^\infty$  has at least two distinct characteristic roots. Using that in fact all coefficients  $v_i$  in (15) are algebraic, all  $u_k$  belong to some algebraic number field and now Corollary 4 follows immediately from Theorem 3.

We remark that van der Poorten [13] has claimed that Corollary 4 is also valid if some of the terms  $u_k$  are transcendental over  $\mathbb{Q}$ .

### §2. Proof of Theorem 2

As in §1, let  $K$  be an algebraic number field of degree  $D$  and let  $O_K$  be its ring of integers. We mention a theorem, due to Schlickewei [16], which will be used in the proof of theorem 2. As in §1,  $p_0$  denotes the infinite prime on  $\mathbb{Q}$ . Let  $p_1, p_2, \dots, p_t$  be distinct prime numbers (or finite primes on  $\mathbb{Q}$ ). For each  $i \in \{0, 1, \dots, t\}$  the valuation  $|\cdot|_{p_i}$  can be extended to the algebraic closure  $\overline{\mathbb{Q}}_{p_i}$  of  $\mathbb{Q}_{p_i}$  in a unique way and this extension is also denoted by  $|\cdot|_{p_i}$ . Furthermore there are  $D$  isomorphic embeddings  $\sigma_1^{(i)}, \sigma_2^{(i)}, \dots, \sigma_D^{(i)}$  of  $K$  in  $\overline{\mathbb{Q}}_{p_i}$ . Put  $K^{(i,j)} = \sigma_j^{(i)}(K)$ ,  $\alpha^{(i,j)} = \sigma_j^{(i)}(\alpha)$  for  $\alpha \in K$  and  $\mathbf{x}^{(i,j)} = (x_0^{(i,j)}, \dots, x_n^{(i,j)})$  for  $\mathbf{x} = (x_0, \dots, x_n) \in K^{n+1}$ .

**THEOREM 4:** *Let  $n$  be a non-negative integer. For every  $j$  with  $1 \leq j \leq D$  and every  $i$  with  $0 \leq i \leq t$ , let  $L_0^{(i,j)}, \dots, L_n^{(i,j)}$  be  $n + 1$  linearly independent linear forms in  $n + 1$  variables with coefficients in  $\mathbb{Q}_{p_i}$ , which are algebraic over  $\mathbb{Q}$ . Then for all  $\epsilon > 0$  there are finitely many proper subspaces  $T_1, T_2, \dots, T_n$  of  $K^{n+1}$ , depending only on  $n, p_0, \dots, p_t, \epsilon, K$  and the forms  $L_k^{(i,j)}$ , containing all solutions  $\mathbf{x} \in O_K^{n+1}, \mathbf{x} \neq 0$  of the inequality*

$$\prod_{i=0}^t \prod_{j=1}^D \prod_{k=0}^n |L_k^{(i,j)}(\mathbf{x}^{(i,j)})|_{p_i} \leq \|\mathbf{x}\|^{-\epsilon}. \tag{17}$$

We shall now prove Theorem 2. Let  $S$  be a finite set of primes on  $K$ , enclosing  $S_\infty$ . We assume that  $S$  has the property that if it contains one prime lying above some prime  $p$  on  $\mathbb{Q}$ , then it contains all the other primes on  $K$  lying above  $p$ . Obviously, this is no restriction. Let  $p_0, p_1, \dots, p_t$  be the primes on  $\mathbb{Q}$  above which the primes in  $S$  ly. We shall proceed by induction on  $n$ . For  $n = 0$ , theorem 2 is trivial. Suppose that theorem 2 has been proved for all integers  $n$  with  $0 \leq n < m$  (where  $m \geq 1$ ). Our aim is to prove Theorem 2 for  $n = m$ . Let  $\epsilon > 0$  and  $T$  a non-empty subset of  $S$ . We shall show that the points  $\mathbf{x} = (x_0, x_1, \dots, x_n) \in O_K^{n+1}$  which satisfy both

$$x_{i_0} + x_{i_1} + \dots + x_{i_t} \neq 0 \tag{18}$$

for each non-empty subset  $\{i_0, i_1, \dots, i_s\}$  of  $\{0, 1, \dots, m\}$  and

$$\|x_{i_{0v}}\|_v \geq \|x_{i_{1v}}\|_v \geq \dots \geq \|x_{i_{mv}}\|_v \quad \text{for all } v \in S, \tag{19}$$

where for each  $v \in S, (i_{0v}, i_{1v}, \dots, i_{mv})$  is a given permutation of  $(0, 1, \dots, m)$ , and

$$\left( \prod_{k=0}^m \prod_{v \in S} \|x_k\|_v \right) \prod_{v \in T} \|x_0 + x_1 + \dots + x_m\|_v \leq \left( \prod_{v \in T} \|x_{i_{0v}}\|_v \right) \|\mathbf{x}\|^{-\epsilon}$$

do also satisfy (14) for a certain constant  $C$ , specified in Theorem 2. This is clearly sufficient to prove Theorem 2.

