

## Temperate Holomorphic Solutions and Regularity of Holonomic $\mathcal{D}$ -modules on Curves.

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ABSTRACT - In [8], Kashiwara and Schapira introduced the notion of regularity for ind-sheaves and conjectured that a holonomic  $\mathcal{D}$ -module on a complex manifold is regular if and only if its complex of temperate holomorphic solutions is regular. Our aim is to prove this conjecture in the one-dimensional case.

### Introduction.

In [8], the authors introduced the notions of microsupport and regularity for ind-sheaves. Let  $X$  be a complex manifold,  $\mathcal{M}$  a coherent  $\mathcal{D}_X$ -module and consider its complex of temperate holomorphic solutions

$$\text{Sol}^t(\mathcal{M}) := R\mathcal{I}hom_{\beta_X \mathcal{D}_X}(\beta_X \mathcal{M}, \mathcal{O}_X^t).$$

It is proved in [8] that the microsupport of  $\text{Sol}^t(\mathcal{M})$  coincides with the characteristic variety of  $\mathcal{M}$ . Moreover, if  $\mathcal{M}$  is regular holonomic, then  $\text{Sol}^t(\mathcal{M})$  is regular. In fact, Kashiwara and Schapira made the following conjecture:

*(K-S)-CONJECTURE. Let  $\mathcal{M}$  be a holonomic  $\mathcal{D}_X$ -module. Then  $\mathcal{M}$  is regular holonomic if and only if  $R\mathcal{I}hom_{\beta_X \mathcal{D}_X}(\beta_X \mathcal{M}, \mathcal{O}_X^t)$  is regular.*

In this paper, we prove, in dimension one, that the regularity of  $\text{Sol}^t(\mathcal{M})$  implies the regularity of the holonomic  $\mathcal{D}_X$ -module  $\mathcal{M}$ . More precisely, we show that  $\text{Sol}^t(\mathcal{M})$  is irregular when the holonomic  $\mathcal{D}_X$ -module  $\mathcal{M}$  has an irregular singularity. This proof relies in several steps. First we reduce to the case  $\mathcal{M} = \mathcal{D}_X^m / \mathcal{D}_X^m P$ , where  $P$  is a matrix of differential operators of the

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form  $z^N \partial_z I_m + A(z)$ , with  $m, N \in \mathbb{N}$ ,  $I_m$  the identity matrix of order  $m$  and  $A$  a  $m \times m$  matrix of holomorphic functions on a neighborhood of the origin. Then we show that it is enough to prove the irregularity of  $S^t := H^0(\text{Sol}^t(\mathcal{M}))$  and we give a characterization of  $S^t$  in a sector. From this characterization we easily conclude a contradiction by assuming the regularity of  $S^t$  at  $(0; 0)$ , which completes the desired proof.

The contents of this paper are two sections as follows.

In Section 1, we start with a quick review on sheaves, ind-sheaves, microsupport and regularity for ind-sheaves and we recall the results on the microsupport and regularity of  $\text{Sol}^t(\mathcal{M})$ , proved in [8].

Section 2 is dedicated to the proof of the irregularity of  $\text{Sol}^t(\mathcal{M})$ , when  $\mathcal{M}$  is an irregular holonomic  $\mathcal{D}_X$ -module on an open neighborhood  $X$  of  $0$  in  $\mathbb{C}$ .

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## 1. Notations and review.

We will follow the notations in [8].

**SHEAVES.** Let  $X$  be a real analytic  $n$ -dimensional manifold. We denote by  $\pi : T^*X \rightarrow X$  the cotangent bundle to  $X$ . We identify  $X$  with the zero section of  $T^*X$  and we denote by  $\dot{T}^*X$  the set  $T^*X \setminus X$ .

Let  $\mathbf{k}$  be a field. We denote by  $\text{Mod}(\mathbf{k}_X)$  the abelian category of sheaves of  $\mathbf{k}$ -vector spaces on  $X$  and by  $D^b(\mathbf{k}_X)$  its bounded derived category. For  $a, b \in \mathbb{R}$ ,  $a < b$  (resp.  $k \in \mathbb{Z}$ ), we denote by  $D^{[a,b]}(\mathbf{k}_X)$  (resp.  $D^{\geq k}(\mathbf{k}_X)$ ) the full additive subcategory of  $D^b(\mathbf{k}_X)$  consisting of objects  $F$  satisfying  $H^j(F) = 0$ , for any  $j \notin [a, b]$  (resp.  $j < k$ ).

We denote by  $\mathbb{R}\text{-C}(\mathbf{k}_X)$  the abelian category of  $\mathbb{R}$ -constructible sheaves of  $\mathbf{k}$ -vector spaces on  $X$  and by  $D_{\mathbb{R}\text{-C}}^b(\mathbf{k}_X)$  the full subcategory of  $D^b(\mathbf{k}_X)$  consisting of objects with  $\mathbb{R}$ -constructible cohomology.

For an object  $F \in D^b(\mathbf{k}_X)$ , we denote by  $SS(F)$  the *microsupport* of  $F$ , a closed  $\mathbb{R}^+$ -conic involutive subset of  $T^*X$ . We refer to [9] for details.

**IND-SHEAVES ON REAL MANIFOLDS.** Let  $X$  be a real analytic manifold. We denote by  $\text{I}(\mathbf{k}_X)$  the abelian category of ind-sheaves on  $X$ , that is, the ca-

tegory of ind-objects of the category  $\text{Mod}^c(\mathbf{k}_X)$  of sheaves with compact support on  $X$  (see [7]).

Recall the natural faithful exact functor

$$\iota_X : \text{Mod}(\mathbf{k}_X) \rightarrow \mathbf{I}(\mathbf{k}_X); F \mapsto \varinjlim_{\substack{U \subset \subset X \\ U \text{ open}}} F_U.$$

We usually don't write this functor and identify  $\text{Mod}(\mathbf{k}_X)$  with a full abelian subcategory of  $\mathbf{I}(\mathbf{k}_X)$  and  $D^b(\mathbf{k}_X)$  with a full triangulated subcategory of  $D^b(\mathbf{I}(\mathbf{k}_X))$ .

