

# RENDICONTI *del* SEMINARIO MATEMATICO *della* UNIVERSITÀ DI PADOVA

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*Rendiconti del Seminario Matematico della Università di Padova*,  
tome 105 (2001), p. 157-170

[http://www.numdam.org/item?id=RSMUP\\_2001\\_\\_105\\_\\_157\\_0](http://www.numdam.org/item?id=RSMUP_2001__105__157_0)

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## The Gross-Koblitz Formula Revisited.

ALAIN M. ROBERT (\*)

The formula in question gives an explicit value of Gauss sums using the  $p$ -adic gamma function of Morita. We give here an elementary proof of this formula (valid for all primes). Let me thank L. van Hamme who stimulated me to find such a proof, and A. Junod who helped me to understand [2], which has been my starting point.

### 1. Preliminary comments on numeration.

Let  $q = p^f$  ( $f \geq 1$ ) be a power of a prime  $p$ . Each affine map

$$x \mapsto a + qx : \mathbf{Z}_p \rightarrow \mathbf{Z}_p \quad (a \in \mathbf{Z}_p)$$

has a unique fixed point

$$a_* = \frac{a}{1-q} = a + aq + aq^2 + \dots = a + \underbrace{q(a + aq + aq^2 + \dots)}_{a_*}.$$

When  $a$  is an integer in the interval  $0 \leq a < q$ , say with  $p$ -adic expansion

$$a = a_0 + a_1 p + \dots + a_{f-1} p^{f-1} \quad (0 \leq a_j < p),$$

the fixed point of the corresponding affine transformation has a periodic  $p$ -adic expansion given by  $a + aq + aq^2 + \dots$  (period of length  $f$ ). Let us write

$$a_* = a_0 + p(a_1 + a_2 p + \dots + a_{f-1} p^{f-2} + a_0 p^{f-1} + \dots) = a_0 + p a'_*.$$

We recognize in  $a'_*$  the fixed point of the affine map corresponding to

$$a' = a_1 + a_2 p + \dots + a_{f-1} p^{f-2} + a_0 p^{f-1},$$

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and we observe that  $a'$  is obtained from  $a$  by a cyclic permutation of its digits. Iterating the procedure, we can write

$$a'_* = a_1 + pa''_*, \quad a''_* = \frac{a''}{1-q}, \dots$$

In this way, we obtain a cycle of integers in the interval  $\{0, \dots, q-1\}$

$$a', a'', \dots, a^{(f-1)}, a^{(f)} = a$$

having  $p$ -adic expansions obtained by cyclic permutations from that of  $a$ .

## 2. $p$ -adic extensions of quotients of factorials.

For any prime  $p$  and  $0 \leq a < p$ , the relation

$$(*) \quad \frac{(a+pn)!}{p^n n!} = \frac{(a+pn)!}{(p1)(p2) \dots (pn)} = (-1)^{a+pn+1} \Gamma_p(a+pn+1)$$

shows that

$$n \mapsto (-1)^{pn} \frac{(a+pn)!}{p^n n!}$$

has a continuous extension  $\mathbf{Z}_p \rightarrow \mathbf{Z}_p^\times \subset \mathbf{Q}_p$  given by

$$x \mapsto (-1)^{a+1} \Gamma_p(a+px+1).$$

This simply follows from the definition of the  $\Gamma_p$ -function by Morita.

Let us generalize this observation to the case of quotients  $m \mapsto (a+qm)!/m!$  when  $0 \leq a < q = p^f$  ( $f \geq 1$ ).

