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ON A CERTAIN GENERALIZATION OF SPHERICAL TWISTS

BY YUKINOBU TODA

ABSTRACT. — This note gives a generalization of spherical twists, and describe the autoequivalences associated to certain non-spherical objects. Typically these are obtained by deforming the structure sheaves of $(0, -2)$ -curves on threefolds, or deforming \mathbb{P} -objects introduced by D. Huybrechts and R. Thomas.

RÉSUMÉ (*Sur une généralisation des twists sphériques*). — Cette note donne une généralisation des twists sphériques et décrit des auto-équivalences associées à certains objets qui ne sont pas sphériques. Typiquement ces objets sont obtenus par déformation du faisceau structural d'une $(0, 2)$ -courbe dans une variété de dimension trois ou d'un \mathbb{P} -objet introduit par D. Huybrechts et R. Thomas.

1. Introduction

We introduce a new class of autoequivalences of derived categories of coherent sheaves on smooth projective varieties, which generalizes the notion of spherical twists given in [12]. Such autoequivalences are associated to a certain class of objects, which are not necessary spherical but are interpreted as "fat"

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version of them. We introduce the notion of R -spherical objects for a noetherian and artinian local \mathbb{C} -algebra R , and imitate the construction of spherical twists to give the associated autoequivalences.

Let X be a smooth complex projective variety, and $D(X)$ be a bounded derived category of coherent sheaves on X . When X is a Calabi-Yau 3-fold, $D(X)$ is considered to represent the category of D -branes of type B , and should be equivalent to the derived Fukaya category on a mirror manifold under Homological mirror symmetry [8]. On the mirror side, there are typical symplectic automorphisms by taking Dehn twists along Lagrangian spheres [11]. The notions of spherical objects and associated twists were introduced in [12] in order to realize Dehn twists under mirror symmetry. Recall that $E \in D(X)$ is called *spherical* if the following holds [12]:

- $\text{Ext}_X^i(E, E) = \begin{cases} \mathbb{C} & \text{if } i = 0 \text{ or } i = \dim X, \\ 0 & \text{otherwise;} \end{cases}$
- $E \otimes \omega_X \cong E$.

Then one can construct the autoequivalence $T_E: D(X) \rightarrow D(X)$ which fits into the distinguished triangle [12]:

$$\mathbb{R} \text{Hom}(E, F) \otimes_{\mathbb{C}} E \longrightarrow F \longrightarrow T_E(F),$$

for $F \in D(X)$. The autoequivalence T_E is called a *spherical twist*. This is a particularly important class of autoequivalences, especially when we consider A_n -configurations on surfaces as indicated in [7]. On the other hand, it has been observed that there are some autoequivalences which are not described in terms of spherical twists. This occurs even in the similar situation discussed in [7] as follows. Let $X \rightarrow Y$ be a three dimensional flopping contraction which contracts a rational curve $C \subset X$, and $X^\dagger \rightarrow Y$ be its flop. Then one can construct the autoequivalence [1, 3, 4],

$$\Phi := \Phi_{X^\dagger \rightarrow X}^{\mathcal{O}_{X \times_Y X^\dagger}} \circ \Phi_{X \rightarrow X^\dagger}^{\mathcal{O}_{X \times_Y X^\dagger}} : D(X) \longrightarrow D(X^\dagger) \longrightarrow D(X).$$

If $C \subset X$ is not a $(-1, -1)$ -curve, Φ is not written as a spherical twist, and our motivation comes from describing such autoequivalences. Let R be a noetherian and artinian local \mathbb{C} -algebra. We introduce the notion of R -spherical objects defined on $D(X \times \text{Spec } R)$. In the above example, $\text{Spec } R$ is taken to be the moduli space of $\mathcal{O}_C(-1)$, and the universal family gives the R -spherical object. Our main theorem is the following:

THEOREM 1.1. — *To any R -spherical object $\mathcal{E} \in D(X \times \text{Spec } R)$, we can associate the autoequivalence $T_{\mathcal{E}}: D(X) \rightarrow D(X)$, which fits into the distinguished triangle*

$$\mathbb{R} \text{Hom}_X(\pi_* \mathcal{E}, F) \overset{\mathbb{L}}{\otimes}_R \pi_* \mathcal{E} \longrightarrow F \longrightarrow T_{\mathcal{E}}(F),$$

for $F \in D(X)$. Here $\pi: X \times \text{Spec } R \rightarrow X$ is the projection.

Using the notion of R -spherical objects and associated twists, we can also give the deformations of \mathbb{P} -twists in the case which is not treated in [5].

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Notations and conventions

- For a variety X , we denote by $D(X)$ its bounded derived category of coherent sheaves.
- Δ means the diagonal $\Delta \subset X \times X$ or the diagonal embedding $\Delta: X \rightarrow X \times X$.
- For another variety Y and an object $\mathcal{P} \in D(X \times Y)$, denote by $\Phi_{X \rightarrow Y}^{\mathcal{P}}$ the integral transform with kernel \mathcal{P} , i.e.,

$$\Phi_{X \rightarrow Y}^{\mathcal{P}}(*) := \mathbb{R}p_{Y*}(p_X^*(*) \overset{\mathbb{L}}{\otimes} \mathcal{P}): D(X) \longrightarrow D(Y).$$

Here p_X, p_Y are projections from $X \times Y$ onto corresponding factors.

2. Generalized spherical twists

Let X be a smooth projective variety over \mathbb{C} and R be a noetherian and artinian local \mathbb{C} -algebra. We introduce the notion of R -spherical objects defined on $D(X \times \text{Spec } R)$. Let $\pi: X \times \text{Spec } R \rightarrow X$ and $\pi': X \times \text{Spec } R \rightarrow \text{Spec } R$ be projections and $0 \in \text{Spec } R$ be the closed point.

DEFINITION 2.1. — An object $\mathcal{E} \in D(X \times \text{Spec } R)$ is called R -spherical if the following conditions hold:

- \mathcal{E} is represented by a bounded complex \mathcal{E}^\bullet with each \mathcal{E}^i a coherent $\mathcal{O}_{X \times \text{Spec } R}$ -module flat over R . In particular we have the bounded derived restriction $E := \mathcal{E}^\bullet|_{X \times \{0\}} \in D(X)$.
- $\text{Ext}_X^i(E, E) = \begin{cases} \mathbb{C} & \text{if } i = 0 \text{ or } i = \dim X, \\ 0 & \text{otherwise;} \end{cases}$
- $E \otimes \omega_X \cong E$.

REMARK 2.2. — If $R = \mathbb{C}$, then R -spherical objects coincide with usual spherical objects.