The category  $\mathbf{I}(\mathbf{k}_X)$  admits an internal hom denoted by  $\mathcal{I}hom$  and this functor admits a left adjoint, denoted by  $\otimes$ . If  $F \simeq \varinjlim_i F_i$  and  $G \simeq \varinjlim_j G_j$ , then:

$$\mathcal{I}hom(G, F) \simeq \varinjlim_j \varinjlim_i \mathcal{I}hom(G_j, F_i),$$

$$G \otimes F \simeq \varinjlim_j \varinjlim_i (G_j \otimes F_i).$$

The functor  $\iota_X$  admits a left adjoint

$$\alpha_X : \mathbf{I}(\mathbf{k}_X) \rightarrow \text{Mod}(\mathbf{k}_X); F \mapsto \varinjlim_i F_i.$$

This last functor also admits a left adjoint  $\beta_X : \text{Mod}(\mathbf{k}_X) \rightarrow \mathbf{I}(\mathbf{k}_X)$ . Both functors  $\alpha_X$  and  $\beta_X$  are exact. We refer to [7] for the description of  $\beta_X$ .

Let  $X$  be a real analytic manifold. We denote by  $\text{R-C}(\mathbf{k}_X)$  the full abelian subcategory of  $\text{R-C}(\mathbf{k}_X)$  consisting of  $\text{R-constructible}$  sheaves with compact support. We denote by  $\text{IR-c}(\mathbf{k}_X)$  the category  $\text{Ind}(\text{R-C}(\mathbf{k}_X))$  and by  $D_{\text{IR-c}}^b(\mathbf{I}(\mathbf{k}_X))$  the full subcategory of  $D^b(\mathbf{I}(\mathbf{k}_X))$  consisting of objects with cohomology in  $\text{IR-c}(\mathbf{k}_X)$ .

**THEOREM 1.1** ([7]). *The natural functor  $D^b(\text{IR-c}(\mathbf{k}_X)) \rightarrow D_{\text{IR-c}}^b(\mathbf{I}(\mathbf{k}_X))$  is an equivalence of categories.*

Recall that there is an alternative construction of  $\text{IR-c}(\mathbf{k}_X)$ , using Grothendieck topologies. Denote by  $\text{Op}_{X_{sa}}$  the category of open subanalytic subsets of  $X$ . We may endow this category with a Grothendieck topology by deciding that a family  $\{U_i\}_i$  in  $\text{Op}_{X_{sa}}$  is a covering of  $U \in \text{Op}_{X_{sa}}$  if for any compact subset  $K$  of  $X$ , there exists a finite subfamily which covers  $U \cap K$ . One denotes by  $X_{sa}$  the site defined by this topology and by  $\text{Mod}(\mathbf{k}_{X_{sa}})$  the category of sheaves on  $X_{sa}$  (see [1] and [7]). We denote by  $\text{Op}_{X_{sa}}^c$  the subcategory of  $\text{Op}_{X_{sa}}$  consisting of relatively compact open subanalytic subsets

of  $X$  and for  $U \in \text{Op}_{X_{sa}}$  we denote by  $U_{X_{sa}}$  the category  $\text{Op}_{X_{sa}} \cap U$  with the topology induced by  $X_{sa}$ .

Let  $\rho : X \rightarrow X_{sa}$  be the natural morphism of sites. We have functors

$$\text{Mod}(\mathbf{k}_X) \underset{\rho^{-1}}{\overset{\rho_*}{\rightleftarrows}} \text{Mod}(\mathbf{k}_{X_{sa}}),$$

and we still denote by  $\rho_*$  the restriction of  $\rho_*$  to  $\mathbb{R}\text{-C}(\mathbf{k}_X)$  and to  $\mathbb{R}\text{-C}^c(\mathbf{k}_X)$ .

We may extend the functor  $\rho_* : \mathbb{R}\text{-C}^c(\mathbf{k}_X) \rightarrow \text{Mod}(\mathbf{k}_{X_{sa}})$  to  $\mathbb{I}\mathbb{R}\text{-c}(\mathbf{k}_X)$ , by setting:

$$\begin{aligned} \lambda : \mathbb{I}\mathbb{R}\text{-c}(\mathbf{k}_X) &\rightarrow \text{Mod}(\mathbf{k}_{X_{sa}}) \\ \text{“}\varinjlim\text{”} F_i &\mapsto \varinjlim \rho_* F_i. \end{aligned}$$

For  $F \in \mathbb{I}\mathbb{R}\text{-c}(\mathbf{k}_X)$ , an alternative definition of  $\lambda(F)$  is given by the formula

$$\lambda(F)(U) = \text{Hom}_{\mathbb{I}\mathbb{R}\text{-c}(\mathbf{k}_X)}(\mathbf{k}_U, F).$$

**THEOREM 1.2 ([7]).** *The functor  $\lambda$  is an equivalence of abelian categories.*

Most of the time, thanks to  $\lambda$ , we identify  $\mathbb{I}\mathbb{R}\text{-c}(\mathbf{k}_X)$  with  $\text{Mod}(\mathbf{k}_{X_{sa}})$ .

**TEMPERED DISTRIBUTIONS.** Let  $X$  be a real analytic manifold. Denote by  $\mathcal{D}b_X$  the sheaf of distributions on  $X$ . For each open subanalytic subset  $U \subset X$ , we denote by  $\mathcal{D}b_X^t(U)$  the space of tempered distributions on  $U$ , defined by the exact sequence

$$0 \rightarrow \Gamma_{X \setminus U}(X; \mathcal{D}b_X) \rightarrow \Gamma(X; \mathcal{D}b_X) \rightarrow \mathcal{D}b_X^t(U) \rightarrow 0.$$

It is proved in [7] that  $U \mapsto \mathcal{D}b_X^t(U)$  is a sheaf on the subanalytic site  $X_{sa}$ , hence defines an ind-sheaf. We call  $\mathcal{D}b_X^t$  the ind-sheaf of tempered distributions. This ind-sheaf is well-defined in the category  $\text{Mod}(\beta_X \mathcal{D}_X)$ , where  $\mathcal{D}_X$  denotes the sheaf of analytic finite-order differential operators.

