C. WATKISS

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THE NILPOTENT MODEL FOR A FUNCTION SPACE

by C. WATKISS

INTRODUCTION. - The purpose of these notes is to describe Sullivan's construction of a nilpotent model for a function space Y^X . The motivating idea is to assume the existence of a cocommutative chain theory from topological spaces to coalgebras (which, by abuse of notation, we write as $X \mapsto X$). One then simply translates the universal properties of Y^X into algebraic universal properties, and solves the corresponding algebraic problem.

For example, there is an evaluation map $Y^X \times X \stackrel{\text{ev}}{\to} Y$ such that, given any continuous map $Z \times X \stackrel{\text{e}}{\to} Y$ there exists a unique map $\phi : Z \to Y^X$ such that the diagram



commutes. Given a coalgebra X (corresponding to the space X) and a nilpotent algebra \mathcal{M} (corresponding to Y) we construct a nilpotent algebra $\mathcal{A}(\mathcal{M},X)$ which corresponds to the function space Y^X ; and satisfies a dual algebraic universal property.

Unfortunately, the function space Y^X is in general not connected. This fact is reflected algebraically by the existence of elements of negative degree in $\mathcal{A}(\mathcal{M}, X)$. In effect, since the geometrical significance of these generators is not clear, we calculate the nilpotent model for a connected component of Y^{X} : given a map f : $X \rightarrow Y$; the f-component of Y^X satisfies the universal property but for any pointed space (Z; \star) and map $e: Z \times X \rightarrow Y$ whose restriction to $* \times X$ is simply f, then there is a unique pointed map $(Z, *) \stackrel{\phi}{\rightarrow} (Y^X, f)$ such that the above diagram (*)commutes. In the algebraic situation the map $f : X \rightarrow Y$ corresponds to an algebra homomorphism $\rho: \mathcal{M} \to X^{*}$; we construct a nilpotent algebra $\mathscr{B}(\rho, X)$ which is a nilpotent model for the f-component of the function space Y^X , and which satisfies an analogous universal property. The essential ingredient in the proof that $\mathscr{B}(\rho, X)$ is indeed a nilpotent model for the f-coponent of Y^X is careful study of the dependence of the algebre $\mathscr{B}(\rho, X)$ on a change of X or \mathcal{M} by a map inducing an isomorphism on (co) homology. It is this algebraic study that we present here.

0. NOTATION .

All algebras, coalgebras, etc. will be over a field k of characteristic O. We abbreviate commutative differential graded algebra by CDGA and cocommutative differential graded coalgebra by CDGC. (CDGA's are not necessarily positively graded : A = $(A^p)_{p \in Z}$ with d : $A^p + A^{p+1}$. On the other hand a CDGC is positively graded : C = $(C_p)_{p \ge 0}$ with $\partial: C_p + C_{p-1}$. For convenience we raise degrees by $C^p = C_{-p}$ so that C = $(C^p)_{p \le 0}$ is negatively graded and $\partial: C^p + C^{p+1}$.) If A is CDGA and C a CDGC then Hom(C,A) is a CDGA with the usual notations : Hom^P(C,A) = $\prod_{i=1}^{n} \text{Hom}(C^i, A^{i+p})$, $d_{\text{Hom}}(\phi) = d_A \circ \phi + (-1)^{|\phi|+1} \phi \circ \partial_C$, $m_{\text{Hom}} = \text{Hom}(\Delta_C, m_A)$. Hom(C,k) is denoted C^{*}. The free CGA on a graded vector space Q is denoted AQ. A CDGA \mathcal{M} is *nilpotent* if \mathcal{M} is free as a CGA ($\mathcal{M} \approx \Lambda Q_n$) and the space of generators Q_M has a well-ordered basis $\{x_{\alpha}\}$ such that dx_{α} is a polynomial in the x_p with $\beta = \alpha$

A CDGA or CDGC - map $f : A \rightarrow B$ is called a quasi-isomorphism if H(f) : H(A) $\stackrel{\approx}{\rightarrow}$ H(B) is an isomorphism.