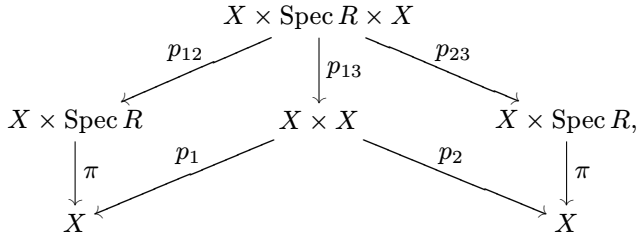
We imitate the construction of the spherical twists in the following theorem.

THEOREM 2.3. — *To any R -spherical object $\mathcal{E} \in D(X \times \text{Spec } R)$, we can associate the autoequivalence $T_{\mathcal{E}}: D(X) \rightarrow D(X)$, which fits into the distinguished triangle:*

$$\mathbb{R} \text{Hom}_X(\pi_* \mathcal{E}, F) \overset{\mathbb{L}}{\otimes}_R \pi_* \mathcal{E} \longrightarrow F \longrightarrow T_{\mathcal{E}}(F),$$

for $F \in D(X)$. Here R -module structures on $\mathbb{R} \text{Hom}_X(\pi_* \mathcal{E}, F)$ and $\pi_* \mathcal{E}$ are inherited from R -module structure on \mathcal{E} .

Proof. — First we construct the kernel of $T_{\mathcal{E}}$. Let p_{ij} and p_i be projections as in the following diagram



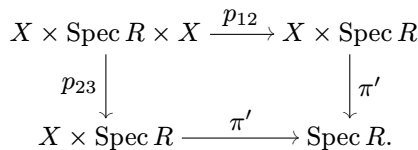
and consider the object

$$\mathcal{Q} := \mathbb{R}p_{13*}(p_{12}^*(\pi^! \mathcal{O}_X \overset{\mathbb{L}}{\otimes} \check{\mathcal{E}}) \overset{\mathbb{L}}{\otimes} p_{23}^* \mathcal{E}) \in D(X \times X).$$

Here $\check{\mathcal{E}}$ means its derived dual. Then for $F \in D(X)$, we can calculate $\Phi_{X \rightarrow X}^{\mathcal{Q}}(F)$ as follows:

$$\begin{aligned}
 \Phi_{X \rightarrow X}^{\mathcal{Q}}(F) &\cong \mathbb{R}p_{2*}(\mathbb{R}p_{13*}(p_{12}^*(\pi^! \mathcal{O}_X \overset{\mathbb{L}}{\otimes} \check{\mathcal{E}}) \overset{\mathbb{L}}{\otimes} p_{23}^* \mathcal{E}) \overset{\mathbb{L}}{\otimes} p_1^* F) \\
 &\cong \mathbb{R}p_{2*} \mathbb{R}p_{13*}(p_{12}^*(\pi^! \mathcal{O}_X \overset{\mathbb{L}}{\otimes} \check{\mathcal{E}}) \overset{\mathbb{L}}{\otimes} p_{23}^* \mathcal{E} \overset{\mathbb{L}}{\otimes} p_{13}^* p_1^* F) \\
 &\cong \pi_* \mathbb{R}p_{23*}(p_{12}^*(\pi^! \mathcal{O}_X \overset{\mathbb{L}}{\otimes} \check{\mathcal{E}}) \overset{\mathbb{L}}{\otimes} p_{23}^* \mathcal{E} \overset{\mathbb{L}}{\otimes} p_{12}^* \pi^* F) \\
 &\cong \pi_* \{ \mathcal{E} \overset{\mathbb{L}}{\otimes} \mathbb{R}p_{23*} p_{12}^*(\pi^! \mathcal{O}_X \overset{\mathbb{L}}{\otimes} \check{\mathcal{E}} \overset{\mathbb{L}}{\otimes} \pi^* F) \} \\
 &\cong \pi_* \{ \mathcal{E} \overset{\mathbb{L}}{\otimes} \pi'^* \mathbb{R}\pi'_* \mathbb{R} \text{Hom}(\mathcal{E}, \pi^* F) \} \\
 &\cong \pi_* \mathcal{E} \overset{\mathbb{L}}{\otimes}_R \mathbb{R} \text{Hom}(\pi_* \mathcal{E}, F).
 \end{aligned}$$

The fifth equality comes from the base change formula for the diagram below:



On the other hand, we have

$$\begin{aligned}
 \mathrm{Hom}_{X \times X}(\mathcal{Q}, \mathcal{O}_\Delta) &= \mathrm{Hom}_{X \times X}(\mathbb{R}p_{13*}(p_{12}^*(\pi^! \mathcal{O}_X \overset{\mathbb{L}}{\otimes} \check{\mathcal{E}}) \overset{\mathbb{L}}{\otimes} p_{23}^* \mathcal{E}), \mathcal{O}_\Delta) \\
 &= \mathrm{Hom}_X(\mathbb{L}\Delta^* \mathbb{R}p_{13*}(p_{12}^*(\pi^! \mathcal{O}_X \overset{\mathbb{L}}{\otimes} \check{\mathcal{E}}) \overset{\mathbb{L}}{\otimes} p_{23}^* \mathcal{E}), \mathcal{O}_X) \\
 &= \mathrm{Hom}_X(\pi_* \mathbb{L}(\Delta, \mathrm{id})^*(p_{12}^*(\pi^! \mathcal{O}_X \overset{\mathbb{L}}{\otimes} \check{\mathcal{E}}) \overset{\mathbb{L}}{\otimes} p_{23}^* \mathcal{E}), \mathcal{O}_X) \\
 &= \mathrm{Hom}_X(\mathbb{L}(\Delta, \mathrm{id})^*(p_{12}^*(\pi^! \mathcal{O}_X \overset{\mathbb{L}}{\otimes} \check{\mathcal{E}}) \overset{\mathbb{L}}{\otimes} p_{23}^* \mathcal{E}), \pi^! \mathcal{O}_X) \\
 &= \mathrm{Hom}_X(\pi^! \mathcal{O}_X \overset{\mathbb{L}}{\otimes} \check{\mathcal{E}} \overset{\mathbb{L}}{\otimes} \mathcal{E}, \pi^! \mathcal{O}_X).
 \end{aligned}$$

The third equality comes from the base change formula for the diagram below:

$$\begin{array}{ccc}
 X \times \mathrm{Spec} R & \xrightarrow{(\Delta, \mathrm{id})} & X \times \mathrm{Spec} R \times X \\
 \pi \downarrow & & \downarrow p_{13} \\
 X & \xrightarrow{\Delta} & X \times X.
 \end{array}$$