We can introduce the  $p$ -adic expansion of  $a$ , say

$$a = a_0 + a_1 p + \dots + a_{f-1} p^{f-1},$$

and write

$$a + qm = a_0 + p \underbrace{(a_1 + \dots + a_{f-1} p^{f-2} + p^{f-1} m)}_{n_1}.$$

Put  $n_0 = a + qm = a_0 + pn_1$  and successively

$$n_0 = a_0 + a_1 p + p^2 n_2, \quad n_1 = a_1 + pn_2, \quad \text{etc.}$$

hence with

$$\begin{aligned} n_1 &= a_1 + \dots + a_{f-1} p^{f-2} + p^{f-1} m \\ &= a_1 + p \underbrace{(a_2 + \dots + a_{f-1} p^{f-3} + p^{f-2} m)}_{n_2}, \quad \text{etc.} \end{aligned}$$

Let us write a telescopic product ( $n_0 = a + qm$ ,  $n_f = m$ )

$$\begin{aligned} \frac{(a + qm)!}{m!} &= \frac{n_0!}{n_1!} \frac{n_1!}{n_2!} \dots \frac{n_{f-1}!}{n_f!} \\ &= \frac{(a_0 + pn_1)!}{n_1!} \frac{(a_1 + pn_2)!}{n_2!} \dots \frac{(a_{f-1} + pm)!}{m!} \\ &= \pm p^{n_1} \Gamma_p(a_0 + pn_1 + 1) \cdot p^{n_2} \Gamma_p(n_1 + 1) \dots p^m \Gamma_p(n_{f-1} + 1), \\ \frac{(a + qm)!}{p^{n_1 + \dots + n_f} m!} &= \pm \Gamma_p(\underbrace{a + qm}_{a_0 + pn_1 = n_0} + 1) \Gamma_p(\underbrace{a_1 + pn_2}_{n_1} + 1) \dots \Gamma_p(\underbrace{a_{f-1} + \frac{pm}{p}}_{n_{f-1}} + 1) \\ &= \pm \prod_{0 \leq i < f} \Gamma_p(\underbrace{a_i + pn_{i+1}}_{n_i} + 1) = \pm \prod_{0 \leq i < f} \Gamma_p(n_i + 1). \end{aligned}$$

Recalling (\*) in the form

$$\frac{(a + pm)!}{n!} = (-1)^{a + pm + 1} p^n \Gamma_p(a + pm + 1)$$

we see that the precise sign is  $(-1)^{(n_0 + 1) + (n_1 + 1) + \dots + (n_{f-1} + 1)}$ . Moreover, the sum  $\sigma = n_1 + \dots + n_f$  may be computed as follows

$$\begin{aligned} n_1 &= \left\lfloor \frac{a}{p} \right\rfloor + p^{f-1}m \\ n_2 &= \left\lfloor \frac{a}{p^2} \right\rfloor + p^{f-2}m \\ &\dots = \dots \\ n_{f-1} &= \left\lfloor \frac{a}{p^{f-1}} \right\rfloor + pm \\ n_f &= m \\ \hline \sigma &= \text{ord}_p a! + \frac{q-1}{p-1}m \end{aligned}$$

so that  $n_0 + \dots + n_{f-1} = n_0 + \sigma - n_f = a + (q-1)m + \sigma$ . Hence

$$\frac{(a+qm)!}{p^\sigma m!} = (-1)^{f+(q-1)m+a+\sigma} \prod_{0 \leq i < f} \Gamma_p(n_i+1),$$

$$\frac{(a+qm)!}{(-p)^\sigma m!} = (-1)^{f+(q-1)m+a} \prod_{0 \leq i < f} \dot{\Gamma}_p(n_i+1).$$

**THEOREM 1.** For a fixed power  $q = p^f (f \geq 1)$  of  $p$ , the functions

$$m \mapsto \frac{(a+qm)!}{(-p)^{\frac{q-1}{p-1}m} m!} \quad (0 \leq a < q)$$

admit continuous extensions  $\mathbf{Z}_p \rightarrow \mathbf{Q}_p$  given by

$$x \mapsto (-1)^{(q-1)m+f+a} (-p)^{\text{ord}_p a!} \prod_{0 \leq i < f} \Gamma_p \left( \underbrace{\left\lfloor \frac{a}{p^i} \right\rfloor + p^{f-i} x + 1}_{n_i(x)} \right) \quad \blacksquare$$

When the prime  $p$  is odd,  $q-1$  is even and  $(-1)^{(q-1)m} = +1$ . Hence this sign is relevant only if  $p=2$  in which case it is  $\varepsilon(m) = (-1)^m$ : let  $\varepsilon$  denote the character sign having kernel  $2\mathbf{Z}_2$

$$\varepsilon(x) = \begin{cases} +1 & \text{if } x \in 2\mathbf{Z}_2 \\ -1 & \text{if } x \in 1 + 2\mathbf{Z}_2. \end{cases}$$

