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PERIODS AND GAUSS-MANIN CONNECTION FOR THE MUMFORD CURVE $y_2^{r_2}y_1^{r_1} - y_2^{r_2} - y_1^{r_1} + \lambda = 0$ Lothar Gerritzen

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A method is introduced which allows to obtain explicit formulas for the periods $q(\lambda)$ of a family (C_{λ}) of smooth curves. It gives expressions for $q(\lambda)$ in the vicinity of a point λ_0 for which the curve C_{λ_0} is totally degenerate provided one knows Picard-Fuchs equations for differentials of the family (C_{λ}) .

Techniques from rigid analytic geometry are used, see [T]. We work with the notion of periods for p-adic Schottky groups as defined by Manin-Drinfeld, [MD]. The result can certainly be applied to the usual complex periods. In this approach it is basic that one has a canonical basis for the De Rham cohomology classes.

In this manuscript only one example is treated. The curves C_{λ}^{r} given by the equation in the title are prestable and totally degenerate for $\lambda = 1$. The p-adic Schottky uniformization is constructed in section 2. In section 3 a crucial formula for the Gauss-Manin connection is explained. The main application is the expression for the periods in proposition 4 of section 4. For elliptic curves the result is classical, see [F]. It is planned to give a more complete account of this method in a joint paper with F. Herrlich. The relation to the work of B. Dwork, [D], shall be included.

1. The curve C_{1}^{r}

Let K be a field of characteristic 0 and $r = (r_1, r_2)$ a pair of integers ≥ 2 . Assume that there is a primitive root of unity ρ_i of order r; in K.

Let (y_1, y_2) be a system of inhomogeneous coordinates for $\mathbb{P} \times \mathbb{P}$, where \mathbb{P} is the projective line over K and let λ be a parameter in K. The equation

$$y_2^{r_2}y_1^{r_1} - y_2^{r_2} - y_1^{r_1} + \lambda = 0$$

defines a projective curve C_{λ}^{r} in $\mathbb{P} \times \mathbb{P}$.

If u_i , v_i are homogeneous variables for \mathbb{P} with $y_i = \frac{u_i}{v_i}$, then C_{λ}^r is the set of zeroes of the bihomogeneous equation

$$u_2^{r_2}u_1^{r_1} - u_2^{r_2}v_1^{r_1} - v_2^{r_2}u_1^{r_1} + \lambda v_2^{r_2}v_1^{r_1} = 0$$

The curve $C_{\lambda}^{\mathbf{r}}$ is non-singular if and only if $\lambda(\lambda-1) \neq 0$. The curve $C_{\lambda}^{\mathbf{r}}$ is a union of $\mathbf{r}_1 \cdot \mathbf{r}_2$ projective lines and prestable. Let σ_1 (resp. σ_2) be the automorphism on $\mathbb{P} \times \mathbb{P}$ for which

$$y_1 \circ \sigma_1 = \rho_1 \cdot y_1, \quad y_2 \circ \sigma_1 = y_2.$$

 $(resp. y_1 \circ \sigma_2 = y_1, y_2 \circ \sigma_2 = \rho_2 \cdot y_2).$

The restriction $\sigma_1 | C_{\lambda}^r$ of σ_1 onto C_{λ}^r is an automorphism of C_{λ}^r and $\sigma_1^{\circ} \sigma_2 = \sigma_2^{\circ} \sigma_1$. Let G denote the group generated by $\sigma_1 | C_{\lambda}^r$ and $\sigma_2 | C_{\lambda}^r$. It is canonically isomorphic to $\mathbb{Z}/r_1\mathbb{Z} \oplus \mathbb{Z}/r_2\mathbb{Z}$.

