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## A Characterization of Algebraic Measures.

PETER ASHLEY LAWRENCE (\*)

1. Let  $\mathcal{G}$  be a locally compact Hausdorff abelian topological group. Let  $M(\mathcal{G})$  be the set of complex measures  $\mu$  on the Borel sets of  $\mathcal{G}$  such that  $\|\mu\|$  (defined in the usual way, *vide* [2]) is finite.  $M(\mathcal{G})$  is an algebra over the complex numbers  $C$  with the convolution operation  $*$  (*vide* [4]) as multiplication on  $M(\mathcal{G})$ .

Cohen [1, 4] completely determined the measures for which

$$\mu * \mu = \mu .$$

Such measures are called *idempotent*. The problem considered and solved in the paper is the characterization of all  $\mu$  that satisfy an algebraic equation.

More precisely, define

$$\begin{aligned} \mu^0 &= \delta \\ \mu^n &= \mu * \mu^{n-1}, \quad n \geq 1 \end{aligned}$$

where  $\delta$  is the unit element of  $M(\mathcal{G})$ , picturesquely described as « unit mass concentrated at the origin ». A complete characterization is given of those measures  $\mu$  for which there exists a set (in general dependent

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on  $\mu$  and not unique) of complex numbers  $z_i$ ,  $0 \leq i \leq n$ ,  $z_n \neq 0$ , such that

$$\sum_{i=0}^n z_i \mu^i = 0 .$$

Such measures are called *algebraic*. They were first considered Istratescu, who proved that the carrier-group of an algebraic measure is compact [3].

The main result of this paper is the theorem in Section 4 that characterizes an algebraic measure as one such that the partition induced on the dual group by the Fourier-Stieltjes transform of the measure is generated by cosets of the dual group.

**2.** Let  $\Gamma$  be the dual group of  $\mathcal{G}$ . Let

$$\hat{\mu}: \Gamma \rightarrow \mathbb{C}$$

be the Fourier-Stieltjes transform of  $\mu$  [4]. Let  $P$  be the formal polynomial

$$P(X) = \sum_{i=0}^n c_i X^i$$

where the  $c_i$ 's are complex numbers.

$$\begin{aligned} P(\hat{\mu}(\gamma)) &= (P(\hat{\mu}))(\gamma) = \\ &= (P(\mu))^{\wedge}(\gamma) = 0 . \end{aligned}$$

Thus  $\hat{\mu}(\gamma)$  must be a root of  $P$  in  $\mathbb{C}$ .

Conversely if  $\hat{\mu}(\gamma)$  is always one of the complex roots of  $P$ , for all  $\gamma \in \Gamma$ , then  $P(\hat{\mu})$  vanishes identically on  $\Gamma$  and the uniqueness theorem for Fourier-Stieltjes transforms [4] shows that  $P(\mu) = 0$ . Since the functions  $\mathbb{C} \rightarrow \mathbb{C}$  with finite images are exactly the functions that can be written as polynomials it follows that the algebraic measures are exactly the measures  $\mu$  such that the image of  $\hat{\mu}$  is finite. It is now clear that the sum of algebraic measures is algebraic and so is the product.

**3.** For our purposes a partition of a set  $A$  is any set of pairwise disjoint subsets of  $A$  whose union is  $A$ . In particular partitions are

allowed to have empty members. Let  $C$  be given some linear ordering which will be kept fixed. Then an injective mapping  $f$  from algebraic measures to ordered partitions is given by

$$f(\mu) = \langle \{\gamma | \hat{\mu}(\gamma) = z\} | z \in C \rangle .$$

The main result of this paper is the explicit description of the image of  $f$ . Clearly any partition may be replaced by an equivalent one by throwing away some or all of the empty members. If the image of  $\hat{\mu}$  is a subset of a set  $K$  we write

$$f_k(\mu) = \langle \{\gamma | \hat{\mu}(\gamma) = z\} | z \in K \rangle .$$

Recall that a ring of sets is a set of sets stable under the formation of complements and finite unions (and hence under the formation of finite intersections).