We shall be interested in the *inverse* of the preceding functions. Thus we define continuous functions  $G_a: \mathbf{Z}_p \rightarrow \mathbf{Q}_p$  ( $0 \leq a < q$ ) with

$$G_a(x) = \varepsilon(x) (-1)^{f+a} \left| (-p)^{\text{ord}_p a!} \prod_{0 \leq i < f} \Gamma_p(n_i(x)+1) \right|,$$

$$G_a(m) = (-p)^{\frac{q-1}{p-1}m} \frac{m!}{(a+qm)!} \quad (m \geq 0)$$

( $\varepsilon = 1$  if  $p \neq 2$ ). Let us use the Legendre formula to simplify the preceding expressions. When  $p \geq 3$  is odd,  $\varepsilon = 1$  and

$$\frac{1}{\Gamma_p(n_i(x)+1)} = (-1)^{1+a_i} \Gamma_p(-n_i(x)).$$

Moreover  $\sum a_i \equiv \sum a_i p^i = a \pmod{2}$ , so that

$$G_a(x) = \frac{1}{(-p)^{\text{ord}_p a!}} \prod_{0 \leq i < f} \Gamma_p(-n_i(x)).$$

This formula is also true when  $p = 2$ , because the Legendre formula is now

$$\frac{1}{\Gamma_2(n_i(x) + 1)} = (-1)^{1+a_i+a_{i+1}} \Gamma_2(-n_i(x)),$$

and the product leads to an exponent of  $-1$  equal to

$$f + (a_0 + a_1) + (a_1 + a_2) + \dots + (a_{f-1} + x_0) \equiv f + a_0 + x_0 \pmod{2}.$$

Since  $\varepsilon(x) = (-1)^{x_0}$  we have  $\varepsilon(x)(-1)^{f+a}(-1)^{f+a_0+x_0} = 1$  and there only remains

$$G_a(x) = \frac{1}{(-2)^{\text{ord}_2 a!}} \prod_{0 \leq i < f} \Gamma_2(-n_i(x)).$$

### 3. Mahler coefficients of the functions $G_a$ .

Let us choose a nonzero root  $\pi \in \mathbf{C}_p$  of  $X + \frac{1}{p}X^p = 0$ . We have

$$\pi^{p-1} = -p \quad \text{and} \quad (-p)^{\text{ord}_p a!} = \pi^{a - S_p(a)},$$

so that

$$\pi^a G_a(x) = \pi^{S_p(a)} \prod_{0 \leq i < f} \Gamma_p(-n_i(x))$$

for all primes  $p$ . This expression is especially simple at the fixed point  $x = a_*$  of the map  $x \mapsto a + qx$ , since in this case

$$n_i(x) = n_i(a_*) = a_i + a_{i+1}p + \dots = \frac{a^{(i)}}{1-q}$$

are obtained by a cyclic permutation from  $a_*$

$$\pi^a G_a(a_*) = \pi^{S_p(a)} \prod_{0 \leq i < f} \Gamma_p\left(\frac{a^{(i)}}{q-1}\right).$$

It turns out that the Mahler coefficients of the functions  $G_a$  are linked to

the coefficients of the Dwork exponential

$$\Theta_q(T) = e^{\pi(T-T^q)} = \sum_{n \geq 0} A_n T^n = 1 + \pi T + T^2(\dots).$$

**THEOREM 2.** For  $0 \leq a < q$ , the Mahler expansion of  $G_a: \mathbf{N} \rightarrow \mathbf{Q} \subset \mathbf{Q}_p$  is

$$G_a(x) = \sum_{k \geq 0} \frac{A_{a+kq}}{\pi^{a+k}} k! \binom{x}{k},$$

$$\tilde{G}_a(x) = \pi^a G_a(x) = \sum_{k \geq 0} \frac{A_{a+kq}}{\pi^k} (x)_k = A_a + \frac{A_{a+q}}{\pi} x + \dots$$

The proof of this result obviously involves some formal manipulations of power series. These are made easier if we use the *Atkin operators* (\*).