1. THE MODEL FOR A FUNCTION SPACE.

Suppose X is a CDGC and $\mathcal{M} = \Lambda Q_M$ a nilpotent CDGA (with Q_M strictly positively graded). Then there is a CDGA $\mathcal{A} = \mathcal{A}(\mathcal{M}, X)$ and a morphism

 $\varepsilon : \mathcal{M} \to \operatorname{Hom}(X,)$ with the universal property that for any CDGA Z and map $e : \mathcal{M} \to \operatorname{Hom}(X,Z)$ there is a unique map $\mathcal{A} \xrightarrow{\phi} Z$ such that the diagram



commutes. In fact, let $\mathscr{A} = \Lambda(Q_M \otimes X)$ as a CGA. Define the map ε on generetors Q_M by

 $\varepsilon(y)(x) = y \otimes x, y \in Q_M, x \in X.$

The differential in \mathscr{A} is chosen precisely so that ε is a map of CDGA's : $\varepsilon \circ d_{\mathfrak{m}} = d_{Hom} \circ \varepsilon$ forces the definition

$$d_{\mathcal{A}}(y, 0, x) = \varepsilon (d_{\mathbf{m}} y) (x) + (-1) |y|_{\varepsilon} (y) (\partial_{\mathbf{X}} x).$$

This differential is extended to a degree λ derivation in \mathscr{A} : it is trivial to check that $d_{\mathscr{A}} \circ d_{\mathscr{A}} = 0$ and the universal property is satisfied.

1.1. REMARKS. 1. In general \$\alpha\$ need not be positively graded (since
X is negatively graded).

2. A is nilpotent.

Now suppose $\rho: \mathscr{M} \to X^*$ is a CDGA map. By the universal property for ε , there is a unique augmentation

 $ev_{\rho} : \mathscr{A} (\mathcal{M}, X) \rightarrow k$ such that the diagram



commutes. Let $I_{\rho} \subset A$ be the ideal generated by $\mathscr{A}^{<0}$ (the elements of negative degree), $\mathscr{A}^{0} \cap Ker ev$, and $d_{\mathcal{A}}(\mathscr{A}^{0} \cap Ker ev)$. Note that I_{ρ} is d is $d_{\mathcal{A}}$ -stable : $d_{\mathcal{A}}(\mathscr{A}^{<-1}) \subset \mathscr{A}^{\circ} \cap Ker ev_{\rho}$ (since ev_{ρ} is a CDGA map).

1.2. DEFINITION . -
$$\mathscr{B}(\rho, X) = \mathscr{A}(\mathcal{M}, X)/I_{\rho}$$
.

1.3. LEMMA. - $(\mathfrak{g}(\rho, X) \text{ is a nilpotent CDGA}.$

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$$K^{\mathbf{p}} = \begin{cases} Q_{\mathbf{A}}^{\mathbf{p}} & \mathbf{p} \leq \mathbf{o} \\ d_{\mathbf{Q}_{\mathbf{A}}}(\mathbf{Q}_{\mathbf{A}}) & \mathbf{p} = \mathbf{1} \\ 0 & \mathbf{p} > \mathbf{1} \end{cases}$$

and let $\Pi_Q : Q_A \to Q_A/K = Q_B$ be the projection. Then $\mathscr{B} \cong \Lambda Q_B$ and under this isomorphism $\Pi : \mathscr{A} \to \mathscr{B}$ is just $\Lambda \Pi_Q : \Lambda Q_A \to \Lambda Q_B$. The nilpotence of \mathscr{B} is then an easy argument.

1.4 REMARK. - Let $\overline{\epsilon} = \text{Hom}(X, \Pi) \circ \epsilon : \mathcal{M} \rightarrow \text{Hom}(X, \mathcal{B})$. Then $\overline{\epsilon}$ satisfies the following universal property for any augmented CDGA $\xrightarrow{\alpha}$ k and morphism $e : \mathcal{M} \longrightarrow \text{Hom}(X, Z)$ such that the diagram



commutes, there exists a unique map $\phi : \mathscr{B} \longrightarrow Z$ such that



1.5 NATURALITY IN \mathcal{M} . Suppose X fixed and ϕ : $\mathcal{M}_{0} \rightarrow \mathcal{M}_{1}$ a map of nilpotent algebras. By the universal property there exists a unique map.

$$\phi_{\mathcal{A}} : \mathcal{A}(\mathcal{M}_{0}, X) \rightarrow \mathcal{A}(\mathcal{M}_{1}, X)$$

such that the diagram

commutes.