**TEMPERED HOLOMORPHIC FUNCTIONS.** Let  $X$  be a complex analytic manifold. One defines the ind-sheaf of tempered holomorphic functions as:

$$\mathcal{O}_X^t := R\text{Thom}_{\beta \mathcal{D}_{\bar{X}}}(\beta \mathcal{O}_{\bar{X}}, \mathcal{D}b_{X_{\mathbb{R}}}^t),$$

where  $\bar{X}$  denotes the complex conjugate manifold,  $X_{\mathbb{R}}$  the underlying real analytic manifold, identified with the diagonal of  $X \times \bar{X}$ , and  $\mathcal{D}_{\bar{X}}$  the sheaf of rings of holomorphic differential operators of finite order over  $\bar{X}$ .  $\mathcal{O}_X^t$  is

actually an object of  $D^b(\beta_X \mathcal{D}_X)$  and it is not concentrated in degree 0 if  $\dim X > 1$ . When  $X$  is a complex analytic curve,  $\mathcal{O}_X^t$  is concentrated in degree 0. Moreover,  $\mathcal{O}_X$  is  $\rho_*$ -acyclic and  $\mathcal{O}_X^t$  is a sub-ind-sheaf of  $\rho_* \mathcal{O}_X$ .

We end this section by recalling two results of G. Morando, which will be useful in our proof.

**THEOREM 1.3 ([2]).** *Let  $X$  be an open subset of  $\mathbb{C}$  and  $f \in \mathcal{O}_{\mathbb{C}}(X)$ . Let  $U \in \text{Op}_{X_{\text{sq}}}^c$  such that  $f|_{\overline{U}}$  is an injective map. Let  $h \in \mathcal{O}_{\mathbb{C}}(f(U))$ . Then  $h \circ f \in \mathcal{O}_X^t(U)$  if and only if  $h \in \mathcal{O}_{\mathbb{C}}^t(f(U))$ .*

**PROPOSITION 1.4 ([2]).** *Let  $p \in z^{-1}\mathbb{C}[z^{-1}]$  and  $U \in \text{Op}_{\mathbb{C}_{\text{sa}}}^c$  with  $0 \in \partial U$ . The conditions below are equivalent.*

- (i)  $\exp(p(z)) \in \mathcal{O}_{\mathbb{C}}^t(U)$ .
- (ii) *There exists  $A > 0$  such that  $\text{Re}(p(z)) < A$ , for all  $z \in U$ .*

**MICROSUPPORT AND REGULARITY FOR IND-SHEAVES.** We refer to [8] for the equivalent definitions for the microsupport  $SS(F)$  of an object  $F \in D^b(\mathbf{I}(\mathbf{k}_X))$ . We shall only recall the following useful properties of this closed conic subset of  $T^*X$ .

**PROPOSITION 1.5.** (i) *For  $F \in D^b(\mathbf{I}(\mathbf{k}_X))$ , one has  $SS(F) \cap T_X^*X = \text{supp}(F)$ .*

(ii) *Let  $F \in D^b(\mathbf{k}_X)$ . Then  $SS(\iota_X F) = SS(F)$ .*

(iii) *Let  $F \in D^b(\mathbf{I}(\mathbf{k}_X))$ . Then  $SS(\alpha_X(F)) \subset SS(F)$ .*

(iii) *Let  $F_1 \rightarrow F_2 \rightarrow F_3 \xrightarrow{+1}$  be a distinguished triangle in  $D^b(\mathbf{I}(\mathbf{k}_X))$ . Then  $SS(F_i) \subset SS(F_j) \cup SS(F_k)$ , for  $\{i, j, k\} = \{1, 2, 3\}$ .*

Let  $J$  denotes the functor  $J : D^b(\mathbf{I}(\mathbf{k}_X)) \rightarrow (D^b(\text{Mod}^c(\mathbf{k}_X)))^\wedge$  (where  $(D^b(\text{Mod}^c(\mathbf{k}_X)))^\wedge$  denotes the category of functors from  $D^b(\text{Mod}^c(\mathbf{k}_X))^{\text{op}}$  to **Set**) defined by:

$$J(F)(G) = \text{Hom}_{D^b(\mathbf{I}(\mathbf{k}_X))}(G, F),$$

for every  $F \in D^b(\mathbf{I}(\mathbf{k}_X))$  and  $G \in D^b(\text{Mod}^c(\mathbf{k}_X))$ .

**DEFINITION 1.6 ([8]).** Let  $F \in D^b(\mathbf{I}(\mathbf{k}_X))$ ,  $A \subset T^*X$  be a locally closed conic subset and  $p \in T^*X$ . We say that  $F$  is *regular along  $A$  at  $p$*  if there exists  $F'$  isomorphic to  $F$  in a neighborhood of  $\pi(p)$ , an open neighborhood  $U$  of  $p$  with  $A \cap U$  closed in  $U$ , a small and filtrant category  $\mathbf{I}$  and a functor  $\mathbf{I} \rightarrow D^{[a,b]}(\mathbf{k}_X); i \mapsto F_i$  such that  $J(F') \simeq \varinjlim_{i \in \mathbf{I}} J(F_i)$  and  $SS(F_i) \cap U \subset A$ .

Otherwise, we say that  $F$  is *irregular along  $A$  at  $p$* .

We say that  $F$  is regular at  $p$  if  $F$  is regular along  $SS(F)$  at  $p$ . If  $F$  is regular at each  $p \in SS(F)$ , we say that  $F$  is regular.

PROPOSITION 1.7 ([8]). (i) *Let  $F \in D^b(\mathbf{I}(\mathbf{k}_X))$ . Then  $F$  is regular along any locally closed set  $A$  at each  $p \notin SS(F)$ .*

(ii) *Let  $F_1 \rightarrow F_2 \rightarrow F_3 \xrightarrow{+1}$  be a distinguished triangle in  $D^b(\mathbf{I}(\mathbf{k}_X))$ . If  $F_j$  and  $F_k$  are regular along  $A$ , so is  $F_i$ , for  $i, j, k \in \{1, 2, 3\}$ ,  $j \neq k$ .*

(iii) *Let  $F \in D^b(\mathbf{k}_X)$ . Then  ${}_X F$  is regular.*

TEMPERATE HOLOMORPHIC SOLUTIONS OF  $\mathcal{D}$ -MODULES. Let  $X$  be a complex manifold and let  $\mathcal{M}$  be a coherent  $\mathcal{D}_X$ -module. Set

$$Sol(\mathcal{M}) = R\rho_* \mathcal{R}Hom_{\mathcal{D}_X}(\mathcal{M}, \mathcal{O}_X),$$

$$Sol^t(\mathcal{M}) = R\mathcal{I}hom_{\beta_X \mathcal{D}_X}(\beta_X \mathcal{M}, \mathcal{O}_X^t).$$

The equality:

$$(1.1) \quad SS(Sol^t(\mathcal{M})) = Char(\mathcal{M}),$$

was obtained by M. Kashiwara and P. Schapira in [8], where these authors also proved that the natural morphism  $Sol^t(\mathcal{M}) \rightarrow Sol(\mathcal{M})$  is an isomorphism, when  $\mathcal{M}$  is a regular holonomic  $\mathcal{D}_X$ -module. This gives the “only if” part of the (K-S)-Conjecture.