Let $\mu: \mathcal{Q} \rightarrow \mathcal{O}_\Delta$ be the morphism which corresponds to the morphism

$$\mathrm{id}_{\pi^! \mathcal{O}_X} \otimes \mathrm{ev}: \pi^! \mathcal{O}_X \overset{\mathbb{L}}{\otimes} \check{\mathcal{E}} \overset{\mathbb{L}}{\otimes} \mathcal{E} \longrightarrow \pi^! \mathcal{O}_X,$$

under the above isomorphisms. Let us take its cone $\mathcal{R} := \mathrm{Cone}(\mu) \in D(X \times X)$. Then the above calculation for $\Phi_{X \rightarrow X}^{\mathcal{Q}}$ implies the functor $T_{\mathcal{E}}: D(X) \rightarrow D(X)$ with kernel \mathcal{R} fits into the triangle

$$\mathbb{R} \mathrm{Hom}_X(\pi_* \mathcal{E}, F) \overset{\mathbb{L}}{\otimes}_R \pi_* \mathcal{E} \longrightarrow F \longrightarrow T_{\mathcal{E}}(F),$$

for $F \in D(X)$. We check $T_{\mathcal{E}}$ gives an equivalence. We follow the arguments of [10, 5]. Define E^\perp to be the subcategory $\{F \in D(X) \mid \mathbb{R} \mathrm{Hom}(E, F) = 0\}$. Then $\Omega := E \cup E^\perp$ is a spanning class in the sense of [2, Def. 2.1]. Let $\langle E \rangle$ be the minimum extension closed subcategory of $D(X)$ which contains E . Then since R is finite dimensional, we have $\pi_* \mathcal{E} \in \langle E \rangle$. Therefore if $F \in E^\perp$, then $\mathbb{R} \mathrm{Hom}_X(\pi_* \mathcal{E}, F) = 0$. Hence $T_{\mathcal{E}}(F) \cong F$ for $F \in E^\perp$. Next since \mathcal{E} is R -spherical, we have the distinguished triangle

$$E \longrightarrow \mathbb{R} \mathrm{Hom}_X(\pi_* \mathcal{E}, E) \overset{\mathbb{L}}{\otimes}_R \pi_* \mathcal{E} \longrightarrow E[-\dim X].$$

Then the following diagram

$$\begin{array}{ccccc}
 E & & & & \\
 \downarrow & \searrow \text{id} & & & \\
 \mathbb{R} \text{Hom}_X(\pi_* \mathcal{E}, E) & \xrightarrow{\mathbb{L} \otimes_R \pi_* \mathcal{E}} & E & \longrightarrow & T_{\mathcal{E}}(E) \\
 \downarrow & & \downarrow & \nearrow & \\
 E[-\dim X] & \longrightarrow & 0 & &
 \end{array}$$

shows $T_{\mathcal{E}}(E) \cong E[1 - \dim X]$. Therefore $T_{\mathcal{E}}$ is fully faithful on Ω , hence fully faithful on $D(X)$. (cf. [2, Theorem 2.3]). Finally the assumption $E \otimes \omega_X \cong E$ implies $F \otimes \omega_X \in E^\perp$ for $F \in E^\perp$. Therefore $T_{\mathcal{E}}|_{\Omega}$ commutes with $\otimes \omega_X$. Hence $T_{\mathcal{E}}$ gives an equivalence by the argument of [2, Thm 5.4]. \square

3. Flops at $(0, -2)$ -curves

We give some examples of autoequivalences associated to R -spherical objects. Let $f: X \rightarrow Y$ be a three dimensional flopping contraction which contracts a rational curve $C \subset X$. Let $f^\dagger: X^\dagger \rightarrow Y$ be its flop, and $C^\dagger \subset X^\dagger$ be the flopped curve. Then in [1, 3, 4], the functor $\Phi_1: D(X^\dagger) \rightarrow D(X)$ with kernel $\mathcal{O}_{X \times_Y X^\dagger}$ gives an equivalence. Φ_1 satisfies the following (cf. [14, Lemma 5.1]):

- Φ_1 takes $\mathcal{O}_{C^\dagger}(-1)[1]$ to $\mathcal{O}_C(-1)$;
- Φ_1 commutes with derived push-forwards, *i.e.*, $\mathbb{R}f_* \circ \Phi_1 \cong \mathbb{R}f_*^\dagger$.

Similarly we can construct the equivalence $\Phi_2: D(X) \rightarrow D(X^\dagger)$ with kernel $\mathcal{O}_{X \times_Y X^\dagger}$. Composing these, we obtain the autoequivalence

$$\Phi := \Phi_1 \circ \Phi_2: D(X) \longrightarrow D(X^\dagger) \longrightarrow D(X).$$

Note that $\Phi(\mathcal{O}_C(-1)) = \mathcal{O}_C(-1)[-2]$ and Φ commutes with $\mathbb{R}f_*$. If $C \subset X$ is a $(-1, -1)$ -curve, then $\mathcal{O}_C(-1)$ is a spherical object and Φ coincides with the associated twist $T_{\mathcal{O}_C(-1)}$. But if C is not a $(-1, -1)$ -curve, then $\mathcal{O}_C(-1)$ is no longer spherical, so we have to find some new descriptions of Φ . The idea is to consider the moduli problem of $\mathcal{O}_C(-1)$ and use the universal family.

Here we assume $C \subset X$ is a $(0, -2)$ -curve, *i.e.*, normal bundle is $\mathcal{O}_C \oplus \mathcal{O}_C(-2)$, and give the description of Φ . Let \mathcal{M} be the connected component of the moduli space of simple sheaves on X , which contains $\mathcal{O}_C(-1)$. We define

$$R_m := \mathbb{C}[t]/(t^{m+1}), \quad S_m := \text{Spec } R_m.$$

Since $\text{Ext}_X^1(\mathcal{O}_C(-1), \mathcal{O}_C(-1)) = \mathbb{C}$ and $C \subset X$ is rigid, we can write \mathcal{M} as $\mathcal{M} = S_m$ for some $m \in \mathbb{N}$. Let $\mathcal{E} \in \text{Coh}(X \times S_m)$ be the universal family.

THEOREM 3.1. — \mathcal{E} is a R_m -spherical object and the associated functor

$$T_{\mathcal{E}} : D(X) \longrightarrow D(X)$$

coincides with Φ .