For each prime  $v \in S$ , lying above the prime  $p_i$  on  $\mathbb{Q}$  (where  $i \in \{0, 1, \dots, t\}$ ), we have that the valuation given by  $|\sigma_j^{(i)}(\alpha)|_p$  for  $\alpha \in K$  belongs to  $v$  for exactly  $[K_v : \mathbb{Q}_p]$  embeddings  $\sigma_j^{(i)}$ . Thus, if  $l(v)$  is the set of these embeddings,

$$\|\alpha\|_v = \prod_{\sigma_j^{(i)} \in l(v)} |\sigma_j^{(i)}(\alpha)|_{p_i} \quad \text{for all } \alpha \in K \tag{21}$$

Let  $\mathcal{L}$  be the set of pairs of integers  $(i, j)$  with  $0 \leq i \leq t, 1 \leq j \leq D$ , such that  $\sigma_j^{(i)} \in l(v)$  for some  $v \in T$ . We now define the following linear forms in the variables  $x_0, \dots, x_m$ , where  $v$  is determined by  $\sigma_j^{(i)} \in l(v)$ :

$$L_0^{(i,j)}(\mathbf{x}) = x_0 + x_1 + \dots + x_m \quad \text{for } (i, j) \in \mathcal{L};$$

$$L_0^{(i,j)}(\mathbf{x}) = x_{i_{0v}} \quad \text{for } (i, j) \in \mathcal{L};$$

$$L_k^{(i,j)}(\mathbf{x}) = x_{i_{kv}} \quad \text{for } 0 \leq i \leq t, \quad 1 \leq j \leq D, \quad 1 \leq k \leq m.$$

These linear forms have coefficients in  $\mathbb{Q}$  and for fixed  $i, j$ , the forms  $\{L_k^{(i,j)}\}_{k=0}^m$  are linearly independent. Furthermore, for all  $x \in O_K^{n+1}$  satisfying (18), (19), (20) we have by (21),

$$\begin{aligned} \prod_{i=0}^t \prod_{j=1}^D \prod_{k=0}^m |L_k^{(i,j)}(\mathbf{x}^{(i,j)})|_{p_j} &= \left( \prod_{k=0}^m \prod_{v \in S} \|x_k\|_v \right) \left( \prod_{v \in T} \|x_{i_{0v}}\|_v \right)^{-1} \\ &\quad \times \left( \prod_{v \in T} \|x_0 + x_1 + \dots + x_m\|_v \right) \\ &\leq \|\mathbf{x}\|^{-\epsilon} \end{aligned}$$

Hence by Theorem 4, the  $x \in O_K^{n+1}$  satisfying (18), (19), (20) already belong to finitely many proper subspaces of  $K^{n+1}$ . For each subspace it is possible to express some of the variables  $x_i$  in the other variables  $x_i$ . Hence there exist finitely many tuples  $(\beta_{j_0}, \beta_{j_1}, \dots, \beta_{j_u})$  of numbers in  $K$ , where  $0 \leq u \leq m$  such that each solution  $x \in O_K^{n+1}$  of (18), (19), (20) satisfies at least one of the relations

$$x_0 + x_1 + \dots + x_m = \beta_{j_0}x_{j_0} + \beta_{j_1}x_{j_1} + \dots + \beta_{j_u}x_{j_u} \quad (0 \leq u < m). \quad (22)$$

We may assume that no subsums of the right-hand side are equal to zero by cancelling some of the terms  $\beta_{j_l}x_{j_l}$  if possible. We now show that all points  $x \in O_K^{n+1}$  satisfying (18), (19), (20), (22) also satisfy (14) with a constant  $C$  depending only on  $\epsilon, m, K, S$ , the permutations in (19) and the tuple  $(\beta_{j_0}, \dots, \beta_{j_u})$ . Since we have only finitely many permutations of  $(0, 1, \dots, m)$  and a finite set of tuples  $(\beta_{j_0}, \dots, \beta_{j_u})$  which depends only on  $m, K, S, \epsilon$  and the permutations in (19), this suffices. Let  $\mathcal{V}_1 = \{j_0, j_1, \dots, j_u\}$ ,  $\mathcal{V}_2 = \{0, 1, \dots, m\} - \mathcal{V}_1$ , let  $T_1$  be the subset of  $T$  such that  $i_{0v} \in \mathcal{V}_1$  and  $T_2$  the subset of  $T$  such that  $i_{0v} \in \mathcal{V}_2$ . The constants  $c_3, c_4, \dots$  will depend only on  $\epsilon, K, S, m$ , the permutations in (19) and the tuple  $(\beta_{j_0}, \dots, \beta_{j_u})$ . Let  $\delta$  be a number in  $K$  such that  $\delta\beta_{j_0}, \dots, \delta\beta_{j_u}$  are algebraic integers and put  $z_l = \delta\beta_{j_l}x_{j_l}$  for  $l = 0, 1, \dots, u$ ,  $\mathbf{z} = (z_0, z_1, \dots,$

$z_u$ ). By (22) and the induction hypothesis we have

$$\begin{aligned}
& \left( \prod_{k=0}^m \prod_{v \in S} \|x_k\|_v \right) \prod_{v \in T} \|x_0 + x_1 + \dots + x_m\|_v \\
& \geq c_3 \left( \prod_{k \in \mathcal{Y}_2} \prod_{v \in S} \|x_k\|_v \right) \prod_{l=0}^u \prod_{v \in S} \|z_l\|_v \left( \prod_{v \in T} \|z_0 + \dots + z_u\|_v \right) \\
& \geq c_4 \left( \prod_{k \in \mathcal{Y}_2} \prod_{v \in S} \|x_k\|_v \right) \left( \prod_{v \in T} \max(\|z_0\|_v, \dots, \|z_u\|_v) \right) \|\mathbf{z}\|^{-\epsilon/2} \\
& \geq c_5 \left( \prod_{k \in \mathcal{Y}_2} \prod_{v \in S} \|x_k\|_v \right) \left( \prod_{v \in T} \max_{k \in \mathcal{Y}_1} \|x_k\|_v \right) \|\mathbf{x}\|^{-\epsilon/2}. \tag{23}
\end{aligned}$$