Let us recall their definition and formal properties. The operator  $U_q$  is defined on formal Laurent series by

$$f = \sum a_n T^n \mapsto U_q(f) = \sum a_{qn} T^n.$$

Obviously

$$T^j U_q(f) = U_q(T^{qj} f), \quad g(T) U_q(f) = U_q(g(T^q) f).$$

For example, replacing  $f$  by  $e^{\pi T} f$  and letting  $g = e^{-\pi T}$ , we find

$$e^{-\pi T} U_q(e^{\pi T} f) = U_q(e^{-\pi T^q} e^{\pi T} f) = U_q(\Theta_q(T) f).$$

This is the reason for the appearance of the Dwork exponential in this context. Observe that the action of the Atkin operator forgets all coefficients  $a_i$  having an index  $i$  not multiple of  $q$  e.g.  $U_q\left(\sum_{n > -q} a_n T^n\right) = U_q\left(\sum_{n \geq 0} a_n T^n\right)$ , and also

$$U_q\left(\sum_{n \geq -a} a_n T^n\right) = U_q\left(\sum_{n \geq 0} a_n T^n\right) \quad (0 \leq a < q).$$

We shall use twice this observation in the next computation (and indicate it by a «!» on the concerned equality).

(\*) Also called «Dwork  $\psi$ -operators» or «Hecke» operators.

PROOF OF THEOREM 2. Let us recall the *Boole relation* linking the values of a function  $f$  to its Mahler coefficients  $c_k(f)$

$$e^{-T} \sum_{m \geq 0} f(m) \frac{T^m}{m!} = \sum_{k \geq 0} c_k(f) \frac{T^k}{k!}.$$

Take  $f = G_a$  and replace the indeterminate  $T$  by  $\pi T$

$$e^{-\pi T} \sum_{m \geq 0} G_a(m) \frac{\pi^m T^m}{m!} = \sum_{k \geq 0} c_k(G_a) \frac{(\pi T)^k}{k!}.$$

Let us now compute the left-hand side, recalling that  $(-p)^{\frac{1}{p-1}} = \pi$

$$\begin{aligned} & e^{-\pi T} \sum_{m \geq 0} G_a(m) \frac{\pi^m T^m}{m!} \\ &= e^{-\pi T} \sum_{m \geq 0} \frac{\pi^{(q-1)m} m!}{(a+qm)!} \frac{\pi^m T^m}{m!} = e^{-\pi T} \sum_{m \geq 0} \frac{\pi^{qm} \eta!}{(a+qm)!} \frac{T^m}{\eta!} \\ &= e^{-\pi T} U_q \left( \sum_{m \geq 0 \text{ or } -a} \frac{\pi^{a+m}}{(a+m)!} \frac{T^m}{\pi^a} \right) = e^{-\pi T} U_q \left( \sum_{n \geq 0} \frac{\pi^n}{n!} \frac{T^{n-a}}{\pi^a} \right) \\ &= e^{-\pi T} U_q \left( \sum_{n \geq 0} \frac{\pi^n T^n}{n!} \frac{T^{-a}}{\pi^a} \right) = e^{-\pi T} U_q \left( e^{\pi T} \frac{T^{-a}}{\pi^a} \right) \\ &= U_q \left( \Theta_q(T) \frac{T^{-a}}{\pi^a} \right) = U_q \left( \sum_{n \geq 0} \frac{A_n}{\pi^a} T^{n-a} \right) \\ &= U_q \left( \sum_{n \geq -a \text{ or } 0} \frac{A_{n+a}}{\pi^a} T^n \right) = U_q \left( \sum_{n \geq 0} \frac{A_{a+n}}{\pi^a} T^n \right) \\ &= \sum_{k \geq 0} \frac{A_{a+k}}{\pi^a} T^k = \sum_{k \geq 0} \underbrace{\frac{A_{a+k}}{\pi^{a+k}}}_{c_k(G_a)} \frac{(\pi T)^k}{k!}. \end{aligned}$$