Suppose now that $\mathcal{M}_1 \longrightarrow X^*$. The universal property again implies that $ev_{\rho} \circ \phi_{\mathcal{A}} = ev_{\rho \circ \phi}$, so that $\phi_{\mathcal{A}}$ is augmentation preservity It follows that $\phi_{\mathcal{A}}$ $(I_{\rho \circ \phi}) \subset I_{\rho}$, and $\rho_{\mathcal{A}}$ therefore induces a CDGA map-

 $\phi_{\mathscr{B}}: \mathscr{B}(\rho \circ \phi, X) \longrightarrow \mathscr{B}(\rho, X).$

Clearly in the situation

$$M_{0} \xrightarrow{\phi} M_{1} \xrightarrow{\psi} M_{2} \xrightarrow{\chi^{*}}$$

we obtain a commutative diagram



As an example, a pair of maps
$$\mathcal{M}_{0} \xrightarrow{P_{0}} X^{*} \xrightarrow{P_{1}} \mathcal{M}_{1}$$

induces

$$(\rho_0,\rho_1): \mathcal{M}_0 \otimes \mathcal{M}_1 \xrightarrow{\rho_0 \otimes \rho_1} X^* \otimes X^* \xrightarrow{\mathfrak{m}} X^*$$

Let $j_v: M_v \to M_o \otimes M_1$, v = 0, 1, be the inclusions. The following result is immediate.

1.6. PROPOSITION. - The maps

$$\begin{array}{rcl} (j_{v})_{\mathscr{B}} & : & \mathscr{B}(\rho_{v}, X) \rightarrow \mathscr{B}((\rho_{o}, \rho_{1}), X), \quad \mathbf{P} = 0, 1 , \\ yield \ a \ natural \ isomorphism \\ & ((j_{o})_{\mathscr{B}} \quad , (j_{1})_{\mathscr{B}} \quad) : \quad \mathscr{B}(\rho_{o}, X) \otimes \quad \mathscr{B}(\rho_{1}, X) \stackrel{\widetilde{\rightarrow}}{\rightarrow} \quad \mathscr{B}((\rho_{o}, \rho_{1}), X). \end{array}$$

1.7 NATURALITY IN X. - Suppose $f : X_0 \rightarrow X_1$ is a CDGC map and $\mathscr{M} \xrightarrow{\rho} X_1^*$ a CDGA map. Just as above, the universal property implies that f induces

 $\mathbf{f}_{\mathfrak{B}} : \mathfrak{B} (\mathbf{f}^{\star} \rho, \mathbf{X}_{0}) \longrightarrow \mathfrak{B}(\rho, \mathbf{X}_{1}).$

Moreover, in the situation $X_0 \xrightarrow{f} X_1 \xrightarrow{g} X_2$, $M \xrightarrow{\rho} X_2^*$, there is a commutative diagram



1.8. HOMOTOPY INVARIANCE. The crucial results are the following.

THEOREM A. - Given $\mathcal{M}_{0} \xrightarrow{\phi} \mathcal{M}_{1} \xrightarrow{\rho} X^{*}$ with ϕ a quasi-iso, then $\begin{array}{c} \phi \\ \mathscr{B} \end{array} : \mathscr{B}(\rho, \phi, X) \longrightarrow \mathscr{B}(\rho, X) \\ is a quasi-iso. \end{array}$

THEOREM B. - Given
$$\mathcal{M} \longrightarrow X_1^*$$
 and a quasi-iso $X_0 \longrightarrow X_1$, then
 $f_{\mathcal{B}} : \mathcal{B}(f_0^*\rho, X_0) \longrightarrow \mathcal{B}(\rho, X_1)$ is a quasi-iso.