The field of K-rational functions of C_{λ}^{r} is generated by $y_{1} | C_{\lambda}^{r}$ and $y_{2} | C_{\lambda}^{r}$ if $\lambda \neq 0$, $\lambda \neq 1$. We will write in the sequel y_{i} instead of $y_{i} | C_{\lambda}^{r}$ and define x_{i} to be $y_{i}^{r_{i}}$. Then

$$\frac{dx_1}{x_1 - 1} = -\frac{dx_2}{x_2 - 1}$$

because the rational functions x_1 , x_2 satisfy the relation

$$x_2 x_1 - x_2 - x_1 + \lambda = 0$$

and thus

$$x_2 dx_1 + x_1 dx_2 - dx_2 - dx_1 = 0$$

$$(x_1-1)dx_2 + (x_2-1)dx_1 = 0.$$

Let I := {i = $(i_1, i_2) \in \mathbb{Z}^2$: $1 \le i_1 < r_1, 1 \le i_2 < r_2$ }. For i = $(i_1, i_2) \in I$ we define

$$\omega_{i} = \frac{dx_{1}}{y_{1}^{i}y_{2}^{2}(x_{1}-1)} = -\frac{dx_{2}}{y_{1}^{i}y_{2}^{2}(x_{2}-1)}$$
$$\omega_{i}^{i} = \frac{dx_{1}}{y_{1}^{i}y_{2}^{2}}$$

Then the De Rham cohomology vectorspace $H_{DR}^{1}(C_{\lambda}^{r})$ admits a direct decomposition

where $\langle \omega_{i}, \omega_{i}^{i} \rangle$ denotes the K-vectorspace of differentials generated by ω_{i} and ω_{i}^{i} . In fact $\langle \omega_{i}, \omega_{i}^{i} \rangle$ is the eigenspace of the canonical action of G on $H_{DR}^{1}(C_{\lambda}^{r})$ with respect to the character $\chi : G \neq K^{*}$ for which $\chi(\sigma_{1}) = \rho_{1}^{-i_{1}}, \chi(\sigma_{2}) = \rho_{2}^{-i_{2}}$. As dim $H_{DR}^{1}(C_{\lambda}^{r}) = 2(r_{1}-1)(r_{2}-1)$ the genus of C_{λ}^{r} is $(r_{1}-1)(r_{2}-1)$.

2. p-adic uniformization

Let now K be complete with respect to non-archimedean valuation ||and assume that $|\lambda-1| < 1$ and that $r_1 \cdot r_2$ is prime to the characteristic of the residue field. I want to show that C_{λ}^{r} is a Mumford curve. This will be achieved by constructing the non-archimedean or p-adic Schottky uniformization for C_{λ}^{r} .

Let z be a coordinate for \mathbb{P} , and $s \in K$, |s-1| < 1, $s \neq 1$ and let

$$\sigma_{1}(z) = \rho_{1} \cdot z$$

$$\sigma_{2}(z) = \frac{(s - \rho_{2})z + (\rho_{2} - 1)s}{(1 - \rho_{2})z + (\rho_{2} s - 1)}$$

Then σ_1 , σ_2 are elliptic fractional linear transformation of **P** and σ_2 has the multiplier ρ_2 and the fixed points 1 and s. One can show that the group $\langle \sigma_1, \sigma_2 \rangle$ is discontinuous in the sense of [GP], Chap. I,§1, and that the commutator subgroup Γ of $\langle \sigma_1, \sigma_2 \rangle$ is a free group freely generated by $\{\gamma_i := \sigma_1^{-i} \sigma_2^{-i} \sigma_1^{-i} \sigma_2^{-i} : i \in I\}$, see [GH].

Let $z_1 := z \cdot z_2 := \frac{z-s}{z-1}$. Then

$$z_1 = \frac{z_2 - s}{z_1 - 1}$$

Let Γ_i the group generated by $\Gamma \cup \{\sigma_i\}$ i = 1,2. Define

$$y_{1} := \prod_{\gamma \in \Gamma_{2}} \frac{z_{1} \circ \gamma}{(z_{1} \circ \gamma)(1)}$$
$$y_{2} := \prod_{\gamma \in \Gamma_{1}} \frac{z_{2} \circ \gamma}{(z_{1} \circ \gamma)(\infty)}.$$