## 2. Proof of the (K-S)-Conjecture in dimension one.

In this section, we consider  $\mathbb{C}$  endowed with the holomorphic coordinate  $z$  and  $X$  will denote an open neighborhood of  $0$  in  $\mathbb{C}$ . We shall prove that, for every irregular holonomic  $\mathcal{D}_X$ -module  $\mathcal{M}$ ,  $Sol^t(\mathcal{M})$  is irregular, using a similar argument as in the Example of [8].

We shall first reduce the proof to the case where  $\mathcal{M} = \mathcal{D}_X/\mathcal{D}_X P$ , for some  $P \in \mathcal{D}_X$ .

Let  $\mathcal{M}$  be an irregular holonomic  $\mathcal{D}_X$ -module and let us denote by  $Char(\mathcal{M})$  its characteristic variety. Since  $\mathcal{M}$  is holonomic it is locally generated by one element and we may assume  $\mathcal{M}$  is of the form  $\mathcal{D}_X/\mathcal{I}$ , for some coherent left ideal  $\mathcal{I}$  of  $\mathcal{D}_X$ . We may also assume that, locally at  $0 \in \mathbb{C}$ ,  $Char(\mathcal{M}) \subset T_X^* X \cup T_{\{0\}}^* X$ . Moreover, we may find  $P \in \mathcal{I}$  such that the kernel of the surjective morphism

$$\mathcal{D}_X/\mathcal{D}_X P \rightarrow \mathcal{M} \rightarrow 0,$$

is isomorphic to a regular holonomic  $\mathcal{D}_X$ -module  $\mathcal{N}$  (see, for example, Chapter VI of [10]). Therefore, we have an exact sequence

$$0 \rightarrow \mathcal{N} \rightarrow \mathcal{D}_X/\mathcal{D}_X P \rightarrow \mathcal{M} \rightarrow 0,$$

and we get the distinguished triangle

$$\mathrm{Sol}^t(\mathcal{M}) \rightarrow \mathrm{Sol}^t(\mathcal{D}_X/\mathcal{D}_X P) \rightarrow \mathrm{Sol}^t(\mathcal{N}) \xrightarrow{+1}.$$

Since  $\mathrm{Sol}^t(\mathcal{N})$  is regular, by Proposition 1.7,  $\mathrm{Sol}^t(\mathcal{M})$  will be regular if and only if  $\mathrm{Sol}^t(\mathcal{D}_X/\mathcal{D}_X P)$  is. Therefore, we may assume from the beginning that  $\mathcal{M} = \mathcal{D}_X/\mathcal{D}_X P$ , for some  $P \in \mathcal{D}_X$ , having an irregular singularity at the origin.

Let us now recall the following result, due to G. Morando:

**THEOREM 2.1** ([2]). *Let  $\mathcal{M}$  be a holonomic  $\mathcal{D}_X$ -module. The natural morphism*

$$H^1(\mathrm{Sol}^t(\mathcal{M})) \rightarrow H^1(\mathrm{Sol}(\mathcal{M})),$$

*is an isomorphism.*

The Theorem above together with the results in [4] entails that:

$$H^1(\mathrm{Sol}^t(\mathcal{M})) \simeq H^1(\mathrm{Sol}(\mathcal{M})) \simeq \mathbb{C}_{\{0\}}^m,$$

for some  $m \in \mathbb{N}$ . Then  $H^1(\mathrm{Sol}^t(\mathcal{M}))$  is regular and  $SS(H^1(\mathrm{Sol}^t(\mathcal{M}))) = T_{\{0\}}^* X$ .

As in [8], let us set for short

$$\mathcal{S}^t := H^0(\mathrm{Sol}^t(\mathcal{M})) \simeq \mathrm{Thom}_{\beta_X \mathcal{D}_X}(\beta_X \mathcal{M}, \mathcal{O}_X^t),$$

$$\mathcal{S} := H^0(\mathrm{Sol}(\mathcal{M})) \simeq \rho_* \mathrm{Hom}_{\mathcal{D}_X}(\mathcal{M}, \mathcal{O}_X) \simeq \ker(\rho_* \mathcal{O}_X \xrightarrow{P} \rho_* \mathcal{O}_X).$$

Remark that, since  $\dim X = 1$ , one has a monomorphism  $\mathcal{S}^t \rightarrow \mathcal{S}$ . Moreover, we have the following distinguished triangle:

$$\mathcal{S}^t \rightarrow \mathrm{Sol}^t(\mathcal{M}) \rightarrow H^1(\mathrm{Sol}^t(\mathcal{M}))[-1] \xrightarrow{+1}.$$

Therefore, one has

$$SS(\mathcal{S}^t) \subset \mathrm{Char}(\mathcal{M}) \cup T_{\{0\}}^* X \subset T_X^* X \cup T_{\{0\}}^* X,$$

and  $\mathcal{S}^t$  will be irregular if and only if  $\mathrm{Sol}^t(\mathcal{M})$  is.

The problem is then reduced to prove the irregularity of  $\mathcal{S}^t$ , for an irregular holonomic  $\mathcal{D}_X$ -module of the form  $\mathcal{M} = \mathcal{D}_X/\mathcal{D}_X P$ , with  $P \in \mathcal{D}_X$ .

Moreover, we may assume  $P$  is of the form  $P = z^N \partial_z^m + \sum_{k=0}^{m-1} a_k(z) \partial_z^k$ , for some  $N, m \in \mathbb{N}$ .