These theorems permit the following definition : if $f : X \rightarrow Y$ is a morphism_of_CDGC's, choose a minimal model $\rho : \mathcal{M} \rightarrow Y^*$.

1.9 DEFINITION. -
$$\mathscr{B}(Y^{K}, f) = \mathscr{B}(\rho, X)$$
.

It follows that the homotopy type of \mathscr{B} (Y,f) depends only on the homotopy type of f.

2. PROOF OF THEOREM A.

We begin by studying a particularly easy special case of the theorem, which turns out to be the fundamental step in the proof (and at the same time gives a nice illustration of the construction of \mathscr{B}): suppose $\mathscr{M}_1 = \Lambda(x,dx)$, a contractible CDGA with |x| = n > 0. Then of course $k \xrightarrow{\phi} \mathscr{M}_1$ is a quasi-iso. On the other hand $\mathscr{B}(k \rightarrow X^*, X) = k$, since $\mathscr{A}(k,X) = \Lambda X$ is negatively graded. If the theorem is to be true then for any map ρ : $\mathscr{M}_1 = \Lambda(x,dx) \rightarrow X^*$ the algebra $\mathscr{B}(\rho,X)$ must be acyclic. In fact the theorem will follow immediately from this.

2.1. LEMMA. - Let $\mathcal{M} = \Lambda(\mathbf{x}, d\mathbf{x})$ with $|\mathbf{x}| = \mathbf{n} > \mathbf{0}$. Then for any X and any $\rho : \mathcal{M} \rightarrow X^*$ the algebra $\mathcal{B}(\rho, X)$ is contractible.

PROOF. - Choose a basis ξ_{α}^{m} for each X^{m} . Then a basis for the generating space of $\mathscr{A} = \mathscr{A}(\rho, X)$ in dimension p is given by $\left\{ x \ \mathscr{O} \ \xi_{\alpha}^{p-n} , dx \ \mathscr{O} \ \xi_{\beta}^{p-n-1} \right\}$. The differential d is entirely linear given by :

$$d_{\mathscr{A}} (\mathbf{x} \otimes \boldsymbol{\xi}_{\alpha}^{p-n}) = d\mathbf{x} \otimes \boldsymbol{\xi}_{\alpha}^{p-n} + (-1)^{n} \mathbf{x} \otimes \boldsymbol{\partial} \boldsymbol{\xi}_{\alpha}^{p-n},$$

$$(2.2)$$

$$d_{\mathscr{A}} (d\mathbf{x} \otimes \boldsymbol{\xi}_{\beta}^{p-n-1}) = (-1)^{n+1} d\mathbf{x} \otimes \boldsymbol{\partial} \boldsymbol{\xi}_{\beta}^{p-n-1}.$$

Notice that there are no generators in degrees > n+1 because X is negatively graded.

To obtain Q_B we first kill all of the negative generators. We next replace the degree 0 generators by constants.

$$x \circ \xi_{\alpha}^{-n} = ev_{\beta} (x \circ \xi_{\alpha}^{-n}),$$

$$dx \circ \xi_{\beta}^{-n-1} = ev_{\beta} (dx \circ \xi_{\beta}^{-n-1})$$

Finally we kill the differentials of the degree o generators : $dx \otimes \xi_{\alpha}^{-n} = (-1)^{n+1} x \otimes \partial \xi_{\alpha}^{-n}$, $dx \otimes \partial \xi_{\beta}^{-n-1} = 0$.

It follows that the generators Q_B of \mathscr{D} are given by

$$Q_{B}^{p} = \begin{cases} (x) \otimes x^{-n+1} , p^{=1} , \\ ((x) \otimes x^{p-n}) \oplus ((dx) \otimes x^{p-n-1}) , 1$$

Moreover the differential is still given by. (2.2).