Both products converge on the domain Z of ordinary points for Γ . They are both meromorphic on Z and are Γ -automorphic forms on Z with constant factors of automorphy, see [GP], Chap. II, §2. A direct computation gives

$$y_1 \circ \sigma_1 = \rho_1 \cdot y_1$$
$$y_2 \circ \sigma_2 = \rho_2 \cdot y_2$$

One can conclude that $y_1^{r_1}$, $y_2^{r_2}$ are $\langle \sigma_1, \sigma_2 \rangle$ -automorphic and that y_1, y_2 are Γ -invariant, see [GP], Chap. III, §1, for the notions. Let $\lambda := y_1^{r_1}(s)$

<u>Proposition 1:</u> The mapping $z \neq (y_1(z), y_2(z))$

gives a bianalytic mapping between the Mumford curve Z/Γ and the curve $C_{\lambda}^{r}.$

Proof: see [GH].

Remark: The mapping

$$s \rightarrow \lambda(s)$$

is a bianalytic mapping between $\{s \in K : |1-s| < 1\}$ and

 $\{\lambda \in K : |1-\lambda| < 1\}$ with $\lambda(1) = 1$. Moreover $\lambda(s^{-1}) = \lambda(s)^{-1}$.

3. Gauss-Manin connection

There are canonical analytic Γ -automorphic forms with constant factors of automorphy such that $\alpha_i := \frac{du_i}{u_i}$ are analytic differentials on C^r_{λ} and such that $\{\alpha_i : i \in I\}$ is a basis of the K-vectorspace of analytic differentials on C^r_{λ} , see [GP], Chap. II, §4.

Let $q_{ij} := \frac{u_i \cdot \gamma_j}{u_i} \in K^*$. The matrix $q := (q_{ij})$ is the period matrix of Γ with respect to the basis $\{\gamma_i : i \in I\}$, see [MD], §2. Also there are meromorphic functions ζ_i on Z such that $\zeta_i - \zeta_i \circ \gamma_j = \{ \begin{array}{c} 1 : i = j \\ 0 : i \neq j \end{array} \}$, see [G2], p. 387, and [G1], section 3.

The differentials $\beta_i := d\zeta_i$ are of the second kind and $\{\alpha_i : i \in I\} \cup \{\beta_i : i \in I\}$ is a basis of $H_{DR}^1(C_{\lambda}^r)$.

We consider now $C^{\mathbf{r}}$ as a family of curves by letting λ vary through $\{\lambda \in K : |\lambda - 1| < 1\}$. The Gauss-Manin connection ∇ of $C^{\mathbf{r}}$ is a connection

$$\nabla : H_{DR}^{1} \rightarrow H_{DR}^{1} \ \Omega$$

where H_{DR}^1 is the sheaf of De Rham cohomology classes of C^r as family of curves over $S = \{s \in K : |s-1| < 1\}$ and Ω is the sheaf of analytic differentials on S.

The main result of [G1] is a proof of Proposition 2: $\nabla(\alpha_i) = \sum_{i \in I} \beta_i \stackrel{\text{de}}{=} \frac{dq_{ij}}{q_{ij}}$ $\nabla(\beta_i) = 0.$

We want to apply this formula to the differential of the first kind

$$\omega_{i} = \frac{dx_{1}}{y_{1}^{i}y_{2}^{i}(x_{1}-1)}.$$

Proposition 3:

$$\omega_{i} = F_{i}(\lambda) \cdot \sum_{j \in I} \rho_{1}^{i_{j}j_{1}} \rho_{2}^{i_{2}j_{2}} \alpha_{j}$$

with
$$F_i(\lambda) = \sum_{n=0}^{\infty} \frac{\left(\frac{i}{r_1}\right)_n \cdot \left(\frac{i}{r_2}\right)_n}{\left(\frac{n!}{r_1}\right)^2} (1-\lambda)^n$$
 and $(a)_n := \prod_{i=0}^{n-1} (a+i)$.