In the case of idempotent measures the polynomial involved, *viz.*  $P(X) = X^2 - X$  has only two distinct roots in  $C$ , 0 and 1. Thus the idempotent measures can be described by considering one member of the partition induced by the polynomial. Let

$$S(\mu) = \{\gamma \in I | \hat{\mu}(\gamma) = 1\}$$

for idempotent  $\mu$ . Cohen showed that a subset  $A$  of  $I$  has the form  $S(\mu)$  for some idempotent  $\mu$  if and only if  $A$  lies in the ring of sets generated by the cosets of open subgroups of  $I$ .

Let  $A$  be a set. An ordered  $m$ -partition of  $A$  is an ordered  $m$ -tuple of pairwise disjoint sets whose union is  $A$ . Let  $\mathfrak{S} = \{\mathfrak{F}_1, \mathfrak{F}_2, \dots, \mathfrak{F}_n\}$  be a finite set of  $m$ -partitions of  $A$ . Let  $\mathfrak{F}_{ij}$  be the  $j$ -th member of  $\mathfrak{F}_i$ : The ordered  $m$ -partition  $\mathfrak{F}$  is *primitively generated* by  $\mathfrak{S}$  if every finite intersection of form  $\bigcap_{i=1}^n \mathfrak{F}_{ij_i}$  is a subset of some member of  $\mathfrak{F}$ . Hence every member of  $\mathfrak{F}$  must be the union of intersections of this form. Let  $\mathfrak{C}$  be a set of ordered  $m$ -partitions of  $A$ .  $\mathfrak{C}$  is an  $m$ -partition algebra if  $\mathfrak{C}$  contains every ordered  $m$ -partition primitively generated by a finite subset of  $\mathfrak{C}$ . It is clear that the intersection of a collection of  $m$ -partition algebras is itself an  $m$ -partition algebra. Thus there is a smallest  $m$ -partition algebra containing any set of ordered  $m$ -partitions. This algebra is called the  $m$ -partition algebra *generated* by the given set of partitions.

A *Type I* partition of  $\Gamma$  is a finite ordered partition of  $\Gamma$  in which at most two members are non-empty and in which one member is a coset of an open subgroup of  $\Gamma$ .

4. We shall now state the theorem that characterizes algebraic measures.

**THEOREM.** *Let  $P$  be a polynomial over  $C$  with distinct roots  $\{c_1, c_2, \dots, c_m\} = K$  in  $C$ . Let  $\mu \in M(\mathfrak{G})$ .  $P(\mu) = 0$  if and only if: (a) for all  $\gamma \in \Gamma$ ,  $\hat{\mu}(\gamma) \in K$  and (b)  $f_k(\mu)$  belongs to the  $m$ -partition algebra generated by the ordered  $m$ -partitions of Type I.*

First let us look at Cohen's result in our terminology. The ring of sets generated by a set of sets  $\mathfrak{U}$  is precisely the set of sets  $B$  that can be written as finite unions of finite intersections

$$B = \cup \cap B_{ij}$$

where every  $B_{ij}$  or its complement  $B'_{ij}$  belongs to  $\mathfrak{U}$ . Thus the ordered partition  $\langle B, B' \rangle$  is primitively generated by the set of ordered partitions of form  $\langle B_{ij}, B'_{ij} \rangle$ . The ring of sets generated by the cosets of open subgroups of  $\Gamma$  thus corresponds to the 2-partition algebra generated by Type I ordered 2-partitions. Cohen's Theorem is seen to be a special case of our theorem.

The remainder of this paper will be devoted to a proof of our theorem above. We shall prove six lemmas and then the theorem.

**5. LEMMA 1.** *Let  $P(\mu) = 0$ ,  $\mu \in M(\mathfrak{G})$ . Let  $c_1, \dots, c_m$  be the distinct complex roots of  $P$ . Let  $k_1, \dots, k_m$  be complex numbers, not necessarily distinct. There exists  $\nu \in M(\mathfrak{G})$  such that  $\hat{\nu}(\gamma) = c_i$  if and only if  $\hat{\nu}(\gamma) = k_i$ .*

**PROOF.** There exists a polynomial  $P_1(X)$  over  $C$  such that  $P_1(c_i) = k_i$  for all  $i$ .  $P_1(\mu) \in M(\mathfrak{G})$ .  $P_1(\mu)\hat{\nu}(\gamma) = P_1(\hat{\mu}(\gamma))$ . Thus  $\nu$  may be chosen as  $P_1(\mu)$ .