This proves the announced formula. ■

COMMENT. Note that the coefficients  $A_n$  of the expansion of the Dwork exponential  $\Theta_q$  depend on the power  $q = p^f$  and the choice of root  $\pi$  such that  $\pi^{p-1} = -p$ . If we replace  $\pi$  by another choice  $\zeta\pi$  where  $\zeta^{p-1} = 1$ , the coefficient  $A_n$  is replaced by  $\zeta^n A_n$ . Since  $\zeta = \zeta^p = \dots = \zeta^q$



implies  $\zeta^k = \zeta^{q^k}$ , we see that the coefficients  $\frac{A_{a+kq}}{\pi^{a+k}} k!$  are unchanged. On the other hand, these coefficients belong to  $\mathbf{Q}_p$  simply since they are Mahler coefficients of a  $\mathbf{Q}_p$ -valued continuous function.

#### 4. Gauss sums.

The Gross-Koblitz formula concerns the Gauss sums

$$- \sum_{\varepsilon^q = \varepsilon \neq 0} \varepsilon^{-a} \Theta_q(\varepsilon) = - \sum_{\varepsilon^{q-1} = 1} \varepsilon^{-a} \sum_{n \geq 0} A_n \varepsilon^n = - \sum_{n \geq 0} A_n \sum_{\varepsilon^{q-1} = 1} \varepsilon^{n-a}$$

(the sign « $-$ » is chosen in order to give it the value  $+1$  when  $a = 0$ ). The sum on roots of unity is  $q - 1$  if  $n - a$  is a multiple of  $q' = q - 1$  and is  $0$  otherwise. If  $a = q - 1 = q'$ , we have to take into account the value  $k = -1$ . Let us assume that  $0 \leq a < q'$ , so that only the values  $k \geq 0$  occur

$$- \sum_{\varepsilon^q = \varepsilon \neq 0} \varepsilon^{-a} \Theta_q(\varepsilon) = (1 - q) \sum_{k \geq 0} A_{a+kq'}$$

The above Mahler series involve the coefficients of the Dwork exponential having indices in arithmetic progressions of ratio  $q$ , whereas we are looking for a summation formula for these coefficients with indices in an arithmetic progression of ratio  $q' = q - 1$ . Here is a link between the two.

LEMMA. *We have  $nA_n = \pi A_{n-1}$  ( $1 \leq n < q$ ),  $nA_n = \pi(A_{n-1} - qA_{n-q})$  ( $n \geq q$ ).*

PROOF. We differentiate the defining identity

$$\begin{aligned} \sum_{n \geq 0} A_n T^n &= \Theta_q(T) = e^{\pi(T - T^q)} \\ \sum_{n \geq 0} nA_n T^{n-1} &= \Theta_q(T)' = e^{\pi(T - T^q)} (\pi - q\pi T^{q-1}) \\ \sum_{n \geq 0 \text{ or } 1} nA_n T^{n-1} &= \Theta_q(T)(\pi - q\pi T^{q-1}) = \sum_{n \geq 0} A_n T^n (\pi - q\pi T^{q-1}). \end{aligned}$$

The identification of the coefficients of  $T^{n-1}$  leads to the result. ■

Let us define functions  $\tilde{G}_\alpha$  for all integers  $\alpha \geq 0$  by

$$\tilde{G}_\alpha(x) = \sum_{k \geq 0} \frac{A_{\alpha+kq}}{\pi^k} (x)_k = A_\alpha + \frac{A_{\alpha+q}}{\pi} x + \frac{A_{\alpha+2q}}{\pi^2} x(x-1) + \dots$$

This definition extends the preceding one (given only for  $\alpha = a < q$ ), but let us emphasize that when  $\alpha \geq q$ , these functions are not simply given by products of  $\Gamma_p$  as in the previous case.