Proof. — For $n \leq m$, define \mathcal{E}_n to be

$$\mathcal{E}_n := \pi_{n*}(\mathcal{E}|_{X \times S_n}) \in \text{Coh}(X),$$

where $\pi_n : X \times S_n \rightarrow X$ is a projection. Since we have the exact sequences of R_m -modules

$$0 \longrightarrow R_{n-1} \longrightarrow R_n \longrightarrow \mathbb{C} \longrightarrow 0,$$

$$0 \longrightarrow \mathbb{C} \longrightarrow R_n \longrightarrow R_{n-1} \longrightarrow 0,$$

we have the exact sequences in $\text{Coh}(X)$:

$$(1) \quad 0 \longrightarrow \mathcal{E}_{n-1} \longrightarrow \mathcal{E}_n \longrightarrow E \longrightarrow 0,$$

$$(2) \quad 0 \longrightarrow E \longrightarrow \mathcal{E}_n \longrightarrow \mathcal{E}_{n-1} \longrightarrow 0.$$

Here $E := \mathcal{O}_C(-1)$. Applying $\text{Hom}(*, E)$ to the sequence (1), we obtain the long exact sequence

$$\begin{aligned} \text{Hom}(\mathcal{E}_n, E) &\longrightarrow \text{Hom}(\mathcal{E}_{n-1}, E) \xrightarrow{\xi_n} \text{Ext}^1(E, E) = \mathbb{C} \\ &\longrightarrow \text{Ext}^1(\mathcal{E}_n, E) \longrightarrow \text{Ext}^1(\mathcal{E}_{n-1}, E) \xrightarrow{\eta_n} \text{Ext}^2(E, E) = \mathbb{C}. \end{aligned}$$

On the other hand, the sequence (2) determines the non-zero element

$$e_n \in \text{Ext}^1(\mathcal{E}_{n-1}, E),$$

and $\eta_n(e_n) \in \text{Ext}^2(E, E)$ gives the obstruction to deforming $\mathcal{E}|_{X \times S_n}$ to a coherent sheaf on $X \times S_{n+1}$ flat over S_{n+1} (cf. [13, Prop. 3.13]). Therefore $\eta_n(e_n) = 0$ for $n < m$ and $\eta_m(e_m) \neq 0$. On the other hand, we have the following morphism of exact sequences

$$\begin{array}{ccccccccc} 0 & \longrightarrow & \mathcal{E}_{n-1} & \longrightarrow & \mathcal{E}_n & \longrightarrow & E & \longrightarrow & 0 \\ & & \downarrow s_n & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & E & \longrightarrow & \mathcal{E}_1 & \longrightarrow & E & \longrightarrow & 0, \end{array}$$

where s_n is a natural surjection. Hence $\xi_n(s_n) \in \text{Ext}^1(E, E)$ corresponds to the extension \mathcal{E}_1 , which is a non-trivial first order deformation of E . Therefore $\xi_n(s_n) \neq 0$ and ξ_n is surjective. Combining these, we have

$$\begin{aligned} \text{Ext}^1(\mathcal{E}_{n-1}, E) &\cong \text{Ext}^1(\mathcal{E}_n, E) \cong \mathbb{C} \quad (\text{for } n < m), & \text{Ext}^1(\mathcal{E}_m, E) &\cong 0, \\ \text{Hom}(\mathcal{E}_{n-1}, E) &\cong \text{Hom}(\mathcal{E}_n, E) \cong \mathbb{C}. \end{aligned}$$

Similarly applying $\text{Hom}(E, *)$ to the sequence (2), we obtain $\text{Ext}^1(E, \mathcal{E}_m) = 0$ and $\text{Hom}(E, \mathcal{E}_m) = \mathbb{C}$. By Serre duality, we can conclude \mathcal{E} is R_m -spherical.

Next let us consider the equivalence

$$\tilde{\Phi} := T_{\mathcal{E}} \circ \Phi^{-1} : D(X) \longrightarrow D(X).$$

Then $\tilde{\Phi}$ takes $\mathcal{O}_C(-1)$ to $\mathcal{O}_C(-1)$, and commutes with $\mathbb{R}f_*$. Therefore $\tilde{\Phi}$ preserves perverse t-structure ${}^0\text{Per}(X/Y)$ in the sense of [3]. Then the argument of [14, Thm 6.1] shows $\tilde{\Phi}$ is isomorphic to the identity functor. \square

4. Deformations of \mathbb{P} -twists

Review of \mathbb{P} -objects and associated twists. — R -spherical twists can also be used to construct deformations of \mathbb{P} -twists. Let us recall the definition of \mathbb{P} -objects and the associated autoequivalences introduced in [5]. Again we assume X is a smooth projective variety over \mathbb{C} .

DEFINITION 4.1 (see [5]). — An object $E \in D(X)$ is called \mathbb{P}^n -object if it satisfies the following:

- $\text{Ext}_X^*(E, E)$ is isomorphic to $H^*(\mathbb{P}^n, \mathbb{C})$ as a graded ring;
- $E \otimes \omega_X \cong E$.

Note that if \mathbb{P}^n -object exists, then $\dim X = 2n$ by Serre duality. D. Huybrechts and R. Thomas [5] constructed an equivalence $P_E : D(X) \rightarrow D(X)$ associated to E , which is described as follows. Let $h \in \text{Ext}_X^2(E, E)$ be the degree two generator. First consider the morphism in $D(X \times X)$:

$$H := \check{h} \boxtimes \text{id} - \text{id} \boxtimes h : \check{E} \boxtimes E[-2] \longrightarrow \check{E} \boxtimes E.$$

Let us take its cone $\mathcal{H} \in D(X \times X)$. We can see the composition H with the trace map $\text{tr} : \check{E} \boxtimes E \rightarrow \mathcal{O}_\Delta$ becomes zero. Therefore there exists a (in fact unique) morphism $t : \mathcal{H} \rightarrow \mathcal{O}_\Delta$ such that the following diagram commutes [5, Lemma 2.1]:

$$\begin{array}{ccc} \check{E} \boxtimes E[-2] & \xrightarrow{H} & \check{E} \boxtimes E \longrightarrow \mathcal{H} \\ & & \text{tr} \downarrow \swarrow t \\ & & \mathcal{O}_\Delta. \end{array}$$

Then define $\mathcal{Q}_{\mathcal{E}}$ to be the cone

$$\mathcal{Q}_{\mathcal{E}} := \text{Cone}(t : \mathcal{H} \rightarrow \mathcal{O}_\Delta) \in D(X \times X).$$

Then in [5], it is shown that the functor $P_{\mathcal{E}} : D(X) \rightarrow D(X)$ with kernel $\mathcal{Q}_{\mathcal{E}}$ gives the equivalence.

Next let us consider a one parameter deformation of X . Let $f: \mathcal{X} \rightarrow C$ be a smooth family over a smooth curve C with a distinguished fibre $j: X = f^{-1}(0) \hookrightarrow \mathcal{X}$, $0 \in C$. Suppose $E \in D(X)$ is a \mathbb{P}^n -object and let be its Atiyah-class $A(E) \in \text{Ext}_X^1(E, E \otimes \Omega_X)$. Then the obstruction to deforming E sideways to first order is given by the product

$$A(E) \cdot \kappa(X) \in \text{Ext}_X^2(E, E),$$

where $\kappa(X) \in H^1(X, T_X)$ is the Kodaira-Spencer class of the family $f: \mathcal{X} \rightarrow C$. In [5], the case of $A(E) \cdot \kappa(X) \neq 0$ is studied. In that case, j_*E is a spherical object and the associated equivalence $T_{j_*E}: D(\mathcal{X}) \rightarrow D(\mathcal{X})$ fits into the commutative diagram [5, Prop. 2.7]

$$\begin{CD} D(X) @>j_*>> D(\mathcal{X}) \\ @VPEVV @VV T_{j_*E} V \\ D(X) @>j_*>> D(\mathcal{X}). \end{CD}$$

Our purpose is to treat the case of $A(E) \cdot \kappa(X) = 0$.