Let  $U$  be an open neighborhood of the origin in  $\mathbb{C}$ . The problem of finding the solutions of the differential equation  $Pu = 0$  in  $\mathcal{O}_X(U)$  is equivalent to the one of finding the solutions in  $\mathcal{O}_X(U)^m$  of a system of ordinary differential equations defined by a matrix of differential operators of the form

$$z^N \partial_z I_m + A(z),$$

where  $m, N \in \mathbb{N}$ ,  $I_m$  is the identity matrix of order  $m$  and  $A \in \mathbf{M}_m(\mathcal{O}_X(U))$ <sup>(1)</sup>. From now on we denote by  $P$  the system

$$(2.1) \quad P = z^N \partial_z I_m + A(z),$$

and we reduce to the case where  $\mathcal{M} = \mathcal{D}_X^m / \mathcal{D}_X^m P$ , so that

$$\mathcal{S} \simeq \rho_* \mathcal{H}om_{\mathcal{D}_X}(\mathcal{D}_X^m / \mathcal{D}_X^m P, \mathcal{O}_X),$$

and

$$\mathcal{S}^t \simeq \mathcal{I}hom_{\beta_X \mathcal{D}_X}(\beta_X(\mathcal{D}_X^m / \mathcal{D}_X^m P), \mathcal{O}_X^t).$$

Let  $\theta_0, \theta_1, R \in \mathbb{R}$ , with  $\theta_0 < \theta_1$  and  $R > 0$ . We denote the open set

$$\{z \in \mathbb{C}; \theta_0 < \arg z < \theta_1, 0 < |z| < R\},$$

by  $S(\theta_0, \theta_1, R)$  and call it *open sector of amplitude  $\theta_1 - \theta_0$  and radius  $R$* .

Let  $l \in \mathbb{N}$ . By choosing a branch, we consider  $z^{1/l}$  as a holomorphic function on subsets of open sectors of amplitude smaller than  $2\pi$ .

The next goal is to calculate the ind-sheaf  $\mathcal{S}^t$ . As an essential step we recall the following classical result that gives the characterization of the holomorphic solutions of the matrix of differential operators  $z^N \partial_z I_m + A(z)$  in some open sectors.

**THEOREM 2.2** (see [12]). *Let us denote by  $P$  the matrix of differential operators  $z^N \partial_z I_m + A(z)$ . There exist  $l \in \mathbb{N}$ , a diagonal matrix  $A(z) \in \mathbf{M}_m(z^{-1/l} \mathbb{C}[z^{-1/l}])$  and, for any real number  $\theta$ , there exist  $R > 0$ ,  $\theta_1 > \theta > \theta_0$  and  $F_\theta \in \mathbf{GL}_m(\mathcal{O}_X(S(\theta_0, \theta_1, R))) \cap C^0(\overline{S(\theta_0, \theta_1, R)} \setminus \{0\})$ , such that the  $m$ -columns of the matrix  $F_\theta(z) \exp(-A(z))$  are  $\mathbb{C}$ -linearly independent holomorphic solutions of the system  $Pu = 0$ . Moreover, for each*

<sup>(1)</sup> For a commutative ring  $R$  we denote by  $\mathbf{M}_m(R)$  the ring of  $m \times m$  matrices and by  $\mathbf{GL}_m(R)$  the group of invertible  $m \times m$  matrices.



$\theta$  there exist constants  $C, M > 0$  so that  $F_\theta$  has the estimate

$$(2.2) \quad C^{-1}|z|^M < |F_\theta(z)| < C|z|^{-M}, \text{ for any } z \in S(\theta_0, \theta_1, R).$$

If there is no risk of confusion we shall write  $F(z)$  instead of  $F_\theta$ .

DEFINITION 2.3. We call the matrix  $F(z)\exp(-A(z))$ , given in Theorem 2.2, a *fundamental solution of  $P$  on  $S(\theta_0, \theta_1, R)$* .

Let us point out that Theorem 2.2 gives a characterization of the holomorphic solutions of the systems of differential operators of the form  $z^N \partial_z I_m + A(z)$ , not necessarily irregular. However, it follows by Theorem 5.1 of [5] that the matrix  $A$  given by Theorem 2.2 will be non-zero if and only if  $P$  is irregular.

Let  $l \in \mathbb{N}$  and  $A(z)$  be the diagonal matrix given in Theorem 2.2 for the operator (2.1). For each  $1 \leq j \leq m$ , let  $A_j(z) = \sum_{k=1}^{n_j} \alpha_k^j z^{-k/l}$  be the  $(j, j)$  entry of  $A(z)$ , with  $n_j \in \mathbb{N}$ ,  $\alpha_1^j, \dots, \alpha_{n_j}^j \in \mathbb{C}$ .

COROLLARY 2.4. *Let  $V \in \text{Op}_{X_{sa}}^c$  and let us suppose  $P$  has a fundamental solution  $F(z)\exp(-A(z))$  on  $V$ . Then,  $\Gamma(V; S^t) \simeq \mathbb{C}^{n(V)}$ , where  $n(V)$  is the cardinality of the set:*

$$J(V) := \{j \in \{1, \dots, m\}; \exp(-A_j(z))|_V \in \mathcal{O}_X^t(V)\}.$$

PROOF. By hypothesis,  $\Gamma(V; S)$  is the  $m$ -dimensional  $\mathbb{C}$ -vector space generated by the  $m$ -columns of the matrix  $F(z)\exp(-A(z))$ . Let  $k$  be the dimension of the  $\mathbb{C}$ -vector space  $\Gamma(V; S^t)$ . Clearly  $n(V) \leq k$ . Let us prove that  $k \leq n(V)$ .

Let  $G_1, \dots, G_k$  be a  $\mathbb{C}$ -basis of  $\Gamma(V; S^t)$ . Clearly, for  $h = 1, \dots, k$ , there exists  $C_h \in \mathbb{C}^m$  such that  $G_h = F(z)\exp(-A(z))C_h$ . In particular, the  $j$ -th coordinate of  $F^{-1}G_h$  is a complex multiple of  $\exp(-A_j)$ . Further, since  $F^{-1}$  is a matrix of tempered holomorphic functions,  $F^{-1}G_1, \dots, F^{-1}G_k$  are  $\mathbb{C}$ -linearly independent vectors in  $\mathcal{O}_X^t(V)^m$ . It follows that there exists  $\{j_1, \dots, j_k\} \subset \{1, \dots, m\}$  such that  $\exp(-A_{j_1}(z)), \dots, \exp(-A_{j_k}(z)) \in \mathcal{O}_X^t(V)$ . The conclusion follows. q.e.d.