**THEOREM 3.** For  $\alpha \geq 0$ ,  $\alpha_* = \frac{\alpha}{1-q}$ , and  $q' = q - 1$  we have

$$(1-q) \sum_{0 \leq k < N} A_{\alpha+kq'} = \tilde{G}_\alpha(\alpha_*) - \tilde{G}_{\alpha+Nq'}(\alpha_* - N) \quad (N \geq 1).$$

**PROOF.** The crucial case is  $N = 1$ :

$$\tilde{G}_\alpha(\alpha_*) - \tilde{G}_{\alpha+q'}(\alpha_* - 1) = (1-q) A_\alpha.$$

To compute  $\tilde{G}_\alpha(x) - \tilde{G}_{\alpha+q'}(x-1)$ , we first transform its second term

$$\tilde{G}_{\alpha+q'}(x-1) = \sum_{k \geq 0} A_{\alpha+q'+kq} \frac{(x-1)_k}{\pi^k}.$$

Since  $\alpha + q' + kq = \alpha + (k+1)q - 1 = n - 1$ , we can use the relation (lemma)

$$A_{n-1} = \frac{n}{\pi} A_n + q A_{n-q} \quad (n \geq q),$$

to bring back the sequence of indices into arithmetic progressions of ratio  $q$

$$\begin{aligned} \tilde{G}_{\alpha+q'}(x-1) &= \sum_{k \geq 0} \left[ \frac{\alpha + (k+1)q}{\pi} A_{\alpha+(k+1)q} + q A_{\alpha+kq} \right] \frac{(x-1)_k}{\pi^k} \\ &= \sum_{k \geq 1} \frac{\alpha + kq}{\pi} A_{\alpha+kq} \frac{(x-1)_{k-1}}{\pi^{k-1}} + \sum_{k \geq 0} q A_{\alpha+kq} \frac{(x-1)_k}{\pi^k}. \end{aligned}$$

Hence  $\tilde{G}_\alpha(x) - \tilde{G}_{\alpha+q'}(x-1)$  is equal to

$$A_\alpha + \sum_{k \geq 1} A_{\alpha+kq} \frac{(x-1)_{k-1}}{\pi^k} (x - \alpha - kq) - \sum_{k \geq 0} q A_{\alpha+kq} \frac{(x-1)_{k-1}}{\pi^k} (x - k).$$

A miracle happens when  $x$  is equal to the fixed point  $\alpha_*$ :

$$\alpha_* - \alpha - kq = q(\alpha_* - k),$$

so that all terms compensate except  $k = 0$ , whence the first formula in the theorem. Summing up consecutive expressions and noting that  $(\alpha + q')_* = \alpha_* - 1$ , we obtain a telescopic sum

$$\tilde{G}_\alpha(\alpha_*) - \tilde{G}_{\alpha+Nq'}(\alpha_* - N) = (1 - q) \sum_{0 \leq k < N} A_{\alpha+kq'}. \quad \blacksquare$$

More generally,

$$\frac{x - \alpha - kq}{x - k} - q = \frac{x - \alpha - kq - qx + qk}{x - k} = \frac{x - (\alpha + qx)}{x - k}$$

and remembering  $\alpha = (1 - q)\alpha_*$

$$\frac{x - \alpha - kq}{x - k} - q = \frac{x - \alpha_* + q\alpha_* - qx}{x - k} = (x - \alpha_*) \frac{1 - q}{x - k},$$

hence the more general formula

$$\tilde{G}_\alpha(x) - \tilde{G}_{\alpha+q'}(x-1) = (1 - q) A_\alpha + (x - \alpha_*) \frac{1 - q}{\pi} \sum_{k \geq 0} \frac{A_{\alpha+(k+1)q}}{\pi^k} (x - 1)_k.$$

It is well known that the Dwork exponential converges in a ball of radius  $> 1$ , hence  $A_n \rightarrow 0$  ( $n \rightarrow \infty$ ) so that we may go to the limit

$$\begin{aligned} (1 - q) \sum_{k \geq 0} A_{\alpha+kq'} & \quad (\text{Gauss-Dwork sum}) \\ & = \tilde{G}_\alpha(\alpha_*) - \lim_{N \rightarrow \infty} \tilde{G}_{\alpha+Nq'}(\alpha_* - N). \end{aligned}$$

The limit vanishes in view of the following lemma since  $\alpha_* - N \in \mathbf{Z}_p$ .