R -spherical objects via deformations of \mathbb{P} -objects. — Let $f: \mathcal{X} \rightarrow C$ and $E \in D(X)$ be as before, and assume $A(E) \cdot \kappa(X) = 0$. Note that j_*E is not spherical. In fact we have the distinguished triangle

$$E[1] \longrightarrow \mathbb{L}j^*j_*E \longrightarrow E \xrightarrow{A(E) \cdot \kappa(X)} E[2],$$

by [5, Prop. 3.1]. Hence we have the decomposition $\mathbb{L}j^*j_*E \cong E \oplus E[1]$, and for $0 \leq k \leq 2n + 1$ we calculate

$$\begin{aligned} \text{Ext}_{\mathcal{X}}^k(j_*E, j_*E) &\cong \text{Ext}_X^k(\mathbb{L}j^*j_*E, E) \\ &\cong \text{Ext}_X^k(E, E) \oplus \text{Ext}_X^{k-1}(E, E) \cong \mathbb{C}. \end{aligned}$$

As in the previous section, we are going to consider deformations of j_*E in \mathcal{X} . The moduli theories of complexes were carried out by [6, 9]. Following the notation used in [6], we consider the functor $\text{Splcpx}_{\mathcal{X}/C}$ from the category of locally noetherian schemes over C to the category of sets,

$$\begin{aligned} &\text{Splcpx}_{\mathcal{X}/C}(T) \\ &:= \left\{ \mathcal{F}^\bullet \left| \begin{array}{l} \mathcal{F}^\bullet \text{ is a bounded complex of coherent sheaves on } \mathcal{X}_T \\ \text{such that each } \mathcal{F}^i \text{ is flat over } T \text{ and for any } t \in T, \\ \text{Ext}_{X_t}^0(\mathcal{F}^\bullet(t), \mathcal{F}^\bullet(t)) \cong k(t), \text{Ext}_{X_t}^{-1}(\mathcal{F}^\bullet(t), \mathcal{F}^\bullet(t)) = 0 \end{array} \right. \right\} / \sim. \end{aligned}$$

Here

$$\mathcal{X}_T := \mathcal{X} \times_C T, \quad \mathcal{F}^\bullet(t) := \mathcal{F}^\bullet \otimes_T k(t),$$

and $\mathcal{F}^\bullet \sim \mathcal{F}'^\bullet$ if and only if there exist $\mathcal{L} \in \text{Pic}(T)$, a bounded complex of quasi-coherent sheaves \mathcal{G}^\bullet and quasi-isomorphisms $\mathcal{G}^\bullet \rightarrow \mathcal{F}^\bullet, \mathcal{G}^\bullet \rightarrow \mathcal{F}'^\bullet \otimes \mathcal{L}$.

Let $\text{Splcpx}_{\mathcal{X}/C}^{\text{ét}}$ be the associated sheaf of $\text{Splcpx}_{\mathcal{X}/C}$ in the étale topology. M. Inaba [6] showed the following:

THEOREM 4.2 (see [6]). — *The functor $\text{Splcpx}_{\mathcal{X}/C}^{\text{ét}}$ is represented by a locally separated algebraic space \mathcal{M} over C .*

Let $S_m = \text{Spec } \mathbb{C}[t]/(t^{m+1})$ be as before and $\gamma: S_1 \hookrightarrow C$ be an extension of $0 \hookrightarrow C$. Let r be the restriction,

$$r: \text{Splcpx}_{\mathcal{X}/C}^{\text{ét}}(\gamma) \longrightarrow \text{Splcpx}_{\mathcal{X}/C}^{\text{ét}}(0).$$

By the assumption $A(E) \cdot \kappa(X) = 0$, we have $r^{-1}(E) \neq \emptyset$. Moreover by [6, Prop. 2.3], there is a bijection between $r^{-1}(E)$ and $\text{Ext}_X^1(E, E)$, which is zero. Therefore the map $T_{\mathcal{M}, E} \rightarrow T_{C, 0}$ is an isomorphism, hence $\dim \mathcal{M} \leq 1$ at $[E] \in \mathcal{M}$. Note that by taking push-forward along the inclusion $\mathcal{X} \times_C T \rightarrow \mathcal{X} \times T$, we get the morphism of functors:

$$\delta: \text{Splcpx}_{\mathcal{X}/C}^{\text{ét}} \longrightarrow \text{Splcpx}_{\mathcal{X}/S_0}^{\text{ét}}.$$

We put the following technical assumption:

- (*) $\left\{ \begin{array}{l} \text{The morphism } \delta \text{ gives an isomorphism between connected components of both sides, which contain } E \text{ and } j_*E \text{ respectively. Let } [E] \in \mathcal{M}' \subset \mathcal{M} \text{ be the connected component. We assume } \mathcal{M}' \text{ is a zero-dimensional scheme.} \end{array} \right.$

Note that we can write $\mathcal{M}' = S_m$ for some m . Let

$$\mathcal{X}_m := \mathcal{X} \times_C \mathcal{M}' = \mathcal{X} \times_C S_m$$

and $\mathcal{E} \in D(\mathcal{X}_m)$ be the universal family. We use the following notations for morphism:

$$\begin{array}{ccc} \mathcal{X}_m & \xrightarrow{k} & \mathcal{X} \\ f' \downarrow & & \downarrow f \\ S_m & \xrightarrow{k'} & C, \end{array} \quad \begin{array}{ccccc} \mathcal{X}_m & \xrightarrow{\ell} & \mathcal{X} \times S_m & \xrightarrow{\pi'} & S_m \\ \uparrow i & & \uparrow i' & \searrow \pi & \\ X & \xrightarrow{j} & \mathcal{X} & & \mathcal{X}. \end{array}$$

If there is no confusion, we will use the same notations for $n \leq m$. We show the following proposition:

PROPOSITION 4.3. — *The object $\ell_*\mathcal{E} \in D(\mathcal{X} \times S_m)$ is R_m -spherical.*

Proof. — Since $\pi_*\ell_*\mathcal{E} \cong k_*\mathcal{E}$ and $\mathbb{L}i'^*\ell_*\mathcal{E} \cong j_*E$, we have to calculate $\text{Ext}_{\mathcal{X}}^i(k_*\mathcal{E}, j_*E)$. By the assumption (\star) , we cannot deform $\ell_*\mathcal{E}$ to $(m + 1)$ -th order. For $n \leq m$, let $\mathcal{E}_n := \mathcal{E}|_{\mathcal{X}_n} \in D(\mathcal{X}_n)$ and $\tilde{\mathcal{E}}_n := k_*\mathcal{E}_n \in D(\mathcal{X})$. We consider distinguished triangles:

$$(3) \quad \tilde{\mathcal{E}}_{n-1} \longrightarrow \tilde{\mathcal{E}}_n \longrightarrow j_*E \xrightarrow{e'_n} \tilde{\mathcal{E}}_{n-1}[1],$$

$$(4) \quad j_*E \longrightarrow \tilde{\mathcal{E}}_n \longrightarrow \tilde{\mathcal{E}}_{n-1} \xrightarrow{e_n} j_*E[1].$$