If  $T_1 = T$  then (23) implies inequality (14) since  $\prod_{k \in \mathcal{Y}_2} \prod_{v \in S} \|x_k\|_v \geq 1$ . If  $T_1 \subsetneq T$ , then, by (22) and the induction hypothesis,

$$\begin{aligned}
& \left( \prod_{k \in \mathcal{Y}_2} \prod_{v \in S} \|x_k\|_v \right) \left( \prod_{v \in T_2} \max_{k \in \mathcal{Y}_1} \|x_k\|_v \right) \\
& \geq c_6 \left( \prod_{k \in \mathcal{Y}_2} \prod_{v \in S} \|x_k\|_v \right) \cdot \\
& \quad \cdot \left( \prod_{v \in T_2} \|(\beta_{j_0} - 1)x_{j_0} + (\beta_{j_1} - 1)x_{j_1} + \dots + (\beta_{j_u} - 1)x_{j_u}\|_v \right) \\
& = c_6 \left( \prod_{k \in \mathcal{Y}_2} \prod_{v \in S} \|x_k\|_v \right) \prod_{v \in T_2} \left\| \sum_{k \in \mathcal{Y}_2} x_k \right\|_v \\
& \geq c_7 \left( \prod_{v \in T_2} \max_{i \in \mathcal{Y}_2} \|x_k\|_v \right) \|\mathbf{x}\|^{-\epsilon/2}.
\end{aligned}$$

Together with (23) this implies that

$$\begin{aligned}
& \left( \prod_{k=0}^m \prod_{v \in S} \|x_k\|_v \right) \prod_{v \in T} \|x_0 + \dots + x_m\|_v \\
& \geq c_8 \left( \prod_{v \in T_1} \max_{k \in \mathcal{Y}_1} \|x_k\|_v \right) \left( \prod_{v \in T_2} \max_{k \in \mathcal{Y}_2} \|x_k\|_v \right) \|\mathbf{x}\|^{-\epsilon} \\
& = c_8 \left( \prod_{v \in T} \max(\|x_0\|_v, \dots, \|x_m\|_v) \right) \|\mathbf{x}\|^{-\epsilon},
\end{aligned}$$

where empty products must be taken equal to 1. This completes the proof of Theorem 2. □

### §3. Proof of Theorem 1

As before,  $K$  is an algebraic number field of degree  $D$ ,  $S$  a finite set of primes on  $K$  enclosing  $S_\infty$  and  $c, d$  positive constants with  $c > 0, 0 \leq d < 1$ . Constants  $c_9, c_{10}, \dots$  will depend only on  $K, s, n, c, d$ . Let  $X = (x_0 : x_1 : \dots : x_n) \in \mathbb{P}^n(K)$  be a projective point satisfying (6), (7), (8). By an argument of Schmidt [17], (p. 63), there are positive constants  $c_9, c_{10}, c_{11}$  and a  $\lambda \in K$  with  $\lambda \neq 0$  such that

$$\lambda x_i \in O_K \quad \text{for } i = 0, 1, \dots, m,$$

$$N((\lambda x_0, \dots, \lambda x_n)) \leq c_9,$$

(where  $N(a)$  denotes the absolute norm of the ideal  $a$ ) i.e.

$$\prod_{v \notin S_\infty} \max(\|\lambda x_0\|_v, \dots, \|\lambda x_n\|_v) \geq c_9^{-1} \tag{25}$$

and if  $\sigma_1, \sigma_2, \dots, \sigma_D$  are the embeddings of  $K$  in  $\mathbb{C}$ ,

$$c_{10} \leq \frac{\max(|\sigma_i(x_0)|, \dots, |\sigma_i(x_n)|)}{\max(|\sigma_j(x_0)|, \dots, |\sigma_j(x_n)|)} \leq c_{11} \quad \text{for } i, j \in \{1, 2, \dots, D\}. \tag{26}$$

Put  $y_i = \lambda x_i, \mathbf{y} = \lambda \cdot \mathbf{x}$ . Then, by (25), (26),

$$c_{12} \|\mathbf{y}\|^D \leq H(X) \leq c_{13} \|\mathbf{y}\|^D. \tag{27}$$

Moreover, since the  $x_i$  are  $S$ -integers and the  $y_i$  algebraic integers, by (25),

$$\begin{aligned} \prod_{v \in S} \|\lambda\|_v &\geq \prod_{v \in S} \max(\|y_0\|_v, \dots, \|y_n\|_v) \\ &\geq \prod_{v \notin S_\infty} \max(\|y_0\|_v, \dots, \|y_n\|_v) \geq c_9^{-1}, \end{aligned}$$