LEMMA. We have  $\|\tilde{G}_\alpha\| \rightarrow 0$  ( $\alpha \rightarrow \infty$ ). More precisely

$$\|\tilde{G}_\alpha\| \leq \begin{cases} r_p^{\alpha/q} & \text{if } p \geq 3 \\ r_p^{(\alpha-q)/2q} & \text{if } p = 2. \end{cases}$$

PROOF. The norm used here is the sup norm on the unit ball, so that

$$\|\tilde{G}_\alpha\| \leq \sup_{k \geq 0} \left| \frac{A_{\alpha+kq}}{\pi^k/k!} \right|$$

(the Mahler theorem states that this is in fact an equality, provided that the sup norm is taken on the unit ball of  $C_p$ ). But

$$\left| \frac{\pi^k}{k!} \right| = \frac{r_p^k}{|p|^{\text{ord}_p k!}} = r_p^{k - (k - S_p(k))} = r_p^{S_p(k)}.$$

On the other hand, the Dwork series  $\Theta_q(T) = e^{\pi(T - T^q)} = \sum_{n \geq 0} A_n T^n$  is bounded by 1 on the ball of radius  $|p|^{\frac{1-p}{pq}} > 1$

$$|A_n| |p|^{n \frac{1-p}{pq}} \leq 1, \quad |A_n| \leq |p|^{n \frac{p-1}{pq}} = r_p^{n \frac{(p-1)^2}{pq}}.$$

This leads to

$$\left| \frac{A_{\alpha+kq}}{\pi^k/k!} \right| \leq \frac{r_p^{(\alpha+kq) \frac{(p-1)^2}{pq}}}{r_p^{S_p(k)}}.$$

(1) *Case  $p \geq 3$  is odd.* In this case, we use the minoration  $r_p^{S_p(k)} \geq r_p^k$  of the denominator. The exponent of  $r_p$  is easily estimated

$$(\alpha+kq) \frac{(p-1)^2}{pq} - k = \alpha \frac{(p-1)^2}{pq} + k \left( \frac{(p-1)^2}{p} - 1 \right).$$

As  $p \geq 3$ ,  $\frac{p-1}{p} > \frac{1}{2}$  and

$$(\alpha+kq) \frac{(p-1)^2}{pq} - k \geq \alpha \frac{p-1}{2q} + k \frac{p-3}{2} \geq \alpha \frac{1}{q}.$$

Hence this exponent of  $r_p$  is greater or equal to  $\alpha/q$  whence the first assertion.

(2) *Case  $p = 2$ .* The preceding minoration of the denominator is not precise enough to lead to the result. This is why we keep

scrupulously the exponent  $S_2(k)$  and have now to estimate

$$(\alpha + kq) \frac{(p-1)^2}{pq} - S_p(k) = (\alpha + kq) \frac{1}{2q} - S_2(k) = \frac{\alpha}{2q} + \frac{k}{2} - S_2(k).$$

But the following table

$k$	0	1	2	3	$\geq 4$
$\frac{k}{2} - S_2(k)$	0	-1/2	0	-1/2	$> 0$

shows  $\frac{k}{2} - S_2(k) \geq -1/2$  (it is a simple exercise to prove it formally) which finishes the proof. ■

Summing up, we have obtained the main result.

**THEOREM 4 (GROSS-KOBLITZ).** *For  $0 \leq a < q - 1$  ( $q = p^f, f \geq 1$ ), we have*

$$- \sum_{\varepsilon^q = \varepsilon \neq 0} \varepsilon^{-a} \Theta_q(\varepsilon) = \pi^{S_p(a)} \prod_{0 \leq i < f} \Gamma_p \left( \frac{a^{(i)}}{q-1} \right)$$

where the integers  $0 \leq a^{(i)} < q - 1$  have  $p$ -adic expansions obtained by cyclic permutation from that of  $a$ , and  $S_p(a)$  is the sum of digits of  $a$  in base  $p$ .

Since the values of  $\Gamma_p$  are  $p$ -adic units, we deduce the following result.