Then by the argument of [13, Prop. 3.13], we can see that the composition

$$e_n \circ e'_n : j_*E \longrightarrow \tilde{\mathcal{E}}_{n-1}[1] \longrightarrow j_*E[2]$$

gives the obstruction to deforming $\ell_*\mathcal{E}_n$ to $(n + 1)$ -th order. If E is a sheaf, this is just [13, Prop. 3.13] and we can generalize this by replacing the exact sequences in [13, Prop. 3.13] by the exact sequences of representing complexes. We leave the detail to the reader. Hence $e_m \circ e'_m \neq 0$ and $e_n \circ e'_n = 0$ for $n < m$. Applying $\text{Hom}(*, j_*E)$ to the triangle (3), we obtain the long exact sequence,

$$\begin{aligned} \text{Ext}_{\mathcal{X}}^1(j_*E, j_*E) &\longrightarrow \text{Ext}_{\mathcal{X}}^1(\tilde{\mathcal{E}}_n, j_*E) \\ &\longrightarrow \text{Ext}_{\mathcal{X}}^1(\tilde{\mathcal{E}}_{n-1}, j_*E) \longrightarrow \text{Ext}_{\mathcal{X}}^2(j_*E, j_*E) = \mathbb{C}. \end{aligned}$$

Then using the above sequence and the same argument as in Theorem 3.1, we can conclude $\text{Ext}_{\mathcal{X}}^1(k_*\mathcal{E}, j_*E) = 0$.

Next we use the existence of the distinguished triangle [1, Lemma 3.3]:

$$\mathcal{E}[1] \longrightarrow \mathbb{L}k^*k_*\mathcal{E} \longrightarrow \mathcal{E} \longrightarrow \mathcal{E}[2].$$

Pulling back to X , we have the triangle

$$(5) \quad E[1] \longrightarrow \mathbb{L}j^*k_*\mathcal{E} \longrightarrow E \xrightarrow{\theta} E[2].$$

Since $\text{Ext}_X^2(E, E)$ is one dimensional, θ is zero or non-zero multiple of h . Assume $\theta = 0$. Then $\mathbb{L}j^*k_*\mathcal{E} \cong E \oplus E[1]$, and

$$\begin{aligned} \text{Ext}_{\mathcal{X}}^1(k_*\mathcal{E}, j_*E) &\cong \text{Ext}_{\mathcal{X}}^1(\mathbb{L}j^*k_*\mathcal{E}, E) \\ &\cong \text{Ext}_X^1(E, E) \oplus \text{Hom}(E, E) \cong \mathbb{C}, \end{aligned}$$

which is a contradiction. Hence we may assume $\theta = h$. Applying $\text{Hom}(*, E)$ to the triangle (5), we obtain the long exact sequence

$$\rightarrow \text{Ext}_X^i(E, E) \longrightarrow \text{Ext}_{\mathcal{X}}^i(\mathbb{L}j^*k_*\mathcal{E}, E) \longrightarrow \text{Ext}_X^{i-1}(E, E) \xrightarrow{h} \text{Ext}_X^{i+1}(E, E) \rightarrow .$$

By the definition of \mathbb{P}^n -object, we obtain

$$\text{Ext}_{\mathcal{X}}^i(k_*\mathcal{E}, j_*E) \cong \text{Ext}_X^i(\mathbb{L}j^*k_*\mathcal{E}, E) = \begin{cases} \mathbb{C} & \text{if } i = 0 \text{ or } i = 2n + 1, \\ 0 & \text{otherwise.} \end{cases}$$

□

REMARK 4.4. — Assumption (\star) is satisfied if E is a sheaf and $\dim \mathcal{M}' = 0$. In fact suppose $\ell_* \mathcal{E}$ extends to a S_{m+1} -valued point of $\mathrm{SplcpX}_{\mathcal{X}/S_0}^{\acute{e}t}$. Then as in [13, Prop. 3.13], there exists $\tilde{\mathcal{E}}_{m+1} \in \mathrm{Coh}(\mathcal{X})$ such that there exists a morphism of exact sequences of $\mathcal{O}_{\mathcal{X}}$ -modules:

$$\begin{array}{ccccccccc} 0 & \longrightarrow & \tilde{\mathcal{E}}_{m-1} & \longrightarrow & \tilde{\mathcal{E}}_m & \longrightarrow & j_* E & \longrightarrow & 0 \\ & & \uparrow & & \uparrow & & \uparrow \mathrm{id} & & \\ 0 & \longrightarrow & \tilde{\mathcal{E}}_m & \xrightarrow{\nu} & \tilde{\mathcal{E}}_{m+1} & \longrightarrow & j_* E & \longrightarrow & 0. \end{array}$$

An easy diagram chase shows $\tilde{\mathcal{E}}_{m+1}$ is a $\mathcal{O}_{\mathcal{X}}/(t^{m+2})$ -module for the uniformizing parameter $t \in \mathcal{O}_{C,0}$. Moreover we have $t \cdot \tilde{\mathcal{E}}_{m+1} = \mathrm{Im} \nu$. Therefore the map

$$\tilde{\mathcal{E}}_{m+1} \otimes_{\mathcal{O}_C/(t^{m+2})} (t) \longrightarrow \tilde{\mathcal{E}}_{m+1}$$

is a morphism from $\tilde{\mathcal{E}}_m$ onto $\mathrm{Im} \nu \cong \tilde{\mathcal{E}}_m$, hence injective. Then [13, Lemma 3.7] shows $\tilde{\mathcal{E}}_{m+1}$ is flat over $\mathcal{O}_{C,0}/(t^{m+2})$ and gives a S_{m+1} -valued point of $\mathrm{SplcpX}_{\mathcal{X}/C}^{\acute{e}t}$.