hence

$$\prod_{v \in S} \|\lambda\|_v \leq c_9.$$

By (6) this implies that

$$\prod_{k=0}^n \prod_{v \in S} \|y_k\|_v \leq c_{14} H(X)^d. \tag{28}$$

Put  $\tilde{y} = (y_v, \dots, y_n)$ ,  $Y = (y_1 : y_2 : \dots : y_n)$ . Since  $y_0 + y_1 + \dots + y_n = 0$  we have

$$H(Y) \leq H(X) \leq c_{15} H(Y) \tag{29}$$

Now we have, by (28), (7), (24), (8), (27), (29) and Theorem 2 with  $\epsilon = \frac{1}{2}D(1-d)$ ,

$$\begin{aligned} c_{14} H(X)^d &\geq \prod_{k=0}^n \prod_{v \in S} \|y_k\|_v \\ &= \left( \prod_{k=1}^n \prod_{v \in S} \|y_k\|_v \right) \prod_{v \in S} \|y_1 + y_2 + \dots + y_n\|_v \\ &\geq c_{16} \left( \prod_{v \in S} \max(\|y_1\|_v, \dots, \|y_n\|_v) \|y\|^{-\epsilon} \right) \\ &\geq c_{17} H(Y) H(X)^{-\epsilon/D} \geq c_{18} H(X)^{1-\epsilon/D}. \end{aligned}$$

This implies that

$$H(X)^{(1-d)/2} \leq c_{14}/c_{18}.$$

Since  $d < 1$  this proves Theorem 1.

### §4. Proof of Theorem 3

In the proof of Theorem 3 we shall use two lemmas which are stated and proved below. In the sequel,  $K$  denotes an algebraic number field.

LEMMA 1: *Suppose  $K$  has degree  $D$ , let  $f(X) \in K[X]$  be a polynomial of degree  $m$  and  $T$  a non-empty set of primes on  $K$ . Then there exists a positive constant  $c_{19}$ , depending only on  $K, f$  such that for all  $r \in \mathbb{Z}$  with  $r \neq 0, f(r) \neq 0$ ,*

$$\begin{aligned} c_{19}^{-1} |r|^{-Dm} &\leq \left( \prod_v \max(1, \|f(r)\|_v) \right)^{-1} \leq \prod_{v \in T} \|f(r)\|_v \\ &\leq \prod_v \max(1, \|f(r)\|_v) \leq c_{19} |r|^{Dm}. \end{aligned} \tag{30}$$

PROOF: It follows easily from (1) that

$$\prod_{v \in T} \|f(r)\|_v \leq \prod_v \max(1, \|f(r)\|_v),$$

$$\prod_{v \in T} \|f(r)\|_v = \prod_{v \notin T} \|f(r)\|_v^{-1} \geq \left( \prod_v \max(1, \|f(r)\|_v) \right)^{-1}.$$

Furthermore there exist positive constants  $c_{20}$ ,  $c_{21}$  and a finite set of finite primes  $T_0$ , all depending only on  $K$ ,  $f$  such that for all  $r \in \mathbb{Z}$  with  $r \neq 0$ ,  $f(r) \neq 0$ ,

$$\|f(r)\|_v \leq c_{20} \|r\|_v^m \quad \text{for } v \in S_\infty,$$

$$\|f(r)\|_v \leq c_{21} \quad \text{for } v \in T_0,$$

$$\|f(r)\|_v \leq 1 \quad \text{for } v \notin S_\infty \cup T_0.$$

This implies Lemma 1 immediately.  $\square$

LEMMA 2: Let  $f(X)$ ,  $g(X) \in K[X]$  be polynomials of degrees  $m$ ,  $n$  respectively such that no rational integer  $h$  with  $h \neq 0$  exists for which one of the polynomials  $f(X+h)$ ,  $g(X)$  divides the other. Let  $S$  be a finite set of primes on  $K$  and  $\beta$ ,  $\gamma$  constants with

$$\beta > 0, 0 \leq \gamma < \frac{1}{m+n+2}. \quad (31)$$

Then there are only finitely many pairs of rational integers  $(r, s)$  such that

$$0 < |r-s| \leq \beta |r|^\gamma \quad (32)$$

and

$$\frac{f(r)}{g(s)} \text{ is an } S\text{-unit.} \quad (33)$$

PROOF: For each pair of polynomials  $f(X)$ ,  $g(X) \in K[X]$ , let  $\mathcal{H}(f, g)$  be the set of rational integers  $h$  with  $h \neq 0$  which are the difference of a zero of  $f$  and a zero of  $g$ . It suffices to show that if  $f$ ,  $g$  are both non-constant polynomials, then at most finitely many pairs  $(r, s) \in \mathbb{Z}^2$  exist which satisfy (32), (33) and  $r-s \notin \mathcal{H}(f, g)$ . For assume we have shown this. Let  $f$ ,  $g$  be polynomials in  $K[X]$  such that no rational integer  $h$  with

$h \neq 0$  exists for which one of the polynomials  $f(X + h)$ ,  $g(X)$  divides the other. Let  $\mathcal{H}(f, g)$  be non-empty. Take  $h \in \mathcal{H}(f, g)$  and consider the pairs  $(r, s) \in \mathbb{Z}^2$  with  $r - s = h$  for which  $f(r)/g(s)$  is an  $S$ -unit. The polynomials  $f(X)$ ,  $g(X-h)$  have a nonconstant greatest common divisor  $k(X)$  in  $K[X]$ . Put  $f_0(X) = f(X)/k(X)$ ,  $g_0(X) = g(X)/k(X+h)$ . Then neither  $f_0(X)$ , nor  $g_0(X)$  is constant and for the pairs  $(r, s)$  under consideration we have that  $f_0(r)/g_0(s) = f(r)/g(s)$  is an  $S$ -unit and  $r - s \notin \mathcal{H}(f_0, g_0)$ . By our assumption and by the fact that  $\mathcal{H}(f, g)$  is finite, this proves Lemma 2 in general.