To show that \mathscr{B} is contractible we decompose the generators $\mathsf{Q}^p_B\approx \mathtt{R}^p_B\oplus \mathtt{S}^p_B$ so that

(3.2)
$$d_B : R_B^p \approx S_B^{p+1}, S_B^p \rightarrow 0.$$

To do this we first decompose $X^r = B^r \oplus H^r \oplus C^r$ in such a way that
 $\partial : C^r \approx B^{r+1}, B^r \rightarrow 0, H^r \rightarrow 0.$
(H^r is the (co)-homology and B^r the (co)boundaries in degree r).

We then put

 $R_{B}^{p} = (x) \otimes X^{p-n}, \quad 1 \le p \le n ,$ $S_{B}^{p} = (dx) \otimes X^{p-n-1}, \quad 2 \le p \le n+1.$

The isomorphism

$$\mathbf{R}^{\mathbf{p}}_{\mathbf{B}} \ \mathbf{\Theta} \quad \mathbf{S}^{\mathbf{p}}_{\mathbf{B}} \xrightarrow{\mathfrak{T}} \mathbf{Q}^{\mathbf{p}}_{\mathbf{B}}$$

is defined to be the inclusion on \mathbb{R}^p_B , (dx) $\otimes \mathbb{B}^{p-n-1}$ and (dx) $\otimes \mathbb{H}^{p-n-1}$, while a generator dx $\otimes \zeta_\beta$ of (dx) $\otimes \mathbb{C}^{p-n-1}$

is sent to

dx
$$\mathfrak{O}\xi_{\beta}^{p-n-1}$$
 + $(-1)^n \times \mathfrak{O} \ \mathfrak{O}\xi_{\beta}^{p-n-1}$

Property (2.3) is now clear in virtue of the definition of the differential in (2.2).

2.4 COROLLARY. - For any contraticble CDGA \mathcal{M} and any $\rho : \mathcal{M} \to \chi^*$, $\mathcal{B}(\rho, \chi)$ is contractible.

PROOF. - This follows from lemma 2.1 by taking direct limits.

2.5. COROLLARY. - Theorem A holds in the special case that $\mathcal{M}_1 = \mathcal{M}_0 \oplus \mathbf{b}$ where b is contractible and $\rho : \mathcal{M}_0 \longrightarrow \mathcal{M}_1$ is the inclusion.

PROOF. - Apply Prop. 1.6 and Cor. 2.4.

2.6. COROLLARY. - It is sufficient to prove theorem A unite case that is minimal.

PROOF. - By a theorem of Sullivan, any (positively graded) nilpotent algebra decomposes in the form $\mathcal{M}_{o} \approx \hat{\mathcal{M}}_{o} \bullet$ b, where $\hat{\mathcal{M}}_{o}$ is the minimal model of \mathcal{M}_{o} , b is contractible and the isomorphism is an isomorphism of CDGA's. Then apply Cor. 2.5 and naturality.

2.7. PROOF OF THEOREM A : By Cor. 2.6, we can assume that $\phi: \mathcal{M}_0 \longrightarrow \mathcal{M}_1$ is a minimal model of \mathcal{M}_1 . But $\mathcal{M}_1 \approx \mathcal{M}_0$ ϕ b, so uniqueness of minimal models gives a homotopy-commutative diagram



This means that there is a CDGA map Φ : $\mathcal{M}_{0}^{I} \rightarrow \mathcal{M}_{1}$ and a strictly commutative diagram



By Cor. 2.5 each of j and (i) is a quasi-iso, so by naturality $\overline{\Phi}_{g}$ is a quasi-iso. Again by Cor. 2.5; (i) is a quasi-iso, so naturality in the upper triangle finally implies that ϕ_{g} is a quasi-iso.