\mathbb{P} -twists and R -spherical twists. — By Proposition 4.3, we have the associated functor $T_{\ell_* \mathcal{E}} : D(\mathcal{X}) \rightarrow D(\mathcal{X})$ under assumption (\star) . The next purpose is to show the existence of the diagram as in [5, Prop. 2.7]. We use the following notations for morphisms:

$$\begin{array}{ccc} X \times X & \xrightarrow{\tilde{j}} & \mathcal{X} \times_C \mathcal{X} & \mathcal{X} \times \mathcal{X}_m & \xrightarrow{\mathrm{id} \times \ell} & \mathcal{X} \times S_m \times \mathcal{X} \\ & \searrow \tilde{i} & \nearrow \tilde{k} & \uparrow \ell' & & \uparrow \ell \times \mathrm{id} \\ & & \mathcal{X}_m \times_{S_m} \mathcal{X}_m & \xrightarrow{\ell''} & \mathcal{X}_m \times \mathcal{X} & \end{array}$$

$$\begin{array}{ccccccc} X \times X & \xrightarrow{\tilde{i}} & \mathcal{X}_m \times_{S_m} \mathcal{X}_m & \xrightarrow{\tilde{k}} & \mathcal{X} \times_C \mathcal{X} & \xrightarrow{\iota} & \mathcal{X} \times \mathcal{X} \\ \uparrow \Delta_0 & & \uparrow \Delta_m & & \uparrow \Delta' & & \nearrow \Delta \\ X & \xrightarrow{i} & \mathcal{X}_m & \xrightarrow{k} & \mathcal{X} & & \end{array}$$

$$\begin{array}{ccccc} & \mathcal{X} \times S_m \times \mathcal{X} & & \mathcal{X}_m \times_{S_m} \mathcal{X}_m & \\ p_{12} \swarrow & & p_{23} \searrow & q_1 \swarrow & q_2 \searrow \\ \mathcal{X} \times S_m & \mathcal{X} \times \mathcal{X} & \mathcal{X} \times S_m & \mathcal{X}_m & \mathcal{X}_m. \end{array}$$

THEOREM 4.5. — *The functor $T_{\ell_*\mathcal{E}}$ fits into the following commutative diagram:*

$$\begin{array}{ccc} D(X) & \xrightarrow{j_*} & D(\mathcal{X}) \\ P_E \downarrow & & \downarrow T_{\ell_*\mathcal{E}} \\ D(X) & \xrightarrow{j_*} & D(\mathcal{X}). \end{array}$$

Proof. — We try to imitate the argument of [5, Prop. 2.7]. First we construct the morphism

$$\alpha: \tilde{k}_*(q_1^*\check{\mathcal{E}} \overset{\mathbb{L}}{\otimes} q_2^*\mathcal{E})[-1] \longrightarrow \Delta'_*\mathcal{O}_{\mathcal{X}}$$

in $D(\mathcal{X} \times_C \mathcal{X})$. This is constructed by the composition of \tilde{k}_* tr,

$$\tilde{k}_* \text{tr}: \tilde{k}_*(q_1^*\check{\mathcal{E}} \overset{\mathbb{L}}{\otimes} q_2^*\mathcal{E})[-1] \longrightarrow \tilde{k}_*\Delta_{m*}\mathcal{O}_{\mathcal{X}_m}[-1] = \Delta'_*k_*\mathcal{O}_{\mathcal{X}_m}[-1],$$

with the morphism $\Delta'_*k_*\mathcal{O}_{\mathcal{X}_m}[-1] \rightarrow \Delta'_*\mathcal{O}_{\mathcal{X}}$ obtained by applying Δ'_* to the exact sequence,

$$0 \longrightarrow \mathcal{O}_{\mathcal{X}} \longrightarrow \mathcal{O}_{\mathcal{X}}(\mathcal{X}_m) \longrightarrow k_*\mathcal{O}_{\mathcal{X}_m} \longrightarrow 0.$$

Let $\mathcal{L} := \text{Cone}(\alpha) \in D(\mathcal{X} \times_C \mathcal{X})$. Applying Chen's lemma [4], it suffices to show

$$\iota_*\mathcal{L} \cong \text{Cone}(\mathbb{R}p_{13*}(p_{12}^*(\check{\ell}_*\mathcal{E} \overset{\mathbb{L}}{\otimes} \pi^!\mathcal{O}_{\mathcal{X}}) \overset{\mathbb{L}}{\otimes} p_{23}^*\ell_*\mathcal{E}) \xrightarrow{\mu} \Delta_*\mathcal{O}_{\mathcal{X}}), \quad \mathbb{L}\tilde{j}^*\mathcal{L} \cong \mathcal{H}.$$

Here μ is the morphism constructed in the proof of Theorem 2.3 and \mathcal{H} is the kernel of P_E . First we check $\iota_*\mathcal{L} \cong \text{Cone}(\mu)$. Note that $\pi^!\mathcal{O}_{\mathcal{X}} = \mathcal{O}_{\mathcal{X} \times_{S_m}}$ and $\check{\ell}_*\mathcal{E} \cong \ell_*\check{\mathcal{E}}[-1]$ by the duality isomorphism. Hence we have

$$\begin{aligned} \mathbb{R}p_{13*}(p_{12}^*(\check{\ell}_*\mathcal{E} \overset{\mathbb{L}}{\otimes} \pi^!\mathcal{O}_{\mathcal{X}}) \overset{\mathbb{L}}{\otimes} p_{23}^*\ell_*\mathcal{E}) &\cong \mathbb{R}p_{13*}(p_{12}^*\ell_*\check{\mathcal{E}} \overset{\mathbb{L}}{\otimes} p_{23}^*\ell_*\mathcal{E})[-1] \\ &\cong \mathbb{R}p_{13*}\{(\ell \times \text{id})_*r_1^*\check{\mathcal{E}} \overset{\mathbb{L}}{\otimes} (\text{id} \times \ell)_*r_2^*\mathcal{E}\}[-1] \\ &\cong \mathbb{R}p_{13*}(\text{id} \times \ell)_*\{\mathbb{L}(\text{id} \times \ell)^*(\ell \times \text{id})_*r_1^*\check{\mathcal{E}} \overset{\mathbb{L}}{\otimes} r_2^*\mathcal{E}\}[-1] \\ &\cong \mathbb{R}p_{13*}(\text{id} \times \ell)_*(\ell'_*\mathbb{L}\ell''^*r_1^*\check{\mathcal{E}} \overset{\mathbb{L}}{\otimes} r_2^*\mathcal{E})[-1] \\ &\cong \mathbb{R}p_{13*}(\text{id} \times \ell)_*\ell'_*(\mathbb{L}\ell''^*r_1^*\check{\mathcal{E}} \overset{\mathbb{L}}{\otimes} \mathbb{L}\ell'^*r_2^*\mathcal{E})[-1] \\ &\cong \iota_*\tilde{k}_*(q_1^*\check{\mathcal{E}} \overset{\mathbb{L}}{\otimes} q_2^*\mathcal{E})[-1]. \end{aligned}$$

Here r_1, r_2 are defined by the fiber squares:

$$\begin{array}{ccc}
 \mathcal{X}_m \times \mathcal{X} & \xrightarrow{\ell \times \text{id}} & \mathcal{X} \times S_m \times \mathcal{X} \\
 r_1 \downarrow & & p_{12} \downarrow \\
 \mathcal{X}_m & \xrightarrow{\ell} & \mathcal{X} \times S_m,
 \end{array}
 \quad
 \begin{array}{ccc}
 \mathcal{X} \times \mathcal{X}_m & \xrightarrow{\text{id} \times \ell} & \mathcal{X} \times S_m \times \mathcal{X} \\
 r_2 \downarrow & & p_{23} \downarrow \\
 \mathcal{X}_m & \xrightarrow{\ell} & \mathcal{X} \times S_m.
 \end{array}$$

Under the above isomorphism, we can check $\iota_*\alpha = \mu$. Hence $\tilde{\ell}_*\mathcal{L} \cong \text{Cone}(\mu)$.