Let  $\mathcal{V}$  be the set of pairs  $(r, s) \in \mathbb{Z}^2$  satisfying (32), (33) and  $r - s \notin \mathcal{H}(f, g)$ , where  $f, g$  are non-constant polynomials in  $K[X]$ . It is our aim to show that  $\mathcal{V}$  is finite. We assume that  $f(X), g(X) \in O_K[X]$ , that all the zeros of  $f$  and  $g$  are  $S$ -units in  $K$  and that  $S \supset S_\infty$ , which are no restrictions. Put  $D = [K : \mathbb{Q}]$ . Suppose  $K \subset \mathbb{C}$  and let  $\sigma_1, \sigma_2, \dots, \sigma_D$  be the embeddings of  $K$  in  $\mathbb{C}$ . The constants  $c_{22}, c_{23}$  will be positive and depend only on  $K, f, g$ .

We assume that  $\mathcal{V}$  is infinite for some pair of constants  $\beta, \gamma$  satisfying (31). Let

$$f(X) = A(X - a_1)^{e_1}(X - a_2)^{e_2} \dots (X - a_p)^{e_p},$$

$$g(X) = B(X - b_1)^{f_1}(X - b_2)^{f_2} \dots (X - b_q)^{f_q}$$

where the  $a_i$  are distinct, the  $b_j$  are distinct, the  $e_i$  and the  $f_j$  are positive integers with  $\sum_{i=1}^p e_i = m$ ,  $\sum_{j=1}^q f_j = n$ . First of all we have for  $(r, s) \in \mathcal{V}$ , if  $N(\mathfrak{a})$  denotes the absolute norm of the ideal  $\mathfrak{a}$ , on noting that  $r - s \notin \mathcal{H}(f, g)$ ,

$$\begin{aligned} N((r - a_i, s - b_j)) &\leq N((r - s + b_j - a_i)) \\ &\leq \prod_{k=1}^D |r - s + \sigma_k(b_j - a_i)| \\ &\leq c_{22}|r - s|^D \quad \text{for } \begin{matrix} i = 1, 2, \dots, p, \\ j = 1, 2, \dots, q, \end{matrix} \end{aligned}$$

hence

$$N((f(r), g(s))) \leq c_{23}|r - s|^{Dmn}.$$

Since  $f(r)/g(s)$  is an  $S$ -unit this implies by (1), and  $f(X), g(X) \in O_K[X]$

that

$$\begin{aligned}
 & \max\left(\prod_{v \in S} \|f(r)\|_v, \prod_{v \in S} \|g(s)\|_v\right) \\
 &= \max\left(\prod_{v \notin S} \|f(r)\|_v^{-1}, \prod_{v \notin S} \|g(s)\|_v^{-1}\right) \\
 &= \left(\prod_{v \notin S} \max(\|f(r)\|_v, \|g(s)\|_v)\right)^{-1} \\
 &\leq \left(\prod_{v \notin S_\infty} \max(\|f(r)\|_v, \|g(s)\|_v)\right)^{-1} \\
 &= N((f(r), g(s))) \leq c_{23}|r - s|^{Dmn}.
 \end{aligned}$$

By permuting the  $a_i, b_j$  if necessary we may therefore assume that an infinite subset  $\mathcal{V}_1$  of  $\mathcal{V}$  exist such that for  $(r, s) \in \mathcal{V}_1$ :

$$\begin{aligned}
 \prod_{v \in S} \|r - a_1\|_v &\leq c_{24}(|r - s|^{Dmn})^{1/m} = c_{24}|r - s|^{Dn}, \\
 \prod_{v \in S} \|s - b_1\|_v &\leq c_{24}|r - s|^{Dm}.
 \end{aligned} \tag{34}$$

Put  $\zeta_0 = \zeta_0^{(r,s)} = s - r + a_1 - b_1$ ,  $\zeta_1 = \zeta_1^{(r,s)} = r - a_1$ ,  $\zeta_2 = \zeta_2^{(r,s)} = b_1 - s$ ,  $Z = Z^{(r,s)} = (\zeta_0 : \zeta_1 : \zeta_2)$ . Then  $Z \in \mathbb{P}^2(K)$ ,

$$\zeta_0 + \zeta_1 + \zeta_2 = 0 \tag{35}$$

and by (34), since  $r - s \notin \mathcal{H}(f, g)$ ,

$$\prod_{i=0}^2 \prod_{v \in S} \|\zeta_i\|_v \leq c_{25}|r - s|^{D(m+n+1)}. \tag{36}$$

Since  $f(r) \neq 0, g(s) \neq 0, r - s \notin H(f, g)$  for  $(r, s) \in \mathcal{V}_1$ , we have by (1)

$$\begin{aligned}
 H(Z) &= \prod_v \max(\|\zeta_0\|_v, \|\zeta_1\|_v, \|\zeta_2\|_v) \\
 &\geq \prod_{v \in S_\infty} \|r - a_1\|_v \cdot \prod_{v \notin S_\infty} \|s - r + a_1 - b_1\|_v \\
 &= \prod_{v \in S_\infty} (\|r - a_1\|_v \|s - r + a_1 - b_1\|_v^{-1}) \geq c_{26}|r|^D |r - s|^{-D}.
 \end{aligned} \tag{37}$$