Two particular cases of this lemma are of special interest. The  $k_i$  may be chosen so that each is equal to some  $c_j$ . Thus if an algebraic measure  $\mu$  is given, which induces an ordered partition  $\mathfrak{F}$  on  $C$  and if an ordered partition  $\mathfrak{F}'$  is given such that every member of  $\mathfrak{F}'$  is equal to the union of members of  $\mathfrak{F}$ , there exists a measure  $\nu$  that induces  $\mathfrak{F}'$  on  $C$ . Another special case of the lemma is obtained when the  $c_i$  are all non-zero. Then a measure  $\nu$  exists such that  $\hat{\nu}(\gamma) = (\hat{\mu}(\gamma))^{-1}$  for all  $\gamma \in \Gamma$ . Then  $\nu$  is the convolution inverse of  $\mu$ . An algebraic measure  $\mu$  is therefore invertible if and only if  $\hat{\mu}(\gamma)$  is never 0.

LEMMA 2. *Let  $A$  be an open subgroup of  $\Gamma$ . Let  $c_1$  and  $c_2$  be two complex roots of  $P$ . There exists  $\mu \in M(\mathfrak{G})$  such that*

$$P(\mu) = 0; \quad \hat{\mu}(\gamma) = c_1, \quad \gamma \in A; \quad \hat{\mu}(\gamma) = c_2, \quad \gamma \notin A.$$

PROOF. Let  $H$  be the annihilator of  $A$ .  $H$  is compact and isomorphic to the dual of  $\Gamma/A$ . Let  $m$  be Haar measure on  $H$  with  $m(H) = 1$ .  $m$  defines a measure  $m_1$  in  $M(\mathfrak{G})$  by

$$m_1(B) = m(B \cap H)$$

for all Borel sets  $B$  in  $\mathfrak{G}$ .  $\hat{m}_1(\mu)$  is 1 if  $\gamma \in A$  and 0 otherwise. The previous lemma shows the existence of a measure with the desired properties.

LEMMA 3. *Let  $A$  be an open subgroup of  $\Gamma$ . Let  $\gamma_0 \in \Gamma$ . Let  $c_1$  and  $c_2$  be two roots of  $P$ . There exists  $\mu \in M(\mathfrak{G})$  with  $P(\mu) = 0$ ,  $\hat{\mu}(\gamma) = c_1$  if  $\gamma \in \gamma_0 + A$ ,  $\hat{\mu}(\gamma) = c_2$  otherwise.*

PROOF. By the previous lemma there exists  $\mu_1$  such that:

$$\begin{aligned} \hat{\mu}_1(\gamma) &= c_1 \text{ if } \gamma \in A \\ \hat{\mu}_1(\gamma) &= c_2 \text{ otherwise.} \end{aligned}$$

Let

$$d\mu = \gamma_0 d\mu_1$$

then

$$\begin{aligned} \hat{\mu}(\gamma) &= \hat{\mu}_1(\gamma - \gamma_0) \\ \hat{\mu}(\gamma) &= c_1 \quad \text{if } \gamma \in \gamma_0 + A \\ \hat{\mu}(\gamma) &= c_2 \quad \text{if } \gamma \notin \gamma_0 + A. \end{aligned}$$

LEMMA 4. *Let  $P(\mu_i) = 0$ ,  $\mu_i \in M(\mathfrak{G})$ , for  $1 \leq i \leq n$ . Let  $\mathfrak{F}_i$  be the ordered partition of  $\Gamma$  induced by  $\mu_i$ . Let  $\mathfrak{R}$  be an ordered partition of  $\Gamma$  primitively generated by the  $\mathfrak{F}_i$ . There exists a measure  $\mu \in M(\mathfrak{G})$  whose induced partition is  $\mathfrak{R}$ .*

PROOF. Let  $\mathfrak{F}_{ij} = \{\gamma \in \Gamma | \hat{\mu}_i(\gamma) = c_j\}$ .