LEMMA 2.5. *Let  $S$  be an open sector of amplitude smaller than  $2\pi$ ,  $p \in z^{-1}\mathbb{C}[z^{-1}]$ ,  $l \in \mathbb{N}$  and  $V \in \text{Op}_{X_{sa}}^c$ , with  $V \subset S$  and  $0 \in \partial V$ . Then  $\exp(p(z^{1/l})) \in \mathcal{O}_X^t(V)$  if and only if there exists  $A > 0$  such that  $\text{Re}(p(z^{1/l})) < A$ , for all  $z \in V$ .*

PROOF. Let  $\theta_0, \theta_1, R \in \mathbb{R}$  such that  $0 < \theta_1 - \theta_0 < 2\pi$  and  $S = S(\theta_0, \theta_1, R)$ , and let us denote by  $U$  the open sector  $S\left(\frac{\theta_0}{l}, \frac{\theta_1}{l}, R^{1/l}\right)$ . Let  $f : X \rightarrow X$  be the holomorphic function defined by  $f(z) = z^l$ . Since  $\theta_1 - \theta_0 < 2\pi$ , we may easily check that  $f|_{\overline{U}}$  is an injective map. Moreover,  $f(U) = S$  and  $f|_U : U \rightarrow S$  is bijective. Set  $V' = f^{-1}(V) \cap U$  and let  $h$  denotes the holomorphic function defined for each  $z \in S$  by  $h(z) = \exp(p(z^{1/l}))$ . By Theorem 1.3, we have  $h \circ f \in \mathcal{O}_X^t(V')$  if and only if  $h \in \mathcal{O}_X^t(V)$ . On the other hand, one has  $\exp(p)|_{V'} = h \circ f|_{V'}$ , and, by Proposition 1.4,  $h \circ f \in \mathcal{O}_X^t(V')$  if and only if there exists  $A > 0$  such that  $\operatorname{Re}(p(z)) < A$ , for all  $z \in V'$ . Combining these two facts, we conclude that  $\exp(p(z^{1/l})) \in \mathcal{O}_X^t(V)$  if and only if there exists  $A > 0$  such that  $\operatorname{Re}(p(z^{1/l})) < A$ , for all  $z \in V$ , as desired. q.e.d.

PROPOSITION 2.6. *With the notation above, there exist an open sector  $S$ , with amplitude smaller than  $2\pi$  and radius  $R > 0$ , and a non-empty subset  $I$  of  $\{1, \dots, m\}$  such that, for each  $j \in I$  and each open subanalytic subset  $V \subset S$ , the conditions below are equivalent:*

- (i) *there exists  $A > 0$  such that  $\operatorname{Re}(-A_j(z)) < A$  for all  $z \in V$ ,*
- (ii) *there exists  $0 < \delta < R$  such that  $V \subset \{z \in S; |z| > \delta\}$ .*

Moreover, for each  $j \in \{1, \dots, m\} \setminus I$ , there exists  $A > 0$  such that, for every  $z \in S$ ,  $\operatorname{Re}(-A_j(z)) < A$ .

PROOF. For each  $j = 1, \dots, m$ , if  $z = \rho \exp(i\theta)$ , one has:

$$\operatorname{Re}(-A_j(z)) = \sum_{k=1}^{n_j} \alpha_k^j \rho^{-k/l} \cos(\phi_k^j - k/l\theta),$$

where  $\alpha_k^j = |\alpha_k^j|$  and  $\phi_k^j = \arg(-\alpha_k^j)$ , for every  $k = 1, \dots, n_j$ .

Since  $l \neq 0$ , we may assume from the beginning that  $\alpha_{n_1}^1 \neq 0$ . For each  $j = 1, \dots, m$  and  $\theta \in \mathbb{R}$ , set  $c_j(\theta) = \cos(\phi_{n_j}^j - n_j/l\theta)$ . Pick  $\theta' \in \mathbb{R}$  such that  $c_j(\theta') \neq 0$ , for  $j = 1, \dots, m$ , and  $c_1(\theta') > 0$ . By continuity, these conditions hold in a neighborhood  $[\theta_0, \theta_1]$  of  $\theta'$ . Moreover, we may assume that one has  $0 < \theta_1 - \theta_0 < 2\pi$ .

Let us set:

$$J := \{j \in \{1, \dots, m\}; c_j(\theta) < 0, \forall \theta \in [\theta_0, \theta_1]\} \cup \{j \in \{1, \dots, m\}; A_j = 0\}.$$

Let  $j \in J$ , with  $A_j(z) \neq 0$ , and choose  $C_j > 0$  such that  $c_j(\theta) \leq -C_j$ , for all  $\theta \in [\theta_0, \theta_1]$ . We may assume  $\alpha_{n_j}^j \neq 0$ . Then, for each  $\theta \in [\theta_0, \theta_1]$  and  $\rho > 0$ ,

one has:

$$\begin{aligned} & \operatorname{Re}(-A_j(\rho \exp(i\theta))) = \\ & = \rho^{-n_j/l} \left[ \sum_{k=1}^{n_j-1} \alpha_k^j \rho^{(n_j-k)/l} \cos(\phi_k^j - k/l\theta) + \alpha_{n_j}^j \cos(\phi_{n_j}^j - n_j/l\theta) \right] \leq \\ & \leq \rho^{-n_j/l} \left[ \sum_{k=1}^{n_j-1} \alpha_k^j \rho^{(n_j-k)/l} - \alpha_{n_j}^j C_j \right], \end{aligned}$$

and

$$\lim_{\rho \rightarrow 0^+} \rho^{-n_j/l} \left[ \sum_{k=1}^{n_j-1} \alpha_k^j \rho^{(n_j-k)/l} - \alpha_{n_j}^j C_j \right] = -\infty.$$

Hence, for each  $j \in J$ , there exists  $R_j > 0$  such that  $\operatorname{Re}(-A_j(\rho \exp(i\theta))) \leq 0$ , for every  $0 < \rho < R_j$  and  $\theta_0 \leq \theta \leq \theta_1$ . Therefore, setting  $R = \min\{R_j; j \in J\}$ , one gets that  $\operatorname{Re}(-A_j(z)) < A$ , for every  $A > 0$ ,  $z \in S(\theta_0, \theta_1, R)$  and  $j \in J$ .