2.8 COROLLARY. - If
$$\mathcal{M} \xrightarrow{\rho_{0}}_{r_{1}} X^{*}$$
 are homotopic then $\mathcal{R}(\rho_{0}, X)$
and $\mathcal{B}(\rho_{1}, X)$ are homotopy equivalent.
PROOF. - A homotopy $\mathcal{M} \xrightarrow{t_{0}} \mathcal{M}^{T} \xrightarrow{i_{1}}_{r_{1}} \mathcal{M}$

yields quasi-isos
$$\mathscr{B}(\rho_0, X) \xrightarrow{(i_0)} \mathscr{B}(\phi, X) \xleftarrow{(i_1)} \mathscr{B}(\rho_1, X).$$

3. PROOF OF THEOREM B.

Recall that \mathcal{M} is a (pos.graded) nilpotent CDGA, $f : X_0 \neq X_1$ a quasi-iso of CDGC's and $\rho : \mathcal{M} \neq X_1^*$ a CDGA map. We must show that $f_{\mathcal{B}} : \mathcal{B}(f_0^*\rho, X_0) \longrightarrow \mathcal{B}(\rho, X_1)$

is a quasi-iso. We give an outline of the proof here.

The idea is to use the nilpotence of \mathcal{M} to give and inductive proof. Choose a basis $\{y_{\alpha}\}$ for the generators Q_{M} of \mathcal{M} such that $d_{\mathcal{M}}(y_{\alpha}) \in \mathcal{M}_{\leq \alpha}$, the sub-DGA generated by $\{y_{\beta} | \beta < \alpha\}$. Using $\mathcal{M}_{\leq \alpha} \xrightarrow{\mathcal{M}} \mathcal{M} \xrightarrow{\sim} X_{1}$ we construct CDGA's $(\mathcal{B}_{\circ})_{\leq \alpha}$ and $(\mathcal{B}_{1})_{\leq \alpha}$ with f inducing

 $(f_{\mathscr{B}})_{\leq \alpha} : (\mathscr{B}_{0})_{\leq \alpha} \to (\mathscr{B}_{1})_{\leq \alpha} .$ We prove by inducing on α that $(f_{\mathscr{B}})_{\leq \alpha}$ is a quasi-iso.

The first step is to decompose the generators $(Q_{\mathcal{M}})_{\leq \alpha} X_i$ as $((Q_{\mathcal{M}})_{\leq \alpha} X_i) \oplus ((y_1) \otimes X_i)$. The next step is to decompose X_o and X_1 in the form $H_i \oplus B_i \oplus C_i$ (as in lemme 2.1) so that the induced map $H_o \rightarrow H_1$ is simply $H(f) : H(X_o) \xrightarrow{\sim} H(X_1)$. Just as in lemme 2.1, this leads to a decomposition

$$(\mathcal{B}_{i})_{\leq \alpha} \approx (\mathcal{B}_{i})_{\leq \alpha} \otimes \Lambda R_{i} \circ \Lambda S_{i}$$
, $i = 0, 1$,

where, denoting $|y_{\alpha}| = n$,

$$R_{i}^{p} = (y_{\alpha}) \otimes H_{i}^{-n+p} , p \ge 1 ,$$

$$S_{i}^{p} = \begin{cases} (y_{\alpha}) \otimes C_{i}^{-n+1} , p = 1 , \\ (y_{\alpha}) \otimes B_{i}^{-n+p} \oplus (y_{\alpha}) \otimes C_{i}^{-n+p} , p \ge 1. \end{cases}$$

With these identifications $(f_{\mathscr{B}})_{\leq \alpha}$ is of the form $(f_{\mathscr{B}})_{<\alpha} \otimes \Lambda H(f) \otimes \phi : (\mathscr{B}_{0})_{<\alpha} \otimes \Lambda R_{0} \otimes \Lambda S_{0}$ $(\mathscr{B}_{1})_{<\alpha} \otimes \Lambda R_{1} \otimes \Lambda S_{1}.$

Each ΛS_i is evidently acyclic, while $\Lambda H(f) : \Lambda R_0 \xrightarrow{\simeq} \Lambda R_1$ is an isomorphism and, by the inductive hypothesis,

 $(f_{\mathscr{B}})_{<\alpha}$: $(\mathscr{B}_{0})_{<\alpha}$ \longrightarrow $(\mathscr{B}_{1})_{<\alpha}$ is a quasi-iso. It follows easily that $(f_{\mathscr{B}})_{\leq\alpha}$ is a quasi-iso, and this completes the induction.

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