## Séminaire d'analyse fonctionnelle École Polytechnique

## F. DELBAEN Weakly compact sets in $L^1/H_0^1$

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WEAKLY COMPACT SETS IN L<sup>1</sup>/H<sup>1</sup>

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Exposé No VIII

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By A we mean a uniform algebra in the sense of T.W. Gamelin [4], i.e. there is a compact Hausdorff space X such that  $A \subset C(X)$ ,  $1 \in A$  and A separates the points of X. If  $\phi : A \rightarrow \mathbb{C}$  is a nonzero, multiplicative, linear functional then M<sub>0</sub> denotes the set of positive representing measures on X. More precisely M<sub>0</sub> = { $\mu \mid \mu$  a positive measure on X and  $\int f d\mu = \phi(f)$  for all  $f \in A$ }. We will suppose that M<sub>0</sub> is a weakly compact set in the space of all measures on X. In this case it is easily seen that there is  $m \in M_0$  such that all other measures in M<sub>0</sub> are absolutely continuous with respect to m (f.i. a slight modification of the proof given in Dunford-Schwartz [3] p. 307

By  $H^{\infty}$  we mean the Hardy space which is the weak star closure of A in  $L^{\infty}(m)$  where m is the dominant measure mentioned before. The predual of  $H^{\infty}$  is  $L^{1}(m)/N$  where N is the space of functions annihilating  $H^{\infty}$  for the bilinear form  $\langle f,g \rangle = \int fg \, dm$ . Since  $M_{\phi}$  is weakly compact in  $L^{1}(m)$ , all the results of [1] and [2] apply. Of course we identify  $M_{\phi}$  with the set  $\{\frac{d\mu}{dm} \mid \mu \in M_{\phi}\} \subset L^{1}(m)$ .

already gives this result).

Given an element  $\phi \in L^{1}(m)/N$  then we can restrict  $\phi$  to the space A and obtain an element  $\phi \nmid_{A} \in A^{\bigstar}$ . It follows immediately from the results of Ahern and Sarason that  $||\phi \nmid_{A}|| = ||\phi||$ . ([4] Theorem VI.5.2., p. 152-153). It follows that  $L^{1}(m)/N$  can be identified with a closed subspace of  $A^{\bigstar}$ .

LEMMA: Let 
$$\phi \in L^1/N$$
 and let  $\mu$  be a measure on X such that  
i)  $||\mu|| = ||\phi||$   
ii)  $\mu|_A = \phi$   
then  $\mu \in L^1(m)$ .

<u>Proof</u>: The existence of  $\mu$  is given by the Hahn-Banach theorem. Let now  $\nu \in L^{1}(m)$  such that  $\nu|_{A} = \phi$  then  $(\nu - \mu)^{\perp} A$ . If  $\mu = \mu_{a} + \mu_{s}$  is the Lebesgue decomposition of  $\mu$  with respect to m then by the abstract F. and M. Riesz theorem  $(\nu - \mu_{a})^{\perp} A$  and  $\mu_{s}^{\perp} \perp A$  ([4] p. 44). Since  $||\phi|| = ||\mu|| = ||\mu_a|| + ||\mu_s||$  and  $\mu_a/_A = \nu/_A = \phi$ we obtain that  $||\mu_s|| = 0$  and hence  $\mu = \mu_a \in L^1(m)$ . We will need the following results of [1] and [2].

LEMMA (Chaumat [2], lemme 2) : Let  $f_n$  be a bounded sequence in  $L^1(m)$  and let  $\mu$  be an element of  $(L^{\infty})^*$  adherent to the sequence  $f_n$  (for the topology  $\sigma((L^{\infty})^*, L^{\infty})$ . Let  $\mu = \mu_a + \mu_s$ where  $\mu_a$  is the  $\sigma$ -additive part of  $\mu$  and  $\mu_s$  is the purely finitely additive part of  $\mu$  (Hewitt-Yosida [5]). If  $\mu_s$  is not orthogonal to  $H^{\infty}$  then there is a subsequence  $f_n$  such that

$$H^{\infty} \rightarrow l^{\infty}$$

$$g \Rightarrow (\int g f_{n_k} dm)_k$$

is onto, i.e.  $f_{n_{r}}$  is an interpolating sequence.