Proof: The method of proof consist in the following: It is well known that the cohomology class ω of $\frac{dx}{y}$, $y := x^a (x-1)^b (x-\lambda)^c$, satisfies the hypergeometric differential equation also known as Picard-Fuchs equation for ω :

$$\lambda(1-\lambda)\nabla_{\lambda}^{2}(\omega) + [a+c-(a+b+2c)\lambda]\nabla_{\lambda}(\omega) - (a+b+c-1)\omega = 0$$

see for instance [M], p. 378 or [D], Chap. I, p. 8.

In our case
$$a = \frac{-i_1}{r_1}$$
, $b = -1 + \frac{i_2}{r_2}$, $c = \frac{i_2}{r_2}$.

A straightforward computation shows that the above F_i is up to a constant the only power series solution of the above differential equation.

But ω_i being a differential of the first kind admits a representation

$$i = \sum_{j \in I} G_{ij} \alpha_j$$

with G_{ij} analytic in S.

Thus $\nabla_{\lambda}(\omega_{i}) \equiv \sum_{j \in I} G_{ij} \alpha_{j} \mod H'$ when H' is the subspace generated by $\{\beta_{i} : i \in I\}$. Thus each $\dot{G}_{ij} = c_{j} \cdot F_{i}$ with $c_{j} \in K$, where the dot over G_{ij} means the derivative with respect to λ . By considering the limit case for $s \neq 1$ one obtains the above constants. For the details see [GH].

R. Coleman (Berkeley) has informed me that he has a completely different approach to this result.

4. Application to periods

The formulas for the Gauss-Manin connection and the Picard-Fuchs equation allow to derive an explicit expression for the logarithmic derivative of q_{ij} with respect to the variable λ in the domain

$$\{|\lambda-1| < 1\}.$$

Proposition 4:

$$\frac{\dot{q}_{ij}}{q_{ij}} = \sum_{k \in I} c_{ik} \cdot E_{kj}$$
with $c_{ik} = \frac{(\rho_1^{-i_1k_1} - 1)(\rho_2^{-i_2k_2} - 1)}{r_1 \cdot r_2}$

$$E_{kj} = \frac{A_{kj}}{(1 - \lambda)\lambda^{\frac{k_1}{1} + \frac{k_2}{2}} \cdot F_k^2}$$

$$A_{kj} = 1 - \rho_1^{k_1j_1} - \rho_2^{k_2j_2} + \rho^{kj} = c_{-k,j} \cdot r_1 \cdot r_2$$

$$\lambda^a = (1 - (1 - \lambda))^a := \sum_{n=0}^{\infty} (a_n^a)(1 - \lambda)^n \cdot (-1)^n$$

$$k_a = k_a$$

$$F_{k} = \sum_{n=0}^{\infty} \frac{(\frac{1}{r_{1}})_{n} \cdot (\frac{2}{r_{2}})_{n}}{(n!)^{2}} (1-\lambda)^{n}$$

which is the hypergeometric function ${}_{2}F_{1}(\frac{k_{1}}{r_{1}}, \frac{k_{2}}{r_{2}}; 1; 1-\lambda)$, see [MOS], Chap. II, (2.1).

Remark: In the special case $r_1 = r_2 = 2$ the index set I consists of (1,1) only and with $q := q_{11}$ one gets

$$\frac{\dot{q}}{q} = \frac{4}{(1-\lambda)\lambda \cdot {}_{2}F_{1}(\frac{1}{2}, \frac{1}{2}, 1; 1-\lambda)}$$

which is equivalent to a classical formula, see [F]. Be aware that λ is not the Legendre parameter as our equation is $y_2^2y_1^2 - y_2^2 - y_1^2 + \lambda = 0$. We sketch now a proof of proposition 4.