**COROLLARY 1 (STICKELBERGER).** *For  $0 \leq a < q$ , the  $p$ -adic absolute value of the Gauss sum  $\sum_{\varepsilon^q = \varepsilon \neq 0} \varepsilon^{-a} \Theta_q(\varepsilon)$  is*

$$|\pi^{S_p(a)}| = r_p^{S_p(a)} = |p|^{\frac{S_p(a)}{p-1}}. \quad \blacksquare$$

**COROLLARY 2.** *When  $p \equiv 1 \pmod n$ , the values of  $\Gamma_p$  at the rational numbers  $\frac{m}{n}$  are algebraic numbers. More precisely*

$$\Gamma_p \left( \frac{m}{n} \right) \in \mathbf{Q}(\mu_{np}, \sqrt[n]{-p}).$$

PROOF. By the functional equation of  $\Gamma_p$ , it is enough to establish this when  $0 \leq m < n$ . If we write  $p - 1 = ln$  and  $\frac{m}{n} = \frac{lm}{p-1}$ , we can use the Gross-Koblitz formula for  $q = p$  and  $a = lm$ . ■

APPENDIX 1. For an odd prime  $p \geq 3$ , the Legendre relation for  $\Gamma_p$  is

$$\Gamma_p(x) \Gamma_p(1 - x) = (-1)^{R(x)}$$

where  $R(x) \in \{1, \dots, p\}$  is in the class of  $x \pmod p$ . Let us write it in the equivalent form

$$\Gamma_p(-x) \Gamma_p(x + 1) = (-1)^{R(-x)} = (-1)^{p-x_0} = (-1)^{1+x_0} \quad (x = x_0 + x_1p + \dots)$$

For  $p = 2$  and  $x = x_0 + x_1 2 + x_2 2^2 + \dots$ , we have

$$\Gamma_2(x) \Gamma_2(1 - x) = (-1)^{1+x_1},$$

$$\Gamma_2(-x) \Gamma_2(x + 1) = (-1)^{1+x_0+x_1}.$$

One way of unifying the two cases consists in writing

$$\Gamma_p(-x) \Gamma_p(x + 1) = (-1)^{1+x_0+(p-1)x_1}.$$

APPENDIX 2. It is well known that the  $\Theta_q(\varepsilon) \in \mathbb{C}_p$  are  $p$ th roots of unity (Dwork's theorem). We can observe

$$\begin{aligned} \Theta_q(T) &= \Theta_p(T) \Theta_p(T^p) \dots \Theta_p(T^{q/p}) \\ &= 1 + \pi(T + T^p + \dots + T^{q/p}) + \dots \end{aligned}$$

so that

$$\Theta_q(\varepsilon) \equiv 1 + \pi(\varepsilon + \varepsilon^p + \dots + \varepsilon^{q/p}) \pmod{\pi^2}$$

$$\Theta_q(t(x)) = \xi_\pi^{t(T^x)}, \quad \xi_\pi = \Theta_p(1) \quad (t: \text{Teichmüller})$$

and the Gauss sums considered here are precisely Gauss sums for the field  $\mathbb{F}_q$ .

APPENDIX 3. The Atkin operators still satisfy

$$U_q(f)(T^q) = \sum a_{qm} T^{qm} = q^{-1} \sum_{\zeta \in \mu_q} f(\zeta T)$$

(often used for  $q = p$ ). On the other hand, the operator  $\delta = T(d/dT)$  is the

*degree operator*: it sends  $T^n$  onto  $nT^n$  hence

$$\delta = T \frac{d}{dT} : \sum_{n \geq 0} a_n T^n \mapsto \sum_{n \geq 0} n a_n T^n.$$

From this, the relation  $U_q \circ \delta = q(\delta \circ U_q)$  immediately follows

$$U_q \circ \delta \left( \sum_{n \geq 0} a_n T^n \right) = \sum_{n \geq 0} q n a_{qn} T^n = q \sum_{n \geq 0} n a_{qn} T^n = q \delta \circ U_q \left( \sum_{n \geq 0} a_n T^n \right).$$

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Manoscritto pervenuto in redazione il 3 marzo 2000.