Next we check $\mathbb{L}\tilde{j}^*\mathcal{L} \cong \mathcal{H}$. Note that we have

$$\mathbb{L}\tilde{j}^*\mathcal{L} = \text{Cone}(\mathbb{L}\tilde{j}^*\tilde{k}_*(q_1^*\check{\mathcal{E}} \otimes^{\mathbb{L}} q_2^*\mathcal{E})[-1] \xrightarrow{\mathbb{L}\tilde{j}^*\alpha} \mathbb{L}\tilde{j}^*\Delta'_*\mathcal{O}_{\mathcal{X}} = \Delta_{0*}\mathcal{O}_{\mathcal{X}}),$$

and there exists the distinguished triangle

$$q_1^*\check{\mathcal{E}} \otimes^{\mathbb{L}} q_2^*\mathcal{E}[-2] \longrightarrow q_1^*\check{\mathcal{E}} \otimes^{\mathbb{L}} q_2^*\mathcal{E} \longrightarrow \mathbb{L}\tilde{k}^*\tilde{k}_*(q_1^*\check{\mathcal{E}} \otimes^{\mathbb{L}} q_2^*\mathcal{E})[-1],$$

as in [1, Lemma 3.3]. Then applying $\mathbb{L}\tilde{i}^*$, we have the triangle

$$\check{E} \boxtimes E[-2] \xrightarrow{u} \check{E} \boxtimes E \longrightarrow \mathbb{L}\tilde{j}^*\tilde{k}_*(q_1^*\check{\mathcal{E}} \otimes^{\mathbb{L}} q_2^*\mathcal{E})[-1].$$

We can easily check the following:

$$\begin{aligned}
 &\text{Ext}_{X \times X}^2(\check{E} \boxtimes E, \check{E} \boxtimes E) \\
 &\cong (\text{Ext}_X^2(E, E) \otimes \text{Ext}_X^0(E, E)) \oplus (\text{Ext}_X^0(E, E) \otimes \text{Ext}_X^2(E, E)).
 \end{aligned}$$

Hence we can write $u = a(\check{h} \boxtimes \text{id}) + b(\text{id} \boxtimes h)$ for some $a, b \in \mathbb{C}$. On the other hand, we can check that the following diagram commutes:

$$\begin{array}{ccc}
 \check{E} \boxtimes E[-2] & \xrightarrow{u} & \check{E} \boxtimes E & \longrightarrow & \mathbb{L}\tilde{j}^*\tilde{k}_*(q_1^*\check{\mathcal{E}} \otimes^{\mathbb{L}} q_2^*\mathcal{E}) \\
 & & \searrow \text{tr} & & \downarrow \mathbb{L}\tilde{j}^*\alpha \\
 & & & & \Delta_{0*}\mathcal{O}_{\mathcal{X}}.
 \end{array}$$

This is easily checked using the same argument of [5, Prop. 2.7], and leave the detail to the reader. Therefore $\text{tr} \circ u = 0$, which implies $b = -a$. Hence if we show $u \neq 0$, then we can conclude $\mathbb{L}\tilde{j}^*\mathcal{L} \cong \mathcal{H}$. Assume $u = 0$. Then we have the decomposition

$$(6) \quad \mathbb{L}\tilde{j}^*\tilde{k}_*(q_1^*\check{\mathcal{E}} \otimes^{\mathbb{L}} q_2^*\mathcal{E})[-1] \cong (\check{E} \boxtimes E) \oplus (\check{E} \boxtimes E)[-1].$$

Since $\text{Hom}_{X \times X}(\check{E} \boxtimes E[-1], \Delta_*\mathcal{O}_X) = 0$, the morphism

$$\mathbb{L}\tilde{j}^*\alpha: \mathbb{L}\tilde{j}^*\tilde{k}_*(q_1^*\check{\mathcal{E}} \otimes^{\mathbb{L}} q_2^*\mathcal{E})[-1] \longrightarrow \Delta_{0*}\mathcal{O}_X$$

is a non-zero multiple of $(\text{tr}, 0)$ under the decomposition (6). Let $\mathcal{S} \in D(X \times X)$ be the cone of the trace map:

$$\check{E} \boxtimes E \xrightarrow{\text{tr}} \Delta_{0*} \mathcal{O}_X \longrightarrow \mathcal{S}.$$

Then we have the decomposition $\mathbb{L}\tilde{j}^* \mathcal{L} \cong \mathcal{S} \oplus (\check{E} \boxtimes E)$, and the following diagram commutes by Chen’s lemma [4]:

$$\begin{array}{ccc} D(X) & \xrightarrow{\Phi_{X \rightarrow X}^{\mathbb{L}\tilde{j}^* \mathcal{L}}} & D(X) \\ j_* \downarrow & & \downarrow j_* \\ D(\mathcal{X}) & \xrightarrow{T_{\ell_* \varepsilon}} & D(\mathcal{X}). \end{array}$$

In particular we have

$$j_* \Phi_{X \rightarrow X}^{\mathbb{L}\tilde{j}^* \mathcal{L}}(E) \cong T_{\ell_* \varepsilon}(j_* E) \cong j_* E[1 - \dim \mathcal{X}],$$

which is indecomposable. It follows that

$$\Phi_{X \rightarrow X}^{\mathcal{S}}(E) \cong 0 \quad \text{or} \quad \Phi_{X \rightarrow X}^{\check{E} \boxtimes E}(E) \cong 0.$$

Since $\Phi_{X \rightarrow X}^{\check{E} \boxtimes E}(E) \cong \mathbb{R} \text{Hom}(E, E) \otimes_{\mathbb{C}} E$, the latter is impossible by the definition of \mathbb{P}^n -object. Hence $\Phi_{X \rightarrow X}^{\mathcal{S}}(E)$ must be zero. Since we have the distinguished triangle:

$$\mathbb{R} \text{Hom}(E, E) \otimes_{\mathbb{C}} E \longrightarrow E \longrightarrow \Phi_{X \rightarrow X}^{\mathcal{S}}(E) \cong 0,$$

we have $\mathbb{R} \text{Hom}(E, E) \otimes_{\mathbb{C}} E \cong E$. But again this is impossible by the definition of \mathbb{P}^n -object. □

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