1) Let
$$\omega_{i}^{*} := \frac{\omega_{i}}{F_{i}} = \sum_{j \in I} \rho^{ij} \alpha_{j}$$
. Then
 $\nabla_{\lambda}(\omega_{i}^{*}) = \sum_{k \in I} E_{ik} \cdot \beta_{k}$

with
$$E_{ik} := \sum_{j \in I} \rho^{ij} \frac{q_{jk}}{q_{jk}}$$

Let L_i denote the operator

$$L_{i} = \lambda (1-\lambda) \nabla_{\lambda}^{2} - [1 - (\frac{i_{1}}{r_{1}} + \frac{i_{2}}{r_{2}} + 1)(1-\lambda)] \nabla_{\lambda} - \frac{i_{1}i_{2}}{r_{1}r_{2}}.$$

It is known that

$$L_i(\omega_i) = 0.$$

Now

$$\nabla_{\lambda}(F_{i}\omega_{i}^{*}) = F_{i}\nabla_{\lambda}(\omega_{i}^{*}) + \dot{F}_{i}\omega_{i}^{*}$$

$$\nabla_{\lambda}^{2}(F_{i}\omega_{i}^{*}) = F_{i}\nabla_{\lambda}^{2}(\omega_{i}^{*}) + 2\dot{F}_{i}\nabla_{\lambda}(\omega_{i}^{*}) + \dot{F}_{i}\omega_{i}^{*}$$
and
$$\nabla_{\lambda}^{2}(\omega_{i}^{*}) = \sum_{k \in I} \dot{E}_{ik}\beta_{k}$$

Substituting into the equation $L_i(\omega_i) = 0$ and looking for the coefficient at β_k which must be zero gives

$$\frac{\dot{E}_{ik}}{E_{ik}} = -2 \frac{\dot{F}_{i}}{F_{i}} + \frac{1}{1-\lambda} - \frac{(\frac{1}{r_{1}} + \frac{1}{r_{2}})}{\lambda}.$$

Solving this differential equation gives

$$E_{ik} = \frac{A_{ik}}{(1-\lambda)\lambda} \frac{(\frac{i}{r_1} + \frac{i}{r_2})}{F_i^2}$$

with a constant $A_{ik} \in K$. E_{ik} is considered as a Laurent series in (1- λ); its residue at 1 is just A_{ik} .

In a joint work with F. Herrlich we determined the constants A_{ik} . A careful study of the action of Γ on the Bruhat-Tits tree of \mathbb{P} gives the result that the vanishing order ord q_{ji} of q_{ji} at the point s = λ = 1 is as follows:

ord
$$q_{ik} = \begin{cases} 4 : j = k \\ 2 : j \neq k \text{ and } j_1 = k_1 \text{ or } j_2 = k_2 \\ 1 : \text{ otherwise} \end{cases}$$

Therefore the residue of $\frac{dq_{jk}}{q_{jk}}$ at $\lambda = 1$ is ord q_{jk} and the residue of $E_{ik}d\lambda$ at $\lambda = 1$ is

As
$$\sum_{\substack{j \in I \\ j \in I \\ j \in I \\ j_1 = k_1 \\ j_2 = k_2 \\ j \in I \\ j_1 = k_1 \\ j_2 = l \\ j_2 = l}} \sum_{\substack{r_2 - 1 \\ r_2 - l \\ r_2$$

indeed A_{ik}.