To every intersection of form  $\bigcap_{i=1}^n \mathfrak{F}_{ij_i}$  we associate the point  $(c_{j_1}, \dots, c_{j_n})$ . Thus partitions of  $\Gamma$  primitively generated by the  $\mathfrak{F}_i$

correspond to partitions of the finite set

$$\{(\hat{\mu}_1(\gamma), \dots, \mu_n(\gamma)) \mid \gamma \in \Gamma\} = S.$$

Let  $S_i$  be the subset of  $S$  corresponding to  $\mathcal{R}_i$ . Let  $Q$  be a polynomial in  $X_1, \dots, X_n, \bar{X}_n, \dots, \bar{X}_1$  over  $C$  such that  $Q(S_i) = c_i$  for all  $i$ . Then  $Q(\mu_1, \dots, \mu_n, \bar{\mu}_1, \dots, \bar{\mu}_n)(\gamma) = Q(\mu_1(\gamma), \dots, \mu_n(\gamma), \bar{\mu}_1(\gamma), \dots, \bar{\mu}_n(\gamma))$ . Thus  $\mu = Q(\mu_1, \dots, \bar{\mu}_1, \dots, \bar{\mu}_n)$  is the desired measure.

LEMMA 5. *Let  $\mathfrak{S}$  be a member of the algebra of ordered  $m$ -partitions generated by the set of all  $m$ -partitions of Type I. Let  $\mathfrak{P}$  be a polynomial with distinct complex roots  $c_1, \dots, c_m$ . Then there exists  $\mu \in \mathbf{M}(\mathfrak{S})$  such that  $P(\mu) = 0$  and  $\mathfrak{F}_i = \{\gamma \in \Gamma \mid \hat{\mu}(\gamma) = c_i\}$ .*

PROOF. By Lemma 4 the set  $\mathfrak{T}$  of all ordered  $m$ -partitions which arise from some  $\mu$  such that  $P(\mu) = 0$  is an algebra. By Lemma 3,  $\mathfrak{T}$  contains all the partitions of Type I. Thus  $\mathfrak{T}$  contains the algebra generated by the partitions of Type I.

Our next test is to show that  $\mathfrak{T}$  is the algebra generated by the partitions of Type I.

LEMMA 6. *If  $P(\mu) = 0$  there exist  $c_i \in C$ , and idempotent  $\mu_i \in \mathbf{M}(\mathfrak{S})$ ,  $1 \leq i \leq m$  such that*

$$\mu = \sum_{i=1}^m c_i \mu_i.$$

PROOF. Let  $c_i$  be the distinct roots of  $P$  in  $C$ . There exist polynomials  $P_i$  over  $C$  such that  $P_i(c_j) = \delta_{ij}$ . Let  $\mu_i = P_i(\mu)$ . Clearly  $\hat{\mu}_i(\gamma) = 1$  if  $\hat{\mu}(\gamma) = c_i$  and  $\hat{\mu}_i(\gamma) = 0$  otherwise. Thus every  $\mu_i$  is idempotent. It is also clear that  $\mu = \sum_{i=1}^m c_i \mu_i$ .

We shall now prove the theorem stated in Section 4.

PROOF. Let  $P(\mu) = 0$ . By Lemma 6 there exist  $c_i \in C$  and  $\mu_i$  idempotent,  $1 \leq i \leq m$ , such that  $\mu = \sum_{i=1}^m c_i \mu_i$ .

Each idempotent  $\mu_i$  induces an  $m$ -partition  $\mathfrak{F}_i$  of  $\Gamma$  with

$$\begin{aligned} \mathfrak{F}_{i1} &= \hat{\mu}_i^{-1}(0) \\ \mathfrak{F}_{i2} &= \hat{\mu}_i^{-1}(1) \\ \mathfrak{F}_{ij} &= \emptyset \quad \text{otherwise.} \end{aligned}$$

Then in the partition  $\mathcal{F}^*$  of  $\Gamma$  induced by  $\mu$ ,  $\mathcal{F}_j^*$  is exactly the union of all intersections of form  $\mathcal{F}_{1i_1} \cap \mathcal{F}_{2i_2} \cap \dots \cap \mathcal{F}_{mi_m}$  ( $i_k = 1$  or  $2$ ) such that  $\sum_1^m c_k \delta_{1, i_k-1} = c_j$ .

By Cohen's Theorem each  $P_{ki_k}$  lies in the ring of sets generated by the open cosets in  $\Gamma$ . In our terminology each partition  $\mathcal{F}_i$  is primitively generated by Type I partitions. But the partition  $\mathcal{F}^*$  is primitively generated by the partitions  $\mathcal{F}_i$ . Thus  $\mathcal{F}^*$  lies in the algebra generated by the Type I partitions.

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