Let us now set

$$I := \{j \in \{1, \dots, m\}; c_j(\theta) > 0, \forall \theta \in [\theta_0, \theta_1], A_j(z) \neq 0\}.$$

Let  $j \in I$  and  $C_j > 0$  such that  $c_j(\theta) > C_j$ , for all  $\theta \in [\theta_0, \theta_1]$ . We may assume  $\alpha_{n_j}^j \neq 0$ . Let  $V$  be an open subanalytic subset of the sector  $S(\theta_0, \theta_1, R)$  and suppose that there exists  $A > 0$  such that  $\operatorname{Re}(-A_j(z)) < A$ , for every  $z \in V$ , and that, for each  $0 < \delta < R$ , there exists  $z_\delta \in V$  with  $|z_\delta| \leq \delta$ . For each  $0 < \delta < R$ , let us denote:  $\rho_\delta = |z_\delta|$  and  $\theta_\delta = \arg(z_\delta)$ . The sequence  $\{\rho_\delta\}_\delta$  converges to 0 and one has:

$$\begin{aligned} & \lim_{\delta \rightarrow 0^+} \operatorname{Re}(-A_j(\rho_\delta \exp(i\theta_\delta))) = \\ & = \lim_{\delta \rightarrow 0^+} \rho_\delta^{-n_j/l} \left[ \sum_{k=1}^{n_j-1} \alpha_k^j \rho_\delta^{(n_j-k)/l} \cos(\phi_k^j - k/l\theta_\delta) + \alpha_{n_j}^j \cos(\phi_{n_j}^j - n_j/l\theta_\delta) \right] \geq \\ & \geq \lim_{\delta \rightarrow 0^+} \rho_\delta^{-n_j/l} \left[ - \sum_{k=1}^{n_j-1} \alpha_k^j \rho_\delta^{(n_j-k)/l} + \alpha_{n_j}^j C_j \right] = +\infty, \end{aligned}$$

which is a contradiction. Conversely, if  $V$  is an open subanalytic subset of the set  $\{z \in S(\theta_0, \theta_1, R); |z| > \delta\}$ , for some  $0 < \delta < R$ , then  $V$  is contained on the compact set  $\{z \in \mathbb{C}; \theta_0 \leq \arg z \leq \theta_1, \delta \leq |z| \leq R\}$ , and  $\operatorname{Re}(-A_j(z))$  is obviously bounded on  $V$ . We conclude that  $I$  is the desired subset of  $\{1, \dots, m\}$ , with  $\{1, \dots, m\} \setminus I = J$ . q.e.d.

We shall now describe the ind-sheaf of temperate holomorphic solutions of the differential system  $Pu = 0$  in the open sector given by Proposition 2.6, where  $P$  is the operator (2.1).

**THEOREM 2.7.** *Let  $\mathcal{M}$  be the  $\mathcal{D}_X$ -module  $\mathcal{D}_X^m/\mathcal{D}_X^m P$ , where  $P$  is the matrix of differential operators (2.1). If  $\mathcal{M}$  is irregular, then there exist  $n > 0$  and an open sector  $S$  such that:*

$$(2.3) \quad \text{“} \varinjlim_{R>\delta>0} \text{”} (\mathbb{C}_{S_\delta}^n \oplus \mathbb{C}_S^{m-n}) \xrightarrow{\sim} \mathcal{I}hom_{\beta_X \mathcal{D}_X}(\beta_X \mathcal{M}, \mathcal{O}_X^t)_S,$$

where  $S_\delta = \{z \in S; |z| > \delta\}$ , for each  $0 < \delta < R$ .

**PROOF.** Let  $S(\theta'_0, \theta'_1, R')$  and  $I$  be, respectively, the open sector and the subset of  $\{1, \dots, m\}$  given by Proposition 2.6 and let us choose  $\theta_0, \theta_1, R \in \mathbb{R}$ , with  $\theta'_0 < \theta_0 < \theta_1 < \theta'_1$  and  $R > 0$ , such that the matrix of differential operators  $P$  admits a fundamental solution  $F(z) \exp(-A(z))$  on the open sector  $S(\theta_0, \theta_1, R)$ . Let us denote  $S = S(\theta_0, \theta_1, R)$  and let  $n$  be the cardinality of the set  $I$ . Remark that  $I \neq \emptyset$  and so,  $n > 0$ .

Let  $V$  be a connected subanalytic open subset of  $S$ , relatively compact in  $X$ , with  $0 \in \partial V$ . By Lemma 2.5, for each  $j = 1, \dots, m$ , one has  $\exp(-A_j(z)) \in \mathcal{O}_X^t(V)$  if and only if there exists  $A > 0$  such that  $\operatorname{Re}(-A_j(z)) < A$  for each  $z \in V$ . Thus, by Proposition 2.6,  $\exp(-A_j(z)) \in \mathcal{O}_X^t(V)$ , for all  $j \in \{1, \dots, m\} \setminus I$ , and, for  $j \in I$  one has  $\exp(-A_j(z)) \in \mathcal{O}_X^t(V)$  if and only if  $V \subset S_\delta$ , for some  $0 < \delta < R$ . Since  $0 \in \partial V$ , it follows that  $V \not\subset S_\delta$ , for all  $0 < \delta < R$  and, hence,  $\exp(-A_j(z)) \notin \mathcal{O}_X^t(V)$ , for all  $j \in I$ .

Therefore, by Corollary 2.4, given a connected subanalytic open subset  $V$  of  $S$ , relatively compact in  $X$ , either  $V \subset S_\delta$ , for some  $0 < \delta < R$ , and in this case  $\Gamma(V; \mathcal{S}^t) \simeq \mathbb{C}^m$ , or else  $\Gamma(V; \mathcal{S}^t) \simeq \mathbb{C}^{m-n}$ . By Theorem 1.2, we get the desired isomorphism <sup>(2)</sup>. q.e.d.