2) Let $\overline{\Gamma} = \Gamma/[\Gamma,\Gamma]$ be the commutator factor group of Γ ; if is a free Z -module generated by the images e_i of γ_i , $i \in I$. Now G is canonically isomorphic to the factor group $\langle \sigma_1, \sigma_2 \rangle / \Gamma$ and thus acts on $\overline{\Gamma}$ by inner automorphims; we consider $\overline{\Gamma}$ as G-module. As $\sigma_1 \gamma_i \sigma_1^{-1} = \sigma_1 : \sigma_1^{i_1} \sigma_2^{i_2} \sigma_1^{-i_1} \sigma_2^{-i_2} \cdot \sigma_1^{-1}$ $= \sigma_1^{i_1+1} \sigma_2^{i_2} \sigma_1^{-i_1-1} \cdot \sigma_1 \cdot \sigma_2^{-i_2} \cdot \sigma_1^{-1}$ $= \gamma_{i_1+1,i_2} \cdot \sigma_2^{i_2} \cdot \sigma_1 \cdot \sigma_2^{-i_2} \cdot \sigma_1^{-1}$ $= \gamma_{i_1+1,i_2} \cdot \gamma_{1,i_2}^{-1}$ and $\sigma_2 \gamma_i \sigma_2^{-1} = \sigma_2 \sigma_1^{i_1} \sigma_2^{i_2} \sigma_1^{-i_1} \sigma_2^{i_2} \cdot \sigma_2^{-1}$ $= \sigma_2 \sigma_1^{i_1} \sigma_2^{-1} \sigma_1^{-i_1} \cdot \sigma_1^{i_1} \cdot \sigma_2 \cdot \sigma_2^{i_2} \sigma_1^{-i_1} \sigma_2^{-i_2} \cdot \sigma_1^{-1}$ $= \gamma_{i_1,1} \gamma_{i_1,i_2}^{i_1,i_2+1}$

the action of G is known.

Let M be the submodule of the group ring \mathbb{Z} [G] generated by

$$a_i = (\sigma_1^{i_1} - 1) \cdot (\sigma_2^{i_2} - 1)$$

for all $(i_1, i_2) \in I$. It is easy to verify that the mapping

$$\kappa : \overline{\Gamma} \rightarrow M$$

which sends e_i to a_i , $i \in I$, is indeed an isomorphism of G-modules. In order to be able to work with a simpler basis we consider K @ M and let

$$\mathbf{W}_{i} := \sum_{j \in \mathbf{I}} \rho^{+ij} \cdot \mathbf{a}_{j} \in \mathbf{K} \otimes \mathbf{M}$$

where $i \cdot j$ is the multiplication in I considered as multiplicative semi-group in the ring $J = \mathbb{Z}/r_1\mathbb{Z} \oplus \mathbb{Z}/r_2\mathbb{Z}$ and $\rho^i := \rho_1^{i_1} \cdot \rho_2^{i_2}$ for $i \in \mathbb{Z}/r_1\mathbb{Z} \oplus \mathbb{Z}/r_2\mathbb{Z}$.

Then

with

$$\sigma^{j} = \sigma_{1}^{j_{1}} \cdot \sigma_{2}^{j_{2}} \text{ for } j \in J$$

and

$$\sigma_{2i}^{w} = \frac{\rho^{-i}2}{2} \cdot w_{i}$$

 $\sigma_1 w_i = \sigma_1^{-i_1} \cdot w_i$

This shows that $\{w_i : i \in I\}$ is a basis of K @ M and thus

$$a_{i} = \sum_{j \in I} c_{ij} w_{j}$$

with a matrix $c = (c_{ij}), c_{ij} \in K$, of determinant $\neq 0$. In fact c is the inverse of the matrix

 $(\rho^{\pm ij})_{i,j \in I}$ A straight forward computation gives: $c_{ij} = \frac{\rho_1^{i_1j_1} - 1}{r_1} \cdot \frac{\rho_2^{i_2j_2} - 1}{r_2}$ for any $i,j \in I$, $i = (i_1, i_2)$, $j = (j_1, j_2)$. 3) From 2) we get that

$$\alpha_{i} = \sum_{j \in I} c_{ij} \omega_{j}^{*}$$
Now
$$\nabla(\alpha_{i}) = \sum_{k \in I} \beta_{k} \frac{\dot{q}_{ik}}{q_{ik}}$$

$$= \sum_{j \in I} c_{ij} (\sum_{k \in I} E_{jk} \beta_{k})$$

$$= \sum_{k \in I} (\sum_{j \in I} c_{ij} E_{jk}) \cdot \beta_{k}$$
and thus
$$\sum_{j \in I} c_{ij} E_{jk} = \frac{\dot{q}_{ik}}{q_{ik}}$$
which completes the proof.

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