LEMMA ([1] and [2]) : If K is a bounded subset of  $L^{1}/_{N}$  then are equivalent

i) K is weakly relatively compact

ii)  $\forall \varepsilon > 0$ ;  $\exists \delta > 0$  such that  $f \in H^{\infty}$ ;  $||f||_{\infty} \ll 1$  and  $||f||_{1} \ll \delta$ imply sup  $|\phi(f)| \leqslant \varepsilon$  $\phi \in K$ 

iii) K does not contain an interpolating subsequence.

The preceding lemmas give following corollary (f denotes the class of  $f \in L^1$  in the quotient  $L^1(N)$ .

<u>COROLLARY</u>: If  $f_n$  is a bounded sequence of positive elements then  $f_n$  is a weakly relatively compact in  $L^1(m)$  if and only if  $f_n$  is weakly relatively compact in  $L^1(m)/N$ .

<u>Proof</u>: If  $\mu$  is adherent to  $f_n$  in  $(L^{\infty})^*$ ,  $\sigma((L^{\infty})^*, L^{\infty})$  and  $\mu = \mu_a + \mu_s$  is the Hewitt-Yosida decomposition then  $\mu_s$  is positive. It follows that  $\mu_s = 0$  if and only if  $\mu_s$  is orthoconal to  $H^{\infty}$  i.e. if and only if  $f_n$  does not contain an interpolating subsequence.

## VIII.3

THEOREM : If  $K \subset L^{1}(m)/N$  is weakly compact then there is K<sup>\*</sup> in  $L^{1}(m)$  such that the quotient  $L^{1}(m) + L^{1}(m)/N$  maps K' onto K. <u>Proof</u> : Let  $\mu_{\varphi} \in C(X)^*$  such that  $||\mu_{\varphi}|| = ||\varphi||$ . By the first lemma  $\mu_{\phi} \in L^{1}(m)$ . Let  $d\mu_{\phi}^{\Psi} = g \ d|\mu_{\phi}|$  be the polar decomposition of  $\mu_{\phi}$ . It is well known that  $|\varepsilon_{\phi}| = 1$ ,  $|\mu_{c}|$  a.e.. Since  $\phi \in L^{1/N}$  there is  $h_{\phi} \in H^{\infty}$ ,  $||h_{\phi}||_{\infty} = 1$  such that  $||\phi|| = \phi(h_{\phi})$ . So  $||\phi|| = \left[h_{\phi}d\mu_{\phi} = \left[h_{\phi}gd|\mu_{\phi}\right] = ||\mu|| = \left[d|\mu_{\phi}\right]$  and hence g =  $\overline{h}_{\phi}$ ,  $|\mu_{\phi}|$  almost everywhere and  $d\mu_{\phi} = \overline{h}_{\phi} d|\mu_{\phi}|$ . We now claim that  $K'_1 = \{ |\mu_{\phi}| \mid \phi \in K \}$  is weakly relatively compact in  $L^1(m)$ . By the corollary we only have to prove that the image of  $K_1^i$  in  $L^1/N$  is weakly relatively compact. So let  $\varepsilon > 0$  and take  $\delta > 0$  such that  $\sup |\phi(f)| \leq \varepsilon$  as soon as  $f \in H^{\infty}$ ,  $||f||_{\infty} \leq 1$  and  $||f||_{1} \leq \delta$ . But if f is a function satisfying these inequalities then f.h also satisfies these inequalities and hence  $\sup_{\phi \in K} |\int f d|\mu_{\phi}|| = \sup_{\phi \in K} |\int f h_{\phi} \overline{h}_{\phi} d|\mu_{\phi}|| = \sup_{\phi \in K} |\int f h_{\phi} d\mu_{\phi}| \leq \varepsilon.$ The lemma above implies now that  $K_1^i$  is relatively weakly compact and hence is equally integrable in  $L^{1}(m)$  ([3] p. 294).

Let now  $K_2' = \{\mu_{\phi} \mid \phi \in K\}$  then  $K_2'$  is obtained from  $K_1'$  by multiplying the elements of  $K_1'$  by functions bounded by 1. It is then obvious that  $K_2'$  is also equally integrable and hence weakly relatively compact ([3] p. 294). Define  $K_3'$ as the weak closure of  $K_2'$  in  $L^1(m)$  and let  $K' = K_3' \cap q^{-1}(K)$ where q is the quotient map  $q : L^1(m) + L^1(m)/N$ .

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