Put  $d = (m + n + 1)\gamma / (1 - \gamma)$ . Then, by (31),  $0 \leq d < 1$ . Formulas (36), (32) and (37) yield that for  $(r, s) \in \mathcal{V}_1$ :

$$\begin{aligned} \prod_{i=0}^2 \prod_{v \in S} \|\xi_i\|_v &\leq c_{25} \beta^{D(m+n+1)} |r|^{D\gamma(m+n+1)} = c_{25} \beta^{D(m+n+1)} |r|^{Dd(1-\gamma)} \\ &\leq c_{25} \beta^{D(m+n+1+d)} (|r|^D |r-s|^{-D})^d \\ &\leq c_{25} c_{26}^{-d} \beta^{D(m+n+1+d)} H(Z)^d. \end{aligned}$$

Together with (35), the fact that  $\xi_0, \xi_1, \xi_2$  are non-zero  $S$ -integers and Theorem 1, this yields that there at most finitely many such projective points  $Z$ . Therefore, there must be an infinite subset  $\mathcal{V}_2$  of  $\mathcal{V}_1$  such that  $Z^{(r,s)} = Z_0$  for  $(r, s) \in \mathcal{V}_2$ , where  $Z_0$  is a fixed projective point in  $\mathbb{P}^2(\mathbb{K})$ ; Choose two pairs  $(r_1, s_1), (r_2, s_2)$  in  $\mathcal{V}_2$  with  $|r_2| > |r_1|$ . By (32), (31) this is possible. Now we have by (32),

$$\begin{aligned} \left| \xi_2^{(r_1, s_2)} \right| &= \left| \frac{\xi_1^{(r_1, s_1)}}{\xi_0^{(r_1, s_1)}} \right| \cdot \left| \xi_0^{(r_2, s_2)} \right| \\ &\leq c_{27} \beta \left| \frac{\xi_1^{(r_1, s_1)}}{\xi_0^{(r_1, s_1)}} \right| \cdot \left| \xi_1^{(r_2, s_2)} \right|^\gamma. \end{aligned}$$

By (31), this implies that  $\left| \xi_1^{(r_2, s_2)} \right|$ , whence  $|r_2|$ , can be bounded above in terms of  $r_1, s_1, f, g, k, \beta, \gamma$ . Together with (32) this contradicts the fact that  $\mathcal{V}_2$  is infinite. Therefore our assumption that  $\mathcal{V}$  is infinite was false and together with the remarks made at the beginning of the proof, this proves Lemma 2. □

**PROOF OF THEOREM 3:** Let  $K$  be an algebraic number field and let  $\{u_k\}_{k=0}^\infty$  be a non-degenerate linear recurrence sequence with  $u_k \in K$ , having at least two characteristic roots. We have

$$u_k = \sum_{i=1}^m f_i(k) \alpha_i^k \quad \text{for } k = 0, 1, 2, \dots, \tag{38}$$

where  $m \geq 2, f_i$  is a non-zero polynomial for  $i = 1, 2, \dots, m$  and the  $\alpha_i$  are distinct algebraic numbers such that  $\alpha_i/\alpha_j$  is not a root of unity for  $i \neq j$ . We assume that  $f_i(X) \in K[X]$ , and  $\alpha_i \in \bar{K}$  for  $i = 1, 2, \dots, m$  which is no restriction in the proof of theorem 3. Further  $c_{28}, c_{29}, \dots$  will denote positive constants depending only on  $K, \alpha_1, \alpha_2, \dots, \alpha_m, f_1, \dots, f_m$ .

We assume that theorem 3 is not valid, i.e. there exists a finite set of primes  $S$  on  $K$ , enclosing  $S_\infty$ , and an infinite set  $\mathcal{W}$  of pairs of integers  $(r, s)$  with  $r > s \geq 0$  and  $u_s \neq 0$ , such that  $u_r/u_s$  is an  $S$ -unit or  $u_r = 0$  for  $(r, s) \in \mathcal{W}$ . We assume that the  $\alpha_i$  and the coefficients of the  $f_i$  are all  $S$ -units which is no restriction. In view of (38) we have

$$\zeta_{r,s} \sum_{i=1}^m f_i(r) \alpha_i^r - \beta \sum_{i=1}^m f_i(s) \alpha_i^s = 0 \quad \text{for } (r, s) \in \mathcal{W}, \tag{39}$$

where  $\zeta_{r,s}$  is an  $S$ -unit,  $\beta = 1$  if  $u_r \neq 0$ ,  $\beta = 0$  and  $\zeta_{r,s} = 1$  if  $u_r = 0$ . Put  $\xi_i = \zeta_{r,s} f_i(r) \alpha_i^r$  for  $i = 1, 2, \dots, m$ ,  $\xi_i = -\beta f_{i-m}(s) \alpha_i^s$  for  $i = m + 1, \dots, 2m$ . Then  $\xi_1 + \xi_2 + \dots + \xi_{2m} = 0$ . For each pair  $(r, s) \in \mathcal{W}$  there is a collection  $\mathcal{P}$  of pairwise disjoint non-empty subsets of  $\{1, 2, \dots, 2m\}$ , having  $\{1, 2, \dots, 2m\}$  as their union, such that

$$\begin{aligned} \sum_{i \in \mathcal{S}} \xi_i &= 0 & \text{for } \mathcal{S} \in \mathcal{P}, \\ \sum_{i \in \mathcal{T}} \xi_i &\neq 0 & \text{if } \mathcal{T} \not\subseteq \mathcal{S}, \mathcal{T} \neq \emptyset \quad \text{for some } \mathcal{S} \in \mathcal{P}. \end{aligned} \tag{40}$$