Finally, we may conclude the irregularity of  $\mathcal{S}^t$  arguing by contradiction. Let  $S$  (resp.  $n$ ) be the open sector (resp. the positive integer) given by Theorem 2.7. Let us suppose that  $\mathcal{S}^t$  is regular at  $p = (0; 0)$ . Then, there exist an open neighborhood  $U$  of 0, a small and filtrant category  $L$  and a functor  $G : L \rightarrow D^{[a,b]}(\operatorname{Mod}^c(\mathbb{C}_X))$ ,  $l \mapsto G_l$ , such that  $J_X(\mathcal{S}^t) \simeq \text{“} \varinjlim \text{”} G_l$  and  $SS(G_l) \cap \pi^{-1}(U) \subset T_{\{0\}}^* X \cup T_X^* X$ , for all  $l \in L$ . We may assume from the

<sup>(2)</sup> Notice that, for  $F \in \operatorname{Mod}(\mathbf{k}_{X_{sa}})$ , one has  $F_S := i_{S!} i_S^{-1} F$ , where  $i_S : S_{X_{sa}} \rightarrow X_{sa}$  denotes the natural embedding (see [7]).

beginning that  $U$  is an open ball with center at the origin and that  $S \subset U$ . Since  $0 \notin S$ , we get:

$$SS(G_l) \cap \pi^{-1}(S) \subset T_X^*X, \forall l.$$

Then, for each  $l$  and  $k \in \mathbb{Z}$ ,  $H^k(G_l)_S$  is a constant sheaf, since  $S$  is contractible. In particular, we may find  $M_l \in D^b(\text{Mod}(\mathbb{C}))$  such that  $(G_l)_S \simeq (M_l)_S$ , for each  $l$ . Moreover, one has:

$${}^{\leftarrow} \varinjlim_{R>\delta>0} \mathbb{C}_{S_\delta}^n \oplus \mathbb{C}_S^{m-n} \simeq {}^{\leftarrow} \varinjlim_l H^0(M_l)_S.$$

Let  $V$  be a connected subanalytic open subset of  $S_\varepsilon$ , for some  $R > \varepsilon > 0$ , and assume  $V$  is contractible. Then:

$$\mathbb{C}^m \simeq {}^{\leftarrow} \varinjlim_{R>\delta>0} \Gamma(V; \mathbb{C}_{S_\delta}^n \oplus \mathbb{C}_S^{m-n}) \simeq \varinjlim_l \Gamma(V; H^0(M_l)_S) \simeq \varinjlim_l H^0(M_l).$$

On the other hand:

$$\mathbb{C}^{m-n} \simeq {}^{\leftarrow} \varinjlim_{R>\delta>0} \Gamma(S; \mathbb{C}_{S_\delta}^n \oplus \mathbb{C}_S^{m-n}) \simeq \varinjlim_l \Gamma(S; H^0(M_l)_S) \simeq \varinjlim_l H^0(M_l).$$

This entails that  $\mathbb{C}^m \simeq \mathbb{C}^{m-n}$  and hence,  $n = 0$ , which is a contradiction.

**REMARK 2.8.** Let us consider the irregular holonomic  $\mathcal{D}_X$ -module

$$\mathcal{M} = \mathcal{D}_X / \mathcal{D}_X(z^2 \partial_z + 1).$$

In this case,  $\exp(1/z)$  is a fundamental solution of the differential operator  $z^2 \partial_z + 1$  in  $X \setminus \{0\}$ . Arguing as in the proof of Proposition 2.6, we find  $R > 0$  with the following property: given an open subanalytic subset  $V$  of the sector  $S = S(0, \pi/4, R)$ , then there exists  $A > 0$  such that  $\text{Re}(-1/z) < A$ , for every  $z \in V$ , if and only if there exists  $0 < \delta < R$  such that  $V \subset \{z \in S; |z| > \delta\}$ . Moreover, by Proposition 2.7, one has the isomorphism below:

$${}^{\leftarrow} \varinjlim_{R>\delta>0} \mathbb{C}_{S_\delta} \xrightarrow{\sim} \mathcal{I}hom_{\beta_X \mathcal{D}_X}(\beta_X \mathcal{M}, \mathcal{O}_X^t)_S.$$

On the other hand, M. Kashiwara and P. Schapira proved in [8] the following isomorphism on  $X$ ,

$${}^{\leftarrow} \varinjlim_{\varepsilon>0} \mathbb{C}_{U_\varepsilon} \sim \mathcal{I}hom_{\beta_X \mathcal{D}_X}(\beta_X \mathcal{M}, \mathcal{O}_X^t),$$

where  $U_\varepsilon = X \setminus \overline{B_\varepsilon(\varepsilon, 0)}$ , and  $B_\varepsilon(\varepsilon, 0)$  denotes the open ball with center at  $(\varepsilon, 0)$  and radius  $\varepsilon$ , for every  $\varepsilon > 0$ .

Let us check that

$$\varinjlim_{R>\delta>0} \mathbb{C}_{S_\delta} \simeq \varinjlim_{\varepsilon>0} \mathbb{C}_{U_\varepsilon \cap S}.$$

It is enough to prove that, for every  $0 < \delta < R$ , there exists  $\varepsilon > 0$  such that  $S_\delta \subset U_\varepsilon \cap S$  and that, for every  $\varepsilon > 0$ , there exists  $0 < \delta < R$  such that  $U_\varepsilon \cap S \subset S_\delta$ . In fact, for each  $0 < \delta < R$ , if  $x + iy \in S_\delta$ , then  $x^2 + y^2 > \delta^2$  and  $x > y > 0$ . It follows that  $2x^2 > \delta^2$  and hence,  $x^2 + y^2 > x^2 > x(\delta/2)$ , this is,  $(x - \delta/4)^2 + y^2 > (\delta/4)^2$ . Thus,  $S_\delta \subset U_{\delta/4} \cap S$ . Conversely, given  $\varepsilon > 0$  and  $x + iy \in U_\varepsilon \cap S$ , we have  $x^2 + y^2 > 2x\varepsilon$  and  $x > y > 0$ . This gives  $x > \varepsilon$  and so,  $x^2 + y^2 > \varepsilon^2$ . Therefore,  $U_\varepsilon \cap S \subset S_\varepsilon$ .

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