Since there are only finitely many collections of subsets as described above, we can find such a collection  $\mathcal{P}$  such that (40) holds for all pairs  $(r, s)$  belonging to an infinite subset  $\mathcal{W}_1$  of  $\mathcal{W}$ . We assume that there are no pairs  $(r, s)$  in  $\mathcal{W}_1$  with  $f_i(r) = 0$  for some  $i \in \{1, 2, \dots, m\}$  which is no restriction.

First of all, we shall prove that each set  $\mathcal{S}$  in  $\mathcal{P}$  can contain at most one element from  $\{1, 2, \dots, m\}$ . Let us assume the contrary i.e. that there is an  $\mathcal{S}$  in  $\mathcal{P}$  containing integers  $i, j$  with  $1 \leq i < j \leq m$ . Let  $\Xi = \Xi^{(r,s)}$  denote the projective point with the  $\xi_k (k \in \mathcal{S})$  as homogeneous coordinates. Put

$$c_{28} = \prod_v \max(1, \|\alpha_i/\alpha_j\|_v).$$

Since  $\alpha_i/\alpha_j$  is not a root of unity, we have  $c_{28} > 1$ . By (1) and Lemma 1 we have for  $r \geq c_{29}$ ,

$$\begin{aligned} H(\Xi) &\geq \prod_v \max(\|\zeta_{r,s} f_i(r) \alpha_i^r\|_v, \|\zeta_{r,s} f_j(r) \alpha_j^r\|_v) \\ &= \prod_v \max\left(1, \left\| \frac{f_i(r) \alpha_i^r}{f_j(r) \alpha_j^r} \right\|_v\right) \\ &\geq \prod_v \left( (\max(1, \|f_i(r)\|_v) \max(1, \|f_j(r)\|_v))^{-1} \right) \end{aligned}$$

$$\begin{aligned} & \times \max\left(1, \left\|\frac{\alpha_i}{\alpha_j}\right\|_v\right)^r \\ & \geq c_{30} r^{-c_{31}} c_{28}^r \geq c_{28}^{r/2}. \end{aligned}$$

But on the other side we have, since all  $\alpha_i$  are  $S$ -units,

$$\begin{aligned} \prod_{i \in S} \prod_{v \in S} \|\xi_i\|_v & \leq \max_{1 \leq k \leq m} \left( \prod_{v \in S} \|f_k(r)\|_v^{2m}, \prod_{v \in S} \|f_k(s)\|_v^{2m} \right) \\ & \leq c_{32} r^{c_{33}}. \end{aligned}$$

Since all the  $\xi_i$  are  $S$ -integers, this implies by Theorem 1, and (40) that there are only finitely many of such projective points  $\Xi^{(r,s)}$ . But then there are infinitely pairs  $(r, s)$  in  $\mathscr{W}_1$  which correspond to the same projective point  $\Xi^{(r,s)}$ . Take two of these pairs,  $(r_1, s_1), (r_2, s_2)$  say, with  $r_2 > 2r_1$ . Then

$$\frac{\zeta_{r_1, s_1} f_i(r_1) \alpha_i^{r_1}}{\zeta_{r_1, s_1} f_j(r_1) \alpha_j^{r_1}} = \frac{\zeta_{r_2, s_2} f_i(r_2) \alpha_i^{r_2}}{\zeta_{r_2, s_2} f_j(r_2) \alpha_j^{r_2}},$$

hence

$$\left(\frac{\alpha_i}{\alpha_j}\right)^{r_2 - r_1} = \frac{f_i(r_1) f_j(r_2)}{f_i(r_2) f_j(r_1)}. \tag{41}$$

Choose a prime  $v$  such that  $\|\alpha_i/\alpha_j\|_v = : c_{34} > 1$ . Then  $\|\alpha_i/\alpha_j\|_v^{r_2 - r_1} \geq c_{34}^{r_2/2}$ , whereas by Lemma 1,

$$\left\| \frac{f_i(r_1) f_j(r_2)}{f_j(r_1) f_i(r_2)} \right\|_v \leq c_{35} r_2^{c_{36}}.$$

However, for  $r_2$  sufficiently large this contradicts (41). This shows indeed that each set  $\mathscr{S}$  in  $\mathscr{P}$  can contain at most one element from  $\{1, 2, \dots, m\}$ . Of course, there are sets  $\mathscr{S}$  containing an element from  $\{1, 2, \dots, m\}$  and since we assumed that  $f_i(r) \neq 0$  for  $i \in \{1, 2, \dots, m\}$  and  $(r, s) \in \mathscr{W}_1$ , these sets must contain also an element  $i$  from  $\{m + 1, \dots, 2m\}$ , for which  $\xi_i \neq 0$ . Hence  $\beta = 1$  and  $\mathscr{P}$  consists of  $m$  pairwise disjoint subsets of  $\{1, 2, \dots, 2m\}$ , each containing exactly one element from  $\{1, 2, \dots, m\}$  and one from  $\{m + 1, \dots, 2m\}$ . This can be written as

$$\zeta_{r, s} f_i(r) \alpha_i^r = f_{\sigma(i)}(s) \alpha_{\sigma(i)}^s \quad \text{for } (r, s) \in \mathscr{W}_1 \tag{42}$$

where  $\zeta_{r,s}$  is an  $S$ -unit and  $\sigma$  a fixed permutation of  $\{1, 2, \dots, m\}$ .

In the final part of the proof we shall show that  $\mathscr{W}_1$  is finite. This is contradictory to what we have seen before and will complete the proof of theorem 3. We distinguish two cases.

*Case 1.*  $\sigma$  is the identity.

Then we have for  $i, j \in \{1, 2, \dots, m\}$ , by (42),

$$\frac{f_i(r)}{f_j(r)} \left( \frac{\alpha_i}{\alpha_j} \right)^r = \frac{f_i(s)}{f_j(s)} \left( \frac{\alpha_i}{\alpha_j} \right)^s \quad \text{for } (r, s) \in \mathscr{W}_1. \tag{43}$$

If all polynomials  $f_i(X)$  with  $i \in \{1, 2, \dots, m\}$  are constant this implies that  $\alpha_i/\alpha_j$  is a root of unity for all pairs  $(i, j)$  with  $i, j \in \{1, 2, \dots, m\}$  and we have excluded this case. Therefore we can choose a polynomial  $f_i(X)$  such that  $f_i(X)$  is non-constant. Then for every non-zero rational integer  $h$ , none of the polynomials  $f_i(X+h)$ ,  $f_i(X)$  divides the other. Furthermore, by (42),  $f_i(r)/f_i(s)$  is an  $S$ -unit for  $(r, s) \in \mathscr{W}_1$ . Take  $j \in \{1, 2, \dots, m\}$  with  $j \neq i$ . By (43) and lemma 1, we have, on choosing a prime  $v$  such that  $\|\alpha_i/\alpha_j\|_v > 1$ ,

$$\left\| \frac{\alpha_i}{\alpha_j} \right\|_v^{r-s} = \left\| \frac{f_i(s)f_j(r)}{f_i(r)f_j(s)} \right\|_v \leq c_{37} r^{c_{38}},$$

hence

$$0 < r - s \leq c_{39} \log r \quad \text{for } (r, s) \in \mathscr{W}_1.$$

By Lemma 2 we infer that  $\mathscr{W}_1$  is finite indeed.

*Case 2.*  $\sigma$  is not the identity.

Choose an integer  $i$  such that  $i \neq \sigma(i)$  and  $(r, s) \in \mathscr{W}_1$ . Put  $\theta_k = \alpha_{\sigma^k(i)}/\alpha_{\sigma^{k+1}(i)}$ ,  $\theta_k = f_{\sigma^{k+1}(i)}(s)/f_{\sigma^k(i)}(r)$ . By (42) we have

$$\theta_k^r = \frac{q_k}{q_{k+1}} \theta_{k+1}^s \quad \text{for } k = 0, 1, 2, \dots$$

A simple inductive argument shows that

$$\theta_0^{r^k} = \left( \frac{q_0}{q_1} \right)^{r^{k-1}} \left( \frac{q_1}{q_2} \right)^{r^{k-2}s} \dots \left( \frac{q_{k-1}}{q_k} \right)^{s^{k-1}} \theta_k^{s^k} \quad \text{for } k = 1, 2, 3, \dots$$

Let  $v$  be the order of  $\sigma$ . Then  $\theta_v = \theta_0$ ,  $q_v = q_0$ . This implies that

$$\begin{aligned} \theta_0^{r^v - s^v} &= \left(\frac{q_0}{q_1}\right)^{r^{v-1}} \left(\frac{q_1}{q_2}\right)^{r^{v-2}s} \cdots \left(\frac{q_{m-1}}{q_m}\right)^{s^{v-1}} \\ &= q_0^{r^{v-1} - s^{v-1}} \cdot q_1^{r^{v-2}s - r^{v-1}} \cdot q_2^{r^{v-3}s^2 - r^{v-2}s} \cdots q_m^{s^{v-1} - r \cdot s^{v-2}} \end{aligned}$$

All exponents appearing in the above equality are divisible by  $r - s$  and we have

$$\theta_0^{r^{v-1} + r^{v-2}s + \cdots + s^{v-1}} = q_0^{r^{v-2} + \cdots + s^{v-2}} q_1^{-r^{v-2}} q_2^{-r^{v-3}s} \cdots q_{v-1}^{-s^{v-2}}. \quad (44)$$

Now choose a prime  $v$  such that  $1 < \|\theta_0\|_v = : e^{c_{40}}$ . Then by (44) and Lemma 1,

$$e^{c_{40}r^{v-1}} \leq (c_{41} \cdot r^{c_{42}})^{r^{v-2}} \leq e^{c_{43}r^{v-2} \log r}.$$

This implies that  $r$  is bounded and hence that also in this case  $\mathcal{W}_1$  is finite.  $\square$

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